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ILLINOIS REGISTER

Rules of Governmental Agencies

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INTRODUCTION

The *Illinois Register* is the official state document for publishing public notice of rulemaking activity by State governmental agencies. The table of contents is arranged categorically by rulemaking activity and alphabetically by agency within each category. Rulemaking activity consists of proposed or adopted new rules or amendments to or repealers of existing rules, including those by emergency or peremptory action.

The *Register* also contains Executive Orders and Proclamations issued by the Governor, notices of public information required by State statute, and activities (meeting agendas, Statements of Objection or Recommendation, etc.) of the Joint Committee on Administrative Rules (JCAR), a legislative oversight committee which monitors the rulemaking activities of State agencies. In addition, the *Register* contains a Cumulative Index listing alphabetically by agency the Parts (sets of rules) on which rulemaking activity has occurred in the current *Register* volume and a Sections Affected Index listing, by Title of the *Illinois Administrative Code*, each Section (including supplementary material) of a Part on which rulemaking activity has occurred in the current volume. Both indices are action coded and are designed to aid the public in monitoring rules.

The *Register* will serve as the update to the *Illinois Administrative Code*, a compilation of the rules of State agencies. The most recent edition of the *Code* along with the *Register* comprise the most current accounting of the State agencies' rules.

The *Illinois Register* is the property of the State of Illinois, granted by the authority of the Illinois Administrative Procedure Act (Ill. Rev. Stat. 1985, ch. 127, pars. 1001 et seq., as amended).

REGISTER PUBLICATION SCHEDULE 1988

Material Rec'd after 4:30 p.m. on:	And before 4:30 p.m. on:	Will be in Issue #:	Published on:	Material Rec'd after 4:30 p.m. on:	And before 4:30 p.m. on:	Will be in Issue #:	Published on:
Dec. 16, 1987	Dec. 23, 1987	1	Jan. 4, 1988	June 28, 1988	July 5, 1988	29	July 15, 1988
Dec. 23, 1987	Dec. 30, 1987	2	Jan. 8, 1988	July 5, 1988	July 12, 1988	30	July 22, 1988
Dec. 30, 1987	Jan. 5, 1988	3	Jan. 15, 1988	July 12, 1988	July 19, 1988	31	July 29, 1988
Jan. 5, 1988	Jan. 12, 1988	4	Jan. 22, 1988	July 19, 1988	July 26, 1988	32	Aug. 5, 1988
Jan. 12, 1988	Jan. 19, 1988	5	Jan. 29, 1988	July 26, 1988	Aug. 2, 1988	33	Aug. 12, 1988
Jan. 19, 1988	Jan. 26, 1988	6	Feb. 5, 1988	Aug. 2, 1988	Aug. 9, 1988	34	Aug. 19, 1988
Jan. 26, 1988	Feb. 2, 1988	7	Feb. 16, 1988 (Tues.)	Aug. 9, 1988	Aug. 16, 1988	35	Aug. 26, 1988
Feb. 2, 1988	Feb. 9, 1988	8	Feb. 19, 1988	Aug. 16, 1988	Aug. 23, 1988	36	Sept. 2, 1988
Feb. 9, 1988	Feb. 16, 1988	9	Feb. 26, 1988	Aug. 23, 1988	Aug. 30, 1988	37	Sept. 9, 1988
Feb. 16, 1988	Feb. 23, 1988	10	Mar. 4, 1988	Aug. 30, 1988	Sept. 6, 1988	38	Sept. 16, 1988
Feb. 23, 1988	Mar. 1, 1988	11	Mar. 11, 1988	Sept. 6, 1988	Sept. 13, 1988	39	Sept. 23, 1988
Mar. 1, 1988	Mar. 8, 1988	12	Mar. 18, 1988	Sept. 13, 1988	Sept. 20, 1988	40	Sept. 30, 1988
Mar. 8, 1988	Mar. 15, 1988	13	Mar. 25, 1988	Sept. 20, 1988	Sept. 27, 1988	41	Oct. 7, 1988
Mar. 15, 1988	Mar. 22, 1988	14	Apr. 1, 1988	Sept. 27, 1988	Oct. 4, 1988	42	Oct. 14, 1988
Mar. 22, 1988	Mar. 29, 1988	15	Apr. 8, 1988	Oct. 4, 1988	Oct. 11, 1988	43	Oct. 21, 1988
Mar. 29, 1988	Apr. 5, 1988	16	Apr. 15, 1988	Oct. 11, 1988	Oct. 18, 1988	44	Oct. 28, 1988
Apr. 5, 1988	Apr. 12, 1988	17	Apr. 22, 1988	Oct. 18, 1988	Oct. 25, 1988	45	Nov. 4, 1988
Apr. 12, 1988	Apr. 19, 1988	18	Apr. 29, 1988	Oct. 25, 1988	Nov. 1, 1988	46	Nov. 14, 1988 (Mon.)
Apr. 19, 1988	Apr. 26, 1988	19	May 6, 1988	Nov. 1, 1988	Nov. 8, 1988	47	Nov. 18, 1988
Apr. 26, 1988	May 3, 1988	20	May 13, 1988	Nov. 8, 1988	Nov. 15, 1988	48	Nov. 28, 1988 (Mon.)
May 3, 1988	May 10, 1988	21	May 20, 1988	Nov. 15, 1988	Nov. 22, 1988	49	Dec. 2, 1988
May 10, 1988	May 17, 1988	22	May 27, 1988	Nov. 22, 1988	Nov. 29, 1988	50	Dec. 9, 1988
May 17, 1988	May 24, 1988	23	June 3, 1988	Nov. 29, 1988	Dec. 6, 1988	51	Dec. 16, 1988
May 24, 1988	May 31, 1988	24	June 10, 1988	Dec. 6, 1988	Dec. 13, 1988	52	Dec. 23, 1988
May 31, 1988	June 7, 1988	25	June 17, 1988	Dec. 13, 1988	Dec. 20, 1988	53	Dec. 30, 1988
June 7, 1988	June 14, 1988	26	June 24, 1988	Dec. 20, 1988	Dec. 27, 1988	1	Jan. 6, 1989
June 14, 1988	June 21, 1988	27	July 1, 1988	Dec. 27, 1988	Jan. 3, 1989	2	Jan. 13, 1989
June 21, 1988	June 28, 1988	28	July 8, 1988				

Please note: When the Register deadline falls on a State holiday, the deadline becomes 4:30 p.m. on Monday (the day before).

DEPARTMENT OF INSURANCE

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Minimum Standards for Individual and Group Medicare Supplement Insurance

- 2) Code Citation: 50 Ill. Adm. Code 2008

- 3) Section Numbers Proposed Action

2008.10	Amendment
2008.20	Amendment
2008.30	Amendment
2008.40	Amendment
2008.50	Amendment
2008.60	Amendment
2008.70	Amendment
2008.71	New Section
2008.80	Amendment
2008.81	New Section
2008.82	New Section
2008.90	Amendment
Appendix A	Amendment
Appendix B	Amendment
Appendix C	Amendment
Appendix E	New Appendix
Appendix F	New Appendix
Appendix G	New Appendix
Appendix F	Renumbered

- 4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 73, par. 975, 975a and 1013 as amended by P.A. 85-1174, effective August 13, 1988.

- 5) Complete Description of the Subjects and Issues Involved:

This proposed rulemaking implements recent amendments in State and Federal law regarding Medicare benefits, set forth in P.A. 85-1174 and the "Medicare Catastrophic Coverage Act of 1988", respectively. New and amended standards regarding minimum benefits, claims payments, loss ratio standards, filing requirements, disclosure requirements will be adopted.

- 6) Will this proposed rule replace an emergency rule currently in effect? Yes. These proposed amendments are identical to the emergency amendments to Part 2008 published in this issue of the Illinois Register.

DEPARTMENT OF INSURANCE

NOTICE OF PROPOSED AMENDMENTS

- 7) Does this rulemaking contain an automatic repeal date? No.

- 8) Does this proposed amendment contain incorporations by reference? No.

- 9) Are there any other proposed amendments pending on this Part? No.

- 10) Statement of Statewide Policy Objectives: Not applicable

- 11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking.

Individuals wishing to comment on this rulemaking may do so by submitting their written comments, within 45 days of the date of this Notice, to:

Kirk H. Petersen
Assistant Chief Counsel
Illinois Department of Insurance
320 West Washington, 4th Floor
Springfield, Illinois 62767

- 12) Initial Regulatory Flexibility Analysis: The Department has determined that this rulemaking does not effect small business as that term in defined in Section 3.10 of the Illinois Administrative Procedure Act.

The full text of the Proposed Rule is identical to the text of the emergency amendments to this Part published in this issue of the Illinois Register beginning at page 586.

ILLINOIS STATE BOARD OF INVESTMENT

NOTICE OF PROPOSED AMENDMENTS

1) Heading of Part: State (of Illinois) Employees' Deferred Compensation Plan

2) Code Citation: 80 Ill. Adm. Code 2700

3) Section Numbers:

2700.200
2700.440
2700.620
2700.630
2700.650
2700.700
2700.710
2700.720
2700.730
2700.735
2700.740
2700.750
2700.820
2700.920
Appendix A, Exhibit E
Appendix A, Exhibit F

Proposed Action:

Amendment
Amendment
Amendment
Amendment
Amendment
Amendment
Amendment
New Section
Amendment
Amendment
Amendment
Amendment
Amendment

4) Statutory Authority: Implementing and authorized by Section 22A-111.1 and Article 24 of the Illinois Pension Code (Ill. Rev. Stat. 1981, ch. 108 1/2, pars. 22A-111.1 and 24-101 et seq.) and implementing Section 457 and 401(a)(9) and 414(o) of the United States Internal Revenue Code (26 U.S.C.A. 401, 414, 457, 1982) and the rules and regulations of the Internal Revenue Service (26 CFR 1, April 1, 1982).

5) A Complete Description of the Subjects and Issues Involved: The emergency amendments implement the changes required by Tax Reform primarily in the area of minimum distributions to beneficiaries and participants. Certain housekeeping changes are being made at the same time including clarification of the treatment of appeals, efforts to locate missing persons and authorization for electronic funds transfer of distributions.

6) Will This Proposed Rule Replace an Emergency Rule Currently In Effect: Yes

7) Does this Rulemaking Contain an Automatic Repeal Date? No

8) Does This Proposed Amendment Contain Incorporations by Reference? No

9) Are There Any Other Proposed Amendments Pending on This Part? No
Section Numbers Emergency Action Illinois Register Citation

ILLINOIS STATE BOARD OF INVESTMENT

NOTICE OF PROPOSED AMENDMENTS

10) Statement of Statewide Policy Objectives: This rulemaking does not affect local governments.

11) Time, Place, and Manner in Which Interested Persons May Comment on This Proposed Rulemaking:

Interested persons should send their comments concerning these amendments in writing within 45 days to:

Theresa H. Stoica
Manager
Bureau of Benefits
Department of Central Management Services
616 Stratton Office Building
Springfield, Illinois 62706
217/785-0576

12) Initial Regulatory Flexibility Analysis: This rulemaking has no effect on small businesses.

The text of these proposed amendments is identical to the text of the emergency amendments appearing in this issue of the Register on page 629

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

- 1) The Heading of the Part: Finished Water and Raw Water Quality and Quantity
- 2) Code Citation: 35 Ill. Adm. Code 604
- 3) Section Number: 604.203
Amend

4) Statutory Authority: Illinois Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2 pars. 1010 and 1027).

5) A Complete Description of the Subjects and Issues Involved: On October 5, 1982, the Illinois Pollution Control Board adopted amendments to the Public Water Supply (35 Ill. Adm. Code Subtitle F) rules which established a maximum allowable concentration of 0.10 mg/l for Total Trihalomethanes (TTHMs) in finished drinking water. Trihalomethanes are organic chemicals consisting of one carbon atom, one hydrogen atom and three halogen atoms. These are formed when free chlorine reacts with naturally occurring compounds which are generally produced by decaying vegetation. Research by the National Cancer Institute and the National Academy of Sciences shows that TTHMs may be carcinogenic and can lead to liver or kidney disorders, birth defects, and central nervous system damage.

This rulemaking extends the protections against TTHMs to smaller public water supplies, i.e., those serving fewer than 10,000 people. Generally, the proposal accomplishes two goals. First, the rules are proposed to require that surface water sources for supplies serving fewer than 10,000 individuals comply with the Maximum Allowable Concentration of Total Trihalomethanes (0.10 mg/l) by January 1, 1990. Second, the proposal establishes a monitoring system specifically for smaller supplies.

The amendments proposed for this Part require compliance by water supplies serving fewer than 10,000 people with the Maximum Allowable Concentration Standard for Total Trihalomethanes of 0.10 mg/l.

6) Will this proposed rule replace an emergency rule currently in effect? No.

7) Does this rulemaking contain an automatic repeal date? Yes X No

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

If "yes," please specify the date: _____

8) Does this proposed (amendment, repealer) contain incorporations by reference? No.

9) Are there any other amendments pending on this Part? No.
Section Numbers: Proposed Action: Ill. Reg. Citation:

10) Statement of Statewide Policy Objective: As this proposed amendment is intended to protect small communities and those served by small surface water supplies, it may have an impact on small business or small municipalities. To lessen any impact, the Board has established less stringent compliance requirements for the small supplies. Note that the compliance schedule for supplies serving fewer than 10,000 people is less strict than for those serving over 10,000 people. So as to encourage small business and small municipality comment on this rulemaking, the Board has transmitted a copy of the proposal to the Business Assistance Office of the Department of Commerce and Community Affairs as well as providing notice of the proposal in the Environmental Register and in the Illinois Register. Comment is requested.

11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: _____

Send written comments concerning R84-12 within 45 days of publication in the Illinois Register to the Clerk of the Pollution Control Board, 100 West Randolph Street, Suite 11-500, Chicago, Illinois 60601.

12) Initial Regulatory Flexibility Analysis:

A) Date rule submitted to Business Assistance Office of the Department of Commerce and Community Affairs: December 22, 1988.

B) Types of small businesses affected: Surface water sources for supplies serving fewer than 10,000 people.

C) Reporting, bookkeeping or other procedures required for compliance: Submitting samples of Total Trihalomethane Concentration of water in public water supply distribution systems.

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

- D) Types of professional skills necessary for compliance: Taking accurate samples of water from public water supplies distribution systems.

The full text of the proposed rule(s) begins on the next page:

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE F: PUBLIC WATER SUPPLIES
CHAPTER I: POLLUTION CONTROL BOARD

PART 604

FINISHED WATER AND RAW WATER QUALITY AND QUANTITY

SUBPART A: BACTERIOLOGICAL QUALITY

Section
604.101
604.102
604.103
604.104
604.105

Standard Sample
Total Coliform Limits
Total Coliform Check-Samples
Bacterial Plate Count Sample
Bacterial Plate Count Limits

SUBPART B: CHEMICAL AND PHYSICAL QUALITY

Section
604.201
604.202
604.203
604.204

Finished Water Quality
Contaminants and Maximum Allowable Concentrations
Exceptions to Maximum Allowable Concentrations
Action Pursuant to Exceedance of Maximum Allowable Concentration

SUBPART C: RADIOLOGICAL QUALITY

Section
604.301
604.302
604.303

Radium-226, -228, and Gross Alpha Particle Activity
Man-Made Radioactivity
Determining Maximum Allowable Concentrations

SUBPART D: CHLORINATION AND FLUORIDATION

Section
604.401
604.402
604.403
604.404
604.405

Chlorination Requirement
Chlorination Exemption Requirements
Conditions for Obtaining a Written Chlorination Exemption
Loss of Chlorination Exemption
Fluoridation Requirement

SUBPART E: RAW WATER

Section
604.501
604.502

Raw Water Quality
Raw Water Quantity

POLLUTION CONTROL BOARD

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NOTICE OF PROPOSED AMENDMENTS

NOTICE OF PROPOSED AMENDMENTS

Appendix References to Former Rules

AUTHORITY: Implementing Section 17 and authorized by Section 27 of the Environmental Protection Act (Ill. Rev. Stat., 1987, ch. 111 1/2, pars. 1017 and 1027).

SOURCE: Filed with Secretary of State January 1, 1978; amended at 2 Ill. Reg. 36, p. 72, effective August 29, 1978; amended at 3 Ill. Reg. 13, p. 236, effective March 30, 1979; amended and codified at 6 Ill. Reg. 11497, effective September 14, 1982; amended at 6 Ill. Reg. 14344, effective, November 3, 1982; amended in R84-12 at ____ Ill. Reg. effective _____.

Section 604.203 Exceptions to Maximum Allowable Concentrations

The following supplementary conditions apply to the concentrations listed in Section 604.202.

- a) Fluoride: Those counties of the State north of and including the counties of Henderson, McDonough, Fulton, Tazewell, McLean, Ford and Iroquois shall have a maximum allowable fluoride concentration of 2.0 mg/l.
- b) Iron and Manganese:
 - 1) Community water supplies which serve a population of 1000 or less or 300 service connections or less shall be exempt from the standards for iron and manganese.
 - 2) All other water supplies shall comply with these standards by July 1, 1981. Iron in excess of 1.0 mg/l and manganese in excess of 0.15 mg/l may be allowed at the discretion of the Agency if sequestration tried on an experimental basis proves to be effective. If sequestering is not effective, positive iron or manganese reduction treatment as applicable must be provided. No experimental use of a sequestering agent may be tried without previous Agency approval.
- c) Nitrate-Nitrogen: The provisions of Section 604.204 notwithstanding, compliance with the maximum allowable concentration for nitrate shall be determined on the basis of the mean of two analyses. When a level exceeding the maximum allowable concentration for

nitrate is found, a second analysis shall be initiated within 24 hours, and if the mean of the two analyses exceeds the maximum allowable concentration, the owner or operator of the public water supply shall report his findings to the Agency pursuant to 35 Ill. Adm. Code 606.102 and shall notify the public pursuant to 35 Ill. Adm. Code 606.

d) Total Trihalomethanes:

- 1) The average of Total Trihalomethanes concentration in the finished water of four samples of any four consecutive quarters per treatment plant or per aquifer shall not exceed the limit listed in Section 604.202.

- 2) Supplies serving 75,000 10,000 or more individuals shall comply with the Total Trihalomethanes standard listed in Section 604.202 by the effective date of these regulations. Supplies serving 10,000 to 74,999 fewer than 10,000 individuals shall comply with this standard by November 5, 1983 January 1, 1990. This standard does not apply to supplies serving less than 10,000 individuals.

- 3) If the average of samples covering any twelve-month period exceeds the Maximum Allowable Concentration for Total Trihalomethanes, as listed in Section 604.202, the owner or operator of the supply shall notify the Agency pursuant to Section 606.102 and give notice to the public pursuant to Sections 606.201 - 606.205 of these Rules. Monitoring after public notification shall be at the frequency required by Section 605.104.

e) Turbidity:

- 1) Turbidity in drinking water shall not exceed one turbidity unit at the point where water enters the distribution system unless it can be demonstrated that a higher turbidity not exceeding 5 Nephelometric Turbidity Units (NTU) does not:
 - A) interfere with disinfection, or
 - B) cause tastes and odors upon disinfection, or

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

- C) prevent the maintenance of an effective disinfection agent throughout the distribution system, or
- D) result in deposits in the distribution system, or
- E) cause customers to question the safety of their drinking water.

- 2) The provisions of Section 604.204 notwithstanding, if a turbidity measurement exceeds the maximum allowable concentration, a resample must be taken as soon as practicable, and preferably within one hour. If the check-sample confirms that the standard has been exceeded, the Agency must be notified within 48 hours. The value of the check-sample shall be the value used in calculating the monthly average. If the monthly average of the daily samples taken in accordance with 35 Ill. Adm. Code 605.109 exceeds the maximum allowable concentration, or if the average of two samples taken on consecutive days exceeds 5 NTU, the owner or operator of the public water supply shall report to the Agency and notify the public as directed in 35 Ill. Adm. Code 606.

(Source: Amended at ___ Ill. Reg. ___, effective _____)

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

- 1) The Heading of the Part: Introduction
- 2) Code Citation: 35 Ill. Adm. Code 601
- 3) Section Number: 601.105
Proposed Action: Amend
- 4) Statutory Authority: Illinois Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 $\frac{1}{2}$, pars. 1010 and 1027).

- 5) A Complete Description of the Subjects and Issues

Involved: On October 5, 1982, the Illinois Pollution Control Board adopted amendments to the Public Water Supply (35 Ill. Adm. Code Subtitle F) rules which established a maximum allowable concentration of 0.10 mg/l for Total Trihalomethanes (TTHMs) in finished drinking water. Trihalomethanes are organic chemicals consisting of one carbon atom, one hydrogen atom and three halogen atoms. These are formed when free chlorine reacts with naturally occurring compounds which are generally produced by decaying vegetation. Research by the National Cancer Institute and the National Academy of Sciences shows that TTHMs may be carcinogenic and can lead to liver or kidney disorders, birth defects, and central nervous system damage.

This rulemaking extends the protections against TTHMs to smaller public water supplies, i.e., those serving fewer than 10,000 people. Generally, the proposal accomplishes two goals. First, the rules are proposed to require that surface water sources for supplies serving fewer than 10,000 individuals comply with the Maximum Allowable Concentration of Total Trihalomethanes (0.10 mg/l) by January 1, 1990. Second, the proposal establishes a monitoring system specifically for smaller supplies.

The amendments proposed to this Part are definitions of "point of maximum residence time" and "maximum residence time concentration." These definitions are necessary to a clear understanding of the proposed amendments.

- 6) Will this proposed rule replace an emergency rule currently in effect? No.

- 7) Does this rulemaking contain an automatic repeal date? Yes ☒ No ☐

If "yes," please specify the date: _____

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- 8) Does this proposed (amendment, repealer) contain
incorporations by reference? No.
- 9) Are there any other amendments pending on this Part? No.
Section Numbers: Proposed Action: Ill. Reg. Citation:
- 10) Statement of Statewide Policy Objective: As this proposed amendment is intended to protect small communities and those served by small surface water supplies, it may have an impact on small business or small municipalities. To lessen any impact, the Board has established less stringent compliance requirements for the small supplies. Note that the compliance schedule for supplies serving fewer than 10,000 people is less strict than for those serving over 10,000 people. So as to encourage small business and small municipality comment on this rulemaking, the Board has transmitted a copy of the proposal to the Business Assistance Office of the Department of Commerce and Community Affairs as well as providing notice of the proposal in the Environmental Register and in the Illinois Register. Comment is requested.
- 11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking:
- Send written comments concerning R84-12 within 45 days of publication in the Illinois Register to the Clerk of the Pollution Control Board, 100 West Randolph Street, Suite 11-500, Chicago, Illinois 60601.
- 12) Initial Regulatory Flexibility Analysis:
- A) Date rule submitted to Business Assistance Office of the Department of Commerce and Community Affairs: December 22, 1988.
- B) Types of small businesses affected: Surface water sources for supplies serving fewer than 10,000 people.
- C) Reporting, bookkeeping or other procedures required for compliance: Submitting samples of Total Trihalomethane Concentration of water in public water supply distribution systems.
- D) Types of professional skills necessary for compliance: Taking accurate samples of water from public water supplies distribution systems.

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The full text of the proposed rule(s) begins on the next page:

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

TITLE 35: ENVIRONMENTAL PROTECTION
 SUBTITLE F: PUBLIC WATER SUPPLIES
 CHAPTER I: POLLUTION CONTROL BOARD

PART 601

INTRODUCTION

Section

601.101 General Requirements

601.102 Applicability

601.103 Severability

601.104 Analytical Testing

601.105 Definitions

Appendix References to Former Rules

AUTHORITY: Implementing Section 17 and authorized by Section 27 of the Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1017 and 1027).

SOURCE: Filed with Secretary of State January 1, 1978; amended at 2 Ill. Reg. 36, p. 72, effective August 29, 1978; amended at 3 Ill. Reg. 13, p. 236, effective March 30, 1979; amended and codified at 6 Ill. Reg. 11497, effective September 14, 1982; amended at 6 Ill. Reg. 14344, effective November 3, 1982; amended in R84-12 at ____ Reg. ____ effective ____.

Section 601.105

Definitions

For purposes of this Chapter:

"Act" means the Environmental Protection Act, as amended, (Ill. Rev. Stat. 1981, ch. 111 1/2, pars. 1001 et seq.).

"Agency" means the Illinois Environmental Protection Agency. "Board" means the Illinois Pollution Control Board.

"Boil Order" means a notice to boil all drinking and culinary water for at least five minutes before use, issued by the proper authorities to the consumers of a public water supply affected, whenever the water being supplied may have become bacteriologically contaminated.

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

"Certified Laboratory" means any laboratory approved by the Agency or the Illinois Department of Public Health for the specific parameters to be examined, as set out in rules adopted pursuant to the Administrative Procedure Act, (Ill. Rev. Stat. 1981, ch. 127, pars. 1001 et seq.).

"Chemical Analysis" means analysis for any inorganic or organic substance, with the exception of radiological or microbiological analyses.

"Confined Geologic Formations" are geologic water bearing formations protected against the entrance of contamination by other geologic formations.

"Disinfectant" means any oxidant, including but not limited to chlorine, chlorine dioxide, chloramines, and ozone, added to water in any part of the treatment or distribution process, which is intended to kill or inactivate pathogenic microorganisms.

"Dose Equivalent" means the product of the absorbed dose from ionizing radiation and such factors as account for differences in biological effectiveness due to the type of radiation and its distribution in the body as specified by the International Commission on Radiological Units and Measurements (ICRU).

"Gross Alpha Particle Activity" means the total radioactivity due to alpha particle emission as inferred from measurements on a dry sample.

"Gross Beta Particle Activity" means the total radioactivity due to beta particle emission as inferred from measurements on a dry sample.

"Ground Water" means all natural or artificially introduced waters found below the ground surface, including water from dug, drilled, bored or driven wells, infiltration lines, and springs.

"Halogen" means one of the chemical elements chlorine, bromine or iodine.

"Man-Made Beta Particle and Photon Emitters" means all radionuclides emitting beta particles and/or photons listed in Maximum Permissible Body Burdens and maximum

POLLUTION CONTROL BOARD

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Permissible Concentration of Radionuclides in Air or Water for Occupational Exposure, National Bureau of Standards (NBS) Handbook 69, except the daughter products of thorium-232, uranium-235 and uranium-238.

"Maximum Residence Time Concentration" (MRTC) means the concentration of total trihalomethanes found in a water sample taken at a point of maximum residence time in the public water supply distribution system.

"Maximum Total Trihalomethane Potential (MTP)" means the maximum concentration of total trihalomethanes produced in a given water containing a disinfectant residual after 7 days at a temperature of 25 C or above.

"Official Custodian" means any officer of an organization which is the owner or operator of a public water supply, and who has direct administrative responsibility for the supply.

"Persistent Contamination" exists when analysis for total coliform is positive in one or more samples of a routine sample set, and when three or more subsequent check samples indicate the presence of contamination.

"Picrocurie (pCi)" means that quantity of radioactive material producing 2.22 nuclear transformations per minute.

"Point Of Maximum Residence Time" means that part of the active portion of the distribution system remote from the treatment plant where the water has been in the distribution system for the longest period of time.

"Recurring Contamination" exists when analysis of total coliform is positive in one or more samples of a routine sample set, if this occurs four or more times in a calendar year.

"Rem" means the unit of dose equivalent from ionizing radiation to the total body or any internal organ or organ system. A "millirem (mrem)" is 1/1000 of a rem.

"Re-sell Water" means to deliver or provide potable water, obtained from a public water supply subject to these regulations, to the consumer, who is then individually or specifically billed for water service,

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

or where any monetary assessment is levied or required and specifically used for water service. Water supply facilities owned or operated by political subdivisions, homeowners associations, and not-for-profit associations, as well as privately owned utilities regulated by the Illinois Commerce Commission, are considered to sell water whether or not a charge is specifically made for water.

"Service Connection" is the opening, including all fittings and appurtenances, at the water main through which water is supplied to the user.

"Surface Water" means all tributary streams and drainage basins, including natural lakes and artificial reservoirs, which may affect a specific water supply above the point of water supply intake.

"Surface Water Supply Source" means any surface water used as a water source for a public water supply.

"Supply" means a public water supply.

"Total Trihalomethanes (TTHM)" means the sum of the concentration in milligrams per liter of the trihalomethane compounds trichloromethane (chloroform), dibromochloromethane, bromodichloromethane and tribromomethane (bromoform), rounded to two significant figures.

"Trihalomethane (THM)" means one of the family of organic compounds named as derivatives of methane, wherein three of the four hydrogen atoms in methane are each substituted by a halogen atom in the molecular structure.

"Water Main" means any pipe for the purpose of distributing potable water which serves or is accessible to more than one property, dwelling, or rental unit, and is exterior to buildings.

(SOURCE: Amended at Ill. Reg. effective _____)

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

- 1) The Heading of the Part: Sampling And Monitoring
- 2) Code Citation: 35 Ill. Adm. Code 605
- 3) Section Number: 605.104
Proposed Action: Amend
- 4) Statutory Authority: Illinois Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111^{1/2}, pars. 1010 and 1027).
- 5) A Complete Description of the Subjects and Issues Involved: On October 5, 1982, the Illinois Pollution Control Board adopted amendments to the Public Water Supply (35 Ill. Adm. Code Subtitle F) rules which established a maximum allowable concentration of 0.10 mg/l for Total Trihalomethanes (TTHMs) in finished drinking water. Trihalomethanes are organic chemicals consisting of one carbon atom, one hydrogen atom and three halogen atoms. These are formed when free chlorine reacts with naturally occurring compounds which are generally produced by decaying vegetation. Research by the National Cancer Institute and the National Academy of Sciences shows that TTHMs may be carcinogenic and can lead to liver or kidney disorders, birth defects, and central nervous system damage.
- This rulemaking extends the protections against TTHMs to smaller public water supplies, i.e., those serving fewer than 10,000 people. Generally, the proposal accomplishes two goals. First, the rules are proposed to require that surface water sources for supplies serving fewer than 10,000 individuals comply with the Maximum Allowable Concentration of Total Trihalomethanes (0.10 mg/l) by January 1, 1990. Second, the proposal establishes a monitoring system specifically for smaller supplies.
- The amendments proposed for this part establish the frequency of Trihalomethane analysis sampling for supplies serving fewer than 10,000 people.
- 6) Will this proposed rule replace an emergency rule currently in effect? No.
- 7) Does this rulemaking contain an automatic repeal date? Yes ☒ No ☐
If "yes," please specify the date: _____

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

- 8) Does this proposed (amendment, repealer) contain incorporations by reference? No.
- 9) Are there any other amendments pending on this Part? No.
Section Numbers: Proposed Action: Ill. Reg. Citation:
- 10) Statement of Statewide Policy Objective: As this proposed amendment is intended to protect small communities and those served by small surface water supplies, it may have an impact on small business or small municipalities. To lessen any impact, the Board has established less stringent compliance requirements for the small supplies. Note that the compliance schedule for supplies serving fewer than 10,000 people is less strict than for those serving over 10,000 people. So as to encourage small business and small municipality comment on this rulemaking, the Board has transmitted a copy of the proposal to the Business Assistance Office of the Department of Commerce and Community Affairs as well as providing notice of the proposal in the Environmental Register and in the Illinois Register. Comment is requested.
- 11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking:
Send written comments concerning R84-12 within 45 days of publication in the Illinois Register to the Clerk of the Pollution Control Board, 100 West Randolph Street, Suite 11-500, Chicago, Illinois 60601.
- 12) Initial Regulatory Flexibility Analysis:
- A) Date rule submitted to Business Assistance Office of the Department of Commerce and Community Affairs: December 22, 1988.
- B) Types of small businesses affected: Surface water sources for supplies serving fewer than 10,000 people.
- C) Reporting, bookkeeping or other procedures required for compliance: Submitting samples of Total Trihalomethane Concentration of water supply distribution systems.
- D) Types of professional skills necessary for compliance: Taking accurate samples of water from public water supplies distribution systems.

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

The full text of the proposed rule(s) begins on the next page:

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE F: PUBLIC WATER SUPPLIES
CHAPTER I: POLLUTION CONTROL BOARD

PART 605

SAMPLING AND MONITORING

Section
605.101
605.102

605.103
605.104
605.105

605.106

605.107
605.108
605.109

605.110
Appendix

Frequency of Bacteriological Sampling
Minimum Allowable Monthly Samples for
Bacteriological Analysis
Frequency of Chemical Analysis Sampling
Frequency of Trihalomethane Analysis Sampling
Monitoring Requirements for Radium-226, -228, and
Gross Alpha Particle Activity
Monitoring Frequency for Radium-226, -228, and
Gross Alpha Particle Activity
Monitoring Requirements for Man-Made Radioactivity
Monitoring Frequency for Man-Made Radioactivity
Surface Water Supplies Additional Monitoring
Requirements
Modification of Monitoring Requirements
References to Former Rules

AUTHORITY: Implementing Section 17 and authorized by Section 27 of the Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1017 and 1027).

SOURCE: Filed with Secretary of State January 1, 1978; amended at 2 Ill. Reg. 36, p. 72, effective August 29, 1978; amended and codified at 6 Ill. Reg. 11497, effective September 14, 1982; amended at 6 Ill. Reg. 14344, effective November 3, 1982; amended in R84-12 at ____ Ill. Reg. _____, effective _____.

Section 605.104 Frequency of Trihalomethane Analysis Sampling

- a) Surface Water Sources for Supplies Serving Over 10,000 Individuals: Supplies serving over 10,000 individuals shall submit at least four samples per treatment plant per quarter for analysis or analytical results from a certified laboratory for Total Trihalomethanes to the Agency. After results of four consecutive quarters demonstrate consistent Total Trihalomethanes concentrations below the Maximum Allowable

POLLUTION CONTROL BOARD

NOTICE OF PROPOSED AMENDMENTS

Concentration, and upon written application by the supply, the Agency may reduce the sampling frequency to one sampling per quarter until the Maximum Allowable Concentration is exceeded or until a significant change in source or treatment method is made.

- b) Surface Water Sources for Supplies Serving Fewer than 10,000 Individuals: Surface water sources for supplies serving fewer than 10,000 individuals shall submit at least one initial sample per treatment plant for MRTC analysis between May 1, 1989 and October 31, 1989. After written request by the supply and the determination by the Agency that the results of the sample indicate that the supply is not likely to exceed the Maximum Allowable Concentration, the supply shall continue to submit one annual sample per treatment plant, or report of analysis by a certified laboratory to the Agency between May 1 and October 31 of succeeding years. If the sample exceeds the Maximum Allowable Concentration or cannot be analyzed for MRTC, the supply shall submit to the Agency samples in accordance with the sampling frequency specified in Section 605.104(a) above.

- bc) Ground Water Sources for Supplies Serving Over 10,000 Individuals: Supplies serving 10,000 individuals or more shall submit at least one sample per treatment plant for MTP analysis. After written request by the supply and the determination by the Agency that the results of the sample and local conditions indicate that the supply is not likely to approach or exceed the maximum allowable concentration, the supply shall continue to submit one annual sample per treatment plant, or report of analysis by certified laboratory to the Agency. If the sample exceed the Maximum Allowable Concentration or cannot be analyzed for MTP, the supply shall submit samples in accordance with Section 605.104(a).

- d) Ground Water Sources for Supplies Serving Fewer Than 10,000 Individuals - Supplies serving fewer than 10,000 individuals are not required to submit samples for trihalomethane analysis under this Section.

(SOURCE: Amended at Ill. Reg.
effective)

DEPARTMENT OF PROFESSIONAL REGULATION

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Medical Practice Act of 1987
- 2) Code Citation: 68 Ill. Adm. Code 1285
- 3) Section Numbers: Proposed Action:
 1285.20 Amending
 1285.50 Amending
 1285.70 Amending
 1285.90 Amending
 1285.95 New Section
- 4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 111, par. 4400-10 and 4400-11
- 5) A Complete Description of the Subjects and Issues Involved:
 The following has been added to Sections 1285.50, 1285.70 and 1285.90: "In addition to the requirements of this Section, pre-1985 graduates will be required to provide documentation of clinical skills as set forth in Section 1285.95 of this Part and Section 11(A)(2)(A)(i) of the Act."
 Section 1285.20(f) is new. This subsection requires applicants for licensure who completed rotations in an affiliated teaching facility to submit a copy of each affiliation agreement between the medical college which conferred the degree and each clinical teaching facility in which a core clerkship rotation was completed. To be considered valid, the affiliation agreements must contain the criteria set forth in this Section.
 Section 1285.20(j) has been added. This subsection deals with the examination requirements for graduates of medical colleges outside of the United States and Canada.
 Section 1285.95 is new and relates to individuals who graduated from a medical or osteopathic college prior to January 1, 1985 and provides criteria in determining continuing clinical skills that the Board may consider in making a determination as to whether the applicant is eligible for temporary or permanent license.
- 6) Will these proposed Rules replace an emergency Rule currently in effect? Yes. See this issue of the Illinois Register.
- 7) Does this rulemaking contain an automatic repeal date? No
- 8) Do these proposed Rules contain Incorporations by reference? No

DEPARTMENT OF PROFESSIONAL REGULATION

NOTICE OF PROPOSED AMENDMENTS

- 9) Are there any other proposed Rules pending on this Part? Yes. The following amendments to a Proposed Subpart B of this Part are pending:

Section Numbers	Proposed Action	Illinois Register Citation
1285.200	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.205	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.210	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.215	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.220	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.230	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.235	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.240	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.245	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.250	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.255	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.260	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.265	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.270	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.275	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.310	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.320	New Section	12 Ill. Reg. 15880, October 7, 1988

- 10) Statement of Statewide Policy Objectives (if applicable): This rulemaking has no impact on local government.

- 11) Time, Place and Manner in which interested persons may comment on this proposed rulemaking:

Interested Persons may submit written comments and views to:

Department of Professional Regulation
Attention: Jean A. Courtney
320 West Washington, 3rd Floor
Springfield, IL 62786
217/785-0800

All comments received within 30 days of this issue of the Illinois Register will be considered. The comments of interested persons who submit a request to comment within 14 days of this issue will be considered if received within 30 days of such request.

- 12) Initial Regulatory Flexibility Analysis:

- A) Date rule was submitted to the Business Assistance Office of the Department of Commerce and Community Affairs: December 30, 1988

DEPARTMENT OF PROFESSIONAL REGULATION

NOTICE OF PROPOSED AMENDMENTS

- B) Types of small businesses affected: Licensed physicians and health care institutions licensed by the Department of Public Health.

- C) Reporting, bookkeeping or other procedures required for compliance:

Applicants for licensure who completed rotations in an affiliated teaching facility must submit a copy of each affiliation agreement between the medical college which conferred the degree and each clinical teaching facility in which a core clerkship rotation was completed must submit a copy of such affiliation agreement which contains the criteria set forth in Section 1285.20(f).

In addition to the requirements of Sections 1285.50, 1285.70 and 1285.90 pre-1985 graduates will be required to provide documentation of clinical skills as set forth in Section 1285.95 of this Part and Section 11(A)(2)(a)(i) of the Act.

Applicants for temporary or permanent licensure as provided in Section 1285.95 will be required to file a completed application with the Department and provide documentation of clinical activities as set forth in this Section.

- D) Types of professional skills necessary for compliance:

The full text of the Proposed Amendments is identical to the text of the Emergency Amendments which appear on page 651 of this issue of the Illinois Register.

DEPARTMENT OF REHABILITATION SERVICES

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Eligibility
- 2) Code Citation: 89 Ill. Adm. Code 552
- 3) Section Numbers: 552.40
Proposed Action:
amendment
- 4) Statutory Authority: Sections 3(a), (b), and (k) of "AN ACT in relation to rehabilitation of disabled persons" (Ill. Rev. Stat. 1987, ch. 23, pars. 3434(a), (b), and (k))
- 5) A Complete Description of the Subjects and Issues involved:
These proposed amendments are being done in conjunction with an agreement made with the Joint Committee on Administrative Rules (JCAR) resulting from a JCAR review of recently adopted amendments to 89 Ill. Adm. Code 557: Application. During that review it was noted that the Application form used by the Department includes a statement regarding the client's responsibility for cooperating in keeping medical appointments and following medical and professional instructions. These amendments reflect those responsibilities.

6) Will this proposed rule replace an emergency rule currently in effect? No

7) Does this rulemaking contain an automatic repeal date?
Yes X No

8) Does this proposed amendment contain incorporations by reference? No

9) Are there any other amendments pending on this Part? No

Section Numbers Proposed Action Illinois Register Citation
10) Statement of Statewide Policy Objectives (if applicable):
Not Applicable

11) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: All persons who submit a written request to comment within fourteen (14) days after this notice has been published shall be given a reasonable opportunity to submit date, views, argument or comments about this rulemaking. All such submissions shall be made within forty-five (45) days after this notice has been published. Any comments submitted within forty-five (45)

DEPARTMENT OF REHABILITATION SERVICES

NOTICE OF PROPOSED AMENDMENTS

days after this notice has been published will be considered by the Department. All requests and comments should be submitted in writing to:

Ms. Leigh Reed
Regulations and Procedures Section
Department of Rehabilitation Services
P.O. Box 19429
Springfield, Illinois 62794-9429
Telephone number: (217) 785-3896
T.D.D.: (217) 782-5734

If because of physical disability you are unable to put comments into writing, you may make them orally to the person listed above.

- 12) Initial Regulatory Flexibility Analysis: The Department has determined that this rulemaking will not effect small businesses.

The full text of the Proposed Amendments begins on the next page:

DEPARTMENT OF REHABILITATION SERVICES

NOTICE OF PROPOSED AMENDMENTS

TITLE 89: SOCIAL SERVICES
CHAPTER IV: DEPARTMENT OF REHABILITATION SERVICES
SUBCHAPTER b: VOCATIONAL REHABILITATION

NOTICE OF PROPOSED AMENDMENTS

(Source: Amended at Ill. Reg. effective)

PART 552
ELIGIBILITY

- Section
552.10 General Applicability
552.20 Eligibility Determination
552.30 Criteria for Eligibility
552.40 Comprehensive Diagnostic Study
552.50 Preliminary Diagnostic Study
552.60 Requirements for Current General Medical Information
552.70 Requirements for Mental Health Evaluation
552.80 Comprehensive Diagnostic Study Decision
552.90 Thorough Diagnostic Study
552.100 Order of Selection
552.110 Criteria for Severely Handicapped Individual
552.120 Certification of Eligibility

AUTHORITY: Implementing and authorized by Sections 3(a), (b), and (k) of "AN ACT in relation to rehabilitation of disabled persons" (Ill. Rev. Stat. 1987, ch. 23, pars. 3434(a), (b), and (k)).

SOURCE: Adopted at 9 Ill. Reg. 8792, effective June 10, 1985; amended at 11 Ill. Reg. 2846, effective January 27, 1987; amended at 12 Ill. Reg. 9711, effective May 23, 1988; amended at Ill. Reg. , effective .

Section 552.40 Comprehensive Diagnostic Study

- a) A diagnostic study will be provided to determine eligibility for services and the nature of services needed to attain a suitable vocational goal for the individual. At any time in this process that it is determined the individual is not eligible for Vocational Rehabilitation (VR) services, the diagnostic study shall cease.
- b) The client is responsible for cooperating in the diagnostic study, and must keep appointments and attend scheduled activities related to the VR program. The client is also responsible for carrying out medical and other professional instructions related to his or her rehabilitation.

DEPARTMENT OF REHABILITATION SERVICES

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Similar Benefits
- 2) Code Citation: 89 Ill. Adm. Code 567
- 3) Section Numbers: Proposed Action:
567.10 amendment
- 4) Statutory Authority: Sections 3(a),(b), and (k) of "AN ACT in relation to rehabilitation of disabled persons" (Ill. Rev. Stat. 1987, ch. 23, pars. 3434(a),(b), and (k).
- 5) A Complete Description of the Subjects and Issues involved:
This amendment is being proposed to delete reference to areas in which a pilot program, the Management Control Project, previously was held. These rules apply to all vocational rehabilitation clients in the state.
- 6) Will this proposed rule replace an emergency rule currently in effect? No
- 7) Does this rulemaking contain an automatic repeal date?
Yes X No
- 8) Does this proposed rule (amendment, repealer) contain incorporations by reference? No
- 9) Are there any other amendments pending on this Part? No

Section Numbers Proposed Action Illinois Register Citation

- 10) Statement of Statewide Policy Objectives (if applicable):
Not Applicable

- 11) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: All persons who submit a written request to comment within fourteen (14) days after this notice has been published shall be given a reasonable opportunity to submit data, views, argument or comments about this rulemaking. All such submissions shall be made within forty-five (45) days after this notice has been published. Any comments submitted within forty-five (45) days after this notice has been published will be considered by the Department. All requests and comments should be submitted in writing to:

DEPARTMENT OF REHABILITATION SERVICES

NOTICE OF PROPOSED AMENDMENTS

Ms. Leigh Reed
Regulations and Procedures Section
Department of Rehabilitation Services
P.O. Box 19429
Springfield, Illinois 62794-9429
Telephone number: (217) 785-3896
T.D.D.: (217) 782-5734

If because of physical disability you are unable to put comments into writing, you may make them orally to the person listed above.

- 12) Initial Regulatory Flexibility Analysis: The Department has determined that this rulemaking will not effect small businesses.

The full text of the Proposed Rule(s) begins on the next page:

DEPARTMENT OF REHABILITATION SERVICES

NOTICE OF PROPOSED AMENDMENTS

TITLE 89: SOCIAL SERVICES
CHAPTER IV: DEPARTMENT OF REHABILITATION SERVICES
SUBCHAPTER b: VOCATIONAL REHABILITATION

PART 567
SIMILAR BENEFITS

- Section
567.10 General Applicability
567.20 Definition of Similar Benefits
567.30 Exceptions to Similar Benefits
567.100 Refusal of Similar Benefits

AUTHORITY: Implementing and authorized by Sections 3(a),(b), and (k) of "AN ACT in relation to rehabilitation of disabled persons" (Ill. Rev. Stat. 1987, ch. 23, pars. 3434(a),(b), and (k)).

SOURCE: Adopted at 9 Ill. Reg. 8839, effective June 10, 1985; amended at 11 Ill. Reg. 820, effective December 23, 1986; amended at 12 Ill. Reg. 3019, effective January 15, 1988; amended at ___ Ill. Reg. ___, effective ___.

Section 567.10 General Applicability

- a) Rules contained within this Part are applicable only to all Department of Rehabilitation Services' (DORS) Vocational Rehabilitation (VR) clients. Residing in these geographical areas served by the following Department offices:

- 1) Garbondale - serving Jackson and Perry counties;
- 2) Anna - serving Alexander, Johnson, Massac, Putaski, and Union counties;
- 3) Jacksonville - serving Cass, Greene, Macoupin, Mason, Menard, Morgan and Scott counties;
- 4) Quincy - serving Adams, Brown, Hancock, Pike, and Schuyler counties;
- 5) Rockford, State Street - serving Ogle county and the city of Rockford;
- 6) Downers Grove - serving DuPage county, and

DEPARTMENT OF REHABILITATION SERVICES

NOTICE OF PROPOSED AMENDMENTS

- 7) Chicago, West Division Street - serving Chicago city codes: 60606, 60607, 60610, 60611, 60612, 60622.

- b) Rules contained within 89 Ill. Adm. Code 565 are not applicable to DORS' VR clients who are residing in the geographical areas listed in subsection (a) of this Section.

(Source: Amended at ___ Ill. Reg. ___, effective ___)

DEPARTMENT OF AGRICULTURE

NOTICE OF ADOPTED AMENDMENTS

- 1) The Heading of the Part: Farmland Preservation Act
- 2) Code Citation: 8 Ill. Adm. Code 700
- 3) Section number: Adopted Action:
Appendix I Amended
- 4) Statutory Authority: Farmland Preservation Act (Ill. Rev. Stat. 1987, ch. 5, pars. 1304 and 1306).
- 5) Effective Date of Amendments: December 28, 1988

6) Does this rulemaking contain an automatic repeal date? No

7) Does this amendment contain incorporations by reference? No.

8) Date Filed in Agency's Principal Office: December 20, 1988

9) Notice(s) of Proposal Published in Illinois Register:

Sept. 23, 1988, 12 Ill. Reg. 14786
(issue date)

10) Has JCAR issued a Statement of Objections to these rule(s)? No

11) Difference(s) between proposal and final version:

In Background/Perspective, third paragraph, last sentence, deleted "from unnecessary conversion".

In Impact Mitigation, last paragraph, deleted "appropriate" before "Impact analysis".

In paragraph (3) of the Cooperative Working Agreement, added "have an" and "on" in the first sentence.

12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes.

13) Will this amendment replace an emergency amendment currently in effect? No

14) Are there any amendments pending on this Part? Yes

Section Numbers	Proposed Action	Illinois Register Citation
Appendix G	Amendment	12 Ill. Reg. 17139; Oct. 28, 1988

15) Summary and Purpose of Amendments:

DEPARTMENT OF AGRICULTURE

NOTICE OF ADOPTED AMENDMENTS

Appendix I is the Department of Transportation's Agricultural Land Preservation Policy and Cooperative Working Agreement which specifies the policy of that agency toward farmland preservation and the administrative process used to implement that policy.

The original policy statement was prepared in response to Executive Order 80-4, and according to Section 4 of the Farmland Preservation Act, that policy was to remain in effect upon the Act becoming law. Section 4 of the Act requires the policy statements and cooperative working agreements to be prepared as rules for the administration of the program. Further, the policy statement and cooperative working agreement shall be updated by the State agency and reviewed and approved by the Department of Agriculture every 3 years.

Appendix I has been updated to reflect current policy of the Department of Transportation. The Executive Order promoted the protection of Illinois farmland by seeking the greatest degree of protection for Classes I, II, and III lands. The provisions of the Farmland Preservation Act give protection to all classes of farmland. Many of the changes are simply language clarification of existing policy and for consistency purposes and ease in referencing two agencies.

16) Information and questions regarding this adopted amendment shall be directed to:

Name: Donna Garman

Address: Division of Administrative Services, Illinois
Department of Agriculture, Agriculture Building, State

Fairgrounds, Springfield, Illinois 62794-9281

Telephone: (217) 785-0112

The full text of Adopted Amendments begins on the next page:

DEPARTMENT OF AGRICULTURE

NOTICE OF ADOPTED AMENDMENTS

TITLE 8: AGRICULTURE AND ANIMALS
CHAPTER 1: DEPARTMENT OF AGRICULTURE
SUBCHAPTER 9: SOIL AND WATER CONSERVATION

PART 700

FARMLAND PRESERVATION ACT

Section	Definitions
700.10	Requirements of Policy Statements and Cooperative Working Agreements
700.20	Review of Agency Project Proposals
700.30	Report: The Tracking of Farmland Converted by State Activities
700.40	Appendix A Illinois Department of Agriculture
APPENDIX A	Exhibit A Illinois Department of Agriculture's Agricultural Land Preservation Policy Statement
EXHIBIT A	Exhibit B The Proposed Project Review Process
EXHIBIT B	Exhibit C Conflict Resolution Process
EXHIBIT C	Exhibit D Land Use Definitions
EXHIBIT D	Appendix F Illinois Bureau of the Budget's Agricultural Land Preservation Policy Statement and Cooperative Working Agreement
APPENDIX F	Appendix C Capital Development Board
APPENDIX C	Exhibit A Capital Development Board's Agricultural Land Preservation Policy Statement
EXHIBIT A	FYHIBIT B CDB Agricultural Land Conversion Mitigation Measures
FYHIBIT B	Exhibit C Capital Development Board - Illinois Department of Agriculture Cooperative Working Agreement
EXHIBIT C	TABLE A CDB User Agency Designation in Relation to Land Acquisition
TABLE A	TABLE B Capital Project Development Process
TABLE B	TABLE C Capital Development Board Agricultural Land Conversion Mitigation Measures (Repealed)
TABLE C	APPENDIX D Illinois Department of Conservation
APPENDIX D	Exhibit A Agricultural Land Preservation Policy Statement and Cooperative Agreement
EXHIBIT A	EXHIBIT B Illinois State Statutes Governing the Department of Conservation
EXHIBIT B	APPENDIX E Department of Commerce and Community Affairs' Farmland Preservation Policy and Cooperative Agreement
APPENDIX E	APPENDIX F Department of Energy and Natural Resources' (Illinois Institute of Natural Resources)
APPENDIX F	Agricultural Land Preservation Policy and Cooperative Working Agreement

DEPARTMENT OF AGRICULTURE

NOTICE OF ADOPTED AMENDMENTS

APPENDIX G	Illinois Environmental Protection Agency's Agriculture Land Preservation Policy and Cooperative Agreement
APPENDIX H	Illinois Department of Mines and Minerals' Agricultural Land Preservation Policy Statement
APPENDIX I	Illinois Department of Transportation's Agricultural and Cooperative Working Agreement
APPENDIX J	Illinois Commerce Commission's Agricultural Land Preservation Policy Statement and Cooperative Working Agreement

AUTHORITY: Implementing and authorized by the Farmland Preservation Act (Ill. Rev. Stat. 1987 1985, ch. 5, par. 1301 et seq.).

SOURCE: Adopted at 8 Ill. Reg. 15279, effective August 9, 1984; amended at 11 Ill. Reg. 18569, effective November 2, 1987; amended at 11 Ill. Reg. 19011, effective November 10, 1987; amended at 11 Ill. Reg. 20527, effective December 2, 1987; amended at 12 Ill. Reg. 5235, effective March 4, 1988; amended at 13 Ill. Reg. 285, effective December 28, 1988.

Section 700. APPENDIX I Illinois Department of Transportation's Agricultural Agriculture Land Preservation Policy Statement and Cooperative Working Agreement

PREFACE

The Farmland Preservation Act (Ill. Rev. Stat. 1987, ch. 5, par. 1301 et seq.) requires the Department of Transportation (DOT) and nine other State agencies to develop a policy statement specifying the agency's policy toward farmland preservation. The following statement has been prepared in response to that requirement. A working agreement has also been prepared to describe the administrative process that will be used to implement the policy. The Agricultural Land Preservation Policy prepared in response to Executive Order 80-4, signed by Governor James R. Thompson on July 22, 1980, will also remain in effect in accordance with Section 4 of the Farmland Preservation Act. On July 22, 1980, Governor James R. Thompson signed Executive Order 80-4 entitled "Preservation of Illinois Farmland" which requires that the Department of Transportation and other state agencies develop an agricultural land preservation policy. In response to that Order, the Illinois Department of Transportation has prepared the following policy, regarding the conversion of farmland for transportation purposes.

POLICY

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Recognizing that its transportation objectives must be in concert with the overall goals of the State, it is the policy of the DOT to preserve, in its programs, procedures, and operations, to preserve Illinois farmland to the extent practicable and feasible, giving appropriate consideration to the State's social, economic, and environmental goals.

BACKGROUND/PERSPECTIVE

Highways, rail systems, airports, and port terminals by their nature, occupy land. The extent that transportation facilities will occupy today's farmland in the future primarily will depend on the DOT's transportation programs, safety and operational requirements, and the degree to which a responsible balance is established among the various development and preservation interests of the State of Illinois.

With the existence of a comprehensive and largely complete transportation system in Illinois, the DOT's Department's major program emphasis is directed toward preservation and rehabilitation of existing facilities, rather than expansion. The result is a diminishing need for land acquisition as reflected in the Comprehensive Growth and Resource Conservation Policies of the State of Illinois (April 1978). The first transportation goal stated in these policies is "suit and effective use of the extensive transportation system already developed through public and private investment within the State of Illinois." Rehabilitation of the system for full and effective use, however, will require some additional land acquisitions to satisfy current safety and operational requirements. These policies further state that new transportation facilities will be required in order to enhance the economic development of central and western Illinois to complement coal and grain movements along rail and waterway systems and to better connect the agricultural areas of central and southern Illinois with the industrial areas of northern Illinois. A limited number of new or expanded transportation facilities will be required in order to attract business and industry and improve service and access to Illinois markets. Expansion efforts must be carefully managed to preserve the agricultural community while serving the rural areas of the State.

In the past, new transportation facilities often were constructed on farmlands. This was due, in part, to a number of Federal laws and regulations pertaining to the protection of other sensitive areas, such as flood plains, wetlands, wildlife habitats, etc. Special protection is also provided for parks and historic sites. Federal + Federal law requires that such lands not be used for Federal-aid highway purposes, unless no feasible and

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prudent alternative is available. The Executive Order 80-4 and the Farmland Preservation Act Order on the preservation of Illinois farmland will increase the protection afforded farmland, so that it is commensurate with the importance of the resource.

AGRICULTURAL IMPACTS OF HIGHWAY CONSTRUCTION

As of June 30, 1986, the State highway system occupied 253,229 acres of which approximately 210,000 acres are in rural areas. The State highway system currently comprises approximately 200,000 acres. This compares with the more than 28,000,000 acres of Illinois land suitable for farming. During FY '86, the period from 1971 to 1975 (a period of intensive study by the Illinois Department of Agriculture and the Economic Research Service of the U.S. Department of Agriculture), approximately 900 16-100 acres of agricultural land were purchased for the State highway system. This represents less than 3 percent of the total farmland acquired by the 10 State agencies regulated by the Farmland Preservation Act conversion during that period when 207,000 acres were converted to recreational use, 177,000 acres to rural residential use, and 95,000 acres to urban residential use. Since 1975, the average acreage taken out of production for state highway purposes has been about 1750 acres per year. This represents approximately 1 percent of annual farmland conversion. Due to the emphasis on rehabilitation of the existing highway system, it is anticipated that future conversions of farmland for highway purposes will approximate 1,000 acres or less per year.

The rate of farmland conversion for highway usage is expected to diminish or remain near current levels for three reasons. First, the current emphasis on rehabilitation of the existing system is expected to continue in the future. Because much of today's system was constructed in the 1920's and 1930's, an extensive and continuing program is necessary to rehabilitate and replace narrow and deteriorated bridges and pavements. Such a program is not expected to require significant land acquisition. Secondly, certain mitigating factors already have been introduced into highway designs, and more are expected. For example, current design practices now encourage use of narrower medians and smaller interchanges. The third reason is the increased importance given agricultural conversions in decisions regarding highway projects.

AGRICULTURAL IMPACTS OF AIRPORT DEVELOPMENT

The publicly owned State airport system currently consists of 72 airports, serving 40 air carrier or commuter airlines and thousands of private pilots. The public airports outside Chicago

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occupy 36,178 34-528 acres, of which 13,657 15-720 or 38 46 percent are currently being farmed. Since 1983 1975, public airports have acquired 1,522 2-090 acres, often without State support; however, only 247 174 acres of agricultural land have been taken out of production. With a few exceptions, the system of airports envisioned in the State Airport System Plan is in place. Construction of four or five new small airports is anticipated over the next 20 years. Limited expansion of existing airports will be undertaken, with the safety and economic development advantages balanced with an analysis of farmland impacts as required by Executive Order 80-4, the Farmland Preservation Act, and this Departmental Policy Statement.

AGRICULTURAL IMPACTS OF RAILROADS

The Illinois railroad system is a mature network of approximately 8,330 route 10-203 miles (as of January 1, 1987) which includes mainlines and branchlines of mainlines, branchlines, and yards. This system has been gradually shrinking over the years as light density lines are abandoned and traffic is concentrated on fewer lines. Occasionally, the net result of branchline abandonment has been an increase in the amount of land in agricultural production since abandoned right-of-way can be restored to farmland usage.

The DOT Illinois Department of Transportation does not own, operate, or construct railroad lines and, consequently, does not exercise jurisdiction over most railroad projects which might affect farmland. However, in those instances where future Departmental decisions regarding railroad projects might impact the State's farmland resources, due consideration will be given to preserving agricultural land and minimizing adverse impacts on its productive capacity.

AGRICULTURAL IMPACTS OF WATER RESOURCES PROJECTS

Water resources projects, such as reservoirs, waterways, levees, and flood channels, involve land taking and damages and may cause production losses through conversion of farmland. Annual land taking which includes both farmland of all classes and non-farmland is on the order of 500 to 1,000 acres. However, the DOT's Departmental projects in recent years have concentrated on urban flood control. Where farmland is involved, a special evaluation will be made of the related impacts.

Some projects requiring DOT Departmental permits have the potential to cause increased flood damages on adjacent farmland.

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Flood impacts on adjacent farmland uses are considered, and permits are conditioned or denied in order to minimize such damages.

IMPACT MITIGATION

The DOT Illinois Department of Transportation is committed to initiating special measures when transportation projects affect agricultural lands. Design standards are periodically reviewed and revised, and the new standards tend to favor minimal land acquisition, taking only those lands needed for construction and maintenance. For example, standardized right-of-way requirements for certain types of highways have been eliminated in favor of flexible requirements that stipulate acquisition of only those lands essential for construction and maintenance. Where land is purchased to prevent developments incompatible with transportation system safety or noise standards, such as land adjacent to airports, the DOT Department will consider acquiring easements on its own projects and will encourage other agencies to acquire only the development rights in the surrounding areas, so that the acreage can continue in agricultural use.

In the future, planning studies for transportation or water resources projects will include an early determination of the potential for farmland impacts. The DOT Department will carefully consider the impacts of farmland conversion on the agricultural economy of the State.

Studies conducted in conjunction with transportation and water resources projects will include coordination and consultation with the Illinois Department of Agriculture and, when appropriate, other agricultural representatives. This interdisciplinary approach should assure that the impacts of DOT Department of Transportation projects on the agricultural community are adequately and accurately assessed.

The following special measures will be initiated when transportation and water resources projects take prime farmland (land classes I, II, and III). Department sponsored projects should not require more than 10 acres of prime farmland, unless alternatives are not feasible because of other social, economic, environmental, safety, or operational factors. Further, projects requiring more than 10 acres of prime farmland will be accompanied by a study of the measures which could practicably mitigate the scope and impacts of the conversion. The study will be furnished to the Illinois Department of Agriculture.

Although the DOT's Department of Transportation's mitigation measures will not necessarily eliminate the conversion of farmland

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to non-agricultural purposes, impact analysis and coordination will assure non-farm use of prime farmland, a thorough impact analysis will be made before the Department determines that a given conversion is consistent with our programmatic responsibilities, and Executive Order 80-4 (1980), and the Farmland Preservation Act.

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Illinois Department of Transportation - Illinois Department of Agriculture
Cooperative Working Agreement
COOPERATIVE WORKING AGREEMENT

Pursuant to Section 4 of the Farmland Preservation Act, the Illinois Department of Transportation ("DOT") and the Illinois Department of Agriculture ("DOA") hereby mutually agree to the following:

1. This Cooperative Working Agreement ("AGREEMENT") sets the guidelines for the implementation of the DOT's Agricultural Agriculture Land Preservation Policy.
2. This AGREEMENT shall apply to those projects which the DOT authorizes, or in which it participates, except the following:
 - a) Those requiring less than ten acres of land Class 1, 11, or 111 farmland;
 - b) Those requiring less than three acres of land Class 1, 11, or 111 farmland per project mile;
 - c) Those located within the boundary of a municipality;
 - d) Those within the official one and one-half mile planning area of the comprehensive plan, if one exists, of a municipality;
 - e) Projects exempted by the Director of Agriculture; or
 - f) Current projects as described by Section 7 of the Farmland Preservation Act.
3. The DOT agrees to notify, in writing, the DOA of projects that will have an impact on farmland in Illinois. The notice from DOT should always be sent to the DOA within the location and environmental study phase and prior to the holding of any public hearings related to the project early coordination phase of a project, but in no event no later than 45 days before final funds are committed thereto. This notice may be accomplished by the transmission of documents such as, but not limited to, the following:

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- a) proposed airport layout plans,
 - b) draft or final environmental analyses,
 - c) Illinois Rail Plan,
 - d) FY Highway Improvement Plan, and
 - e) documents containing project descriptions.
4. The DOA shall determine, within 10 days, whether a Study of Agricultural Impacts ~~whether~~ study is needed or not. When DOA finds that such study is necessary, the study shall be conducted as provided in paragraph 8 below.
5. The DOT will update its notices of farmland impacts as plans are changed and new information becomes available.
6. The DOT will cooperate in DOA's preparation of its annual report to the Governor and to the General Assembly on the amount of farmland converted to non-agricultural uses as a result of State action. The DOA will attempt to advise the DOT of the type of information needed a year in advance of the request for that information.

7. The DOT will mitigate the agricultural impacts of its projects covered by this AGREEMENT as provided in the Illinois Department of Transportation Agriculture Land Preservation Policy and its subsequent amendments. Minimum median widths and compressed diamond interchanges are indicative of the mitigative measures that reduce the adverse impacts of highway construction on agricultural resources. One such mitigation measure is DOT's commitment to acquire no more than 10 acres of Class 1, 11, or 111 farmland for a project unless in the opinion of the DOT such acquisition is required by overriding social, economic, environmental, design, safety, or operational factors.

8. The DOA further agrees to the following:

- a) To follow its project Review Criteria contained in its "Agricultural Land Preservation Policy" as amended, or other procedures upon which the parties have agreed, in carrying out its reviews under this AGREEMENT;
- b) To complete its review of DOT projects within 30 days after notice;
- c) To provide information and assistance to the DOT and its consultants upon request; and
- d) To provide its comments in accordance to the procedures specified in the relevant documents or as otherwise agreed between it and the DOT.

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9. The Illinois Departments of Agriculture and Transportation further agree that this AGREEMENT shall bind each only to the other and creates no rights in third parties.
10. All changes to this AGREEMENT shall be made after consultation with, and concurrence by, both parties.
11. This AGREEMENT shall become effective upon its signature by the Secretary of Transportation and the Director of Agriculture on the 27 day of August, 1982 and shall remain in effect until 30 June 1990 1985.

(Source: Amended at 13 Ill. Reg. 285, effective December 28, 1988)

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- 1) The Heading of the Part: Least-Cost Planning for Electric Utilities

- 2) Code Citation: 83 Ill. Adm. Code 440

- 3) Section Numbers: Adopted Action:

440.10	New Section
440.100	New Section
440.200	New Section
440.210	New Section
440.220	New Section
440.240	New Section
440.300	New Section
440.310	New Section
440.400	New Section
440.410	New Section
440.420	New Section
440.430	New Section
440.500	New Section
440.510	New Section
440.520	New Section
440.600	New Section
440.610	New Section
440.620	New Section
440.640	New Section
440.650	New Section
440.660	New Section
440.700	New Section
440.800	New Section
440.810	New Section
440.900	New Section
440.910	New Section

- 4) Statutory Authority: Implementing Section 8-402 and authorized by Section 10-101 of The Public Utilities Act (Ill. Rev. Stat. 1987, ch. 111 2/3, pars. 8-402 and 10-101).

- 5) Effective Date of Rules: January 1, 1989

- 6) Does this rulemaking contain an automatic repeal date? No.

- 7) Do these rules contain incorporations by reference? No.

- 8) Date Filed in Agency's Principal Office: December 21, 1988

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9) Notice of Proposal in Illinois Register:

February 5, 1988, 12 Ill. Reg. 3162

10) Has JCAR issued a Statement of Objection to these rules? No.

11) Differences between proposal and final version:

Table of Contents: Section 440.630 has been deleted.

Statutory references updated in entire Part.

Section 440.10(a): "This Part" replaces "These rules."

Section 440.100: "Except where otherwise noted" deleted.

Section 440.100: "voluntary" deleted from definition of "Conservation."

Section 440.100: The following definitions have been deleted: "Base year," "first base year," "independent expert," "material effect," and "preferred resource plan."

Section 440.100: The following definitions have been added: "Demand-side programs," "nonconventional technologies relying on renewable resources," and "season."

Section 440.100: In the definition of "demand-side resources," the material after "those resources" modified.

Section 440.100: In the definition of "energy efficiency," "useful" deleted and "produced" and "delivered" transposed.

Section 440.100: Definition of "least-cost" modified.

Section 440.100: Definition of "planning period" modified.

Section 440.200(a): "August 1, 1988" changed to "January 3, 1989." "August 1" changed to "January 3."

Section 440.200(b): "approval" replaced by "adoption"; "thereafter" replaced by "after...plan."

Section 440.210(a): "(see 83 Ill. Adm. Code 200.300)" and "and...440.800" added, Last sentence added.

Section 440.210(b): "(See 83 Ill. Adm. Code 200.300)" added. Last sentence added.

Section 440.220(a)(1): "should" replaced by "shall." Statutory references modified.

Section 440.220(a)(2): "should" replaced by "shall"; "in accordance...Examiner" deleted.

Section 440.240: "maximum" deleted from first sentence.

Section 440.300(a)(6): "possible" deleted; "economic, technical, regulatory and legislative" added after "future" and deleted from original position; "material" deleted.

Section 440.310(a): "that summarizes" replaced by "of"; "preferred resource" replaced by "proposed least-cost"; cross-reference modified.

Section 440.310(a)(1): "improvements is energy efficiency" added; "based on...shape" added.

Section 440.310(a)(2): "facilities" added; "capacity related" added; "planning" added; "planned" and "study" deleted.

Section 440.310(a)(5): Cross-reference modified.

Section 440.310(a)(6): Same modification as in Section 440.300(a)(6).

Section 440.430: "with reasonable...expert" deleted.

Section 440.500(b): "base year and following nineteen years" replaced by "planning period."

Section 440.500(f): "should" replaced by "shall."

Section 440.510(d): "such as...fuels" added.

Section 440.520: "by an independent expert" deleted.

Section 440.520(l): "unnecessary and/or infeasible" replaced by "impractical." Last sentence added.

Section 440.600(h): "(qualifying...producers)" added.

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- Section 440.610(a): "all reasonable" deleted.
- Section 440.610(b): "all reasonable" deleted.
- Section 440.610(b)(5): ", including...customers," added.
- Section 440.610(c): "all reasonable" deleted.
- Section 440.610(c)(5): "where possible" inserted in place of "for which...available."
- Section 440.610(c)(6): "which" replaced with "that."
- Section 440.610(d): internal cross-reference modified.
- Section 440.620: structure modified; Section combined with Section 440.630; material added to new subsections (d) and (k). Internal cross-reference modified; "preferred" deleted; new language added to end of subsection (q).
- Section 440.640: Section heading modified; "the preferred" replaced by "its."
- Section 440.640(a): "preferred" deleted; "stated...delivered" added.
- Section 440.640(b): same modification as subsection (a).
- Section 440.640(b)(1) and (2): "reasonable" deleted.
- Section 440.640(c): same modification as in subsection (a).
- Section 440.650(b): "nonconventional...resources" and "and...efficiently" added; "preferred" deleted.
- Section 440.650(d): "preferred" deleted.
- Section 440.660: "preferred" and "with...expert" deleted.
- Section 440.660(j): "unnecessary and/or infeasible" deleted; "is impractical" added; last sentence added.
- Section 440.700: "preferred" deleted.
- Section 440.700(a): "generation related" added.

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- Section 440.800(a): "so that..." added.
- Section 440.800(b): "review" replaced by "Adoption"; text rewritten.
- Section 440.800(b)(1): parenthetical material added.
- Section 440.800(b)(3): "and" deleted, ", and improvements in energy efficiency" moved.
- Section 440.810(b): Text rewritten.
- Section 440.810(b)(1): Same change as in Section 440.800(b)(1).
- Section 440.810(b)(2): last sentence added; "and improvements in energy efficiency" added.
- Section 440.810(b)(4): parenthetical material added.
- Section 440.810(b)(5): "circumstances" replaces "changes"; parenthetical material added.
- Section 440.810(b)(6): "that is..." added.
- Section 440.900(b)(1): "Based on supporting evidence" added.
- Section 440.900(c): cross-reference added.
- Section 440.900(e): new subsection.
- Section 440.910(b): "may" changed to "shall."
- Section 440.910(b)(3): "reasonably" deleted.
- Section 440.910(c): "exemption" replaced by "waiver"; statutory reference added; internal cross-reference added.
- 12) Have all changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes.
- 13) Will these rules replace an emergency rule currently in effect? No.
- 14) Are there any amendments pending on this Part? No.

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15) Summary and Purpose of Rules: This Part implements Section 8-402 of The Public Utilities Act by establishing a framework for the creation of a statewide comprehensive least-cost plan for electric utilities and the filing of least-cost plans for individual utilities.

16) Information and questions regarding these adopted rules shall be directed to:

Conrad Rubinkowski
Illinois Commerce Commission
527 East Capitol Avenue
Springfield, Illinois 62706
(217)785-3922

The full text of the Adopted Rules begins on the next page:

ILLINOIS REGISTER

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TITLE 83: PUBLIC UTILITIES
CHAPTER I: ILLINOIS COMMERCE COMMISSION
SUBCHAPTER C: ELECTRIC UTILITIES

PART 440

LEAST-COST PLANNING FOR ELECTRIC UTILITIES

SUBPART A: PURPOSE AND SCOPE

Section
440.10 Purpose and Scope

SUBPART B: DEFINITIONS

Section
440.100 Definitions

SUBPART C: PROCEDURE

Section
440.200 Filing of Plans
440.210 Review of Plans
440.220 Filing of Testimony
440.240 Public Review of Plans

SUBPART D: FILING REQUIREMENTS

Section
440.300 Filing Requirements - Department
440.310 Filing Requirements - Utilities

SUBPART E: COMPREHENSIVE ELECTRIC UTILITY ENERGY PLAN

Section
440.400 The Department's Comprehensive Statewide Electric Plan
440.410 Baseline Assessment of Supply and Demand
440.420 Alternative Assessments of Demand and Resources
440.430 Methodology for Comprehensive Electric Utility Energy Plan

SUBPART F: DEMAND FORECASTS - ELECTRIC UTILITIES

Section
440.500 Historical and Forecasted Levels of Peak Demand and Energy Usage
440.510 Alternative Levels of Demand
440.520 Methodologies for Electric Utility Demand Forecasts

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SUBPART G: RESOURCE ASSESSMENT - ELECTRIC UTILITIES

Section
440.600 Existing Resources
440.610 Future Resource Options
440.620 Selection of Future Resources
440.640 Flexibility of the Utility's Resource Plan
440.650 Examination of Recommended Policies
440.660 Methodology for Resource Assessment

SUBPART H: IMPLEMENTATION PLAN

Section
440.700 Implementation Plan

SUBPART I: COMMISSION REVIEW OF PLANS

Section
440.800 Comprehensive Electric Utility Energy Plan
440.810 Utility Electric Energy Plans

SUBPART J: EXEMPTIONS AND WAIVER

Section
440.900 Small Utility Exemption
440.910 Waiver of Rules

AUTHORITY: Implementing Section 8-402 and authorized by Section 10-101 of The Public Utilities Act (Ill. Rev. Stat. 1987, ch. 111 2/3, pars. 8-402 and 10-101).

SOURCE: Adopted at 13 Ill. Reg. 296, effective January 1, 1989.

SUBPART A: PURPOSE AND SCOPE

Section 440.10 Purpose and Scope

- a) This Part establishes guidelines for the development and submittal of energy plans by both the Illinois Department of Energy and Natural Resources ("Department") and each electric utility in accordance with Section 8-402 of The Public Utilities Act ("Act") (Ill. Rev. Stat. 1987, ch. 111 2/3, par. 8-402).

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- b) This Part applies to each electric utility, as defined in Section 3-105 of the Act (Ill. Rev. Stat. 1987, ch. 111 2/3, par. 3-105).

SUBPART B: DEFINITIONS

Section 440.100 Definitions

The words and terms used in this Part shall have the meanings ascribed to them in this Section.

"Analysis" means a systematic and detailed study of a subject by examination of its constituent parts.

"Avoided cost" shall have the meaning given by 83 Ill. Adm. Code 430.30.

"Cogeneration" means the simultaneous production of electrical power and useful heat by a qualifying facility.

"Cogenerators" means those parties engaging in cogeneration.

"Conservation" means reductions in the use of electricity through improvements in end-use efficiency and/or changes in consumer behavior.

"Demand-side programs" means conservation and load management programs.

"Demand-side resources" means those resources that are derived from implementation of demand-side programs.

"Demonstration" means an analysis, including illustrations or examples where appropriate, offered as proof.

"Derating" means a reduction in the rated capacity of a generating unit.

"Discussion" means a description and formal examination of a subject in writing.

"Economic" means efficient in allocating and employing resources.

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"Efficient" shall have the meaning given by Section 1-102 of the Act (Ill. Rev. Stat. 1987, ch. 111 2/3, par. 1-102).

"Electric service" means the production and delivery of electricity to the ultimate customer.

"End-use" means those uses of electricity including, but not limited to, space heating, water heating, lighting, air conditioning, refrigeration, cooking, and electro-motive and other processes provided by electricity.

"End-use efficiency" means energy efficiency with respect to the provision of the services defined in the term "end-use."

"End-use methodologies" means those forecasting techniques in which the demand for electricity is derived directly from the estimated demand for the services which are provided by electricity or those formal techniques employed to evaluate the actual effect of supply or demand-side programs on electricity use by the ultimate customers of a utility.

"Energy efficiency" means the ratio of energy delivered to energy produced and shall encompass end-use, conversion, transmission, distribution, and storage of electricity.

"Energy service" means electric service.

"Environmentally sound" has the meaning given in Section 1-102(b) of the Act for "Environmental Quality."

"Equitable" has the meaning given by Section 1-102(d) of the Act for "Equity."

"FERC" means the Federal Energy Regulatory Commission.

"Interruptible demand" means the demand of those customers whose utility service is subject to interruption according to the terms of the applicable utility service rate.

"Least-cost" means the lowest possible present value revenue requirements subject to the provision of adequate revenue requirements over time discounted to account for the time value of money.

quate, efficient, reliable, and environmentally safe energy service. Service will be deemed "adequate" if it is in conformance with 83 Ill. Adm. Code 410, "Standards of Service for Electric Utilities." Service will be deemed "environmentally safe" if it is in conformance with the regulations of other regulatory bodies with environmental jurisdiction, (e.g., the Illinois Environmental Protection Agency and the United States Environmental Protection Agency).

"Load management" means those programs designed to influence the utility's load shape. Such programs include, but are not limited to, direct load control, rates, energy storage, and energy management systems.

"Load shape" means the distribution of a utility's total electricity demand over time.

"MWe" means one million watts of electric power.

"Net dependable capacity" means the maximum capacity a generating unit can sustain over a specific time period modified to account for the unit's ambient conditions, the capacity utilized for the unit's station service, and auxiliary loads.

"Nonconventional technologies relying on renewable resources" means qualifying small power production facilities using renewable resources as defined in 18 CFR 292, Subpart B, as of January 1, 1988. This incorporation does not include any later amendment or edition.

"Planning period for a comprehensive electric utility plan or a utility electric energy plan" means the twenty year period beginning with the second calendar year after the filing of that plan.

"Power export transactions" means sales of electricity for resale.

"Power import transactions" means purchases of electricity for resale.

"Present value revenue requirements" means the sum of revenue requirements over time discounted to account for the time value of money.

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"Qualifying facility" has the meaning given by 83 Ill. Adm. Code 430.30.

"Reliable" has the meaning given in Section 1-102(c) of the Act for "Reliability."

"Renewable resources" include, but are not limited to, technologies that use wind, biomass, water, geothermal, or solar energy to produce electricity.

"Season" means either summer or non-summer.

"Self-generator" means a non-utility producing electricity for its sole use.

"Supply-side resources" means those resources that increase the amount of electricity available for consumption in Illinois or in the service territory of each electric utility.

"Wheeling" means the transmission of electricity through the transmission lines of a party who is neither the source nor the destination of the power.

"Wholesale power transactions" means sales and purchases of electricity for resale.

SUBPART C: PROCEDURE

Section 440.200 Filing of Plans

a) Department's Plan. On January 3, 1989, and every two years thereafter on January 3, the Department shall file with the Commission its comprehensive electric utility energy plan, as specified in Section 8-402(b) of the Act and Subpart D of this Part.

b) Utility Plans. Within three months of the Commission adoption of a first comprehensive electric utility energy plan or on August 1, 1989, whichever is the latter, and every two years after the required filing date of the initial utility electric energy plan, every electric utility subject to this Part shall file with the Commission and the Department its electric energy plan as specified in Section 8-402(c) of the Act and Subparts D, F, G, and H of this Part.

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Section 440.210 Review of Plans

a) Department's Plan. The Commission shall initiate a proceeding and schedule a prehearing conference (see 83 Ill. Adm. Code 200.300) to occur approximately 30 days after the filing date specified in Section 440.200(a). The purpose of the proceeding shall be to determine the adequacy and appropriateness of the Department's plan with respect to the requirements of the Act and the basis for adoption in Section 440.800, and to adopt a comprehensive electric energy plan for the state. Each electric utility subject to this Part and the Department shall be parties to the proceeding. Other parties may intervene, pursuant to the Commission's Rules of Practice (83 Ill. Adm. Code 200). The proceeding will be scheduled so that a Proposed Order is presented to the Commission by the Hearing Examiner no later than 8 months after the date of the Department's filing specified in Section 440.200(a). The Commission will adopt the plan if it complies with the requirements of Section 440.800(b).

b) Utility Plans. For each filed utility electric energy plan, the Commission shall initiate a proceeding and schedule a prehearing conference (see 83 Ill. Adm. Code 200.300) to occur approximately 30 days after the filing date specified in Section 440.200(b). The purpose of the proceeding shall be to determine the adequacy and appropriateness of each plan with respect to the requirements of the Act and this Part, and to adopt an electric energy plan for the utility. The utility filing the plan and the Department shall be parties to the proceeding. Other parties may intervene, pursuant to the Commission's Rules of Practice. The proceeding will be scheduled so that a Proposed Order is presented to the Commission by the Hearing Examiner no later than 11 months after the date of the utility's filing as specified in Section 440.200(b). The Commission will adopt a utility's plan if it complies with the requirements of Section 440.810(b).

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Section 440.220 Filing of Testimony

a) Department

- 1) The Department shall, on the date specified in Section 440.200(a) for the filing of its plan, file all testimony in support of its plan with the Commission. This testimony should address the appropriateness of policies recommended by the Department in light of the objectives of Sections 1-102, 8-401, and 8-402 of the Act (Ill. Rev. Stat. 1987, ch. 111 2/3, pars. 1-102, 8-401 and 8-402), the guidelines specified in Subpart D of this Part, and the criteria upon which the Commission should review the Department's plan as described in Subpart I of this Part.

- 2) The Department shall also file testimony with the Commission during the course of each of the proceedings outlined in Section 440.210(b). This testimony should address, at a minimum, the following issues:

- A) The extent to which the utility's electric energy plan is consistent with the comprehensive electric utility energy plan most recently adopted by the Commission.
 - B) The extent to which the utility's electric energy plan is consistent with the objectives of Sections 1-102, 8-401, and 8-402 of the Act.
 - C) The compliance of the utility's electric energy plan with the criteria for review described in Subpart I of this Part.
- b) Each utility subject to this Part shall, on the date specified in Section 440.200(b) for the filing of an electric energy plan, file all testimony in support of its plan with the Commission. This testimony shall address, at a minimum, the following issues:
 - 1) The extent to which the utility's electric energy plan is consistent with the comprehensive electric utility energy plan most recently adopted by the Commission.

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- 2) The extent to which the utility's electric energy plan is consistent with the objectives of Sections 1-102, 8-401, and 8-402 of the Act.

- 3) Compliance of the utility's electric energy plan with the requirements of Subpart D of this Part.
- 4) The compliance of the utility's plan with Subpart I of this Part which shall form the basis of the Commission's review of the filed utility plan.

Section 440.240 Public Review of Plans

The Commission shall encourage public participation in the review of the plans submitted for Commission approval. In order to publicize the availability of the plans, a notice shall be circulated by the Commission to various parties including local government units, electronic and print news media, public libraries, and any other groups requesting notification.

SUBPART D: FILING REQUIREMENTS

Section 440.300 Filing Requirements - Department

- a) The comprehensive electric utility energy plan filed by the Department should contain a summary presented in textual and graphic form. This summary should include analyses of peak demand and energy usage for the State of Illinois. The summary should also include policy recommendations intended to promote efficient, reliable, equitable, and environmentally sound electric service on a statewide or utility service territory basis. The summary should also include sections which address the following:

- 1) Identification of economical conservation, energy efficiency, renewable resources, cogeneration, or load management programs that could serve as primary sources of new energy supply within the state. In addition, specific recommendations concerning the practical implementation of each such program should be included.
- 2) An analysis of the additional generation and transmission capacity within the State of Illinois necessary to meet the demand for electricity.

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- 3) An analysis of the opportunities for economical coordination of resource planning by the utilities subject to this rule. In particular, the Department should analyze the coordination opportunities for those resources discussed in subsections (a)(1) and (2).
- 4) An analysis of the cost of meeting projected levels of demand on an individual utility or state wide basis, expressed annually as both the present value of revenue requirements and the present value of revenue requirements per kilowatt-hour delivered.
- 5) An analysis of future rates and rate trends, by utility service area or on a state wide basis, utilizing the information in subsection (a)(4).
- 6) A discussion of future economic, technical, regulatory and legislative circumstances that may have an effect on the Department's plan or which did affect the preparation of the Department's comprehensive electric utility energy plan.
- b) The comprehensive electric utility energy plan should also consist of a volume containing information on the Department's analysis of demand and supply as described in Subpart E of this Part.
- c) The comprehensive electric utility energy plan should also consist of a volume containing the methodologies and data used to prepare the plan as described in Subpart E of this Part.

Section 440.310 Filing Requirements - Utilities

- a) Each electric energy plan filed by a utility shall contain a summary of the utility's proposed least-cost plan as identified in Section 440.620 and the utility's electric load forecast prepared pursuant to Subpart F. The summary shall also include sections which address the following:
 - 1) Identification of any existing or planned programs designed to promote conservation, nonconventional technologies relying on renewable resources, cogeneration or load management, improvements in

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- energy efficiency, and the projected impact of these programs on peak demand and energy usage based on such utility-specific factors as the utility's projected price path and its existing and projected load shape.
- 2) An analysis of any generating facilities or capacity related transmission facilities to be constructed during the planning period, including facility size, projected cost, projected in-service date, approximate location, and fuel type if applicable.
- 3) An analysis of the economical opportunities for coordination of the utility's plan with other utilities including opportunities involving joint construction and operation of generating and transmission facilities, wheeling, wholesale power transactions, and implementation of nonconventional power supply or demand side programs.
- 4) An analysis of the cost of meeting the utility's official projection of peak demand and energy usage, expressed annually as the present value of revenue requirements and the present value of revenue requirements per kilowatt-hour delivered.
- 5) An analysis of future rates and rate trends in the utility service area based on the information developed in compliance with Section 440.620(1)(6).
- 6) A discussion of future economic, technical, regulatory, and legislative circumstances that may have an effect on the utility's plan or which did affect the preparation of the utility's resource plan.
- b) A utility's electric energy plan shall include a volume containing a discussion on electric load forecasts and the utility's plans to satisfy this demand as described in Subparts F and G.
- c) A utility's electric energy plan shall include a volume containing the methodologies and data used to prepare the plan as described in Subparts F and G.

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SUBPART E: COMPREHENSIVE ELECTRIC UTILITY ENERGY PLAN

Section 440.410 Baseline Assessment of Supply and Demand

Section 440.400 The Department's Comprehensive Statewide Electric Plan

a) The Department should prepare a comprehensive electric utility energy plan that identifies those programs and policies having the greatest likelihood of promoting the objectives of Sections 1-102, 8-401, and 8-402 of the Act.

b) In examining programs and policies to promote the objectives of the Act and to reduce barriers to achieving those goals and objectives, the Department should consider, at a minimum, programs and policies that:

- 1) Promote economical conservation;
 - 2) Promote economical load management;
 - 3) Promote full utilization of all economical cogeneration and nonconventional technologies relying on renewable resources;
 - 4) Promote the economical coordination by two or more utilities of the construction and/or operation of facilities;
 - 5) Promote economical wholesale power transactions; and
 - 6) Promote economical expansion of utility generating and transmission systems;
- c) The Department should analyze all programs and policies identified in its plan for consistency with Sections 1-102, 8-401, and 8-402 of Act. Where programs or policies are inconsistent with one or more of the objectives of Sections 1-102, 8-401, and 8-402 of the Act, the Department should identify alternatives which are not inconsistent.

a) In support of the recommendations contained in its plan, the Department should prepare a baseline assessment of demand for and supply of electricity for the state.

b) Assessment of demand. The Department should prepare a state wide assessment of expected annual peak demand and energy usage for the planning period. This assessment should include:

- 1) An analysis of historical levels of peak demand and energy usage;
- 2) Disaggregation by customer classes;
- 3) An analysis of conservation and load management that could be expected in the absence of any additional utility or government incentives; and
- 4) Where the assessment of peak demand and energy usage is based upon separate assessments for individual utilities, these assessments should be reported at the same level of detail as the statewide assessment to the extent possible.

c) Assessment of resources. The baseline assessment filed by the Department should contain an assessment of the resources needed to meet the estimate of peak demand and energy usage reported in compliance with subsection (b). This assessment should include, at a minimum:

- 1) An analysis of existing generating capacity, additional generating capacity needed, possible retirements of generating capacity, and all other known or expected changes in generating capacity. This analysis should include identification of the fuel and method of electric energy production for all existing and future generating capacity; and
- 2) An analysis of the expected future level of cogeneration and nonconventional generating technologies relying on renewable resources within a utility's service territory or within the State. This analysis should include:

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- A) Identification of the level of capacity and energy which cogeneration and nonconventional technologies are expected to provide.
- B) Assessment of the impact of cogeneration and nonconventional technologies on the demand and energy forecast filed in compliance with subsection (b).

d) Assessment of key relationships and uncertainty. The Department should identify the variables, relationships, or factors that would be expected to have the greatest effect on demand and resources. The Department should identify and explain all assumptions made concerning regulations, laws, and policies existing at the beginning of the planning period, or expected to exist during the planning period.

e) Identification of barriers to objectives. The Department should identify those relationships, practices, policies, or factors that could be expected to constitute barriers to achieving the objectives of Sections 1-102, 8-401, and 8-402 of the Act. Where unexpected changes in key variables, relationships, or factors would represent barriers to achieving the objectives of Sections 1-102, 8-401, and 8-402 of the Act, a description of these effects should be provided.

Section 440.420 Alternative Assessments of Demand and Resources

The Department should prepare alternative assessments of supply and demand to identify programs or policies which would lead to improvements in efficiency, equity, and environmental quality. Specifically, where barriers were identified in Section 440.410(e), alternative assessments of demand and supply that examine the effect of actions to reduce or remove these barriers should be prepared. These assessments should include consideration of programs and policies that:

- a) Promote economical conservation;
- b) Promote economical load management;
- c) Promote utilization of available, economical cogeneration and nonconventional technologies relying on renewable resources;

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- d) Promote the economical coordination of two or more utilities in the construction and/or operation of facilities;
- e) Promote economical wholesale power transactions; and
- f) Promote economical expansion of utility generating and transmission systems.

Section 440.430 Methodology for Comprehensive Electric Utility Energy Plan

The Department should provide a discussion of all models, methodologies, data, and assumptions used to prepare its comprehensive electric utility energy plan, such that the results can be replicated. At a minimum, the Department should provide:

- a) The reason each model and methodology was chosen over available alternatives;
- b) A narrative and graphic description of each model used;
- c) Names of models used and model developers;
- d) A discussion of the theoretical basis for the models;
- e) A description of the information included in the Department's plan obtained from the models;
- f) A listing of input variables;
- g) Sources of data;
- h) A discussion of methods by which the models have been benchmarked, validated, or otherwise tested, and the results of such tests;
- i) A discussion of the methods and models used to assess the effects of uncertainty; and
- j) A discussion of the methods and models used to assess the potential for and effects of demand-side programs.

SUBPART F: DEMAND FORECASTS - ELECTRIC UTILITIES

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Section 440.500 Historical and Forecasted Levels of Peak Demand and Energy Usage

Each electric utility subject to this Part shall prepare an analysis of historical and forecasted levels of peak demand and energy usage which includes:

- a) System peak demand and total energy usage (actual and weather adjusted) for the previous five years;
- b) Forecasted peak demand and energy usage for the planning period;
- c) A historical and projected analysis of the utility's typical daily load shape by season for the previous five years and for the first two years of the forecast period;
- d) Disaggregation of historical data and forecasts by customer class and end-use where information permits;
- e) An analysis of actual and expected interruptible demand, including actual interruptions occurring during the last five years;
- f) An analysis of the expected impact of cogenerators and self-generators on peak demand and energy usage over the forecast period. Such an analysis shall include the number of customers with such capacity, their capacity rating, and their contracted peak and total energy demand; and
- g) An assessment of the impact on actual and forecasted peak demand and energy usage from existing company-sponsored and government-sponsored or mandated conservation or load management programs. This assessment shall attempt to separate conservation and load management due to such programs from that which would have occurred in the absence of such programs.

Section 440.510 Alternative Levels of Demand

The utility shall provide alternative forecasts of peak demand and energy usage. At a minimum, the utility shall include:

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- a) A "high demand scenario" based on alternative assumptions about explanatory variables such as rate of economic growth, population growth, and the prices of various fuels;
- b) A "low demand scenario" based on alternative assumptions about explanatory variables; and
- c) An estimate as to the likelihood of peak demand and energy usage falling between the "high demand" and "low demand" scenarios.

Section 440.520 Methodologies for Electric Utility Demand Forecasts

Plans filed by the utilities shall include a discussion of the methods, models, data, and assumptions used in preparing the information presented in Sections 440.500 and 440.510 such that the results can be replicated. This discussion shall include:

- a) A justification of model design, variable inclusions, adjustments for future expectations, and estimation period;
- b) A statistical analysis of the reasonableness of the forecasts, where the models are statistical or econometric in nature;
- c) A discussion of the assumptions underlying all variables and the methodologies used in projecting values for those variables for both the base forecast and alternative scenarios;
- d) A discussion of the methods, both statistical and judgmental, used to provide the probability estimate required in Section 440.510(c);
- e) An analysis of the historical performance of the models used to forecast system peak demand and total energy usage;
- f) A discussion of the methodology used to provide historical and expected load shapes;
- g) A discussion of the methods used to forecast interruptible demand;

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- h) A discussion of the methods used to estimate the impact of cogeneration and self-generation on peak demand and energy usage;
- i) A discussion of the methods used to estimate the impact of existing company-sponsored and government-sponsored or mandated conservation and load management programs;
- j) All data sets used in making the base and alternative forecasts and the sources of these data. All adjustments to data sets and the reasons for those adjustments shall be included;
- k) A discussion of how judgmental factors have been incorporated into the utility's forecasts of system peak and total energy usage; and
- l) If end-use methodologies have not been used in forecasting, an explanation as to why they have not been used shall be included. Also included shall be the utility's schedule to acquire end-use information and to develop end-use forecasting techniques, or a demonstration that the acquisition of end-use information and the development of end-use forecasting techniques is impractical. The acquisition of end-use information and the development of end-use forecasting techniques would be found to be impractical if, based on the evidentiary record resulting from plan-specific proceedings, the costs of such development were found to outweigh the expected benefits over the long term planning horizon mandated in Section 8-402 of The Public Utilities Act.

SUBPART G: RESOURCE ASSESSMENT - ELECTRIC UTILITIES

Section 440.600 Existing Resources

For each year of the planning period, each electric utility subject to this Part shall provide a description of its electric power resources that, at a minimum, shall include the following information:

- a) The net dependable capacity of the utility's entire generating system for the summer and winter seasons;
- b) The net dependable capacity of each existing generating unit for the summer and winter seasons;

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- c) Scheduled power import transactions under contract, both firm and non-firm;
 - d) Scheduled power export transactions under contract, both firm and non-firm;
 - e) Planned retirements of generating units during the year;
 - f) A listing of permanent deratings of existing generating units, a discussion of the cause of each derating, and a discussion of available alternatives to each derating. Permanent deratings, as used here, shall mean deratings that have lasted or are expected to last one year or longer;
 - g) All other expected changes in the amount of existing generating capacity;
 - h) Identification of the generating capacity provided by cogeneration nonconventional technologies relying on renewable resources, and other non-utility producers (qualifying facilities and independent power producers) that are expected to be available for purchase by the utility;
 - i) Typical emissions rates for sulfur dioxide and oxides of nitrogen at each existing fossil fueled generating unit;
 - j) Updated portions of the Coordinated Bulk Power Supply Program report filed by the utility's Regional Reliability Council of the North American Electric Reliability Council, that contain information specifically applicable to the utility; and
 - k) A discussion of existing utility demand side programs and the estimated impact of those programs on the utility's generating capacity requirement.
- Section 440.610 Future Resource Options
- a) Each electric utility shall consider alternative methods of meeting future demand for electric service. Specifically, the utility shall consider, at a minimum, all programs and policies contained in the comprehensive electric utility energy plan most recently adopted by the Commission.

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- b) Demand side resource options. The utility shall consider demand-side resources as primary sources of new supply in meeting future demand. For each program identified as a potential demand-side resource, the utility's filing shall, at a minimum, include the following information:
- 1) Identification and description of each demand-side program considered;
 - 2) The customer classes and/or end-uses affected by the program;
 - 3) Participation incentives to be provided in the program;
 - 4) The expected life of the program;
 - 5) Estimated program penetration rates by customer class and/or end-use. Where particular subgroups of customer classes can be identified as having the greatest potential energy savings from the program, estimated penetration rates of these subgroups, including but not limited to low income residential customers, shall be included;
 - 6) Estimated actual energy savings per participant for each program; and
 - 7) The estimated impact of each program on the utility's generating capacity requirement.
- c) Supply-side resource options. The utility shall consider supply-side resources as alternatives in meeting future demand. The utility's filing shall, at a minimum, include the following information:
- 1) Identification of each supply-side resource considered;
 - 2) A discussion of the technology utilized by each supply-side resource;
 - 3) The size (MWe) of each supply-side resource;
 - 4) The fuel utilized by each supply-side resource;

- 5) A discussion of the significant environmental effects of each supply-side resource and a quantification of these effects where possible. At a minimum, air emissions, solid waste disposal, hazardous waste disposal, and potential siting impacts shall be included where applicable;
 - 6) A discussion of any additional transmission facilities that are required to utilize each supply-side resource; and
 - 7) A discussion of any utility efforts to coordinate its planning, construction, and operation of supply side resources with other utilities for the purpose of reducing cost.
- d) Initial screening of future resources. The utility shall perform a screening of all future resource alternatives identified in subsections (b) and (c). The purpose of this screening shall be to eliminate those alternatives which are not viable options and to identify those alternatives most likely to fulfill the objectives of the Act. The utility shall explain why each alternative was either accepted or rejected for further analyses and provide support for each decision.

Section 440.620 Selection of Future Resources

After the initial screening in Section 440.610(d), the utility shall select the mix of resources that is likely to be least-cost and that is consistent with the objectives of Sections 1-102, 8-401 and 8-402 of the Act. At a minimum, the utility shall provide the following:

- a) A description of the utility's resource plan.
- b) Identification of the variables, relationships, and other factors that are expected to have the greatest effect on the least-cost mix of resources.
- c) The present value revenue requirements of the utility's resource plan, stated in total dollars and in dollars per kilowatt-hour delivered.
- d) A discussion of the standard of reliability used in the utility's forecasts and plans, how this level of service

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reliability was determined to be appropriate, and how that determination has influenced the utility's forecast and plans. The standard of reliability is the expected outage rate (based on loss of load probabilities) used to construct a target reserve margin for utility capacity planning purposes.

- e) A demonstration that the utility's resource plan utilizes to the fullest extent practical all economical load management, conservation, nonconventional technologies relying on renewable resources, cogeneration, and improvements in energy efficiency, as the primary source of new supply.
- f) A demonstration that all economical sources of generating capacity have been utilized including new generating units, reconditioning of retired generating units, life extension and recapture of previous deratings of existing generating units, and purchase of generating capacity or power purchases from other utilities or other electricity producers, including sources which are not in close proximity to the utility's service area.
- g) A demonstration that the utility has analyzed cost saving advantages from planning or operating new and existing generating units in cooperation with other utilities.
- h) A demonstration that the utility's resource plan accounts for significant negative impacts on the environment.
- i) A demonstration that the utility's resource plan incorporates a workable strategy for reacting to unexpected changes in the demand for electric service, the cost of new supply-side and demand-side technologies, and other factors which cause the forecasted relationships between supply and demand for electric service to be in error. Such a workable strategy is one that preserves the plan's ability to achieve its intended purpose.
- j) A discussion of the financial impacts on the utility of acquiring the future resources identified in the utility's resource plan and a demonstration that consideration has been given by the utility to its ability to finance the acquisition of the required new resources described in subsection (l).

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- k) A demonstration that the utility's rate design accurately reflects the long-term cost of service for each customer class (i.e. the rates are based upon the costs of service attributable to each customer class) and, thereby provides adequate incentives for each customer class to conserve energy.
- l) A discussion of planned additional supply-side and demand-side resources that shall include, where appropriate:
 - 1) A discussion of proposed and alternatives sites for planned generating facilities and capacity related transmission lines;
 - 2) A discussion of the environmental consequences of the construction and operation of planned generating facilities;
 - 3) A discussion of the types of fuel and methods of generation to be employed by planned additions to supply-side resources;
 - 4) A discussion of the operating costs and capital costs of planned additions to supply-side and demand-side resources, including any costs incurred by customers to implement a demand-side resource;
 - 5) A discussion of the effect of planned additions to supply-side and demand-side resources on the utility's generating capacity reserve margin;
 - 6) A discussion of the impact of planned additions to supply-side and demand-side resources on the utility's rates.
- m) An estimate of the utility's avoided cost of generating capacity and electric energy for each year of the plan.
- n) A demonstration that the average price per kilowatt-hour calculated in the resource plan is consistent with the electricity price assumptions used to forecast the utility's expected load in Subpart F.
- o) Identification and explanation of all assumptions made concerning regulations, laws, and policies, existing at

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the beginning of the period or expected to exist during the planning period.

- p) Identification and discussion of the relationships, practices, policies, or factors which are expected to constitute barriers to achieving the objectives of Sections 1-102, 8-401, and 8-402 of the Act. Where unexpected changes in key variables, relationships, or factors might represent barriers to achieving the objectives of Sections 1-102, 8-401, and 8-402 of the Act, a description of these effects shall be provided.

- q) A demonstration that the utility's resource plan is, to the fullest extent possible, consistent with the comprehensive electric utility energy plan most recently adopted by the Commission by showing that the utility has considered the programs and policies recommended in the comprehensive plan equally with other programs and policies in constructing its plan.

Section 440.640 Flexibility of the Utility's Resource Plan

The utility shall perform analyses of the flexibility of its resource plan. The utility's analyses shall include, at a minimum:

- a) An analysis of the present value of future revenue requirements associated with the utility's resource plan stated in dollars and dollars per kilowatt-hour delivered assuming the following:
- 1) The high peak demand and energy sales identified in Subpart F, and
 - 2) The low peak demand and energy sales identified in Subpart F.
- b) An analysis of the present value of future revenue requirements associated with the utility's resource plan stated in dollars and dollars per kilowatt-hour delivered assuming the following:
- 1) A combination of optimistic values for variables, relationships, and other factors identified in Section 440.620(b), and

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- 2) A combination of pessimistic values for variables, relationships, and other factors identified in Section 440.620(b).

- c) An analysis of the present value of future revenue requirements associated with the utility's resource plan stated in dollars per kilowatt hour delivered assuming that each of the relationships, practices, policies, and factors identified in Section 440.620(p) as a potential barrier to the objective of the Act is corrected.

Section 440.650 Examination of Recommended Policies

Each utility shall provide the results of an evaluation of each of the policy recommendations contained in the most recent comprehensive electric utility energy plan approved by the Commission. This evaluation shall contain, at a minimum, the effect of the recommendations on the following:

- a) The utility's expected demand;
- b) The amount of conservation, load management, nonconventional technologies relying on renewable energy resources, cogeneration, and improvements in energy efficiency incorporated in the utility's resource plan;
- c) The use of existing utility resources in terms of fuel consumed and energy produced;
- d) The development of any new resources considered by the utility in its resource plan;
- e) The discounted present value of revenue requirements; and
- f) The discounted present value of revenue requirements per kilowatt-hour delivered.

Section 440.660 Methodology for Resource Assessment

The utility shall provide a description of all models, methodologies, data, and assumptions used to prepare its resource plan, such that results can be replicated. At a minimum, the utility shall provide:

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- a) The reason each model and methodology was chosen over alternatives available;
- b) Narrative and graphic description of all models used;
- c) Specific names of models and developers;
- d) Discussion of the theoretical basis for the models;
- e) Information included in the plan which was obtained from the models;
- f) Listing of input variables and an explanation of the assumptions and methodologies used in projecting values for those variables;
- g) Sources of data;
- h) Methods by which the models have been benchmarked, validated, or otherwise tested, and the results of such tests;
- i) A discussion of methods and models used to assess the effects of uncertainty; and
- j) A discussion of the methods and models of all data used to assess the potential for, and the effects of, demand-side resources. The utility's plan shall include a schedule for acquiring or enhancing end-use methodologies and information for purposes of evaluating potential demand-side resources, or a demonstration that the acquisition of additional end-use information for purposes of evaluating potential demand-side resources is impractical. The acquisition of additional end-use information would be found to be impractical, if, based on the evidentiary record resulting from plan-specific proceedings, the costs of such acquisition were found to outweigh the expected benefits over the long term planning horizon as mandated in Section 8-402 of the Public Utilities Act.

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SUBPART H: IMPLEMENTATION PLAN

Section 440.700 Implementation Plan

Each utility shall present an action plan describing in detail the strategy to be used for implementing its resource plan. The action plan shall include a budget of the expenses expected to be incurred by the utility in carrying out its resource plan over the succeeding two years. At a minimum, the utility shall provide the following information:

- a) A description of any demand-side resources, cogeneration projects, generation related transmission projects, or generation projects that the company will expect to expend funds on over the next two years, including any programs to extend the life of generating units, retire generating units, or reduce emissions from generating units; and
- b) Estimated expenditures on any such programs or projects over the two year period.

SUBPART I: COMMISSION REVIEW OF PLANS

Section 440.800 Comprehensive Electric Utility Energy Plan

- a) Adoption. Following hearings on the comprehensive electric utility energy plan filed by the Department, the Commission shall adopt a comprehensive electric utility plan. The plan adopted by the Commission may be the plan as filed by the Department or as modified by the Commission so that it conforms with the factors in subsection (b).
- b) Basis for Adoption. In adopting a comprehensive electric utility energy plan for each utility, the Commission shall address and consider each of the following:
 - 1) The plan identifies possible barriers to the delivery of energy services that are adequate, efficient, reliable, environmentally safe, and at the lowest cost to the customers of individual utilities and to the state (See definition of "least-cost" in Section 440.100).

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- 2) The plan identifies policies for ensuring the delivery of energy services that are adequate, efficient, reliable, environmentally safe, and at the lowest possible cost to the customers of individual utilities and to the state.
- 3) The plan has taken account of economical means of conservation, load management, nonconventional technologies relying on renewable resources, cogeneration, and improvements in energy efficiency as the initial sources of new supply.
- 4) The plan takes account of the effects of uncertainty on demand, supply, and potential policies.

Section 440.810 Utility Electric Energy Plans

- a) Adoption. Following hearings on each plan filed, the Commission shall adopt an electric energy plan for each utility. The plans adopted by the Commission may be those plans as filed or as modified by the Commission.
- b) Basis for Adoption. In adopting an electric energy plan for each utility, the Commission shall address and consider each of the following:

- 1) There is a strong likelihood that the utility plan will result in adequate, efficient, reliable, and environmentally safe energy service at the least cost to consumers (See definition of "least-cost" in Section 440.100);
- 2) The plan considers and incorporates to the fullest extent practicable, all economical sources of conservation, renewable resources, cogeneration and improvements in energy efficiency as the primary source of new supply. This requires that the utility demonstrate within the context of the hearing that otherwise economical potential cogeneration projects, conservation projects, or renewable resources are infeasible for some reason.
- 3) The plan accounts for the effects of uncertainty on demand, supply, and potential policy;

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- 4) The plan is equitable to both consumers and stockholders (see Section 1-102(d) of the Act);
- 5) The plan allows the utility to adapt to unexpected circumstances without incurring significant cost (significant costs can only be determined within the context of the record developed in a hearing based on utility specific evidence);
- 6) The utility is capable of financing all investments contemplated in the plan without impairing its financial integrity and soundness, that is, if the plan does not impede the utility's ability to maintain its operations in such a way as to provide adequate, reliable, efficient, and environmentally safe service to its customers;
- 7) The plan takes full advantage of opportunities for economical power sales and purchases from other utilities, and for the joint planning and construction of generation and transmission projects; and
- 8) That the plan is consistent with the comprehensive electric utility energy plan most recently adopted by the Commission.

SUBPART J: EXEMPTIONS AND WAIVER

Section 440.900 Small Utility Exemption

- a) Under Section 3-105 of the Act, an electric utility with less than 20,000 customers in Illinois may request an exemption to Section 8-402 for good cause shown. In order for the electric utility to be exempted from preparing or filing an electric utility energy plan, a petition for exemption must be filed at least 60 days prior to the date the utility plans are due. The petition for exemption shall be filed pursuant to 83 Ill. Adm. Code 200 and shall set forth specific reasons and facts in support of exemption. The utility shall file all testimony in support of the petition with the petition for exemption.
- b) Good cause for an exemption may include, but is not limited to, any one of the following reasons:

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- 1) The cost of compliance is likely to exceed the benefits of compliance;
 - 2) Based on supporting evidence, the utility seeking exemption has a substantial portion of its generating capacity outside of Illinois and is already regulated by another State in which the utility has a greater percentage of revenues and customers than Illinois;
 - 3) The utility's operations and sales are heavily or exclusively subject to FERC regulation; or
 - 4) The electric utility is a small business within the meaning of Section 3.10 of the Illinois Administrative Procedure Act (Ill. Rev. Stat. 1987, ch. 127, par. 1003.10).
- c) A utility seeking a small utility exemption shall serve a copy of its petition on all parties to its last previous electric utility energy plan proceeding or, if none, on all parties to the proceeding in which this Part was adopted (Commission Docket No. 87-0261). The exemption, if granted, remains effective unless and until further action by the Commission to repeal the exemption pursuant to subsection (e).
- d) The Hearing Examiner shall issue a proposed order on the petition for exemption within 60 days after the filing of the petition by the utility.
- e) The Commission will continuously monitor whether a small utility remains a small utility by examining the customer information provided in the annual reports. If the utility in question gains sufficient customers so that it no longer falls within the definition of a small utility, the Commission shall act to reexamine the appropriateness of that utility's exemption. In addition, the Commission may investigate whether to repeal the exemption if it appears that conditions warrant it, i.e., the benefits of a small utility filing a plan would possibly exceed the cost of preparing the plan. In such a case, the Commission will cite that utility to show cause why it should not have its exemption repealed. The Commission would also reopen the question of a utility exemption on the basis of a petition filed by another party,

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such as a consumer, business, or governmental intervenor, or another utility.

Section 440.910 Waiver of Rules

- a) Any utility subject to this Part may petition the Commission for waiver of any requirement of the Part. Petitions for waivers should be filed with the Commission at least 90 days prior to the date the required information is to be filed. A petition for waiver shall be filed pursuant to 83 Ill. Adm. Code 200 and shall set forth the specific reasons in support of the request. The utility shall file all testimony in support of the petition with the petition for waiver.
- b) Any one of the following grounds shall justify a waiver to a particular requirement or requirements of this Part:
 - 1) The cost of compliance is likely to exceed the benefits of compliance.
 - 2) The utility serves more than Illinois, has a unified system of operation, has more customers and revenues in another state or states, and is subject to another state's energy planning act.
 - 3) The utility has, keeps, or can obtain other information which would substitute for the information being waived.
- c) In all cases, the utility seeking the waiver must demonstrate that, if the waiver is granted, its electric utility energy plan will meet all statutory requirements (Sections 8-402 to 8-407 of the Act). If a waiver pursuant to Section 440.910(b)(2) is granted, the utility shall file its out-of-state plan supplemented to provide sufficient Illinois data to meet the requirements of Section 8-402 of the Act and the requirements of this Part.
- d) Notice of petition for waiver
 - 1) If, prior to the filing of the first energy plan, a utility seeks a waiver of a requirement of this Part, the utility shall serve a copy of said petition

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tion on all parties to the proceeding in which this Part was adopted (Commission Docket No. 87-0261).

- 2) For subsequent plans, a utility seeking waiver of a requirement of this Part shall serve a copy of its petition on all parties to its last previous least-cost energy plan proceeding.

- e) The Hearing Examiner shall issue a proposed order on the petition for waiver within 60 days after the filing of the petition by the utility.

ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

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- 1) Heading of Part: Data Collection
- 2) Code Citation: 77 Ill. Adm Code 2510
- 3) Section Number: Adopted Action
2510.50 Amendment
- 4) Statutory Authority: Section 2-3 of Article II and Section 4-5 of Article IV of the Illinois Health Finance Reform Act (Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 6502-3, 6504-5).
- 5) Effective Date of Amendment: December 30, 1988
- 6) Does this rulemaking contain an automatic repeal date? NO
- 7) Does this amendment contain incorporations by reference? NO
- 8) Date Filed in Agency's Principal Office: December 23, 1988
- 9) Notice of Proposal Published in Illinois Register: August 26, 1988-12 Ill. Reg. 13694
- 10) Has JCAR issued a Statement of Objections to this Rule: No
- 11) Difference between proposal and final version: The method of reimbursement was changed from the proposed \$0.10 per correct discharge to a flat \$420.00 per semi-annual period for submission of data on electronic media. Threshold levels are established. Suggestions of the Administrative Code Unit were incorporated.
- 12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Does not apply. No agreements were necessary.
- 13) Will this amendment replace an emergency amendment currently in effect?
No
- 14) Are there any amendments pending on this Part: No
- 15) Summary and Purpose of Amendment: Section 2510.50 is amended to change the method of reimbursement to hospitals for submission of data to the Illinois Health Care Cost Containment Council.
- 16) Information and questions regarding this adopted amendment shall be directed to:

ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

NOTICE OF ADOPTED AMENDMENT

Marilyn Plomann, Executive Director
527 South Wells Street, Suite 600
Chicago, Illinois 60607
(312) 793-1440

The full text of the Adopted Amendment begins on the next page:

ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

NOTICE OF ADOPTED AMENDMENT

TITLE 77: PUBLIC HEALTH

CHAPTER XI: ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL

PART 2510

DATA COLLECTION

Section
2510.10
2510.20
2510.30
2510.40
2510.50
2510.55
2510.60
2510.70
2510.80

Purpose
Outside Contractor
Collection and Submission of Hospital Financial Data
Submission of Medicare Cost Reports
Collection of Information on Uniform Billing Form
Report of Inpatient Discharges
Quarterly Reports
Special Studies and Analysis
Confidentiality

APPENDIX A ILLINOIS HEALTH CARE COST CONTAINMENT COUNCIL ANNUAL FINANCIAL DATA REPORT

APPENDIX B MAGNETIC MEDIA RECORD FORMAT

APPENDIX C UB-82 DATA FIELDS

AUTHORITY: Implementing Article IV and authorized by Section 2-3 of Article II of the Illinois Health Finance Reform Act (Ill. Rev. Stat. 1985 1987, ch. 111 1/2, pars. 6504-1 to 6504-5 and par. 6502-3)

SOURCE: Adopted and codified at 9 Ill. Reg. 12726, effective August 5, 1985; amended at 10 Ill. Reg. 18790, effective October 17, 1986; amended at 11 Ill. Reg. 1574, effective January 2, 1987; amended at 12 Ill. Reg. 6102, effective March 21, 1988; amended at 13 Ill. Reg. 334, effective December 30, 1988.

NOTE:

All-capital-letters-denotes-statutory-language.
Capitalization denotes statutory language.

Section 2510.50 Collection of Information on Uniform Billing Form

a) Adoption of Uniform Billing Form UB-82/HCFA 1450

EFFECTIVE JANUARY 1, 1985, ALL HOSPITALS SHALL ADOPT A UNIFORM SYSTEM FOR SUBMITTING PATIENT CHARGES FOR PAYMENT FROM PUBLIC AND PRIVATE PAYORS. THIS SYSTEM SHALL BE BASED UPON THE ADOPTION OF THE UNIFORM HOSPITAL BILLING FORM UNIFORM BILLING 82/HEALTH CARE FINANCING ADMINISTRATION 1450 (UB-82/HCFA 1450) ("UB-82") HEREINAFTER DEVELOPED BY THE NATIONAL UNIFORM BILLING COMMITTEE. Section 4-2 of the Illinois Health Finance Reform Act (ILL. REV. STAT. 1985 1987, CH. 111 1/2, PAR. 6504-2).

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b) Acceptance of UB-82

EFFECTIVE JANUARY 1, 1985, THE DEPARTMENT OF INSURANCE SHALL REQUIRE ALL THIRD-PARTY PAYORS, INCLUDING BUT NOT LIMITED TO, LICENSED INSURERS, MEDICAL AND HOSPITAL SERVICE CORPORATIONS, HEALTH MAINTENANCE ORGANIZATIONS, AND SELF-FUNDED EMPLOYEE HEALTH PLANS, TO ACCEPT THE UNIFORM HOSPITAL BILLING FORM UB-82, WITHOUT ATTACHMENT; PROVIDED, HOWEVER, NOTHING IN THIS CHAPTER SHALL PREVENT ALL SUCH THIRD-PARTY PAYORS FROM REQUIRING ADDITIONAL INFORMATION, INCLUDING BUT NOT LIMITED TO ITEMIZED BILLS, NECESSARY TO DETERMINE ELIGIBILITY FOR BENEFITS OR LIABILITY FOR REIMBURSEMENT FOR SERVICES PROVIDED. THE ILLINOIS DEPARTMENT OF PUBLIC AID SHALL NOT BE REQUIRED TO ACCEPT THE UNIFORM HOSPITAL BILLING FORM UB-82 PRIOR TO OCTOBER 1, 1985. Section 4-2 of the Illinois Health Finance reform Act (ILL. REV. STAT. 1985 1987, CH. 111 1/2, PAR. 6504-2).

c) Filing of UB-82 Information with the Council

Extracts of UB-82 bills for inpatient services shall be prepared by hospitals according to the following regulations.

- 1) All hospitals may file UB-82 discharge data with the Council for discharges occurring during the first calendar quarter of 1985 on hard copy. Subsequent to that period, only hospitals not having data processing equipment capable of producing data in one of the acceptable magnetic formats specified in subsection (2) below shall file hard copy UB-82 information with the Council. Such information shall be filed with the Council on a UB-82 form or a facsimile of UB-82 with the confidential fields specified in subsection (e) below deleted.

2) Data Submission Standards

- A) After submission of first quarter 1985, UB-82 data extracts shall be submitted in a magnetic format if the hospital is equipped with data processing equipment capable of producing data in one of the acceptable magnetic formats. The physical specifications of the magnetic tape shall be any size reel of magnetic tape, recorded in 9 track, Extended Binary Coded Decimal Interchange Code mode, with density equal to 1600 bytes per inch ("BPI") or 6250 BPI. Acceptable formats for submission of data on floppy disk will be determined by the Council.

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- B) The tape shall have standard labels or be unlabeled. Non-standard labels should not be utilized. The logical record length should be 572 and the blocking factor should be 10; i.e., BLKSIZE equals 5720. Each file submitted is to contain one header record, the UB-82 logical records, and one trailer record. The header record is the first record on the file, and the trailer record is the last record on the file. Formats for these records are presented in Appendix B.

- C) Revisions of data originally filed on a magnetic format must be filed on a magnetic format reporting the entire logical record for each record changed.

- D) For each patient, the data elements described in Subsection subsection (d) below form a record of 572 characters. Each record must be recorded onto a magnetic tape in the format described below. In all instances data elements contained on the uniform bill (UB-82) will be recorded in accordance with the requirements for completing the form as described in Subsection subsection (d) below. The precise record format is as found in Appendix B.

- 3) Hospitals shall file complete UB-82 data for ninety five percent (95%) of all discharges within sixty (60) calendar days of the last day of the calendar month in which the patient was discharged or died. The complete UB-82 data for the remaining five percent (5%) of all discharges must be filed within one hundred eighty (180) calendar days of the last day of the calendar month in which the patient was discharged or died. Hospitals will be allowed twenty (20) calendar days to correct any UB-82 data submission errors identified by the Council.

- 4) Hospitals will not be required to file UB-82 information on patients for whom a bill is generated exclusively for the Illinois Department of Public Aid until October 1, 1985. The Illinois Department of Public Aid shall report to the Council the data listed in subsection (d) below for the discharges occurring during the period January 1, 1985, through September 30, 1985.

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d) Required UB-82 Data

The Council, in cooperation with the State Departments of Public Aid, Insurance, and Public Health, shall establish a system for the collection of the following information from hospitals utilizing the raw data available on the uniform hospital billing form UB-82. Such data determined as necessary by the Council shall be filed for every discharge regardless of payor and shall include the UB-82 data fields coded according to the Council's requirements as found in Appendix C.

e) Confidential UB-82 Data

The following UB-82 data fields have been determined to be confidential by the Council and may not under any circumstances be filed with the Council:

Field	Description
10	Patient's Name
11	Patient's Address (except zip code)
34	Responsible Party Name and Address
65	Insured's Name
68	Insured's Certificate Number, Social Security Number, Health Insurance Claim Number, Identification Number

74 Employee Identification Number

94 Remarks

f) Hospital Identification Number

The Medicaid identification number assigned by the Medical Assistance Program of the Illinois Department of Public Aid is the required hospital identification number and shall be recorded in field 8 on all UB-82 records filed with the Council. Hospitals not participating in the Medical Assistance Program shall immediately request a number be assigned by the Council. The request shall be made to the Executive Director.

g) Self Administered Insurance Plan Identification Number

Self administered insurance plans and health and welfare funds may request an identification number from the Council. The request shall be made to the Executive Director. The identification number must be obtained and used if the plan or fund desires to obtain reports on its members from the Council.

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h) Small Hospital Exemption

The Council shall exempt hospitals with fewer than fifty (50) beds licensed under the Hospital Licensing Act (Ill. Rev. Stat. 19931987, ch. 111 1/2, pars. 1542 et seq.) from the filing of UB-82 data with the Council if the Council finds that compliance would impose undue economic hardship on the hospital and if the Council determines that the data from these hospitals are not essential to its data base and its concomitant health care cost comparison efforts. In determining whether compliance will constitute an undue economic hardship the Council will consider the cost to the hospital, both in relation to initial costs to obtain the capability to generate data in this format, and the routine cost of generating such data compared to the ability of the hospital to absorb the added cost of such production. Hospitals with less than fifty (50) beds licensed under the Hospital Licensing Act anticipating compliance to impose an undue economic hardship may file with the Council a request for an exemption. Such request must document the undue economic hardship.

i) Sample Size

Hospitals shall file the required UB-82 data specified in this Part for each discharge.

j) Payment for Submission of UB-82 Data

1) Beginning with the payment for the July to December, 1987 discharge period, reimbursement will be made semi-annually in January for correct discharge data appearing on the Illinois Health Care Cost Containment UB-82 data base for the previous January 1 to June 30 period and in July for correct discharge data appearing on the Illinois Health Care Cost Containment UB-82 data base for the previous July 1 to December 31 period. Under the intent of this provision, there will be no January 1988 payment. The first payment under this revised rule will be made in July 1988; payment will be made every six months thereafter.

2) ~~For discharges occurring after July 1, 1987, each hospital will be paid at a rate of \$10 per correct discharge on electronic media. A minimum reimbursement of \$440.00 per semi-annual payment will be made to those hospitals whose correct discharges are not sufficient to warrant this amount if they were paid on a per correct discharge basis.~~

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- 2) The payment to be made January 1, 1989, for hospital discharges occurring between January 1, 1988, through June 30, 1988, for hospitals that have submitted seventy-five (75%) correct of all discharges shall be \$420.00. Beginning with the payment to be made July 1, 1989 for hospital discharges occurring between July 1, 1988 and December 31, 1988, and payments thereafter, each hospital that has submitted eighty-five percent (85%) correct of all discharges shall be reimbursed at a semi-annual rate of \$420.00. Hospitals that do not meet the threshold percentage of correct discharges shall not be reimbursed.

(Source: Amended at 13 Ill. Reg. 334, effective December 30, 1988)

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- 1) Heading of the Part: RADIATION INSPECTORS AND INSPECTIONS
- 2) Code Citation: 32 Ill. Adm. Code 410
- 3) Section Number:
- | | |
|----------------|-----------------|
| 410.10 | Adopted Action: |
| 410.20 | Amendment |
| 410.30 | Amendment |
| 410.40 | Amendment |
| 410.50 | Amendment |
| 410.60 | Amendment |
| 410.70 | Amendment |
| 410.80 | Amendment |
| ILLUSTRATION A | Added |
| ILLUSTRATION B | Added |

- 4) Statutory Authority: Implementing and authorized by Sections 4 and 8.9 of the Radiation Protection Act (Ill. Rev. Stat. 1987, ch. 111½ pars. 214 and 218.9.)
- 5) Effective Date of Amendments: January 30, 1989
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this amendment contain incorporations by reference? No
- 8) Date Filed in Agency's Principal Office: December 20, 1988
- 9) Notices of Proposal Published in Illinois Register:
September 2, 1988, 12 Ill. Reg. 13841
- 10) Has JCAR Issued a Statement of Objections to this rule? No
- 11) Difference(s) between proposal and final version:
- a) In Section 410.20(a), the statutory citation and specific section to which it refers has been added and the shortened form of the title of the Act has been used. The distinguishing print (*italics*) has been deleted from the statutory citation everywhere it appears in the amendment.
- b) In Section 410.20(b), the heading of the first column has been moved to the right 1/2 inch and all other columns have been adjusted. In subsection (b)(3), the Department has inserted a parenthesis after Ph.D. and has drawn a line through it indicating that it has been deleted and underscored the parenthesis after "degree" to show that it is new. Also, the Department has underscored the period after "D" in "Ph.D." to show that it is new.

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- c) In Section 410.30(a), line 5, the phrase "the Radiation Protection Act (the Act)" has been changed to "Section 8.9(e) of the Act" and a comma has been inserted before the word "which".
- d) In Section 410.30(c), line 2, immediately after the word "in" the following phrase has been inserted "Section 8.9(e) of", and the statutory citation has been deleted at the end of this subsection.
- e) In Section 410.40(a), line 7, immediately after the word "microscopes" the following phrase has been inserted "See Section 8.9(f) of the Act", and the statutory citation has been deleted at the end of this subsection.
- f) In Section 410.40(b), lines 3 - 7, the statutory citation has been changed to read:
"Medical Practice Act of 1987", (Ill. Rev. Stat. 1987, ch. 111, par. 4400-1 et seq.) or under the "Podiatric Medical Practice Act of 1987" (Ill. Rev. Stat. 1987, ch. 111, par. 4801 et seq.).
On line 12, immediately after the word "welders," the following phrase has been inserted "(See Section 8.9(f) of the Act.)".
- g) In Section 410.40(c), line 10, the statutory citation has been deleted and the following phrase has been inserted "See Section 8.9(f) of the Act".
- h) In Section 410.50(a), line 1, the word "Department" has been changed to the word "Departmental".
- i) In Section 410.50(e), line 4, the word "x-ray" has been deleted and the phrase "of radiation machines" has been inserted immediately after the word "inspections".
- j) In Section 410.60(a)(1), line 3, the word "Department" has been changed to the word "Departmental".
- k) In Section 410.60(a)(2), the asterisk has been deleted after the word "Act" and before the word "AGENCY NOTE". Also on line 5 of the AGENCY NOTE, the correct statutory reference has been added and the statutory citation has been changed to read "See Section 8.9(a) of the Act".
- l) In Section 410.60(a)(3), line 4, immediately after the word "in" the following phrase has been inserted "Section 8.9(b) of", and the statutory citation deleted from the last line in this subsection.

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- m) In Section 410.60(b), line 8, the word "Admin." has been changed to the word "Adm".
- n) In Section 410.60(c)(1), line 3, the word "Department" has been changed to the word "Departmental"; on line 5, immediately after the word "machine(s)" the following phrase has been inserted "(See Section 8.9(c) of the Act)"; and on line 10, the statutory citation has been deleted.
- o) Subsections 410.60(c)(3) and (c)(4) have been replaced by the following:
"If any radiation machine(s) is installed, relocated (i.e., stationary equipment that has been moved) or reactivated within 7 months prior to the operator's inspection report filing anniversary date, and if the machine(s) is inspected during the 7 month period, the radiation machine(s) does not have to be reinspected within the 5 month period prescribed in subsection (c)(1). The radiation inspection report(s) shall be filed with the Department on or before the operator's inspection report filing anniversary date."
- "If any radiation machine(s) totally replaces the operator's radiation machine inventory, the operator's inspection report filing anniversary date will be changed to the end date of the inspection and testing of the radiation machine(s). In accordance with subsection (c)(1), inspection reports shall be filed within 6 months from the date of installation of the replacement machine(s)."
- p) In Section 410.60(d), line 3, the word "Department" has been changed to the word "Departmental"; and in subsection (4), line 1, the phrase "x-ray equipment" has been changed to the phrase "radiation machines".
- q) In Section 410.60(e), line 4, the word "Department" has been changed to the word "Departmental".
- r) In Section 410.80, line 4, the word "Department" has been changed to the word "Departmental".
- s) In Illustration A, Note J and K have been changed to read as follows:
"J) If any radiation machine(s) is installed, relocated or reactivated within 7 months prior to the operator's inspection report filing anniversary date, and if the machine(s) is inspected during the 7 month period, the radiation machine(s) does not have to be reinspected within the 5 month period

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prescribed in Section 410.60(c)(1). The radiation inspection report(s) shall be filed with the Department on or before the operator's inspection report filing anniversary date. (See Section 410.60(c)(3).)"

"K) If any radiation machine(s) totally replaces the operator's radiation machine inventory, the operator's inspection report filing anniversary date will be changed to the end date of the inspection and testing of the radiation machine(s). In accordance with Section 410.60(c)(1), inspection reports shall be filed within 6 months from the date of installation of the replacement machine(s). (See Section 410.60(c)(4).)"

t) In Illustration B, Notes G and H have been changed to read as follows:

"G) If any radiation machine(s) is installed, relocated or reactivated within 7 months prior to the operator's inspection report filing anniversary date, and if the machine(s) is inspected during the 7 month period, the radiation machine(s) does not have to be reinspected within the 5 month period prescribed in Section 410.60(c)(1). The radiation inspection report(s) shall be filed with the Department on or before the operator's inspection report filing anniversary date. (See Section 410.60(c)(3).)"

"H) If any radiation machine(s) totally replaces the operator's radiation machine inventory, the operator's inspection report filing anniversary date will be changed to the end date of the inspection and testing of the radiation machine(s). In accordance with Section 410.60(c)(1), inspection reports shall be filed within 6 months from the date of installation of the replacement machine(s). (See Section 410.60(c)(4).)"

u) In Illustration B, on the time line, the letter "A" has been moved to January 1989, to accurately reflect the effective date of this rule.

12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes

13) Will this amendment replace an emergency rule currently in effect? No

14) Are there any amendments pending on this Part? No

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15) **Summary and Purpose of Amendments:** This amendment implements the fee requirements contained in the Radiation Protection Act related to radiation inspectors and inspections. This amendment also clarifies the schedule for performing inspections of radiation installations.

16) **Information and questions regarding this adopted amendment shall be directed to:**

Betsy Salus
Staff Attorney
Department of Nuclear Safety
1035 Outer Park Drive
Springfield, Illinois 62704
785-9880

The full text of the Adopted Amendment begins on the next page:

DEPARTMENT OF NUCLEAR SAFETY

NOTICE OF ADOPTED AMENDMENTS

TITLE 32: ENERGY
CHAPTER II: DEPARTMENT OF NUCLEAR SAFETY
SUBCHAPTER b: RADIATION PROTECTIONPART 410
RADIATION INSPECTORS AND INSPECTIONS

Section 410.10	Policy and Scope
410.20	Radiation Inspectors Education/Experience and Instrumentation Requirements
410.30	Approval of Application and Application/Registration Fees
410.40	Radiation Installations and Sources Classifications
410.50	Inspection Procedures
410.60	Choice of Type of Inspector, Inspection Fees and Inspection Schedule
410.70	Separate Installation
410.80	Change in Operator
ILLUSTRATION A	NEW FACILITY FILING ANNIVERSARY DATE (CLASS C FACILITY USED AS AN EXAMPLE)
ILLUSTRATION B	EXISTING FACILITY FILING ANNIVERSARY DATE (CLASS B FACILITY USED AS AN EXAMPLE)

AUTHORITY: Implementing and authorized by Sections 4 and 8.9 of the Radiation Protection Act (111. Rev. Stat. 1985 1987, ch. 111½, pars. 214 and 218.9).

SOURCE: Adopted at 8 Ill. Reg. 23209, effective November 19, 1984, amended at 9 Ill. Reg. 17821, effective November 5, 1985; amended at 10 Ill. Reg. 13265, effective July 29, 1986; amended at 13 Ill. Reg. 342, effective January 30, 1989.

Section 410.10 Policy and Scope

a) The Radiation Protection Advisory Council shall recommend criteria to the Department of Nuclear Safety (Department) for education, experience and instrumentation standards for inspectors of x-ray systems radiation machines in radiation installations.

b) The Department shall:

- 1) Establish x-ray radiation machine inspection standards for the protection of the public health.
- 2) Maintain and provide, on request, a list of persons approved as qualified nondepartment inspectors of x-ray systems and other radiation machines.

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3) Review the findings and inspection procedures of qualified nondepartment inspectors.

(Source: Amended at 13 Ill. Reg. 342, effective January 30, 1989)

Section 410.20 Radiation Inspectors Education/Experience and Instrumentation Requirements

a) *An individual is considered qualified to be an inspector of x-ray systems radiation machines if his or her credentials satisfy the criteria which have been recommended by the Radiation Protection Advisory Council (See Section 8.9 of the Radiation Protection Act (the Act) 111. Rev. Stat. 1987, ch. 111½, par. 218.9) and approved by the Department as set forth in this Section. (111. Rev. Stat. 1983, ch. 111 1/2, par. 218.9)*

b) Inspections and testing of x-ray systems and other radiation machines shall be conducted by designated Department personnel or by other qualified non-Department nondepartment inspectors. Approval of the non-Department nondepartment inspectors shall be based upon meeting the education/certification and experience in clinical practice requirements indicated in any one of the criteria set forth below.

Education and/or Certification	Experience
1) Certification by the American Board of Radiology in radiological physics or diagnostic radiological physics	and experience included in certification.
2) Certification by the American Board of Health Physics	and experience included in certification.
3) Doctor's Doctorate (Ph.D. degree) in health physics, medical radiological physics or physics	and six 6 months of applied x-ray radiation protection experience.
4) Master's (MS/MA) degree in health physics, medical radiological physics or physics	and one 1 year of applied x-ray radiation protection experience.

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- 5) Bachelor's (BS/BA) degree in health physics, medical radiological physics or physics and two 2 years of applied x-ray radiation protection experience.
- 6) Master's (MS/MA) or Bachelor's (BS/BA) degree in a physical science or mathematics and three 3 years of applied x-ray radiation protection experience.
- 7) Registered Radiologic Technologist and five 5 years of applied x-ray radiation protection experience.
- c) Upon initial application to the Department, and as a condition for approval as a qualified inspector, an applicant shall submit verification of access to instruments which will enable the individual to perform inspections and tests in accordance with Department standards.

(Source: Amended at 13 Ill. Reg. 342, effective January 30, 1989)

Section 410.30 Approval of Application and Application/Registration Fees

- a) An applicant for approval by the Department as a qualified nondepartment inspector shall submit a complete and legible application on a form prescribed and furnished by the Department. The Department shall assess each applicant an application fee, as prescribed in Section 8.9(e) of the Act, which will serve as a registration fee for the remainder of the calendar year. The application fee is non-refundable.
- b) The Department shall provide written notification to the applicant concerning the status of the application within four 4 weeks after receipt of the application. If approval is granted, the applicant shall receive a "Notice of Approval" and the individual's name and address shall be entered in the record of persons approved as qualified nondepartment inspectors of x-ray systems and other radiation machines.
- c) The Department shall assess all qualified nondepartment inspectors an annual registration fee, as prescribed in Section 8.9(e) of the Act, payable on January 1 of each year. The registration fee is non-refundable. Failure of the inspector to remit the appropriate registration fee will cause the Department to remove the individual's

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name from the record specified in subsection (b). If an individual's name is removed from the record of qualified nondepartment inspectors, the Department will not accept radiation machine inspection reports completed on or after the date the inspector's name was removed from the record.

- d) If an individual's name is removed from the record of qualified nondepartment inspectors, he or she may reapply for approval by the Department in accordance with the requirements of subsections (a) and (b).

(Source: Amended at 13 Ill. Reg. 342, effective January 30, 1989)

Section 410.40 Radiation Installations and Sources Classifications

- a) Class A - shall include all radiation installations utilizing radiation machines and sources located in dental or veterinary offices and clinics and used solely for dental diagnosis, or located in veterinary offices and used solely for diagnosis, and all installations using commercially manufactured cabinet radiographic/fluoroscopic radiation machines and electron microscopes. (See Section 8.9(f) of the Act.)
- b) Class B - shall include all radiation installations utilizing radiation machines and sources located in offices or clinics of persons licensed under the "Medical Practice Act of 1987" approved June 30, 1923, as amended (Ill. Rev. Stat., 1983 1987, ch. 111, par. 4401 4400-1 et seq.) or under "An Act to Regulate the Practice of Podiatry in the State of Illinois" approved April 26, 1917, as amended (Ill. Rev. Stat., 1983, ch. 111, par. 4901 et seq.) the "Podiatric Medical Practice Act of 1987" (Ill. Rev. Stat. 1987, ch. 111, par. 4801 et seq.) and used solely for diagnosis or therapy and all installations using spectroscopy radiation machines, non-commercially manufactured cabinet radiographic/fluoroscopic radiation machines, portable radiographic/fluoroscopic radiation machines, non-cabinet baggage/package fluoroscopic radiation machines and electronic beam welders. (See Section 8.9(f) of the Act.)
- c) Class C - shall include all radiation installations utilizing radiation machines and sources which are not classified as Class A or Class B installations. Class C shall include but not be limited to radiation machines located in hospitals and educational institutions and all installations using diffraction radiation machines, open radiography radiation machines, closed radiographic/fluoroscopic radiation machines and radiation machines used as gauges. Test booths, tubs, baths or rooms used by manufacturing, assembly or repair facilities for testing radiation machines shall be categorized as Class C radiation installations. (See Section 8.9(f) of the Act.)

- d) Radiation installations utilizing radiation machines that are in different classes (see subsections (a), (b), and (c) above) will be assigned a classification based upon the machine(s) requiring the most frequent inspecting and testing. (See Section 410.60(d).)

(Source: Amended at 13 Ill. Reg. 342, effective January 30, 1989)

Section 410.50 Inspection Procedures

- a) It will be the responsibility of the Departmental inspector and the qualified nondepartment inspector to:

- 1) Establish that the x-ray radiation machines are being maintained and operated in accordance with standards established by the Department to protect the public health as set forth in 32 Ill. Adm. Code 310, 320, 340, 350, 360, 380, and 390, and 401; and

- 2) It shall also be the responsibility of the inspector to consult with the operator to ascertain the identity of individuals who use the equipment to administer ionizing radiation to human beings (See 32 Ill. Adm. Code 360.30(a)(4) and 360.30(i)) and to verify that those named individuals are licensed in accordance with state law, are accredited by the Department, or are exempt from such requirements in accordance with 32 Ill. Adm. Code 401.30.

- b) It will be the responsibility of the qualified nondepartment inspector to provide timely, accurate, and thorough inspection reports and certify all survey findings on appropriate Department Radiation Inspection Report radiation machine inspection forms. A survey form instruction manual will be provided to each qualified inspector by the Department for the completion of this requirement.

- c) It will be the responsibility of the qualified nondepartment inspector to perform radiation measurements with instruments which are sufficiently sensitive to determine compliance with the standards established by the Department under this section. These instruments shall be calibrated with devices which have no more than a three step (tertiary) calibration, traceable to the National Bureau of Standards.

- d) It will be the responsibility of the qualified nondepartment inspector to certify on appropriate Department Radiation Inspection Report radiation machine inspection forms for each inspection that his/her instruments have been properly calibrated at intervals not to exceed twelve 12 months prior to each inspection.

- e) It will be the responsibility of the qualified nondepartment inspector to maintain, for a period of at least 3 inspection cycles (See Section 410.60(d)), a copy of all inspection data gathered during inspections of radiation machines conducted in accordance with subsections (a)(1) and (2) above.

- e)f) It will be the responsibility of each operator of a radiation installation, upon completion of the inspection and testing of the radiation machine(s) by a qualified nondepartment inspector, survey, to forward the Radiation Inspection Report forms a clear, legible copy of the inspection report along with the appropriate filing fee to the Department. (See 111. Rev. Stat. 1983, ch. 111, par. 218.9(a) Section 410.60(a)(3).) In the event that the Department has reason to question the accuracy or thoroughness of a Radiation Inspection Report radiation machine inspection report due to the submission of incomplete or contradictory information or wherein it is not possible to verify compliance with the Department's standards for operating such equipment in accordance with 32 Ill. Adm. Code 310, 320, 340, 350, 360, 380, and 390, 400 and 401, the forms report will be returned to the operator for completion, correction or for reinspection as appropriate and for resubmittal to the Department. Forms returned to the operator for corrections or completion must be returned to the Department within 30 days of receipt.

- e)g) Within 30 days of receipt of a completed Radiation Inspection Report forms, radiation machine inspection report, the Department will provide results to the operator regarding the inspector's survey.

- e)h) Periodic reviews of qualified nondepartment inspectors' survey findings and inspection procedures will be conducted by the Department. The necessity and frequency for such reviews shall be based upon an analysis by the Department of the accuracy and thoroughness of inspectors' inspection reports and upon the availability of Department staff to conduct these reviews. Items and procedures considered as part of such reviews shall include, but need not be limited to, one or more of the following:

- 1) the type of instruments used by the inspector;
- 2) the procedures for the use of these instruments to determine compliance with Department standards;
- 3) the thoroughness and accuracy of inspection reports forms; and

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- 4) use Use of other documents and investigative procedures to assure compliance with Department standards found listed in subsection (a), i, and
- 5) Reinspection and testing by the Department of the radiation machines, records, and associated operating procedures of a radiation installation that were inspected by a qualified nondepartment inspector.

(Source: Amended at 13 Ill. Reg. 342, effective January 30, 1989)

Section 410.60 Choice of Type of Inspector, Inspection Fees and Inspection Schedule

- a) Operators of radiation installations shall assure that the installations, including all x-ray radiation machines located therein, are registered with the Department in accordance with the provisions of 32 Ill. Adm. Code 320 and are inspected and tested in accordance with the requirements of this Part.

- 1) Operators may elect to have their radiation machines and associated operating procedures inspected and tested by either a Departmental inspector or by a qualified nondepartment inspector whose name is included in the Department's record of persons approved as qualified inspectors of radiation machines.

- 2) Fees for Department inspection and testing will be as prescribed in the Act.

AGENCY NOTE: The fee for a Department inspection and testing will be \$45 per radiation machine located in dental offices and clinics and used solely for dental diagnosis, in veterinary offices and used solely for diagnosis, or in offices and clinics of persons licensed under the Podiatric Medical Practice Act of 1987 (111. Rev. Stat. 1987, ch. 111, par. 4801 et seq.), and used solely for diagnosis or therapy. The fee for inspection and testing in all other cases shall be \$65 per radiation machine. The Department will bill the operator for the appropriate fee after the machine has been inspected and tested. (See Section 8.9(a) of the Act.)

- 3) If the operator elects to have a qualified nondepartment inspector inspect and test the radiation equipment, the Department will assess a filing fee per radiation machine, as prescribed in Section 8.9(b) of the Act. The filing fee is payable, by the operator, to the Department upon submission of the qualified nondepartment inspector's radiation inspection report.

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- b) Operators of radiation installations shall assure that all x-ray radiation machines located in that installation are maintained and operated in accordance with standards established by the Department to protect the public health and safety as set forth in 32 Ill. Adm. Code 310, 320, 340, 350, 360, 380, and 390, 400, and 401. Operators shall also assure that all persons who use the equipment a radiation machine to administer ionizing radiation to human beings are licensed in accordance with state law the requirements of 32 Ill. Adm. Code 360.10 or are accredited by the Department or exempt from such requirements in accordance with 32 Ill. Adm. Code 401.30.

- c) Within 30 days of the installation of radiation equipment, an operator shall file an application for an inspection by a Department inspector or an application for Radiation Inspection Report forms and shall pay the appropriate fee. (111. Rev. Stat. 1985, ch. 111, par. 218), Inspection Report Filing Anniversary Date (See Illustrations A and B for Anniversary Date Explanations)

- 1) Each operator of a radiation installation shall file an application for initial inspection and testing to be performed by either a Departmental inspector or a qualified nondepartment inspector no later than 30 days after the initial installation of a radiation machine(s) (See Section 8.9(c) of the Act) or 30 days after the effective date of this Part, whichever is later. The radiation machine(s) shall be inspected and tested in accordance with Section 410.50(a) and radiation inspection report(s) filed with the Department within 6 months of the date of initial installation or the effective date of this Part, whichever is later. The inspection and testing end date will establish the operator's filing anniversary date for filing subsequent radiation machine inspection reports. All future inspection and testing of the operator's radiation machine(s) must be performed and the radiation inspection report filed either on the filing anniversary date or within the 5 month period immediately preceding the operator's filing anniversary date.

- 2) For operators of radiation installations who have filed radiation inspection reports with the Department previous to the effective date of this Part, the filing anniversary date will be the end date of the last inspection and testing period as indicated on the most recent inspection report filed with the Department. All future inspection(s) and testing(s) of the operator's radiation machine(s) must be completed and the report filed either on the filing anniversary date or within the 5 month period immediately preceding the operator's filing anniversary date.

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- 3) If any radiation machine(s) is installed, relocated (i.e., stationary equipment that has been moved) or reactivated within 7 months prior to the operator's inspection report filing anniversary date, and if the machine(s) is inspected during the 7 month period, the radiation machine(s) does not have to be reinspected within the 5 month period prescribed in subsection (c)(1). The radiation inspection report(s) shall be filed with the Department on or before the operator's inspection report filing anniversary date.
- 4) If any radiation machine(s) totally replaces the operator's radiation machine inventory, the operator's inspection report filing anniversary date will be changed to the end date of the inspection and testing of the radiation machine(s). In accordance with subsection (c)(1), inspection reports shall be filed within 6 months from the date of installation of the replacement machine(s).

d) An operator shall file an application for subsequent inspections of for Radiation Inspection Report forms in accordance with the following schedule to be performed by either a Departmental or qualified nondepartment inspector in accordance with the following schedule:

- 1) Operators of Class A installations shall file an application for a Department inspection or file for a Radiation Inspection Report by a non-Department inspector inspection each 3 years from the date of initial filing.
- 2) Operators of Class B installations shall file an application for a Department inspection or file for a Radiation Inspection Report by a non-Department inspector inspection each 2 years from the date of initial filing.
- 3) Operators of Class C installations shall file an application for a Department inspection or file for a Radiation Inspection Report by a non-Department inspector inspection annually from the date of initial filing.
- 4) Applications for inspections of existing radiation machines must be filed with the Department within 9 months of the operator's inspection report filing anniversary date.

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- e) Within 30 days of the installation of new equipment or modification of equipment, used, relocated or reactivated radiation machines, the operator shall file an application for an inspection by either a Departmental inspector or a qualified nondepartment inspector. Inspection and testing of the radiation machine(s) shall be performed in accordance with subsection (c) above and radiation inspection report(s) filed with the Department within 6 months of the date of installation/activation of the system(s). a Department inspection or file for a Radiation Inspection Report by a non-Department inspector. However, if the installation of new equipment or modification of equipment has occurred within 90 days prior to the next appropriate filing date in accordance with subsection (b), no interim filing shall be required. For purposes of this Section, "modification" of equipment shall include any This rule applies to the relocation or reactivation of equipment a radiation machine(s) that previously had been stored or rendered mechanically or electrically inoperable by the operator.

(Source: Amended at 13 Ill. Reg. 342, effective January 30, 1989)

Section 410.70 Separate Installation

Radiation Installations shall be defined as any location or facility where x-ray radiation machines are used. For purposes of registration and inspection frequency, the Department shall interpret "radiation installation" as follows:

- a) X-ray equipment A radiation machine which is utilized by a given Class as defined in Section 410.40, is operated by the same person and is located in one building or in buildings which are contiguous to one another will be treated as a single radiation installation, except as provided in subsection (b) below.
- b) If the Department is treating x-ray equipment radiation machines which is located in different buildings as being part of a single radiation installation in accordance with subsection (a) and the operator seeks to have the facilities treated as separate installations, the Department will consider the facilities as separate radiation installations upon receipt of a written request of the operator.

(Source: Amended at 13 Ill. Reg. 342, effective January 30, 1989)

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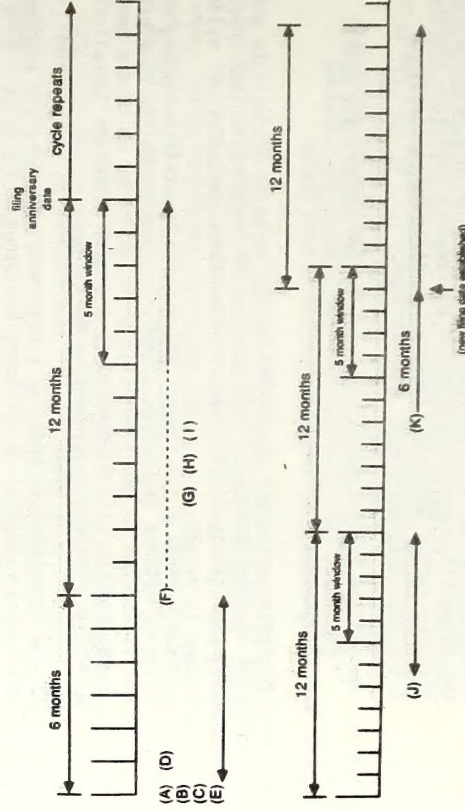
Section 410.80 Change in Operator

Within thirty (30) days of changing the operator of a radiation installation, the new operator must register with notify the Department and must file an application for a Department inspection or file for a Radiation Inspection Report by either a Departmental inspector or by a qualified non-Department nondepartment inspector. Such filing and inspection must be made regardless of the length of time which has passed since the most recent inspection of the radiation installation through the previous operator.

(Source: Amended at 13 Ill. Reg. 342, effective January 30, 1989)

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Section 410. ILLUSTRATION A NEW FACILITY FILING ANNIVERSARY DATE (CLASS C FACILITY USED AS AN EXAMPLE)



AGENCY NOTE: Letters set off by closed parenthesis in the above Illustration correspond to the arabic outline letters below.

- A) An operator of a "Class C" facility installs a radiation machine. (See Section 410.40(C).)
- B) Prior to operation, the operator must register the radiation machine with the Department. (See 32 Ill. Adm. Code 320.10.)
- C) The Department will forward an application for inspection to the operator upon notification of the installation of a radiation machine. The application will be the mechanism by which an operator will declare his or her preference for either a Department or qualified nondepartment Inspector. (See Sections 410.60(a)(1) and (c)(1).)
- D) The operator is required to file the application for inspection within 30 days from the date of installation of the radiation machine. (See Section 410.60(c)(1).)

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E) The operator must have his or her radiation machine inspected and a radiation inspection report filed with the Department within 6 months of the date of initial installation. (See Section 410.60(c)(1).)

F) The end date of the radiation machine inspection and testing will establish the operator's filing anniversary date for filing subsequent inspection reports. All future inspection and testing of the operator's radiation machine(s) must be performed and the radiation inspection report filed with the Department either on the filing anniversary date or within the 5 month period immediately preceding the filing anniversary date. (See Section 410.60(c)(1).)

G) The operator is required to file an application with the Department for reinspection of his or her radiation machine within 9 months of the filing anniversary date. (See Section 410.60(d)(4).)

H) The operator installs a new/used radiation machine or relocates/reactivates an existing radiation machine that had been previously stored or rendered mechanically inoperable.

I) The operator shall file an application with the Department for inspection within 30 days of the installation of new, used, relocated, or reactivated radiation machines. The inspection and testing of the radiation machine(s) shall be performed in accordance with Section 410.50(a) and radiation inspection report(s) filed with the Department within 6 months of the date of activation of the system(s). (See Section 410.60(e).)

J) If any radiation machine(s) is installed, relocated or reactivated within 7 months prior to the operator's inspection report filing anniversary date, and if the machine(s) is inspected during the 7 month period, the radiation machine(s) does not have to be reinspected within the 5 month period prescribed in Section 410.60(c)(1). The radiation inspection report(s) shall be filed with the Department on or before the operator's inspection report filing anniversary date. (See Section 410.60(c)(3).)

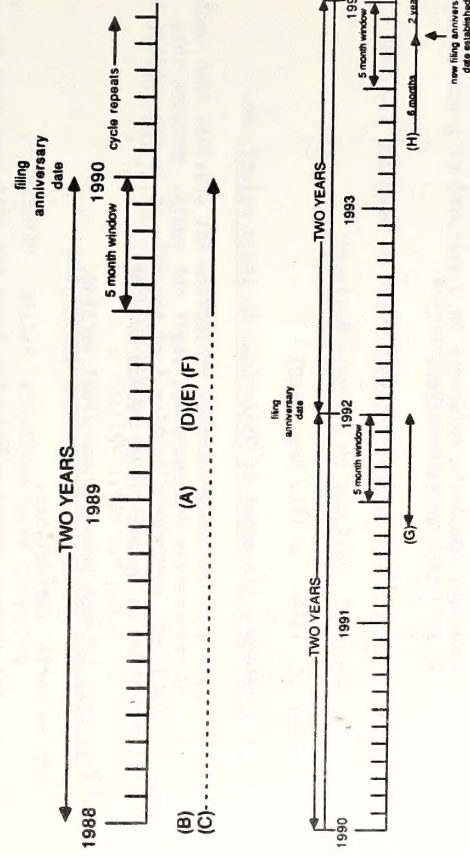
K) If any radiation machine(s) totally replaces the operator's radiation machine inventory, the operator's inspection report filing anniversary date will be changed to the end date of the inspection and testing of the radiation machine(s). In accordance with Section 410.60(c)(1), inspection reports shall be filed within 6 months from the date of installation of the replacement machine(s). (See Section 410.60(c)(4).)

(Source: Added at 13 Ill. Reg. 342, effective January 30, 1989)

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Section 410. ILLUSTRATION B EXISTING FACILITY FILING ANNIVERSARY DATE (CLASS B FACILITY USED AS AN EXAMPLE)



AGENCY NOTE: Letters set off by closed parenthesis in the above illustration correspond to the arabic outline letters below.

- A) The effective date of Section 410.60(c).
- B) The end date of the operator's last radiation machine inspection and testing ("Class B" facility).
- C) The end date of the radiation machine inspection and testing will establish the operator's filing anniversary date for filing subsequent inspection reports. All future inspection and testing of the operator's radiation machine(s) must be performed and the radiation inspection report filed with the Department either on the filing anniversary date or within the 5 month period immediately preceding the filing anniversary date. (See Section 410.60(c)(2).)

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1) Heading of the Part: Hazardous Waste Management System: General

2) Code Citation: 35 Ill. Adm. Code 720

3) Section Numbers: Adopted Action:

720.110
720.111

Amendment
Amendment

4) Statutory Authority: 111. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4 and 1027.

5) Effective Date of Amendment: December 28, 1988

6) Does this rulemaking contain an automatic repeal date?: No.

7) Does this Amendment contain incorporations by reference?

Yes. This amendment updates a reference to a standard of a nationally recognized organization or association.

8) Date filed in Board's Principal Office: Order of November 17, 1988

9) Notice of Proposal Published in Illinois Register:

September 30, 1988; 12 Ill. Reg. 15327

10) Has JCAR issued a Statement of Objections to these rules? No.

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

11) Differences between proposal and final version:

Minor editorial corrections.

12) Have all the changes agreed upon by the Board and JCAR been made as indicated in the agreement letter issued by JCAR?

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

13) Will this Amendment replace an emergency Amendment currently in effect? No.

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D) The operator is required to file an application with the Department for reinspection of his or her radiation machine within 9 months of the filing anniversary date. (See Section 410.60(d)(4).)

E) The operator installs a new or used radiation machine or relocates or reactivates an existing radiation machine that had been previously stored or rendered mechanically inoperable.

F) The operator shall file an application with the Department for inspection within 30 days of the installation of new, used, relocated, or reactivated radiation machines. The inspection and testing of the radiation machine(s) shall be performed in accordance with Section 410.50(a) and radiation inspection report(s) filed with the Department within 6 months of the date of activation of the system(s). (See Section 410.60(e).)

G) If any radiation machine(s) is installed, relocated or reactivated within 7 months prior to the operator's inspection report filing anniversary date, and if the machine(s) is inspected during the 7 month period, the radiation machine(s) does not have to be reinspected within the 5 month period prescribed in Section 410.60(c)(1). The radiation inspection report(s) shall be filed with the Department on or before the operator's inspection report filing anniversary date. (See Section 410.60(c)(3).)

H) If any radiation machine(s) totally replaces the operator's radiation machine inventory, the operator's inspection report filing anniversary date will be changed to the end date of the inspection and testing of the radiation machine(s). In accordance with Section 410.60(c)(1), inspection reports shall be filed within 6 months from the date of installation of the replacement machine(s). (See Section 410.60(c)(4).)

(Source: Added at 13111. Reg. 342, effective January 30, 1989)

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14) Are there any other amendments pending on this Part? No.

15) Summary and Purpose of Amendment

A complete description is contained in the Board's Opinion of November 17, 1988 in R88-16, which Opinion is available from the address below. Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

This rulemaking updates the Board's RCRA hazardous waste rules to correspond with amendments adopted by USEPA which appeared in the Federal Register during the period January 1 through July 31, 1988. The amendment to Section 720.110 adds a definition of "treatability study", which is used in 35 Ill. Adm. Code 721. The amendment to Section 720.111 updates a reference to an ASTM standard to the current edition.

16) Information and questions regarding this adopted Amendment shall be directed to:

Morton F. Dorothy
Illinois Pollution Control Board
104 W. University
Urbana, IL 61801
217/ 333-5575

The full text of the Adopted Amendments begins on the next page:

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TITLE 35: ENVIRONMENTAL PROTECTION

SUBTITLE G: WASTE DISPOSAL

CHAPTER I: POLLUTION CONTROL BOARD

SUBCHAPTER C: HAZARDOUS WASTE OPERATING REQUIREMENTS

PART 720

HAZARDOUS WASTE MANAGEMENT SYSTEM: GENERAL

SUBPART A: GENERAL PROVISIONS

Purpose, Scope and Applicability
Availability of Information; Confidentiality of Information
Use of Number and Gender

Section
720.101
720.102
720.103

SUBPART B: DEFINITIONS

Definitions
References
720.110
720.111

SUBPART C: RULEMAKING PETITIONS AND OTHER PROCEDURES

Section
720.120
720.121
720.122
720.130
720.131
720.132
720.133
720.140

Rulemaking
Alternative Equivalent Testing Methods
Waste Delisting
Procedures for Solid Waste Determinations
Solid Waste Determinations
Boiler Determinations
Procedures for Determinations
Additional regulation of certain hazardous waste Recycling
Activities on a case-by-case Basis
Procedures for case-by-case regulation of hazardous waste Recycling
Activities

720.141

Appendix A Overview of 40 CFR, Subtitle C Regulations

AUTHORITY: Implementing Section 22.4 and authorized by Section 27 of the Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4 and 1027).

SOURCE: Adopted in R81-22, 43 PCB 427, at 5 Ill. Reg. 9781, effective as noted in 35 Ill. Adm. Code 700.106; amended and codified in R81-22, 45 PCB 317, at 6 Ill. Reg. 4828, effective as noted in 35 Ill. Adm. Code 700.106; amended in R82-19 at 7 Ill. Reg. 14015, effective Oct. 12, 1983; amended in R84-9, 53 PCB 131 at 9 Ill. Reg. 11819, effective July 24, 1985; amended in R85-22 at 10 Ill. Reg. 968, effective January 2, 1986; amended in R86-1 at 10 Ill. Reg. 13998, effective August 12, 1986; amended in R86-19 at 10 Ill. Reg. 20630, effective December 2, 1986; amended in R86-28 at 11 Ill. Reg. 6017, effective March 24, 1987; amended in R86-46 at 11 Ill. Reg. 13435, effective August 4, 1987; amended in R87-5 at 11 Ill. Reg. 19280, effective November

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12, 1987; amended in R87-26 at 12 Ill. Reg. 2450, effective January 15, 1988; amended in R87-39 at 12 Ill. Reg. 12999, effective July 29, 1988; amended in R88-16 at 13 Ill. Reg. 362, effective December 28, 1988.

SUBPART B: DEFINITIONS

Section 720.110 Definitions

When used in 35 Ill. Adm. Code 720 through 725 and 728 only, the following terms have the meanings given below:

"Aboveground tank" means a device meeting the definition of "tank" that is situated in such a way that the entire surface area of the tank is completely above the plane of the adjacent surrounding surface and the entire surface area of the tank (including the tank bottom) is able to be visually inspected.

"Act" or "RCRA" means the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act of 1976, as amended (42 U.S.C. 6901 et seq.)

"Active life" of a facility means the period from the initial receipt of hazardous waste at the facility until the Agency receives certification of final closure.

"Active portion" means that portion of a facility where treatment, storage or disposal operations are being or have been conducted after May 19, 1980 and which is not a closed portion. (See also "closed portion" and "inactive portion".)

"Administrator" means the Administrator of the U.S. Environmental Protection Agency or his designee.

"Agency" means the Illinois Environmental Protection Agency.

"Ancillary equipment" means any device including, but not limited to, such devices as piping, fittings, flanges, valves and pumps, that is used to distribute, meter or control the flow of hazardous waste from its point of generation to storage or treatment tank(s), between hazardous waste storage and treatment tanks to a point of disposal onsite, or to a point of shipment for disposal off-site.

"Aquifer" means a geologic formation, group of formations or part of a formation capable of yielding a significant amount of groundwater to wells or springs.

"Authorized representative" means the person responsible for the overall operation of a facility or an operational unit (i.e., part of a facility), e.g., the plant manager, superintendent or person of

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equivalent responsibility.

"Board" means the Illinois Pollution Control Board.

"Boiler" means an enclosed device using controlled flame combustion and having the following characteristics:

The unit must have physical provisions for recovering and exporting thermal energy in the form of steam, heated fluids or heated gases; and the unit's combustion chamber and primary energy recovery section(s) must be of integral design. To be of integral design, the combustion chamber and the primary energy recovery section(s) (such as waterwalls and superheaters) must be physically formed into one manufactured or assembled unit. A unit in which the combustion chamber and the primary energy recovery section(s) are joined only by ducts or connections carrying flue gas is not integrally designed; however, secondary energy recovery equipment (such as economizers or air preheaters) need not be physically formed into the same unit as the combustion chamber and the primary energy recovery section. The following units are not precluded from being boilers solely because they are not of integral design: process heaters (units that transfer energy directly to a process stream), and fluidized bed combustion units; and

While in operation, the unit must maintain a thermal energy recovery efficiency of at least 60 percent, calculated in terms of the recovered energy compared with the thermal value of the fuel; and

The unit must export and utilize at least 75 percent of the recovered energy, calculated on an annual basis. In this calculation, no credit shall be given for recovered heat used internally in the same unit. (Examples of internal use are the preheating of fuel or combustion air, and the driving of induced or forced draft fans or feedwater pumps); or

The unit is one which the Board has determined, on a case-by-case basis, to be a boiler, after considering the standards in Section 720.132.

"Certification" means a statement of professional opinion based upon knowledge and belief.

"Closed Portion" means that portion of a facility which an owner or operator has closed in accordance with the approved facility closure plan and all applicable closure requirements. (See also "active portion" and "inactive portion".)

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"Component" means either the tank or ancillary equipment of a tank system.

"Confined aquifer" means an aquifer bounded above and below by impermeable beds or by beds of distinctly lower permeability than that of the aquifer itself; an aquifer containing confined groundwater.

"Container" means any portable device in which a material is stored, transported, treated, disposed of or otherwise handled.

"Contingency plan" means a document setting out an organized, planned and coordinated course of action to be followed in case of a fire, explosion or release of hazardous waste or hazardous waste constituents which could threaten human health or the environment.

"Corrosion expert" means a person who, by reason of knowledge of the physical sciences and the principles of engineering and mathematics, acquired by a professional education and related practical experience, is qualified to engage in the practice of corrosion control on buried or submerged metal piping systems and metal tanks. Such a person must be certified as being qualified by the National Association of Corrosion Engineers (NACE) or be a registered professional engineer who has certification or licensing that includes education and experience in corrosion control on buried or submerged metal piping systems and metal tanks.

"Designated facility" means a hazardous waste treatment, storage or disposal facility which has received an EPA permit (or a facility with interim status) in accordance with the requirements of 40 CFR 270 and 124 or a permit from a state authorized in accordance with 40 CFR 271, or that is regulated under 40 CFR 261.6(c)(2) or 40 CFR 266.Subpart F or 35 Ill. Adm. Code 721.106(c)(2) or 726.Subpart F and that has been designated on the manifest by the generator pursuant to 35 Ill. Adm. Code 722.120.

"Dike" means an embankment or ridge of either natural or manmade materials used to prevent the movement of liquids, sludges, solids or other materials.

"Director" means the Director of the Illinois Environmental Protection Agency.

"Discharge" or "hazardous waste discharge" means the accidental or intentional spilling, leaking, pumping, pouring, emitting, emptying or dumping of hazardous waste into or on any land or water.

"Disposal" means the discharge, deposit, injection, dumping, spilling, leaking or placing of any solid waste or hazardous waste

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into or on any land or water so that such solid waste or hazardous waste or any constituent thereof may enter the environment or be emitted into the air or discharged into any waters, including groundwaters.

"Disposal facility" means a facility or part of a facility at which hazardous waste is intentionally placed into or on any land or water and at which waste will remain after closure.

"Elementary neutralization unit" means a device which:

Is used for neutralizing wastes which are hazardous wastes only because they exhibit the corrosivity characteristic defined in 35 Ill. Adm. Code 721.122 or are listed in 35 Ill. Adm. Code 721.Subpart D only for this reason; and

Meets the definition of tank, container, transport vehicle or vessel in Section 720.110.

"EPA" means United States Environmental Protection Agency.

"EPA hazardous waste number" means the number assigned by EPA to each hazardous waste listed in 35 Ill. Adm. Code 721.Subpart D and to each characteristic identified in 35 Ill. Adm. Code 721.Subpart C.

"EPA identification number" means the number assigned by USEPA pursuant to 35 Ill. Adm. Code 722 through 725 to each generator, transporter and treatment, storage or disposal facility.

"EPA region" means the states and territories found in any one of the following ten regions:

Region I: Maine, Vermont, New Hampshire, Massachusetts, Connecticut and Rhode Island

Region II: New York, New Jersey, Commonwealth of Puerto Rico and the U.S. Virgin Islands

Region III: Pennsylvania, Delaware, Maryland, West Virginia, Virginia and the District of Columbia

Region IV: Kentucky, Tennessee, North Carolina, Mississippi, Alabama, Georgia, South Carolina and Florida

Region V: Minnesota, Wisconsin, Illinois, Michigan, Indiana and Ohio

Region VI: New Mexico, Oklahoma, Arkansas, Louisiana and Texas

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Region VII: Nebraska, Kansas, Missouri and Iowa

Region VIII: Montana, Wyoming, North Dakota, South Dakota, Utah and Colorado

Region IX: California, Nevada, Arizona, Hawaii, Guam, American Samoa and Commonwealth of the Northern Mariana Islands

Region X: Washington, Oregon, Idaho and Alaska

"Equivalent method" means any testing or analytical method approved by the Board pursuant to Section 720.120.

"Existing hazardous waste management (HWM) facility" or "existing facility" means a facility which was in operation or for which construction commenced on or before November 19, 1980. A facility had commenced construction if the owner or operator had obtained the federal, state and local approvals or permits necessary to begin physical construction and either

A continuous on-site, physical construction program had begun or the owner or operator had entered into contractual obligations -- which could not be cancelled or modified without substantial loss -- for physical construction of the facility to be completed within a reasonable time.

"Existing portion" means that land surface area of an existing waste management unit, included in the original Part A permit application, on which wastes have been placed prior to the issuance of a permit.

"Existing tank system" or "existing component" means a tank system or component that is used for the storage or treatment of hazardous waste and that is in operation, or for which installation has commenced on or prior to July 14, 1986. Installation will be considered to have commenced if the owner or operator has obtained all federal, state and local approvals or permits necessary to begin physical construction of the site or installation of the tank system and if either

A continuous on-site physical construction or installation program has begun; or

The owner or operator has entered into contractual obligations -- which cannot be canceled or modified without substantial loss -- for physical construction of the site or installation of the tank system to be completed within a reasonable time.

"Facility" means all contiguous land and structures, other

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appurtenances and improvements on the land used for treating, storing or disposing of hazardous waste. A facility may consist of several treatment, storage or disposal operational units (e.g., one or more landfills, surface impoundments or combinations of them).

"Final closure" means the closure of all hazardous waste management units at the facility in accordance with all applicable closure requirements so that hazardous waste management activities under 35 Ill. Adm. Code 724 and 725 are no longer conducted at the facility unless subject to the provisions of 35 Ill. Adm. Code 722.134.

"Federal agency" means any department, agency or other instrumentality of the federal government, any independent agency or establishment of the federal government including any government corporation and the Government Printing Office.

"Federal, state and local approvals or permits necessary to begin physical construction" means permits and approvals required under federal, state or local hazardous waste control statutes, regulations or ordinances.

"Food-chain crops" means tobacco, crops grown for human consumption and crops grown for feed for animals whose products are consumed by humans.

"Freeboard" means the vertical distance between the top of a tank or surface impoundment dike and the surface of the waste contained therein.

"Free liquids" means liquids which readily separate from the solid portion of a waste under ambient temperature and pressure.

"Generator" means any person, by site, whose act or process produce hazardous waste identified or listed in 35 Ill. Adm. Code 721 or whose act first causes a hazardous waste to become subject to regulation.

"Groundwater" means water below the land surface in a zone of saturation.

"Hazardous waste" means a hazardous waste as defined in 35 Ill. Adm. Code 721.103.

"Hazardous waste constituent" means a constituent which caused the hazardous waste to be listed in 35 Ill. Adm. Code 721.Subpart D, or a constituent listed in 35 Ill. Adm. Code 721.124.

"Hazardous waste management unit" is a contiguous area of land on or in which hazardous waste is placed, or the largest area in which

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there is significant likelihood of mixing hazardous waste constituents in the same area. Examples of hazardous waste management units include a surface impoundment, a waste pile, a land treatment area, a landfill cell, an incinerator, a tank and its associated piping and underlying containment system and a container storage area. A container alone does not constitute a unit; the unit includes containers and the land or pad upon which they are placed.

"Inactive portion" means that portion of a facility which is not operated after November 19, 1980. (See also "active portion" and "closed portion".)

"Incinerator" means any enclosed device using controlled flame combustion which is neither a "boiler" nor an "industrial furnace".

"Incompatible waste" means a hazardous waste which is suitable for:

Placement in a particular device or facility because it may cause corrosion or decay of containment materials (e.g., container inner liners or tank walls); or

Commingling with another waste or material under uncontrolled conditions because the commingling might produce heat or pressure, fire or explosion, violent reaction, toxic dusts, mists, fumes or gases or flammable fumes or gases.

(See 35 Ill. Adm. Code 725. Appendix E for examples.)

"Industrial furnace" means any of the following enclosed devices that are integral components of manufacturing processes and that use controlled flame devices to accomplish recovery of materials or energy:

Cement kilns

Lime kilns

Aggregate kilns

Phosphate kilns

Coke ovens

Blast furnaces

Smelting, melting and refining furnaces (including pyrometallurgical devices such as cupolas, reverberator furnaces, sintering machines, roasters and foundry furnaces)

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Titanium dioxide chloride process oxidation reactors

Methane reforming furnaces

Pulping liquor recovery furnaces

Combustion devices used in the recovery of sulfur values from spent sulfuric acid

Any other such device as the Agency determines to be an "Industrial Furnace" on the basis of one or more of the following factors:

The design and use of the device primarily to accomplish recovery of material products;

The use of the device to burn or reduce raw materials to make a material product;

The use of the device to burn or reduce secondary materials as effective substitutes for raw materials, in processes using raw materials as principal feedstocks;

The use of the device to burn or reduce secondary materials as ingredients in an industrial process to make a material product;

The use of the device in common industrial practice to produce a material product; and

Other relevant factors.

"Individual generation site" means the contiguous site at or on which one or more hazardous wastes are generated. An individual generation site, such as a large manufacturing plant, may have one or more sources of hazardous waste but is considered a single or individual generation site if the site or property is contiguous.

"Inground tank" means a device meeting the definition of "tank" whereby a portion of the tank wall is situated to any degree within the ground, thereby preventing visual inspection of that external surface area of the tank that is in the ground.

"In operation" refers to a facility which is treating, storing or disposing of hazardous waste.

"Injection well" means a well into which fluids are being injected. (See also "underground injection".)

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"Inner liner" means a continuous layer of material placed inside a tank or container which protects the construction materials of the tank or container from the contained waste or reagents used to treat the waste.

"Installation inspector" means a person who, by reason of knowledge of the physical sciences and the principles of engineering, acquired by a professional education and related practical experience, is qualified to supervise the installation of tank systems.

"International shipment" means the transportation of hazardous waste into or out of the jurisdiction of the United States.

"Land treatment facility" means a facility or part of a facility at which hazardous waste is applied onto or incorporated into the soil surface; such facilities are disposal facilities if the waste will remain after closure.

"Landfill" means a disposal facility or part of a facility where hazardous waste is placed in or on land and which is not a land treatment facility, a surface impoundment or an injection well.

"Landfill cell" means a discrete volume of a hazardous waste landfill which uses a liner to provide isolation of wastes from adjacent cells or wastes. Examples of landfill cells are trenches and pits.

"Leachate" means any liquid, including any suspended components in the liquid, that has percolated through or drained from hazardous waste.

"Liner" means a continuous layer of natural or manmade materials beneath or on the sides of a surface impoundment, landfill or landfill cell, which restricts the downward or lateral escape of hazardous waste, hazardous waste constituents or leachate.

"Leak-detection system" means a system capable of detecting the failure of either the primary or secondary containment structure or the presence of a release of hazardous waste or accumulated liquid in the secondary containment structure. Such a system must employ operational controls (e.g., daily visual inspections for releases into the secondary containment system of aboveground tanks) or consist of an interstitial monitoring device designed to detect continuously and automatically the failure of the primary or secondary containment structure or the presence of a release of hazardous waste into the secondary containment structure.

"Management" or "hazardous waste management" means the systematic control of the collection, source separation, storage,

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transportation, processing, treatment, recovery and disposal of hazardous waste.

"Manifest" means the shipping document originated and signed by the generator which contains the information required by 35 Ill. Adm. Code 722.Subpart B.

"Manifest document number" means the USEPA twelve digit identification number assigned to the generator plus a unique five digit document number assigned to the manifest by the generator for recording and reporting purposes.

"Mining overburden returned to the mine site" means any material overlying an economic mineral deposit which is removed to gain access to that deposit and is then used for reclamation of a surface mine.

"Movement" means that hazardous waste transported to a facility in an individual vehicle.

"New hazardous waste management facility" or "new facility" means a facility which began operation, or for which construction commenced, after November 19, 1980. (See also "Existing hazardous waste management facility".)

"New tank system" or "new tank component" means a tank system or component that will be used for the storage or treatment of hazardous waste and for which installation commenced after July 14, 1986; except, however, for purposes of 35 Ill. Adm. Code 724.293(g)(2) and 725.293(g)(2), a new tank system is one for which construction commences after July 14, 1986. (See also "existing tank system".)

"Onground tank" means a device meeting the definition of "tank" that is situated in such a way that the bottom of the tank is on the same level as the adjacent surrounding surfaces so that the external tank bottom cannot be visually inspected.

"On-site" means the same or geographically contiguous property which may be divided by public or private right-of-way, provided the entrance and exit between the properties is at a crossroads intersection and access is by crossing as opposed to going along the right-of-way. Noncontiguous properties owned by the same person but connected by a right-of-way which he controls and to which the public does not have access is also considered on-site property.

"Open burning" means the combustion of any material without the following characteristics:

Control of combustion air to maintain adequate temperature for efficient combustion;

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Containment of the combustion reaction in an enclosed device to provide sufficient residence time and mixing for complete combustion; and

Control of emission of the gaseous combustion products.

(See also "incineration" and "thermal treatment".)

"Operator" means the person responsible for the overall operation of a facility.

"Owner" means the person who owns a facility or part of a facility.

"Partial closure" means the closure of a hazardous waste management unit in accordance with the applicable closure requirements of 35 Ill. Adm. Code 724 or 725 at a facility which contains other active hazardous waste management units. For example, partial closure may include the closure of a tank (including its associated piping and underlying containment systems), landfill cell, surface impoundment, waste pile or other hazardous waste management unit, while other units of the same facility continue to operate.

"Person" means an individual, trust, firm, joint stock company, federal agency, corporation (including a government corporation), partnership, association, state, municipality, commission, political subdivision of a state or any interstate body.

"Personnel" or "facility personnel" means all persons who work at or oversee the operations of a hazardous waste facility and whose actions or failure to act may result in noncompliance with the requirements of 35 Ill. Adm. Code 724 or 725.

"Pile" means any noncontainerized accumulation of solid, non-flowing hazardous waste that is used for treatment or storage.

"Point source" means any discernible, confined and discrete conveyance including, but not limited to, any pipe, ditch, channel, tunnel, conduit, well, discrete fissure, container, rolling stock, concentrated animal feeding operation or vessel or other floating craft from which pollutants are or may be discharged. This term does not include return flows from irrigated agriculture.

"Publicly owned treatment works" or "POTW" means any device or system used in the treatment (including recycling and reclamation) of municipal sewage or industrial wastes of a liquid nature which is owned by a "state" or "municipality" (as defined by Section 502(4) of the Clean Water Act (33 U.S.C. 1362(4))). This definition includes sewers, pipes or other conveyances only if they convey wastewater to

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a POTW providing treatment.

"Regional Administrator" means the Regional Administrator for the EPA Region in which the facility is located or his designee.

"Representative sample" means a sample of a universe or whole (e.g., waste pile, lagoon, groundwater) which can be expected to exhibit the average properties of the universe or whole.

"Runoff" means any rainwater, leachate or other liquid that drains over land from any part of a facility.

"Runon" means any rainwater, leachate or other liquid that drains over land onto any part of a facility.

"Saturated zone" or "zone of saturation" means that part of the earth's crust in which all voids are filled with water.

"SIC Code" means Standard Industrial Code as defined in Standard Industrial Classification Manual, incorporated by reference in Section 720.111.

"Sludge" means any solid, semi-solid or liquid waste generated from a municipal, commercial or industrial wastewater treatment plant, water supply treatment plant or air pollution control facility exclusive of the treated effluent from a wastewater treatment plant.

"Small Quantity Generator" means a generator which generates less than 1000 kg of hazardous waste in a calendar month.

"Solid waste" means a solid waste as defined in 35 Ill. Adm. Code 721.102.

"Sump" means any pit or reservoir that meets the definition of tank and those troughs or trenches connected to it that serve to collect hazardous waste for transport to hazardous waste storage, treatment or disposal facilities.

"State" means any of the several states, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa and the Commonwealth of the Northern Mariana Islands.

"Storage" means the holding of hazardous waste for a temporary period, at the end of which the hazardous waste is treated, disposed of or stored elsewhere.

"Surface impoundment" or "impoundment" means a facility or part of a facility which is a natural topographic depression, manmade excavation or diked area formed primarily of earthen materials

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(although it may be lined with manmade materials) which is designed to hold an accumulation of liquid wastes or wastes containing free liquids and which is not an injection well. Examples of surface impoundments are holding, storage, settling and aeration pits, ponds and lagoons.

"Tank" means a stationary device, designed to contain an accumulation of hazardous waste which is constructed primarily of nonearthen materials (e.g., wood, concrete, steel, plastic) which provide structural support.

"Tank system" means a hazardous waste storage or treatment tank and its associated ancillary equipment and containment system.

"Thermal treatment" means the treatment of hazardous waste in a device which uses elevated temperatures as the primary means to change the chemical, physical or biological character or composition of the hazardous waste. Examples of thermal treatment processes are incineration, molten salt, pyrolysis, calcination, wet air oxidation and microwave discharge. (See also "incinerator" and "open burning".)

"Totally enclosed treatment facility" means a facility for the treatment of hazardous waste which is directly connected to an industrial production process and which is constructed and operated in a manner which prevents the release of any hazardous waste or any constituent thereof into the environment during treatment. An example is a pipe in which waste acid is neutralized.

"Transfer facility" means any transportation related facility including loading docks, parking areas, storage areas and other similar areas where shipments of hazardous waste are held during the normal course of transportation.

"Transport vehicle" means a motor vehicle or rail car used for the transportation of cargo by any mode. Each cargo-carrying body (trailer, railroad freight car, etc.) is a separate transport vehicle.

"Transportation" means the movement of hazardous waste by air, rail, highway or water.

"Transporter" means a person engaged in the off-site transportation of hazardous waste by air, rail, highway or water.

"Treatability study" means:

A study in which a hazardous waste is subjected to a treatment process to determine:

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Whether the waste is amenable to the treatment process.

What pretreatment (if any) is required.

The optimal process conditions needed to achieve the desired treatment.

The efficiency of a treatment process for a specific waste or wastes. Or,

The characteristics and volumes of residuals from a particular treatment process.

Also included in this definition for the purpose of 35 Ill. Adm. Code 721.104(e) and (f) exemptions are liner compatibility, corrosion and other material compatibility studies and toxicological and health effects studies. A "treatability study" is not a means to commercially treat or dispose of hazardous waste.

"Treatment" means any method, technique or process, including neutralization, designed to change the physical, chemical or biological character or composition of any hazardous waste so as to neutralize such waste, or so as to recover energy or material resources from the waste or so as to render such waste non-hazardous or less hazardous; safer to transport, store or dispose of; or amenable for recovery, amenable for storage or reduced in volume.

"Treatment zone" means a soil area of the unsaturated zone of a land treatment unit within which hazardous constituents are degraded, transformed or immobilized.

"Underground injection" means the subsurface emplacement of fluids through a bored, drilled or driven well; or through a dug well, where the depth of the dug well is greater than the largest surface dimension. (See also "injection well".)

"Underground tank" means a device meeting the definition of "tank" whose entire surface area is totally below the surface of and covered by the ground.

"Unfit-for-use tank system" means a tank system that has been determined through an integrity assessment or other inspection to be no longer capable of storing or treating hazardous waste without posing a threat of release of hazardous waste to the environment.

"Uppermost aquifer" means the geologic formation nearest the natural ground surface that is an aquifer, as well as lower aquifers that are

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hydraulically interconnected with this aquifer within the facility's property boundary.

"Unsaturated zone" or "zone of aeration" means the zone between the land surface and the water table.

"United States" means the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa and the Commonwealth of the Northern Mariana Islands.

"Vessel" includes every description of watercraft, used or capable of being used as a means of transportation on the water.

"Wastewater treatment unit" means a device which:

Is part of a wastewater treatment facility which is subject to regulation under either Section 402 or Section 307(b) of the Clean Water Act (33 U.S.C. 1342 or 1317(b)); and receives and treats or stores an influent wastewater which is a hazardous waste as defined in 35 Ill. Adm. Code 721.103 or generates and accumulates a wastewater treatment sludge which is a hazardous waste as defined in 35 Ill. Adm. Code 721.103 or treats or stores a wastewater treatment sludge which is a hazardous waste as defined in 35 Ill. Adm. Code 721.103; and

Meets the definition of tank in 35 Ill. Adm. Code 720.110.

"Water (bulk shipment)" means the bulk transportation of hazardous waste which is loaded or carried on board a vessel without containers or labels.

"Well" means any shaft or pit dug or bored into the earth, generally of a cylindrical form, and often walled with bricks or tubing to prevent the earth from caving in.

"Well injection" (See "underground injection").

"Zone of engineering control" means an area under the control of the owner or operator that, upon detection of a hazardous waste release, can be readily cleaned up prior to the release of hazardous waste or hazardous constituents to groundwater or surface water.

(Source: Amended at 13 Ill. Reg. 362, effective December 28, 1988)

Section 720.111 References

- a) The following publications are incorporated by reference:

ANSI. Available from the American National Standards Institute,

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1430 Broadway, New York, New York 10018, (212) 354-3300:

"Petroleum Refinery Piping," ANSI B31.3 -- 1976, with addendum B31.3(d) -- 1980.

"Liquid Petroleum Transportation Piping Systems," ANSI B31.4 -- 1974, with addendum B31.4(b) -- 1981.

API. Available from the American Petroleum Institute, 1220 L Street, N.W., Washington, D.C. 20005, (202) 682-8000:

"Guide for Inspection of Refinery Equipment, Chapter XIII, Atmospheric and Low Pressure Storage Tanks," 4th Edition, 1981.

"Cathodic Protection of Underground Petroleum Storage Tanks and Piping Systems," API Publication 1632, 1983.

"Installation of Underground Petroleum Storage Systems," API Publication 1615 (November 1979).

ASTM. Available from American Society for Testing and Materials, 1916 Race Street, Philadelphia, PA 19103, (215) 299-5400:

"ASTM Standard Test Methods for Flash Point of Liquids by Setflash Closed Tester," ASTM Standard D-3828--~~81~~-87.

"ASTM Standard Test Methods for Flash Point Pensky-Martens Closed Tester," ASTM Standard D-93-79 or D-93-80.

GPO. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20401, (202) 783-3238:

Standard Industrial Classification Manual (1972), and 1977 Supplement, republished in 1983

NACE. Available from the National Association of Corrosion Engineers, 1400 South Creek Dr., Houston, TX 77084, (713) 492-0535:

"Recommended Practice (RP-02-85) Control of External Corrosion on Metallic Buried, Partially Buried, or Submerged Liquid Storage Systems."

NFPA. Available from the National Fire Protection Association, Batterymarch Park, Boston, MA 02269, (617) 770-3000 or (800) 344-3555:

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"Flammable and Combustible Liquids Code" (1977 or 1981).

NTIS. Available from the National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161, (703) 487-4600:

"Generic Quality Assurance Project Plan for Land Disposal Restrictions Program", EPA/530-SW-87-011, March 15, 1987. (Document number PB 88-170766.

"Methods for Chemical Analysis of Water and Wastes", Third Edition, March, 1983. (Document number PB 84-128677)

"Procedures Manual for Ground Water Monitoring at Solid Waste Disposal Facilities", EPA-530/SW-611, 1977. (Document number PB 84-174820)

"Test Methods for Evaluating Solid Waste, Physical/Chemical Methods," EPA Publication number SW-846 (Second Edition, 1982 as amended by Update I (April, 1984) and Update II (April, 1985)) (Document number PB 87-120291)

STI. Available from the Steel Tank Institute, 728 Anthony Trail, Northbrook, IL 60062, (312) 498-1980:

"Standard for Dual Wall Underground Steel Storage Tanks" (1986).

- b) Code of Federal Regulations. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20401, (202) 783-3238:

40 CFR 220 (1987)

40 CFR 264 (1987)

40 CFR 761 (1987)

- c) Federal Statutes

Section 3004 of the Resource Conservation and Recovery Act (42 U.S.C. 6901 et seq., as amended through December 31, 1987.

- d) This Section incorporates no later editions or amendments.

(Source: Amended at 13 Ill. Reg. 362, effective December 28, 1988.)

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- 1) Heading of the Part: Identification and Listing of Hazardous Waste

- 2) Code Citation: 35 Ill. Adm. Code 721

- 3) Section Numbers: Adopted Action:

721.104

Amendment

721.105

Amendment

721.133

Amendment

721.Appendix H

Amendment

- 4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4 and 1027.

- 5) Effective Date of Amendment: December 28, 1988

- 6) Does this rulemaking contain an automatic repeal date?: No.

- 7) Does this Amendment contain incorporations by reference? No.

- 8) Date filed in Board's Principal Office: Order of November 17, 1988

- 9) Notice of Proposal Published in Illinois Register:

September 30, 1988; 12 Ill. Reg. 15347

- 10) Has JCAR issued a Statement of Objections to these rules? No.

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

- 11) Differences between proposal and final version:

Minor editorial corrections. In Section 721.133 the following listings have been added to conform with federal rules: Selenious acid, dithallium (1+) salt; and, Propanoic acid, 2-(2,4,5)-trichlorophenoxy)-. In Appendix H, the following have been added: 1,2-Dichloroethylene through Dichloromethyl ether; and Nitrogen mustard, N-oxide, hydrochloride salt.

- 12) Have all the changes agreed upon by the Board and JCAR been made as indicated in the agreement letter issued by JCAR?

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

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13) Will this Amendment replace an emergency Amendment currently in effect?
No.

14) Are there any other amendments pending on this Part? No.

15) Summary and Purpose of Amendment

A complete description is contained in the Board's Opinion of November 17, 1988 in R88-16, which Opinion is available from the address below.
Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

This rulemaking updates the Board's RCRA hazardous waste rules to correspond with amendments adopted by USEPA which appeared in the Federal Register during the period January 1 through July 31, 1988.

The amendment to Section 721.104 was drawn from 53 Fed. Reg. 27289, July 19, 1988. This adds two subsections which exclude from the definition of "hazardous waste" samples used in "treatability studies", which is defined in 35 Ill. Adm. Code 720.

The amendment to Section 721.105 was drawn from 53 Fed. Reg. 27289, July 19, 1988. These define "full regulation" and correct cross references.
The amendment to Section 721.133 and Appendix H were drawn from 53 Fed. Reg. 13383, April 22, 1988. These are non-substantive revisions to the chemical listings.

16) Information and questions regarding this adopted Amendment shall be directed to:

Morton F. Dorothy
Illinois Pollution Control Board
104 W. University
Urbana, IL 61801
217/ 333-5575

The full text of the Adopted Amendments begins on the next page:

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NOTICE OF ADOPTED AMENDMENTS

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE G: WASTE DISPOSAL
CHAPTER I: POLLUTION CONTROL BOARD
SUBCHAPTER C: HAZARDOUS WASTE OPERATING REQUIREMENTS

PART 721

IDENTIFICATION AND LISTING OF HAZARDOUS WASTE

SUBPART A: GENERAL PROVISIONS

Section	
721.101	Purpose of Scope
721.102	Definition of Solid Waste
721.103	Definition of Hazardous Waste
721.104	Exclusions
721.105	Special Requirements For Hazardous Waste Generated by Small Quantity Generators
721.106	Requirements for Recyclable Materials
721.107	Residues of Hazardous Waste In Empty Containers

SUBPART B: CRITERIA FOR IDENTIFYING THE CHARACTERISTICS OF HAZARDOUS WASTE AND FOR LISTING HAZARDOUS WASTES

Criteria for Identifying the Characteristics of Hazardous Waste
Criteria for Listing Hazardous Waste

SUBPART C: CHARACTERISTICS OF HAZARDOUS WASTE

Section	
721.120	General
721.121	Characteristics of Ignitability
721.122	Characteristics of Corrosivity
721.123	Characteristics of Reactivity
721.124	Characteristics of EP Toxicity

SUBPART D: LISTS OF HAZARDOUS WASTE

Section	
721.130	General
721.131	Hazardous Wastes From Nonspecific Sources
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AUTHORITY: Implementing Section 22.4 and authorized by Section 27 of the Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4 and 1027).

SOURCE: Adopted in R81-22, 43 PCB 427, at 5 Ill. Reg. 9781, effective as noted in 35 Ill. Adm. Code 700.106; amended and codified in R81-22, 45 PCB 317, at 6 Ill. Reg. 4828, effective as noted in 35 Ill. Adm. Code 700.106; amended in R82-18, 51 PCB 31, at 7 Ill. Reg. 2518, effective February 22, 1983; amended in R82-19, 53 PCB 131, at 7 Ill. Reg. 13999, effective October 12, 1983; amended in R84-34, 61 PCB 247, at 8 Ill. Reg. 24562, effective December 11, 1984; amended in R84-9, at 9 Ill. Reg. 11834, effective July 24, 1985; amended in R85-22 at 10 Ill. Reg. 998, effective January 2, 1986; amended in R85-2 at 10 Ill. Reg. 8112, effective May 2, 1986; amended in R86-1 at 10 Ill. Reg. 14002, effective August 12, 1986; amended in R86-19 at 10 Ill. Reg. 20647, effective December 2, 1986; amended in R86-28 at 11 Ill. Reg. 6035, effective March 24, 1987; amended in R86-46 at 11 Ill. Reg. 13466, effective August 4, 1987; amended in R87-32 at 11 Ill. Reg. 16698, effective September 30, 1987; amended in R87-5 at 11 Ill. Reg. 19303, effective November 12, 1987; amended in R87-26 at 12 Ill. Reg. 2456, effective January 15, 1988; amended in R87-30 at 12 Ill. Reg. 12070, effective July 12, 1988; amended in R87-39 at 12 Ill. Reg. 13006, effective July 29, 1988; amended in R88-16 at 13 Ill. Reg. 382, effective December 28, 1988.

SUBPART A: GENERAL PROVISIONS

Section 721.104 Exclusions

- a) Materials which are not solid wastes. The following materials are not solid wastes for the purpose of this Part:

1) Sewage:

- A) Domestic sewage; and
 B) Any mixture of domestic sewage and other waste that passes through a sewer system to publicly-owned treatment works for treatment. "Domestic sewage" means untreated sanitary wastes that pass through a sewer system.

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- 2) Industrial wastewater discharges that are point source discharges with NPDES permits issued by the Agency pursuant to Section 12(f) of the Environmental Protection Act and 35 Ill. Adm. Code 309.

BOARD NOTE: This exclusion applies only to the actual point source discharge. It does not exclude industrial wastewaters while they are being collected, stored or treated before discharge, nor does it exclude sludges that are generated by industrial wastewater treatment.

- 3) Irrigation return flows.
 4) Source, special nuclear or by-product material as defined by the Atomic Energy Act of 1954, as amended (42 U.S.C. 2011 et seq.)
 5) Materials subjected to in-situ mining techniques which are not removed from the ground as part of the extraction process.
 6) Pulping liquors (i.e., black liquor) that are reclaimed in a pulping liquor recovery furnace and then reused in the pulping process, unless accumulated speculatively as defined in Section 721.101(c);
 7) Spent sulfuric acid used to produce virgin sulfuric acid, unless it is accumulated speculatively as defined in Section 721.101(c).
 8) Secondary materials that are reclaimed and returned to the original process or processes in which they were generated where they are reused in the production process, provided:

- A) Only tank storage is involved, and the entire process through completion of reclamation is closed by being entirely connected with pipes or other comparable enclosed means of conveyance;
 B) Reclamation does not involve controlled flame combustion (such as occurs in boilers, industrial furnaces or incinerators);
 C) The secondary materials are never accumulated in such tanks for over twelve months without being reclaimed; and
 D) The reclaimed material is not used to produce a fuel, or used to produce products that are used in a manner constituting disposal.
- b) Solid wastes which are not hazardous wastes. The following solid

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wastes are not hazardous wastes:

- 1) Household waste, including household waste that has been collected, transported, stored, treated, disposed, recovered (e.g., refuse-derived fuel) or reused. "Household waste" means any waste material (including garbage, trash and sanitary wastes in septic tanks) derived from households (including single and multiple residences, hotels and motels, bunkhouses, ranger stations, crew quarters, campgrounds, picnic grounds and day-use recreation areas). A resource recovery facility managing municipal solid waste shall not be deemed to be treating, storing, disposing of or otherwise managing hazardous wastes for the purposes of regulation under this Part, if such facility:

A) Receives and burns only:

- i) Household waste (from single and multiple dwellings, hotels, motels and other residential sources) and
- ii) Solid waste from commercial or industrial sources that does not contain hazardous waste; and

B) Such facility does not accept hazardous waste and the owner or operator of such facility has established contractual requirements or other appropriate notification or inspection procedures to assure that hazardous wastes are not received at or burned in such facility.

- 2) Solid wastes generated by any of the following and which are returned to the soil as fertilizers:

- A) The growing and harvesting of agricultural crops.
- B) The raising of animals, including animal manures.

- 3) Mining overburden returned to the mine site.

- 4) Fly ash waste, bottom ash waste, slag waste, and flue gas emission control waste generated primarily from the combustion of coal or other fossil fuels.

- 5) Drilling fluids, produced waters, and other wastes associated with the exploration, development, or production of crude oil, natural gas or geothermal energy.

- 6) Chromium wastes:

- A) Wastes which fail the test for the characteristic of EP toxicity (Section 721.124 and Appendix B) because chromium

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is present or are listed in Subpart D due to the presence of chromium, which do not fail the test for the characteristic of EP toxicity for any other constituent or are not listed due to the presence of any other constituent, and which do not fail the test for any other characteristic, if it is shown by a waste generator or by waste generators that:

- i) The chromium in the waste is exclusively (or nearly exclusively) trivalent chromium; and
- ii) The waste is generated from an industrial process which uses trivalent chromium exclusively (or nearly exclusively) and the process does not generate hexavalent chromium; and
- iii) The waste is typically and frequently managed in non-oxidizing environments.

B) Specific wastes which meet the standard in subsections (b)(6)(A)(i), (ii) and (iii) (so long as they do not fail the test for the characteristic of EP toxicity, and do not fail the test for any other characteristic) are

- i) Chrome (blue) trimmings generated by the following subcategories of the leather tanning and finishing industry; hair pulp/chrome tan/retan/wet finish; hair save/chrome tan/retan/wet finish; retan/wet finish; no beamhouse; through-the-blue; and shearing.
- ii) Chrome (blue) shavings generated by the following subcategories of the leather tanning and finishing industry; hair pulp/chrome tan/retan/wet finish; hair save/chrome tan/retan/wet finish; retan/wet finish; no beamhouse; through-the-blue; and shearing.
- iii) Buffing dust generated by the following subcategories of the leather tanning and finishing industry: hair pulp/chrome tan/retan/wet finish; hair save/chrome tan/retan/wet finish; retan/wet finish; no beamhouse; through-the-blue.
- iv) Sewer screenings generated by the following subcategories of the leather tanning and finishing industry: hair pulp/chrome tan/retan/wet finish; hair save/chrome tan/retan/wet finish; retan/wet finish; no beamhouse; through-the-blue; and shearing.
- v) Wastewater treatment sludges generated by the

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following subcategories of the leather tanning and finishing industry: hair pulp/chrome tan/retan/wet finish; hair save/chrome tan/retan/wet finish; retan/wet finish; no beamhouse; through-the-blue; and shearling.

- vi) Wastewater treatment sludges generated by the following subcategories of the leather tanning and finishing industry: hair pulp/chrome tan/retan/wet finish; hair save/chrome tan/retan/wet finish; and through-the-blue.
- vii) Waste scrap leather from the leather tanning industry, the shoe manufacturing industry, and other leather product manufacturing industries.
- viii) Wastewater treatment sludges from the production of titanium dioxide pigment using chromium-bearing ores by the chloride process.

7) Solid waste from the extraction, beneficiation and processing of ores and minerals (including coal), including phosphate rock and overburden from the mining of uranium ore.

8) Cement kiln dust waste.

9) Solid waste which consists of discarded wood or wood products which fails the test for the characteristic of EP toxicity and which is not a hazardous waste for any other reason if the waste is generated by persons who utilize the arsenical-treated wood and wood products for these materials' intended end use.

c) Hazardous wastes which are exempted from certain regulations. A hazardous waste which is generated in a product or raw material storage tank, a product or raw material transport vehicle or vessel, a product or raw material pipeline, or in a manufacturing process unit or an associated non-waste-treatment manufacturing unit, is not subject to regulation under 35 Ill. Adm. Code 702, 703, 705 and 722 through 725 and 728 or to the notification requirements of Section 3010 of RCRA until it exits the unit in which it was generated, unless the unit is a surface impoundment, or unless the hazardous waste remains in the unit more than 90 days after the unit ceases to be operated for manufacturing, or for storage or transportation of product or raw materials.

d) Samples

1) Except as provided in subsection (d)(2), a sample of solid waste or a sample of water, soil or air, which is collected for the

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sole purpose of testing to determine its characteristics or composition, is not subject to any requirements of this Part or 35 Ill. Adm. Code 702, 703, 705 and 722 through 728. The sample qualifies when:

- A) The sample is being transported to a laboratory for the purpose of testing; or
- B) The sample is being transported back to the sample collector after testing; or
- C) The sample is being stored by the sample collector before transport to a laboratory for testing; or
- D) The sample is being stored in a laboratory before testing; or
- E) The sample is being stored in a laboratory for testing but before it is returned to the sample collector; or
- F) The sample is being stored temporarily in the laboratory after testing for a specific purpose (for example, until conclusion of a court case or enforcement action where further testing of the sample may be necessary).

2) In order to qualify for the exemption in subsection (d)(1)(A) and (B), a sample collector shipping samples to a laboratory and a laboratory returning samples to a sample collector must:

- A) Comply with U.S. Department of Transportation (DOT), U.S. Postal Service (USPS) or any other applicable shipping requirements; or
- B) Comply with the following requirements if the sample collector determines that DOT, USPS or other shipping requirements do not apply to the shipment of the sample:
 - i) Assure that the following information accompanies the sample: The sample collector's name, mailing address and telephone number; the laboratory's name, mailing address and telephone number; the quantity of the sample; the date of the shipment; and a description of the sample.
 - ii) Package the sample so that it does not leak, spill or vaporize from its packaging.

3) This exemption does not apply if the laboratory determines that the waste is hazardous but the laboratory is no longer meeting

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any of the conditions stated in subsection (d)(1).

e) Treatability study samples.

1) Except as is provided in subsection (e)(2), persons who generate or collect samples for the purpose of conducting treatability studies, as defined in 35 Ill. Adm. Code 720.110, are not subject to any requirement of 35 Ill. Adm. Code 721 through 723 or to the notification requirements of Section 3010 of the Resource Conservation and Recovery Act. Nor are such samples included in the quantity determinations of Section 721.105 and 35 Ill. Adm. Code 722.134(d) when:

- A) The sample is being collected and prepared for transportation by the generator or sample collector; or,
- B) The sample is being accumulated or stored by the generator or sample collector prior to transportation to a laboratory or testing facility; or
- C) The sample is being transported to the laboratory or testing facility for the purpose of conducting a treatability study.

2) The exemption in subsection (e)(1) is applicable to samples of hazardous waste being collected and shipped for the purpose of conducting treatability studies provided that:

- A) The generator or sample collector uses (in "treatability studies") no more than 1000 kg of any non-acute hazardous waste, 1 kg of acute hazardous waste or 250 kg of soils, water or debris contaminated with acute hazardous waste for each process being evaluated for each generated wastestream; and
- B) The mass of each shipment does not exceed 1000 kg of non-acute hazardous waste, 1 kg of acute hazardous waste or 250 kg of soils, water or debris contaminated with acute hazardous waste; and
- C) The sample must be packaged so that it does not leak, spill or vaporize from its packaging during shipment and the requirements of subsections (i) or (ii) are met.
 - i) The transportation of each sample shipment complies with U.S. Department of Transportation (DOT), U.S. Postal Service (USPS) or any other applicable shipping requirements; or

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ii) If the DOT, USPS or other shipping requirements do not apply to the shipment of the sample, the following information must accompany the sample: The name, mailing address and telephone number of the originator of the sample; the name, address and telephone number of the facility that will perform the treatability study; the quantity of the sample; the date of the shipment; and, a description of the sample, including its USEPA hazardous waste number.

D) The sample is shipped to a laboratory or testing facility which is exempt under subsection (f) or has an appropriate RCRA permit or interim status.

E) The generator or sample collector maintains the following records for a period ending 3 years after completion of the treatability study:

- i) Copies of the shipping documents;
 - ii) A copy of the contract with the facility conducting the treatability study;
 - iii) Documentation showing: The amount of waste shipped under this exemption; the name, address and USEPA identification number of the laboratory or testing facility that received the waste; the date the shipment was made; and, whether or not unused samples and residues were returned to the generator.
- F) The generator reports the information required in subsection (e)(2)(E)(iii) in its report under 35 Ill. Adm. Code 722.141.

3) The Agency may grant requests, on a case-by-case basis, for quantity limits in excess of those specified in subsection (e)(2)(A), for up to an additional 500 kg of any non-acute hazardous waste, 1 kg of acute hazardous waste and 250 kg of soils, water or debris contaminated with acute hazardous waste, to conduct further treatability study evaluation when: There has been an equipment or mechanical failure during the conduct of the treatability study; there is need to verify the results of a previously conducted treatability study; there is a need to study and analyze alternative techniques within a previously evaluated treatment process; or, there is a need to do further evaluation of an ongoing treatability study to determine final specifications for treatment. The additional quantities allowed are subject to all the provisions in subsections (e)(1) and (e)(2)(B) through (F). The generator or sample collector must

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apply to the Agency and provide in writing the following information:

- A) The reason why the generator or sample collector requires additional quantity of sample for the treatability study evaluation and the additional quantity needed;
 - B) Documentation accounting for all samples of hazardous waste from the wastestream which have been sent for or undergone treatability studies, including the date each previous sample was shipped, the quantity of each previous shipment, the laboratory or testing facility to which it was shipped, what treatability study processes were conducted on each sample shipped, and the available results of each treatability study;
 - C) A description of the technical modifications or change in specifications which will be evaluated and the expected results;
 - D) If such further study is being required due to equipment or mechanical failure, the applicant must include information regarding the reason for the failure or breakdown and also include what procedures or equipment have been made to protect against further breakdowns; and,
 - E) Such other information as the Agency determines is necessary.
- 4) Final Agency determinations pursuant to this subsection may be appealed to the Board.
- f) Samples undergoing treatability studies at laboratories or testing facilities. Samples undergoing treatability studies and the laboratory or testing facility conducting such treatability studies (to the extent such facilities are not otherwise subject to RCRA requirements) are not subject to any requirement of this Part, or of 35 Ill. Adm. Code 702, 703, 705, 722 through 726, and 728, or to the notification requirements of Section 3010 of the Resource Conservation and Recovery Act, provided that the requirements of subsections (f)(1) through (f)(11) are met. A mobile treatment unit may qualify as a testing facility subject to subsections (f)(1) through (f)(11). Where a group of mobile treatment units are located at the same site, the limitations specified in subsections (f)(1) through (f)(11) apply to the entire group of mobile treatment units collectively as if the group were one mobile treatment unit.

- 1) No less than 45 days before conducting treatability studies, the facility notifies the Agency in writing that it intends to

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conduct treatability studies under this subsection.

- 2) The laboratory or testing facility conducting the treatability study has a USEPA identification number.
- 3) No more than a total of 250 kg of "as received" hazardous waste is subjected to initiation of treatability studies in any single day. "As received" waste refers to the waste as received in the shipment from the generator or sample collector.
- 4) The quantity of "as received" hazardous waste stored at the facility for the purpose of evaluation in treatability studies does not exceed 1000 kg, the total of which can include 500 kg of soils, water or debris contaminated with acute hazardous waste or 1 kg of acute hazardous waste. This quantity limitation does not include:
 - A) Treatability study residues; and,
 - B) Treatment materials (including nonhazardous solid waste) added to "as received" hazardous waste.
- 5) No more than 90 days have elapsed since the treatability study for the sample was completed, or no more than one year has elapsed since the generator or sample collector shipped the sample to the laboratory or testing facility, whichever date first occurs.
- 6) The treatability study does not involve the placement of hazardous waste on the land or open burning of hazardous waste.
- 7) The facility maintains records for 3 years following completion of each study that show compliance with the treatment rate limits and the storage time and quantity limits. The following specific information must be included for each treatability study conducted:
 - A) The name, address and USEPA identification number of the generator or sample collector of each waste sample;
 - B) The date the shipment was received;
 - C) The quantity of waste accepted;
 - D) The quantity of "as received" waste in storage each day;
 - E) The date the treatment study was initiated and the amount of "as received" waste introduced to treatment each day;

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- F) The date the treatability study was concluded;
- G) The date any unused sample or residues generated from the treatability study were returned to the generator or sample collector or, if sent to a designated facility, the name of the facility and the USEPA identification number.
- 8) The facility keeps, on-site, a copy of the treatability study contract and all shipping papers associated with the transport of treatability study samples to and from the facility for a period ending 3 years from the completion date of each treatability study.
- 9) The facility prepares and submits a report to the Agency by March 15 of each year that estimates the number of studies and the amount of waste expected to be used in treatability studies during the current year, and includes the following information for the previous calendar year:
- A) The name, address and USEPA identification number of the facility conducting the treatability studies;
 - B) The types (by process) of treatability studies conducted;
 - C) The names and addresses of persons for whom studies have been conducted (including their USEPA identification numbers);
 - D) The total quantity of waste in storage each day;
 - E) The quantity and types of waste subjected to treatability studies;
 - F) When each treatability study was conducted;
 - G) The final disposition of residues and unused sample from each treatability study;
- 10) The facility determines whether any unused sample or residues generated by the treatability study are hazardous waste under Section 721.103 and, if so, are subject to 35 Ill. Adm. Code 702, 703 and 721 through 728, unless the residues and unused samples are returned to the sample originator under the subsection (e) exemption.
- 11) The facility notifies the Agency by letter when the facility is no longer planning to conduct any treatability studies at the site.

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(Source: Amended at 13 Ill. Reg. 382, effective December 28, 1988)

Section 721.105 Special Requirements for Hazardous Waste Generated by Small Quantity Generators

- a) A generator is a conditionally exempt small quantity generator in a calendar month if it generates no more than 100 kilograms of hazardous waste in that month. 35 Ill. Adm. Code 700 explains the relation of this to the 100 kg/mo exception of 35 Ill. Adm. Code 809.
- b) Except for those wastes identified in subsections (e), (f), (g) and (j), a conditionally exempt small quantity generator's hazardous wastes are not subject to regulation under 35 Ill. Adm. Code 702, 703, 705 and 722 through 726 and 728, and the notification requirements of Section 3010 of the Resource Conservation and Recovery Act, provided the generator complies with the requirements of subsections (f), (g) and (j).
- c) Hazardous waste that is not subject to regulation or that is subject only to 35 Ill. Adm. Code 722.111, 722.112, 722.140(c) and 722.141 is not included in the quantity determinations of this Part and 35 Ill. Adm. Code 722 through 726 and 728, and is not subject to any requirements of those Parts. Hazardous waste that is subject to the requirements of Section 721.106(b) and (c) and 35 Ill. Adm. Code 726, Subparts C, D and F is included in the quantity determinations of this Part and is subject to the requirements of this Part and 35 Ill. Adm. Code 722 through 726 and 728.
- d) In determining the quantity of hazardous waste it generates, a generator need not include:
 - 1) Hazardous waste when it is removed from on-site storage; or
 - 2) Hazardous waste produced by on-site treatment (including reclamation) of its hazardous waste so long as the hazardous waste that is treated was counted once; or,
 - 3) Spent materials that are generated, reclaimed and subsequently reused on-site, so long as such spent materials have been counted once.
- e) If a generator generates acute hazardous waste in a calendar month in quantities greater than set forth below, all quantities of that acute hazardous waste are subject to full regulation under 35 Ill. Adm. Code 702, 703, 705 and 722 through 726 and 728, and the notification requirements of Section 3010 of the Resource Conservation and Recovery Act:
 - 1) A total of one kilogram of acute hazardous wastes listed in

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Sections 721.131, 721.132 or 721.133(e); or

- 2) A total of 100 kilograms of any residue or contaminated soil, waste or other debris resulting from the clean-up of a spill, into or on any land or water, of any acute hazardous wastes listed in Sections 721.131, 721.132 or 721.133(e).

BOARD NOTE: "Full regulation" means those regulations applicable to generators of greater than 1000 kg of non-acute hazardous waste in a calendar month.

- f) In order for acute hazardous wastes generated by a generator of acute hazardous wastes in quantities equal to or less than those set forth in subsection (e)(1) or (e)(2) to be excluded from full regulation under this Section, the generator must comply with the following requirements:

- 1) 35 Ill. Adm. Code 722.111.

- 2) The generator may accumulate acute hazardous waste on-site. If the generator accumulates at any time acute hazardous wastes in quantities greater than set forth in subsections (e)(1) or (e)(2), all of those accumulated wastes are subject to regulation under 35 Ill. Adm. Code 702, 703, 705 and 722 through 726 and 728, and the applicable notification requirements of Section 3010 of the Resource Conservation and Recovery Act. The time period of 35 Ill. Adm. Code 722.134-(d)-(a), for accumulation of wastes on-site, begins when the accumulated wastes exceed the applicable exclusion limit.

- 3) A conditionally exempt small quantity generator may either treat or dispose of its acute hazardous waste in an on-site facility, or ensure delivery to an off-site storage, treatment or disposal facility, either of which, if located in the United States, is:

- A) Permitted under 35 Ill. Adm. Code 703;
 B) In interim status under 35 Ill. Adm. Code 703 and 725;
 C) Authorized to manage hazardous waste by a State with a hazardous waste management program approved by USEPA;
 D) Permitted, licensed or registered by a State to manage municipal or industrial solid waste; or
 E) A facility which:

- i) Beneficially uses or reuses or legitimately recycles or reclaims its waste; or

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- ii) Treats its waste prior to beneficial use or reuse, or legitimate recycling or reclamation.

- g) In order for hazardous waste generated by a conditionally exempt small quantity generator in quantities of less than 100 kilograms of hazardous waste during a calendar month to be excluded from full regulation under this Section, the generator must comply with the following requirements:

- 1) 35 Ill. Adm. Code 722.111;

- 2) The conditionally exempt small quantity generator may accumulate hazardous waste on-site. If it accumulates at any time more than a total of 1000 kilograms of the generator's hazardous waste, all of those accumulated wastes are subject to regulation under the special provisions of 35 Ill. Adm. Code 722 applicable to generators of between 100 kg and 1000 kg of hazardous waste in a calendar month as well as the requirements of 35 Ill. Adm. Code 702, 703, 705 and 723 through 726 and 728, and the applicable notification requirements of Section 3010 of the Resource Conservation and Recovery Act. The time period of 35 Ill. Adm. Code 722.134(d) for accumulation of wastes on-site begins for a small quantity generator when the accumulated wastes exceed 1000 kilograms;

- 3) A conditionally exempt small quantity generator may either treat or dispose of its hazardous waste in an on-site facility, or ensure delivery to an off-site storage, treatment or disposal facility, either of which, if located in the United States, is:

- A) Permitted under 35 Ill. Adm. Code 702 and 703;
 B) In interim status under 35 Ill. Adm. Code 703 and 725;
 C) Authorized to manage hazardous waste by a State with a hazardous waste management program approved by USEPA under 40 CFR 271 (1986);
 D) Permitted, licensed or registered by a State to manage municipal or industrial solid waste; or
 E) A facility which:

- i) Beneficially uses or re-uses, or legitimately recycles or reclaims the small quantity generator's waste; or
 ii) Treats its waste prior to beneficial use or re-use, or legitimate recycling or reclamation.

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- h) Hazardous waste subject to the reduced requirements of this Section may be mixed with non-hazardous waste and remain subject to these reduced requirements even though the resultant mixture exceeds the quantity limitations identified in this Section, unless the mixture meets any of the characteristics of hazardous wastes identified in Subpart C.

- i) If a small quantity generator mixes a solid waste with a hazardous waste that exceeds a quantity exclusion level of this Section, the mixture is subject to full regulation.

- j) If a conditionally exempt small quantity generator's hazardous wastes are mixed with used oil, the mixture is subject to 35 Ill. Adm. Code 726. Subpart E, if it is destined to be burned for energy recovery. Any material produced from such a mixture by processing, blending or other treatment is also so regulated if it is destined to be burned for energy recovery.

(Source: Amended at 13 Ill. Reg. 382, effective December 28, 1988)

SUBPART D: LISTS OF HAZARDOUS WASTE

Section 721.133 Discarded Commercial Chemical Products, Off-Specification Species, Container Residues and Spill Residues Thereof.

The following materials or items are hazardous wastes if and when they are discarded or intended to be discarded as described in Section 721.102(a)(2)(A), when they are mixed with waste oil or used oil or other material and applied to the land for dust suppression or road treatment, when they are otherwise applied to the land in lieu of their original intended use or when they are contained in products that are applied to land in lieu of their original intended use, or when, in lieu of their original intended use, they are produced for use as (or as a component of) a fuel, distributed for use as a fuel, or burned as a fuel.

- a) Any commercial chemical product, or manufacturing chemical intermediate having the generic name listed in subsections (e) or (f).
- b) Any off-specification commercial chemical product or manufacturing chemical intermediate which, if it met specifications, would have the generic name listed in subsections (e) or (f).
- c) Any residue remaining in a container or inner liner removed from a container that has held any commercial chemical product or manufacturing chemical intermediate having the generic name listed in subsection (e), unless the container is empty as defined in Section

721.107(b)(3).

BOARD NOTE: Unless the residue is being beneficially used or reused, or legitimately recycled or reclaimed, or being accumulated, stored, transported or treated prior to such use, reuse, recycling or reclamation, the Board considers the residue to be intended for discard, and thus a hazardous waste. An example of a legitimate reuse of the residue would be where the residue remains in the container and the container is used to hold the same commercial chemical product or manufacturing chemical intermediate it previously held. An example of the discard of the residue would be where the drum is sent to a drum reconditioner who reconditions the drum but discards the residue.

- d) Any residue or contaminated soil, water or other debris resulting from the cleanup of a spill, into or on any land or water of any commercial chemical product or manufacturing chemical intermediate having the generic name listed in subsection (e) or (f), or any residue or contaminated soil, water or other debris resulting from the cleanup of a spill, into or on any land or water, of any off-specification chemical product or manufacturing chemical intermediate which, if it met specifications, would have the generic name listed in subsection (e) or (f).

BOARD NOTE: The phrase "commercial chemical product or manufacturing chemical intermediate having the generic name listed in ..." refers to a chemical substance which is manufactured or formulated for commercial or manufacturing use which consists of the commercially pure grade of the chemical, any technical grades of the chemical that are produced or marketed, and all formulations in which the chemical is the sole active ingredient. It does not refer to a material, such as a manufacturing process waste, that contains any of the substances listed in subsections (e) or (f). Where a manufacturing process waste is deemed to be a hazardous waste because it contains a substance listed in subsections (e) or (f), such waste will be listed in either Sections 721.131 or 721.132 or will be identified as a hazardous waste by the characteristics set forth in Subpart C.

- e) The commercial chemical products, manufacturing chemical intermediates or off-specification commercial chemical products or manufacturing chemical intermediates referred to in subsections (a) through (d), are identified as acute hazardous waste (H) and are subject to the small quantity exclusion defined in Section 721.105(e). These wastes and their corresponding EPA Hazardous Waste Numbers are:

BOARD NOTE: For the convenience of the regulated community the primary hazardous properties of these materials have been indicated by the letters T (Toxicity), and R (Reactivity). Absence of a letter

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indicates that the compound only is listed for acute toxicity.

Hazardous Chemical Waste Abstracts No.	Substance
P023	107-20-0 Acetaldehyde, chloro-
P002	591-08-2 Acetamide, N-(aminothioxomethyl)-
P057	640-19-7 Acetamide, 2-fluoro-
P058	62-74-8 Acetic acid, fluoro-, sodium salt
P066	Acetic acid, N-[(methylethylamino)oxy]thio-, methyl ester-
P001	3-(alpha-acetoxybenzyl)-4-hydroxybenzoic acid salts, when present at concentrations greater than 0.3%
P002	591-08-2 1-Acetyl-2-thiourea
P003	107-02-8 Acrolein
P070	116-06-3 Aldicarb
P004	309-00-2 Aldrin
P005	107-18-6 Allyl alcohol
P006	20859-73-8 Aluminum phosphide (R,T)
P007	2763-96-4 5-(Aminomethyl)-3-isoxazolol
P008	504-24-5 4-Aminopyridine
P009	131-74-8 Ammonium picrate (R)
P119	7803-55-6 Ammonium vanadate
P099	506-61-6 Argentate(1-), bis(cyano-C)-, potassium
P010	7778-39-4 Arsenic acid H ₂ AsO ₄
P012	1327-53-3 Arsenic- (III)- oxide As ₂ O ₃
P011	1303-28-2 Arsenic- (V)- oxide As ₂ O ₅
P011	1303-28-2 Arsenic pentoxide
P012	1327-53-3 Arsenic trioxide
P038	692-42-2 Arsine, diethyl-
P036	696-28-6 Arsonous dichloride, phenyl-
P054	151-56-4 Aziridine
P067	75-55-8 Aziridine, 2-methyl
P013	542-62-1 Barium cyanide
P024	106-47-8 Benzenamine, 4-chloro-
P077	100-01-6 Benzenamine, 4-nitro-
P028	100-44-7 Benzene, (chloromethyl)-
P042	51-43-4 1,2-Benzenediol, 4-[1-hydroxy-2-(methylamino)ethyl]-2, (R)-
P046	122-09-8 Benzenethanamine, alpha, alpha-dimethyl-
P014	108-98-5 Benzenethiol
P001	2H-1-Benzopyran-2-one, 4-hydroxy-3-(3-oxo-1-phenylbutyl)-, and salts, when present at concentrations greater than 0.3%
P028	100-44-7 Benzyl chloride
P015	7440-41-7 Beryllium dust-

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P016	542-88-1 Bis(etheromethyl) ether-
P017	598-31-2 Bromoacetone
P018	357-57-3 Brucine
P045	39196-18-4 2-Butanone, 3,3-dimethyl-1-(methylthio)-, O-[methylamino]carbonyl oxime
P021	592-01-8 Calcium cyanide
P021	592-01-8 Calcium cyanide Ca(CN) ₂
P123	Gammaene, octaether-
P103	Gammaidolene, octaether-
P022	Gamma bisulfide-
P022	75-15-0 Carbon disulfide
P095	75-44-5 Gammaethyl etheride-Carbonic dichloride
P033	Chlorine cyanide-
P023	107-20-0 Chloroacetaldehyde
P024	106-47-8 p-Chloroaniline
P026	5344-82-1 1-(o-Chlorophenyl)thiourea
P027	542-76-7 3-Chloropropionitrile
P029	544-92-3 Copper cyanide-S-
P029	544-92-3 Copper cyanide-CuCN
P030	Cyanides (soluble cyanide salts), not elsewhere otherwise specified
P031	460-19-5 Cyanogen
P033	506-77-4 Cyanogen chloride
P033	506-77-4 Cyanogen chloride CNCI
P034	131-89-5 2-Cyclohexyl-4,6-dinitrophenol
P016	542-88-1 Dichloromethyl ether
P036	696-28-6 Dichlorophenylarsine
P037	60-57-1 Diethylin
P038	692-42-2 Diethylarsine
P039	0,0-Diethyl S-[2-(ethylthio)ethyl] phosphorothioate-
P041	311-45-5 Diethyl-p-nitrophenyl phosphate
P040	297-97-2 0,0-Diethyl O-pyrazinyl phosphorothioate
P043	55-91-4 Diisopropyl fluorophosphate
P004	Diisopropyl fluorophosphate (DFP)
P004	1,4,5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a,5,8,8a-hexahydro-, (1alpha, 4alpha, 4beta, 5alpha, 8alpha, 8beta)-
P060	1,4,5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a,5,8,8a-hexahydro-, (1alpha, 4alpha, 4beta, 5beta, 8beta, 8beta)-
P037	2,7,3,6-Dimethanonaphth[2,3-b]oxirene, 3,4,5,6,9,9-hexachloro-1a,2,2a,3,6,6a,7,7a-octahydro-, (1alpha, 2beta, 2alpha, 3beta, 6beta, 6alpha, 7alpha, 7beta, 7alpha)-
P051	2,7,3,6-Dimethanonaphth[2,3-b]oxirene, 3,4,5,6,9,9-hexachloro-1a,2,2a,3,6,6a,7,7a-octahydro-, (1alpha, 2beta, 2alpha, 3beta, 6beta, 6alpha, 7alpha, 7beta, 7alpha)-, and metabolites

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P044	60-51-5	Dimethoate
P045		3,3-Dimethyl-1-(methylthio)-2-butane, 0- [methylamino] carbonyl] oxime
P071		0,0-Dimethyl 0-p-nitrophenyl phosphorothioate
P082		Dimethylphosphorothioate
P046	122-09-8	alpha, alpha-Dimethylphenethylamine
P047	P 534-52-1	4,6-Dinitro-o-cresol and salts
P034		4,6-Dinitro-o-ethylhexylphenol-
P048	51-28-5	2,4-Dinitrophenol
P020	88-85-7	Dinoseb
P085	132-16-9	Diphosphoramide, octamethyl-
P111	107-49-3	Diphosphoric acid, tetraethyl ester
P039	298-04-4	Disulfoton
P049	541-53-7	2,4-Dithiobutene
P099		Dithiophosphoric acid, tetraethyl ester-
P050	115-29-7	Endosulfan
P088	145-73-3	Endothall
P051	72-20-8	Endrin
P051	72-20-8	Endrin, and metabolites
P042	51-43-4	Epinephrine
P046		Ethanimine, 1,1-dimethyl-2-phenyl-
P084		Ethanimine, N-methyl-N-nitroso-
P031	460-19-5	Ethanedinitrile
P066	16752-77-5	Ethanimidic acid, N- [[methylamino]carbonyl]oxy]-, methyl ester
P101	107-12-0	Ethyl cyanide
P054	151-56-4	Ethyleneimine
P097	52-85-7	Famphur
P056	7782-41-4	Fluorine
P057	640-19-7	Fluoroacetamide
P058	62-74-8	Fluoroacetic acid, sodium salt
P065	628-86-4	Fulminic acid, mercury (-II-2+) salt (R,T)
P059	76-44-8	Heptachlor
P051		1,2,3,4,10,10-Hexachloro-6,7-epoxy-1,4,4a,5,6,7,8,8a- octahydro-endo, endo-1, 4,5, 8-dimethanonaphthalene
P037		1,2,3,4,10,10-Hexachloro-6,7-epoxy-1,4,4a,5,6,7,8,8a- octahydro-endo, endo-1, 4,5, 8-dimethanonaphthalene
P060		1,2,3,4,10,10-Hexachloro-1,4,4a,5,6,7,8,8a-hexahydro- 1,4,5,8-endo, endo-dimethanonaphthalene
P094		1,2,3,4,10,10-Hexachloro-1,4,4a,5,6,7,8,8a-hexahydro- 1,4,5,8-endo, endo-dimethanonaphthalene
P060		Hexachlorohexahydro-endo, endo-dimethanonaphthalene-
P062	757-58-4	Hexaethyl tetraphosphate
P116	79-19-6	Hydrazinecarbothioamide
P068	60-34-4	Hydrazine, methyl-
P063	74-90-3	Hydrocyanic acid
P063	74-90-8	Hydrogen cyanide
P096	7803-51-2	Hydrogen phosphide
P064		Isoeyanide acid, methyl ester-

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P060	465-73-6	Isodrin
P007	2763-96-4	3(2H)-Isoxazalone, 5-(aminomethyl)-
P092	62-38-4	Mercury, -phenyl-, acetate- (acetato-0)phenyl-
P065	628-86-4	Mercury fulminate (R,T)
P082	62-75-9	Methanamine, N-methyl-N-nitroso-
P064	624-83-9	Methane, isocyanato-
P016	542-88-1	Methane, oxybis-(chloro-
P112	509-14-8	Methane, tetranitro- (R)
P118	75-70-7	Methanethiol, trichloro-
P050	115-29-7	6,9-Methano-2,4,3-benzodioxathiepen, 6,7,8,9,10,10- hexachloro-1,5,6a,6,9a-hexahydro-, 3-oxide
P059	76-44-8	4,7-Methano-1H-indene, 1,4,5,6,7,8-heptachloro- 3a,4,7,7a-tetrahydro-
P066	16752-77-5	Methomyl
P067		2-Methylaziridine
P068	60-34-4	Methyl hydrazine
P064	624-83-9	Methyl isocyanate
P069	75-86-5	2-Methylacetonitrile
P071	298-00-0	Methyl parathion
P072	86-88-4	alpha-Naphthylthiourea
P073	13463-39-3	Nickel carbonyl
P073	13463-39-3	Nickel carbonyl Ni(CO) ₄ , (T-4)-
P074	557-19-7	Nickel cyanide
P074	557-19-7	Nickel -(II) -cyanide Ni(CN) ₂
P073		Nickel tetraacarbonyl
P075	P 54-11-5	Nicotine, and salts
P076	10102-43-9	Nitric oxide
P077	100-01-6	p-Nitroaniline
P078	10102-44-0	Nitrogen dioxide
P076	10102-43-9	Nitrogen -(II) -oxide NO
P078	10102-44-0	Nitrogen -(IV) -oxide NO ₂
P081	55-63-0	Nitroglycerine (R)
P082	62-75-9	N-Nitrosodimethylamine
P084	4549-40-0	N-Nitrosomethylvinylamine
P060		5-Norbornene-2,3-dimethanol, 1,4,5,6,7,7-hexachloro, cyclic sulfate-
P085	152-16-9	Octamethylpyrophosphoramide
P087	20816-12-0	Osmium oxide OsO ₄ , (T-4)-
P087	20816-12-0	Osmium tetroxide
P088	145-73-3	7-Oxabicyclo[2.2.1]heptane-2,3-dicarboxylic acid
P089	56-38-2	Parathion
P034	131-89-5	Phenol, 2-cyclohexyl-4,6-dinitro-
P048	51-28-5	Phenol, 2,4-dinitro-
P047	P 534-52-1	-Phenol, 2,4,6-trinitro-, ammonium salt (R)
P020	88-85-7	Phenol, -2,4-dinitro-6-(1-methylpropyl)- 2-(1- methylpropyl)-4,6-dinitro-
P009	131-74-8	Phenol, 2,4,6-trinitro-, ammonium salt (R)
P036		Phenyl diethersulfone-

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P092	62-38-4	Phenylmercuric -Phenylmercury acetate
P093	103-85-5	N-Phenyl thiourea
P094	298-02-2	Phorate
P095	75-44-5	Phosgene
P096	7803-51-2	Phosphene
P041	311-45-5	Phosphoric acid, diethyl p--4-nitrophenyl ester
P039	298-04-4	Phosphorodithioic acid, 0,0-diethyl S-[2-(ethylthio)ethyl] ester
P094	298-02-2	Phosphorodithioic acid, 0,0-diethyl S-(ethylthio)methyl ester
P044	60-51-5	Phosphorodithioic acid, 0,0-dimethyl S-[2-(methylamino)-2-oxoethyl] ester
P043	55-91-4	Phosphorofluoric -Phosphorofluoric acid, bis(1-methylethyl) ester
P094		Phosphorothioic acid, 0,0-diethyl S-(ethylthio)methyl ester
P089	56-38-2	Phosphorothioic acid, 0,0-diethyl 0-(p--4-nitrophenyl) ester
P040	297-97-2	Phosphorothioic acid, 0,0-diethyl 0-pyrazinyl ester
P097	52-85-7	Phosphorothioic acid, 0,0-diethyl 0-Ep-[(4-methylamino)-sulfonyl]phenyl] ester 0-[4-(dimethylamino)sulfonyl]phenyl] 0,0-dimethyl ester
P071	298-00-0	Phosphorothioic acid, 0,0-dimethyl 0-(4-nitrophenyl) ester
P110	78-00-2	Plumbane, tetraethyl-
P098	151-50-8	Potassium cyanide
P098	151-50-8	Potassium cyanide KCN
P099	506-61-6	Potassium silver cyanide
P070	116-06-3	Propanal, 2-methyl-2-(methylthio)-, 0-[(methylamino)carbonyl]oxime
P101	107-12-0	Propanenitrile
P027	542-76-7	Propanenitrile, 3-chloro-
P069	75-86-5	Propanenitrile, 2-hydroxy-2-methyl-
P081	55-63-0	1,2,3-Propanetriol, trinitrate- (R)
P017	598-31-2	2-Propanone, 1-bromo-
P102	107-19-7	Propargyl alcohol
P003	107-02-8	2-Propenal
P005	107-18-6	2-Propen-1-ol
P067	75-55-8	1,2-Propylenimine
P102	107-19-7	2-Propyn-1-ol
P008	504-24-5	4-Pyridinamine
P075	P 54-11-5	Pyridine, (S)- 3-(1-methyl-2-pyrrolidinyl)-, (S)- and salts
P111	107-49-3	Pyrophosphoric acid, tetraethyl ester-
P114	12039-52-0	Selenious acid, dithallium (I+) salt
P103	630-10-4	Selenourea
P104	506-64-9	Silver cyanide
P104	506-64-9	Silver cyanide AgCN
P105	26628-22-8	Sodium azide

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P106	143-33-9	Sodium cyanide
P106	143-33-9	Sodium cyanide NaCN
P107	1314-96-1	Strontium sulfide
P107	1314-96-1	Strontium sulfide SrS
P108	P 57-24-9	Strychnidin-10-one, and salts
P018	357-57-3	Strychnidin-10-one, 2,3-dimethoxy-
P108	P 57-24-9	Strychnine and salts
P115	7446-18-6	Sulfuric acid, thallium (I)-dithallium (I+) salt
P109	3689-24-5	Tetraethyldithiopyrophosphate
P110	78-00-2	Tetraethyl lead
P111	107-49-3	Tetraethylpyrophosphate
P112	509-14-8	Tetranitromethane (R)
P062	757-58-4	Tetraphosphoric acid, hexaethyl ester
P113	1314-32-5	Thallium (I+) -oxide 11203
P114	12039-52-0	Thallium (I) selenite
P115	7446-18-6	Thallium (I) sulfate
P109	3689-24-5	Thiodiphosphoric acid, tetraethyl ester
P045	39196-18-4	Thiofanox
P049	541-53-7	Thioimiddodicarbonic diamide [(H ₂ N)C(S)] ₂ NH
P014	108-98-5	Thiophenol
P116	79-19-6	Thiosemicarbazide
P026	5344-82-1	Thiourea, (2-chlorophenyl)-
P072	86-88-4	Thiourea, 1-naphthalenyl-
P093	103-85-5	Thiourea, phenyl-
P123	8001-35-2	Toxaphene
P118	75-70-7	Trichloromethanethiol
P119	7803-55-6	Vanadic acid, ammonium salt
P120	1314-62-1	Vanadium pentoxide-
P120	1314-62-1	Vanadium pentoxide
P084	4549-40-0	Vinylamine, N-methyl-N-nitroso-
P001	P 81-81-2	Warfarin, and salts, when present at concentrations greater than 0.3%.
P121	557-21-1	Zinc cyanide
P121	557-21-1	Zinc cyanide Zn(CN) ₂
P122	1314-84-7	Zinc phosphide Zn ₃ P ₂ , when present at concentrations greater than 10% (R, I)

f)

The commercial chemical products, manufacturing chemical intermediates or off-specification commercial chemical products referred to in subsections (a) through (d), are identified as toxic wastes (T) unless otherwise designated and are subject to the small quantity exclusion defined in Section 721.105(a) and (g). These wastes and their corresponding EPA Hazardous Waste Numbers are:

BOARD NOTE: For the convenience of the regulated community, the primary hazardous properties of these materials have been indicated by the letters T (Toxicity), R (Reactivity), I (Ignitability) and C

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U247	72-43-5	chloro- Benzene, 1,1'-(2,2,2-trichloroethylidene)bis[4-methoxy-
U023	98-07-7	Benzene, (trichloromethyl)-
U234	99-36-4	Benzene, 1,3,5-trinitro-
U021	92-87-5	Benzidine
U202	81-07-2	1,2-Benzisothiazol-3(2H)-one, 1,1-dioxide, and salts
U203	94-59-7	<u>Benzene, 1,2-methyleneedioxy-4-allyl-- 1,3-</u> Benzodioxole, 5-(2-propenyl)-
U141	120-58-1	<u>Benzene, 1,2-methyleneedioxy-4-propenyl-- 1,3-</u> Benzodioxole, 5-(1-propenyl)-
U090	94-58-6	<u>Benzene, 1,2-methyleneedioxy-4-propenyl-- 1,3-</u> Benzodioxole, 5-propyl-
U055		<u>Benzene, (1-methyltetra)- (1)</u>
U169		<u>Benzene, nitro- (1,1)</u>
U183		<u>Benzene, pentaachloro-</u>
U185		<u>Benzene, pentaachloro-</u>
U058		<u>Benzenesulfonic acid chloride (G,R)</u>
U099		<u>Benzenesulfonyl chloride (G,R)</u>
U267		<u>Benzene, 1,2,4,5-tetrachloro-</u>
U023		<u>Benzene, (trichloromethyl)-(G,R,T)</u>
U024		<u>Benzene, 1,3,5-trinitro- (R,T)</u>
U021		<u>Benzidine</u>
U282		<u>1,2-Benzisothiazolin-3-one, 1,1-dioxide</u>
U158		<u>Benzof[3,4]fluorene-</u>
U064	189-55-9	<u>Benzof[5,6]pentaphene</u>
U248	P 81-81-2	<u>2H-1-Benzopyran-2-one, 4-hydroxy-3-(3-oxo-1-phenylbutyl)-, and salts, when present at concentrations of 0.3% or less</u>
U022	50-32-8	<u>Benzol[a]pyrene</u>
U022		<u>3,4-Benzopyrene-</u>
U197	106-51-4	<u>-3-p-Benzoquinone</u>
U023	98-07-7	<u>Benzotrichloride (C,R,T)</u>
U058		<u>1,2-Benzphenanthrene-</u>
U085	1464-53-5	<u>2,2'-Bioxirane -(1,1)</u>
U021	92-87-5	<u>(1,1'-Biphenyl)[1,1'-Biphenyl]-4,4'-diamine</u>
U073	91-94-1	<u>(1,1'-Biphenyl)-[1,1'-Biphenyl]-4,4'-diamine, 3,3'-dichloro-</u>
U091	119-90-4	<u>(1,1'-Biphenyl)-[1,1'-Biphenyl]-4,4'-diamine, 3,3'-dimethoxy-</u>
U095	119-93-7	<u>(1,1'-Biphenyl)-[1,1'-Biphenyl]-4,4'-diamine, 3,3'-dimethyl-</u>
U024		<u>Bis(2-chloroethoxy) methane-</u>
U027		<u>Bis(2-chloroisopropyl) ether-</u>
U244		<u>Bis(4-methylthiocarbamoyl) disulfide-</u>
U028		<u>Bis(2-ethylhexyl) phthalate-</u>
U246		<u>Bromine cyanide-</u>
U025	75-25-2	<u>Bromoform</u>
U230	101-55-3	<u>4-Bromophenyl phenyl ether</u>

U128	87-68-3	1,3-Butadiene, 1,1,2,3,4,4-hexachloro-
U172	924-16-3	1-Butanamine, N-butyl-N-nitroso-
U035		<u>Butanoic acid</u> , 4-[Bis(2-chloroethyl)amino] benzene--
U031	71-36-3	1-Butanol (1)
U159	78-93-3	2-Butanone (1,T)
U160	1338-23-4	2-Butanone, peroxide (R,T)
U053	4170-30-3	2-Butenal
U074	764-41-0	2-Butene, 1,4-dichloro- (1,T)
U143	303-34-4	2-Butenoic acid, 2-methyl-, 7-[[2,3-dihydroxy-2-(1-methoxyethyl)-3-methyl-1-oxobutoxy]methyl]-2,3,5,7a-tetrahydro-1H-pyrrrolizin-1-yl ester, [[5-(1-phenyl)-7(2S*,3R*), 7a-phenyl]-]-
U031	71-36-3	n-Butyl alcohol (1)
U136	75-60-5	Cacodylic acid
U032	13765-19-0	Calcium chromate
U238	51-79-6	Carbamic acid, ethyl ester
U178	615-53-2	Carbamic acid, methyl/nitroso-, ethyl ester
U176		Carbamides, N-ethyl-N-nitroso-
U177		Carbamides, N-methyl-N-nitroso-
U219		Carbamides, thio--
U097	79-44-7	-6- <u>Carbamoyl</u> -Carbamic chloride, dimethyl-
U114	P 111-54-6	Carbamodithioic acid, 1,2-ethanediyibis-, salts and esters
U062	2303-16-4	Carbamothioic acid, bis(1-methylethyl)-, S-(2,3-dichloro-2-propenyl) ester
U215	6533-73-9	Carbonic acid, dithallium (-1-1+) salt
U033	353-50-4	Carbonic difluoride
U156	79-22-1	Carbomochloridic acid, methyl ester (1,T)
U033	353-50-4	Carbon oxyfluoride (R,T)
U211	56-23-5	Carbon tetrachloride
U023		Carbonyl fluoride (R,T)-
U034	75-87-6	Chloral
U035	305-03-3	Chlorambucil
U036	57-74-9	Chlorthane-, technic-al-alpha and gamma isomers
U026	494-03-1	Chlornaphazin-e-
U037	108-90-7	Chlorobenzene
U038	510-15-6	Chlorobenzilate
U039	59-50-7	4--p-Chloro-m-cresol
U041		1-Chloro-2,3-epoxypropene-
U042	110-75-8	2-Chloroethyl vinyl ether
U044	67-66-3	Chloroform
U046	107-30-2	Chloromethyl methyl ether
U047	91-58-7	-beta-Chloronaphthalene- beta-Chloronaphthalene
U048	95-57-8	o-Chlorophenol
U049	3165-93-3	4-Chloro-o-toluidine, hydrochloride
U032	13765-19-0	Chromic acid H ₂ CrO ₄ , calcium salt
U050	218-01-9	Chrysene
U051		Cresols (Cresylic acid)
U052	1319-77-3	Cresols (Cresylic acid)

U052	Greasy acid-
U053	4170-30-3 Crotonaldehyde
U055	98-82-8 Cumene (1)
U246	506-68-3 Cyanogen bromide CNBr
U197	106-51-4 1,4--2,5-Cyclohexadiene-1,4-dione
U056	110-82-7 Cyclohexane (1)
U129	58-89-9 Cyclohexane, 1,2,3,4,5,6-hexachloro-2, (alpha, 2alpha, 3beta, 4alpha, 5alpha, 6beta)-
U057	108-94-1 Cyclohexanone (1)
U130	77-47-4 1,3-Cyclopentadiene, 1,2,3,4,5,5-hexachloro-
U058	50-18-0 Cyclophosphamide
U240	94-75-7 2,4-D, salts and esters
U059	20830-81-3 Daunomycin
U060	72-54-8 DDD
U061	50-29-3 DDT
U142	Deacetyloxyethylhydro-1,3,4-metheno-2H-eyetobutale, d, j- pentate-2-one-
U062	2303-16-4 Diolate
U133	Diamine (R, T)
U221	Diaminotetramine-
U063	Dibenz[a, h]anthracene
U063	53-70-3 Dibenz[a, h]anthracene
U064	189-55-9 1,2-Dibromo-3-chloropropane
U066	96-12-8 1,2-Dibromo-3-chloropropane
U069	84-74-2 Dibutyl phthalate
U070	95-50-1 o-Dichlorobenzene
U071	541-73-1 m-Dichlorobenzene
U072	106-46-7 p-Dichlorobenzene
U073	91-84-1 3,3'-Dichlorobenzidine
U074	764-41-0 1,4-Dichloro-2-butene (1, T)
U075	75-71-8 Dichlorodifluoromethane
U192	3,5-Dichloro-N-(1,1-dimethyl-2-propenyl) benzamide
U060	Dichlorodiphenylidichloroethane
U061	Dichlorodiphenyltrichloroethane-
U078	75-35-4 1,1-Dichloroethylene
U079	156-60-5 1,2-Dichloroethylene
U025	111-44-4 Dichloroethyl ether
U027	108-60-1 Dichloroisopropyl ether
U024	111-91-1 Dichloromethoxy ethane
U081	120-83-2 2,4-Dichlorophenol
U082	87-65-0 2,6-Dichlorophenol
U240	2,4-Dichlorophenoxyacetic acid, salts and esters
U083	1,2-Dichloropropane-
U084	542-75-6 1,3-Dichloropropane
U085	1464-53-5 1,2,3,4-Diepoxybutane (1, T)
U108	123-91-1 1,4-Diepoxybutane
U028	117-81-7 Diethylhexyl phthalate

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U086	1615-80-1 N,N'-Diethylhydrazine
U087	3288-58-2 O,O'-Diethyl-S-methyl-dithiophosphate-O,O-Diethyl S-methyl dithiophosphate
U088	84-66-2 Diethyl phthalate
U089	56-53-1 Diethylstilbestrol
U148	1,2-Dihydro-3,5,6-Pyridazinetrione-
U090	94-58-6 Dihydrosafrole
U091	119-90-4 3,3'-Dimethoxybenzidine
U092	124-40-3 Dimethylamine (1)
U093	60-11-7 p-Dimethylaminoazobenzene
U094	57-97-6 7,12-Dimethylbenz[a]anthracene
U095	119-93-7 3,3'-Dimethylbenzidine
U096	80-15-9 alpha, alpha-Dimethylbenzylhydroperoxide (R)
U097	79-44-7 Dimethylcarbamoyl chloride
U098	57-14-7 1,1-Dimethylhydrazine
U099	540-73-8 1,2-Dimethylhydrazine
U101	105-67-9 2,4-Dimethylphenol
U102	131-11-3 Dimethyl phthalate
U103	77-78-1 Dimethyl sulfate
U105	121-14-2 2,4-Dinitrotoluene
U106	606-20-2 2,6-Dinitrotoluene
U107	117-84-0 Di-n-octyl phthalate
U108	123-91-1 1,4-Dioxane
U109	122-66-7 1,2-Diphenylhydrazine
U110	142-84-7 Dipropylamine (1)
U111	621-64-7 Di-N-propylamine- Di-n-propylnitrosamine
U041	106-89-8 Epichlorohydrin
U001	75-07-0 Ethanal (1)
U174	55-18-5 Ethanamine, N-ethyl-N-nitroso-
U155	91-80-5 1,2-Ethanediamine, N,N-dimethyl-N'-2-pyridinyl-N'-(2-thienylmethyl)-
U067	106-93-4 Ethane, 1,2-dibromo-
U076	75-34-3 Ethane, 1,1-dichloro-
U077	107-06-2 Ethane, 1,2-dichloro-
U114	1,2-Ethanedithiolbisacetic acid-
U131	67-72-1 Ethane, -1,1,2,2,2-hexachloro-
U024	111-91-1 Ethane, 1,1'-[methylenebis(oxy)]bis-4-[2-chloro-
U247	Ethane, 1,1'-[methylenebis(oxy)]bis-4-[2-chloro-
U003	Ethanedithiolate (1, T)-
U117	Ethane, 1,1'-oxybis- (1)
U025	111-44-4 Ethane, 1,1'-oxybis-4-[2-chloro-
U184	76-01-7 Ethane, pentachloro-
U208	630-20-6 Ethane, 1,1,1,2-tetrachloro-
U099	79-34-5 Ethane, 1,1,2,2-tetrachloro-
U218	62-55-5 Ethanethioamide
U226	71-55-6 Ethane, 1,1,1-trichloro-
U227	79-00-5 Ethane, 1,1,2-trichloro-
U359	110-80-5 Ethanol, 2-ethoxy-
U173	1116-54-7 Ethanol, 2,2'-(nitrosoimino)bis-

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U004	98-86-2	Ethanone, 1-phenyl-
U043	75-01-4	Ethene, chloro-
U042	110-75-8	Ethene, -2-chloroethoxy-- (2-chloroethoxy)-
U078	75-35-4	Ethene, 1,1-dichloro-
U079	156-60-5	Ethene, -trans-1,2-dichloro-, (E)-
U210	127-18-4	Ethene, -1,1,2,2-tetrachloro-
U173		Ethane, 2,2,2-(nitrosoamino)bis-
U094		Ethane, 1-phenyl-
U096		Ethanol chloride (6, R, T)
U359		2-Ethoxyethanol-
U228	79-01-6	Ethene, trichloro-
U112	141-78-6	Ethyl acetate (I)
U113	140-88-5	Ethyl acrylate (I)
U238	51-79-6	Ethyl carbamate (urethane)
U117	60-29-7	Ethyl ether
U338		Ethyl 4,4'-dichlorobenzilate-
U114	P 111-54-6	Ethylenebis(dithiocarbamic acid, salts and esters)
U067	106-93-4	Ethylene dibromide
U077	107-06-2	Ethylene dichloride
U359	110-80-5	Ethylene glycol monoethyl ether
U115	75-21-8	Ethylene oxide (I, T)
U116	96-45-7	Ethylene thiourea Ethylenethiourea
U117		Ethyl ether (I)
U076	75-34-3	Ethylene dichloride
U118	97-63-2	-Ethylmethacrylate-Ethyl methacrylate
U119	62-50-0	Ethyl methanesulfonate
U139		Ferrie dextran-
U120	206-44-0	Fluoranthene
U122	50-00-0	Formaldehyde
U123	64-18-6	Formic acid (C, T)
U124	110-00-9	Furan (I)
U125	98-01-1	2-Furancarboxaldehyde (I)
U147	108-31-6	2,5-Furandione
U213	109-99-9	Furan, tetrahydro- (I)
U125	98-01-1	Furfural (I)
U124	110-00-9	Furfuran (I)
U206	18883-66-4	-D-Glucopyranose, 2-deoxy-2-(3-methyl-3-nitrosoamino)-, D-
U206	18883-66-4	D-Glucose, 2-deoxy-2-[[methyl nitrosoamino)-carbonyl]amino]-
U126	765-34-4	Glycidylaldehyde
U163	70-25-7	Guanidine, -N-nitroso-N-methyl-N'-nitroso-N-methyl-N'-nitro-N-nitroso-
U127	118-74-1	Hexachlorobenzene
U128	87-68-3	Hexachlorobutadiene
U129		Hexachlorocyclohexene (gamma isomer)-
U130	77-47-4	Hexachlorocyclopentadiene
U131	67-72-1	Hexachloroethane
U132	70-30-4	Hexachlorophene

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U243	1888-71-7	Hexachloropropene
U133	302-01-2	Hydrazine (R, T)
U086	1615-80-1	Hydrazine, 1,2-diethyl-
U098	57-14-7	Hydrazine, 1,1-dimethyl-
U099	540-73-8	Hydrazine, 1,2-dimethyl-
U109	122-66-7	Hydrazine, 1,2-diphenyl-
U134	7664-39-3	Hydrofluoric acid (C, T)
U134	7664-39-3	Hydrogen fluoride (C, T)
U135	7783-06-4	Hydrogen sulfide H ₂ S
U135	7783-06-4	Hydroperoxide, 1-methyl-1-phenylethyl- (R)
U096	80-15-9	Hydroxydimethylarsine oxide-
U136		Hydroxydimethylarsine oxide-
U116	96-45-7	2-Imidazolidinethione
U137	193-39-5	-Indene[1,2,3-ed]pyrene-
U139	9004-66-4	Iron dextran
U190	85-44-9	1,3-Isobenzofuranidone
U140	78-83-1	Isobutyl alcohol (I, T)
U141	120-58-1	Isosafrole
U142	143-50-0	Kepone
U143	303-34-4	Lasiocarpene
U144	301-04-2	Lead acetate
U146	1335-32-6	Lead, bis(acetato-0)tetrahydroxytri-
U145	7446-27-7	Lead phosphate
U146	1335-32-6	Lead subacetate
U129	58-89-9	Lindane
U163	70-25-7	MNNG
U147	108-31-6	Maleic anhydride
U148	123-33-1	Maleic hydrazide
U149	109-77-3	Malononitrile
U150	148-82-3	Melphalan
U151	7439-97-6	Mercury
U152	126-98-7	Methacrylonitrile (I, T)
U092	124-40-3	Methanamine, N-methyl- (I)
U029	74-83-9	Methane, bromo-
U045	74-87-3	Methane, chloro- (I, T)
U046	107-30-2	Methane, chloromethoxy-
U068	74-95-3	Methane, dibromo-
U080	75-09-2	Methane, dichloro-
U075	75-71-8	Methane, dichlorodifluoro-
U138	74-88-4	Methane, iodo-
U119	62-50-0	Methanesulfonic acid, ethyl ester
U211	56-23-5	Methane, tetrachloro-
U121		Methane, trichloro-
U153	74-93-1	Methanethiol (I, T)
U225	75-25-2	Methane, tribromo-
U044	67-66-3	Methane, trichloro-
U121	75-69-4	Methane, trichlorofluoro-
U123		Methane, trichlorofluoro-
U036	57-74-9	-4,7-Methanocindan, 1,2,4,5,6,7,8,8-octachloro-

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U145	7446-27-7	Phosphoric acid, lead (2+) salt (2:3)
U087	3288-58-2	Phosphorodithioic acid, 0,0-diethyl --, S-methyl--S-methyl ester
U189	1314-80-3	Phosphorus-Phosphorus sulfide (R)
U190	85-44-9	Phthalic anhydride
U191	109-06-8	2-Picoline
U179	100-75-4	Piperidine, 1-nitroso-
U192	23950-58-5	Promamide
U194	107-10-8	1-Propanamine (I,T)
U111	621-64-7	1-Propanamine, N-nitroso-N-propyl-
U110	142-84-7	1-Propanamine, N-propyl- (I)
U066	96-12-8	Propane, 1,2-dibromo-3-chloro-
U083	78-87-5	Propane, 1,2-dichloro-
U149	109-77-3	Propanedinitrile
U171	79-46-9	Propane, 2-nitro- (I,T)
U027	108-60-1	Propane, 2,2'-oxybis[2-chloro-
See		
F027	93-72-1	Propanoic acid, 2-(2,4,5-trichlorophenoxy)-
U193	1120-71-4	1,3-Propane sultone
U235	126-72-7	1-Propanol, 2,3-dibromo-, phosphate (3:1)
U126		1-Propanol, 2,3-epoxy-
U140	78-83-1	1-Propanol, 2-methyl- (I,T)
U002	67-64-1	2-Propanone (I)
U007	79-06-012	2-Propanamide
U084	542-75-6	1-Propene, 1,3-dichloro-
U243	1888-71-7	1-Propene, 1,1,2,3,3,3-hexachloro-
U009	107-13-1	2-Propenenitrile
U152	126-98-7	2-Propenenitrile, 2-methyl- (I,T)
U008	79-10-7	2-Propenoic acid (I)
U113	140-88-5	2-Propenoic acid, ethyl ester (I)
U118	97-63-2	2-Propenoic acid, 2-methyl-, ethyl ester
U162	80-62-6	2-Propenoic acid, 2-methyl-, methyl ester (I,T)
See		
F027	93-72-1	Propionic acid, 2-(2,4,5-trichlorophenoxy)-
U194	107-10-8	n-Propylamine (I,T)
U083	78-87-5	Propylene dichloride
U148	123-33-1	3,6-Pyridazinedione, 1,2-dihydro-
U196	110-86-1	Pyridine
U155		Pyridine, 2-[(2-(dimethylamino)-2-thenylamino)-
U179		Pyridine, hexahydro-N-nitroso-
U191	109-06-8	Pyridine, 2-methyl-
U237	66-75-1	2,4-(1H,3H)-Pyrimidinone, 5-[bis(2-chloroethyl)amino]-
U164	58-04-2	4--(4H)-Pyrimidinone, 2,3-dihydro-6-methyl-2-thio-
U180	930-55-2	Pyrazole, tetrahydro-N-nitroso-- Pyrrolidine, 1-nitroso-
U200	50-55-5	Reserpine
U201	108-46-3	Resorcinol

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U202 P	81-07-2	Saccharin and salts
U203	94-59-7	Safrole
U204	7783-00-8	Selenious acid
U204	7783-00-8	Selenium dioxide
U205	7488-56-4	Selenium sulfide
U205	7488-56-4	Selenium sulfide SeS ₂ (R,T)
U015	115-02-6	L-Serine, diazoacetate (ester)
See		
F027	93-72-1	Silvex (2,4,5-TP)
U089		4,4'-Stilbene, alpha, alpha'-diethyl--
U206	18883-66-4	Streptozotocin
U135		Sulfur hydride-
U103	77-78-1	Sulfuric acid, dimethyl ester
U189	1314-80-3	Sulfur phosphide (R)
U205		Sulfur selenide (R,T)-
See		
F027	93-76-5	2,4,5-T
U207	95-94-3	1,2,4,5-Tetrachlorobenzene
U208	630-20-6	1,1,1,2-Tetrachloroethane
U209	79-34-5	1,1,2,2-Tetrachloroethane
U210	127-18-4	Tetrachloroethylene
See		
F027	58-90-2	2,3,4,6-Tetrachlorophenol
U213	109-99-9	Tetrahydrofuran (I)
U214	563-68-8	Thallium (I) acetate
U215	6533-73-9	Thallium (I) carbonate
U216	7791-12-0	Thallium (I) chloride
U216	7791-12-0	Thallium chloride TlCl
U217	10102-45-1	Thallium (I) nitrate
U218	62-55-5	Thioacetamide
U153	74-93-1	Thiomethanol (I,T)
U244	137-26-8	Thioperoxydicarbonic diamide [(H ₂ N)C(S)] ₂ S ₂ , tetramethyl-
U219	62-56-6	Thiourea
U244	137-26-8	Thiram
U220	108-88-3	Toluene
U221	25376-45-8	Toluenediamine
U223	26471-62-5	Toluene diisocyanate (R,T)
U328	95-53-4	o-Toluidine
U353	106-49-0	p-Toluidine
U222	636-21-5	o-Toluidine hydrochloride
U011	61-82-5	1H-1,2,4-Triazol-3-amine
U226		1,1,1-Trichloroethane-
U227	79-00-5	1,1,2-Trichloroethane
U228		Trichloroethene-
U228	79-01-6	Trichloroethylene
U121	75-69-4	Trichloromono-fluoromethane
See		
F027	95-95-4	2,4,5-Trichlorophenol

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See F027	88-06-2	2,4,6-Trichlorophenol
U234	99-35-4	2,4,5-Trichlorophenoxyacetic acid-sym-1,3,5-Trinitrobenzene (R,T)
U182	123-63-7	1,3,5-Trioxane, -2,4,5-trimethyl-2,4,6-trimethyl-
U235	126-72-7	Tris(2,3-dibromopropyl) phosphate
U236	72-57-1	Trypan blue
U237	Uracil	5Eis(2-chloromethyl)amine--
U237	66-75-1	Uracil mustard
U176	759-73-9	Urea, N-ethyl-N-nitroso-
U177	684-93-5	Urea, N-methyl-N-nitroso-
U043	75-01-4	Vinyl chloride
U248	P 81-81-2	Warfarin, and salts, when present at concentrations of 0.3% or less
U239	1330-20-7	Xylene (I)
U249		Zinc phosphide, when present at concentrations of 10% or less
U200	50-55-5	Xanthan-16-carboxylic acid, 11,17-di-methoxy-8-[(3,4,5-trimethoxybenzoyl)oxy]-methyl ester-Yohimban-16-carboxylic acid, 11,17-dimethoxy-18-[(3,4,5-trimethoxybenzoyl)oxy]-, methyl ester, (3beta,16beta,17alpha,18beta,20alpha)-Zinc phosphide Zn ₃ P ₂ , when present at concentrations of 10% or less
U249	1314-84-7	

(Source: Amended at 13 Ill. Reg. 382, effective December 28, 1988)

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Section 721. Appendix H Hazardous Constituents

Common Name	Chemical Abstracts Name	Chemical Abstracts Number	Hazardous Waste Number
Acetonitrile	(-ethanentrite)- Same	75-05-8	U003
Acetophenone	(ethanone, 1-phenyl--)-	98-86-2	U004
-3-(alpha-acetonylbenzyl)-4-hydroxycumarin and salts	(warfarin)-		
2-Acetylaminofluorene	(Acetamide, N-(9H-fluoren-2-yl))-	53-96-3	U005
Acetyl chloride	(-ethanoyl chloride)- Same	75-36-5	U006
1-Acetyl-2-thiourea	(Acetamide, N-(aminothioxomethyl))-	591-08-2	P002
Acrolein	(2-Propenal)-	107-02-8	P003
Acrylamide	(2-Propenamide)-	79-06-1	U007
Acrylonitrile	(2-Propenenitrile)-	107-13-1	U009
Aflatoxins	Same	1402-68-2	
Aldicarb	Propanal, 2-methyl-2-(methylthio)-, 0-[(methylamino)carbonyl]oxime	116-06-3	P070
Aldrin	(-); 6; 3; 4; 18; 18-hexachloro-; 4; 4a; 5; 8; 8a-hexahydro-endo; exo-; 4; 5; 8-dimethanonaphthalene-; 1, 4, 5, 8-dimethanonaphthalene, 1, 2, 3, 4, 10, 10-hexachloro-1, 4, 4a, 5, 8, 8a-hexahydro-, 1-alpha, 4-alpha, 4a-beta, 5-alpha, 8-alpha, 8a-beta)-	309-00-2	P004
Allyl alcohol	(2-Propen-1-ol)	107-18-6	P005
Aluminum phosphide	Same	20859-73-8	P006
4-Aminobiphenyl	([1,1'-Biphenyl]-4-amine)-	92-67-1	
-6-amino-; 1a; 2; 8; 8a; 8b-hexahydro-8-(hydroxymethyl)-8a-methoxy-5-methylcarbamate aziridinol-; 3a; 3; 4pyrrolol-; 2a; indole-4; 7-dione; 6-amino-8-methoxy-5-methylcarbamate aziridinol-; 2; 8; 8a; 8b-hexahydro-8a-methoxy-5-methyl-; dione; taster) (mtomycin B)			
5-(Aminomethyl)-3-isoxazolol	(3(2H)-isoxazolone, 5-(aminomethyl))-	2763-96-4	P007
4-Aminopyridine	(4-Pyridinamine)-	504-24-5	P008
Amitrole	(1H-1,2,4-Triazol-3-amine)-	61-82-5	U011
Ammonium vanadate	Vanadic acid, ammonium salt	7803-55-6	U119
Aniline	(Benzenamine)-	62-53-3	U012
Antimony and compounds; N:0:5; (not otherwise specified)	Same	7440-36-0	
Antimony compounds, N.O.S. (not otherwise specified)			
Aramite	(Sulfurous acid, 2-chloroethyl-, 2-[4-(1,1-dimethylethyl)phenoxy]-1-methylethyl ester)-	140-57-8	
Arsenic and compounds; N:0:5; (not otherwise specified)	Arsenic	7440-38-2	
Arsenic compounds, N.O.S.			

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Arsenic acid	-orthoarsenic acid)- Arsenic acid	7778-39-4	P010
Arsenic pentoxide	H ₃ AsO ₄ -arsenic (V) oxide)- Arsenic oxide	1303-28-2	P011
Arsenic trioxide	As ₂ O ₃ -arsenic (III) oxide)- Arsenic oxide	1327-53-3	P012
Auramine	As ₂ O ₃ (Benzeneamine, 4, 4'- carbonyldiylbis[N, N-dimethyl- monohydrochloride])	492-80-8	U014
Azaserine	tl-Serine, diazoacetate (ester)-)	115-02-6	U015
Barium and compounds; N-8:5:-	Same	7440-39-3	
Barium compounds, N.O.S.	Same	542-62-1	P013
Benz[clacridine	-f3,4-Benzacridine)- Same	225-51-4	U016
Benz[clanthracene	-f3,2-Benzanthracene)- Same	56-55-3	U018
Benzal chloride	Benzene, (dichloromethyl)-	98-87-3	U017
Benzene	-cyclohexatriene)- Same	71-43-2	U018
-Benzene; 2-amino-1-methyl	to-toluidine)		
Benzene; 4-amino-1-methyl	(p-toluidine)-		
Benzenearsonic acid	(Arsonic acid, phenyl--)	98-05-5	
-Benzene; dichloromethyl-	(benzyl chloride)		
Benzeneethiol	(thiophenol)-		
Benzidine	(1,1'-Biphenyl)-4,4'-diamine)-	92-87-5	U021
Benzof(b)[b]fluoranthene	-f2,3-Benzofluoranthene)-	205-99-2	
Benzof(f)[b]fluoranthene	Benz[elacephenanthrylene		
Benzof(g)[b]fluoranthene	-f7,8-Benzofluoranthene)- Same	205-82-3	
Benzof(h)[b]fluoranthene	-f3,4-Benzopyrene)- Same	50-32-8	U022
p-Benzofquinone	-f4,4-cyclohexadienedione)- 2,5-	106-51-4	U197
Benzotrichloride	Cyclohexadiene-1,4-dione		
Benzyl chloride	(benzene; trichloromethyl)- Benzene,	98-07-7	U023
Beryllium and compounds; N-8:5:-	(trichloromethyl)-		
Beryllium compounds, N.O.S.	(benzene, (chloromethyl)--)	100-44-7	P028
-Bis(2-chloroethoxy)methane-	Same	7440-41-7	P015
Bis(2-chloroethoxy) ether	(ethane; bis-		
N,N-Bis(2-chloroethoxy)-2-naphthylamine	Emethylenbis(oxy)bis(2-chloro-3)		
Bis(2-chloroisopropoxy) ether	(ethane; bis-oxybis(2-chloro-3)		
Bis(chloromethyl) ether	(chloromethane)		
Bis(2-ethoxyethyl) phthalate	(ethane; oxybis(2-chloro-3)		
Bromoacetone	(f;2-Benzene dicarboxylic acid; bis(2- ethoxyethyl) ester)		
Bromoforn	(2-Propanone, 1-bromo--)	598-31-2	P017
-Bromomethane	Methane, tribromo-	75-25-2	U225
4-Bromophenyl phenyl ether	(methyl bromide)-		
Brucine	(benzene, 1-bromo-4-phenoxy--)	101-55-3	U030
	(Strychnidin-10-one, 2,3-dimethoxy-)	357-57-3	P018

POLLUTION CONTROL BOARD

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-2-Butanone peroxide	(methyl ethyl ketone; peroxide)-		
Butyl benzyl phthalate	(1,2-Benzenedicarboxylic acid, butyl phenylmethyl ester)	85-68-7	
2-sec-Butyl-4,6-dinitrophenol (BNBP)	(phenol; 2,4-dinitro-6-(1- methylpropyl)-)		
Cacodylic acid	Arsenic acid, dimethyl-	75-60-5	U136
Cadmium and compounds; N-8:5:-	Same	7440-43-9	
Cadmium compounds, N.O.S.			
Calcium chromate	(Chromic acid H ₂ CrO ₄ , calcium salt)	13755-19-0	U032
Calcium cyanide	(Calcium cyanide Ca(CN) ₂)	592-01-8	P021
Carbon disulfide	(Carbon bisulfide) Same	75-15-0	P022
Carbon oxyfluoride	(Carbonyl fluoride) Carbonic difluoride	353-50-4	U033
Carbon tetrachloride	Methane, tetrachloro-	56-23-5	U211
Chloral	(Acetaldehyde, trichloro--)	75-87-6	U034
Chlorambucil	(Benzoic acid; 4-ethyl-2- chloroethyl)amino)benzene)-	305-03-3	U035
	Benzenebutanoic acid, 4-bis(2- chloroethyl)amino)-		
Chlordane (alpha and gamma isomers)	(4; 7-Methanoindan; 1; 2; 4; 5; 6; 7; 8; 8-octachloro-3; 4; 7; 7a- tetrahydro-) (alpha and gamma isomers) 4, 7-Methano-1H-indene, 1, 2, 4, 5, 6, 7, 8, 8-octachloro-2, 3, 3a, 4, 7, 7a-hexahydro-	57-74-9	U036
Chlordane, alpha and gamma isomers			
Chlorinated benzenes, N.O.S.			
Chlorinated ethane, N.O.S.			
Chlorinated fluorocarbons, N.O.S.			
Chlorinated naphthalene, N.O.S.			
Chlorinated phenol, N.O.S.			
Chloronaphazene	Naphthalenamine, N, N'-bis(2- chloroethyl)-	494-03-1	U026
Chloroacetaldehyde	(Acetaldehyde, chloro--)	107-20-0	P023
Chloroalkyl ethers, N.O.S.			
p-Chloroaniline	(Benzeneamine; 4-chloro-)	106-47-8	P024
Chlorobenzene	Benzeneamine, 4-chloro-		
Chlorobenzilate	(Benzene, chloro--)	108-90-7	U037
	(Benzenecarboxylic acid, 4-chloro-alpha- (4-chlorophenyl)-alpha-hydroxy- ethyl ester)	510-15-6	U038
-2-Chloro-1,3-butadiene	(Butadiene)		
p-Chloro-m-cresol	(Phenol, 4-chloro-3-methyl--)	59-50-7	U039
-1-Chloro-2,3-epoxypropane	(Epoxypropane; 2-(chloromethyl)-)		
2-Chloroethyl vinyl ether	(Ethene, (2-chloroethoxy)-)	110-75-8	U042
Chloroform	(Methane, trichloro--)	67-66-3	U044
-Chloromethane	Methyl chloride-		
Chloromethyl methyl ether	(Methane, chloromethoxy--)	107-30-2	U046
-2-Chloronaphthalene	(Naphthalene; beta-chloro-)		

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beta-Chloronaphthalene	Naphthalene, 2-chloro-	91-58-7	U047
2-Chlorophenol	Phenol, o-chloro-	95-57-8	U048
o-Chlorophenol	Phenol, 2-chloro-	5344-82-1	P026
3-Chlorophenol	Thiourea, (2-chlorophenyl)-	126-99-8	P027
3-Chloropropene	(Attyl chloride)-	542-76-7	P027
Chloroprene	1,3-Butadiene, 3-chloro-	7440-47-3	P027
3-Chloropropionitrile	Propanenitrile, 3-chloro-	218-01-9	U050
Chromium- and compounds; N-8-S:-	Same	6358-53-8	U050
Chromium compounds, N.O.S.	-{2-benzophenanthrene}- Same	8007-45-2	P029
Chrysene	2-Naphthol; 1-Et; 5-	544-92-3	P029
Citrus red No. 2	d-methoxyphenylazo-3,2-Naphthalenol,	1319-77-3	U052
	1-[(2, 5-dimethoxyphenyl)azo]-	4170-30-3	U053
	Same	P030	
Coal tar-s- creosote	Copper cyanide CuCN	460-19-5	P031
Copper cyanide	-{Creosote; wood}- Same	506-68-3	U246
Creosote	Phenol, methyl--	506-77-4	P033
Crotonaldehyde	2-Butenal--	14901-08-7	U059
Cyanides (soluble salts and complexes), N.O.S.	Ethanedinitrile--	131-89-5	P034
Cyanogen	4-Bromine cyanide	50-18-0	U058
Cyanogen bromide	(CN)Br	94-75-7	U240
	4-Torine cyanide	20830-81-	U059
Cyanogen chloride	(CN)Cl	3	
	4-B-D-glucopyranoside, (methyl)-ONN-	45, 12-Naphthacenedione, (85-ets)-8-	
Cystin	azoxymethyl--	acetyl-10-[(3-amino-2, 3, 6-	
2-Cyclohexyl-4,6-dinitrophenol	Phenol, 2-cyclohexyl-4,6-dinitro-	trideoxy)-alpha-L-lyxo-	
Cyclophosphamide	-{2H-1; 3; 2-Bazaphosphorine;	hexopyranosyl-oxyl-7, 8, 9, 10-	
	Ets(2-chloroethyl)amino-tetrahydro-	tetrahydro-6, 8, 11-trihydroxy-1-	
	; 2-oxide-- 2H-1, 3, 2-	methoxy-, 8S-cis)-	
	Oxazaphosphorin-2-amine, N, N-bis(2-	-{dichlorophenyl}dichloroethane; t-t-	
	chloroethyl)tetrahydro-, 2-oxide	dichloro-2,2-bis(2-chlorophenyl)-	
2,4-D	Acetic acid, (2,4-dichlorophenoxy)-	1,1'-(2,2-dichloroethylidene)bis[4-chloro-	
2,4-D, salts and esters	Acetic acid, (2,4-dichlorophenoxy)-	ethylenes; t-t-dichloro-2; 2-bis(4-	
	salts and esters	chlorophenyl)- Benzene, 1, 1'-	
Daunomycin	45, 12-Naphthacenedione, (85-ets)-8-	(dichloroethylenidene)bis[4-chloro-	
	acetyl-10-[(3-amino-2, 3, 6-		
	trideoxy)-alpha-L-lyxo-		
	hexopyranosyl-oxyl-7, 8, 9, 10-		
	tetrahydro-6, 8, 11-trihydroxy-1-		
	methoxy-, 8S-cis)-		
	-{dichlorophenyl}dichloroethane; t-t-		
	dichloro-2,2-bis(2-chlorophenyl)-		
	1,1'-(2,2-dichloroethylidene)bis[4-chloro-		
	ethylenes; t-t-dichloro-2; 2-bis(4-		
	chlorophenyl)- Benzene, 1, 1'-		
	(dichloroethylenidene)bis[4-chloro-		

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POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

DDT	(dichlorodiphenyl)tetraethane; t-t-t-t-tetraphenyl-2; 2-bis(2-chlorophenyl)-Benzene, 1, 1'-(2, 2, 2-trichloroethylidene)bis[4-chloro-	50-29-3	U061
Diallate	15-(2; 3-dichloroethylidene)diisopropylthiocarbamate; Carbathioic acid, bis(1-methylethyl)-, S-(2, 3-dichloro-2-propenyl) ester	2303-16-4	U062
	-{2; 5; 6-benzocycridine}- Same	226-36-8	
	-{2; 7; 8-benzocycridine}- Same	224-42-0	
	-{2; 5; 6-benzocycridine}- Same	53-70-3	U063
	-{3; 4; 5; 6-benzocycridine}- Same	194-59-2	
	-{2; 4; 5-benzopyrene}-	192-65-4	
	Naphthol[1, 2, 3, 4-def]chrysene	189-64-0	
	-{2; 5; 6-benzopyrene}-	189-55-9	U064
	Dibenzo[a, b, def]chrysene	96-12-8	U066
	-{2; 7; 8-benzopyrene}		
	Benzol[1, 2, 3, 4-def]chrysene		
	Propane, 1, 2-dibromo-3-chloro--		
1,2-Dibromo-3-chloropropane			
-1,2-Bromomethane (Ethylenedibromide)			
8-Bromomethane (Methylene bromide)			
8-n-butyl phthalate	Phthalate		
o-Dichlorobenzene			
m-Dichlorobenzene			
p-Dichlorobenzene			
Dichlorobenzene, N.O.S.			
3,3'-Dichlorobenzidine			
1,4-Dichloro-2-butene			
Dichlorodifluoromethane			
-1,2-Bichloroethane (Ethylenedichloride)			
1,2-Bichloroethane (Ethylenedichloride)			
trans-1,2-Bichloroethene (Ethylenedichloride)			
Dichloroethylene, N.O.S.			
-{Ethylenedichloride; N-8-S:-}			
Dichloroethylene			
-{Ethylenedichloride}			
Ethene, 1,1-dichloro-, (E)-			
(Methylene chloride)-			
Ethane, 1,1'-oxybis(2-chloro-			
Propane, 2,2'-oxybis(2-chloro-			
Ethane, 1,1'-[methylenebis(oxy)bis(2-			
chloro-			
Methane, oxybis(chloro-			
Phenol, 2,4-dichloro--			

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

2,6-Dichlorophenol
-2,4,8-trichlorophenoxyacetic acid

Dichlorophenylarsine

Dichloropropane, N.O.S.

-1,2-Dichloropropane

Dichloropropanol, N.O.S.

Dichloropropene, N.O.S.

1,3-Dichloropropene

Dieldrin

{Phenol, 2,6-dichloro--}-
{2,4,8}-; salts and esters (acetic
acid, 2,4-dichlorophenoxy--; salts and
esters)-

-{Phenyl dichloroarsine}- Arsonous
dichloride, phenyl-

{Propane, dichloro--N-O-S-}-
{propylene dichloride}-

{Propanol, dichloro--N-O-S-}-

{1-Propene, dichloro--N-O-S-}-

{1-Propene, 1,3-dichloro--}-

-{1,2,3,4,5,6,7,8,9,10-hexachloro-6,7-
epoxy-}; 4; 4a; 5; 6; 7; 8; 8a-
octahydro-endo; exo-; 4-5; 8-
dimethanonaphthalene- 2, 7, 3, 6-
Dimethanonaphth[2, 3-b]oxirene, 3, 4,
5, 6, 9, 9-hexachloro-1a, 2, 2a, 3,
6, 6a, 7, 7a-octahydro-, (1a alpha, 2
beta, 2a alpha, 3 beta, 6 beta, 6a
alpha, 7 beta, 7a alpha)-

{2,2'-Bioxirane-}-

{Arsine, diethyl--}

1,4-Dioxane

1,2-Benzenedicarboxylic acid, bis(2-
ethylhexyl) ester

{Hydrazine, 1,2-diethyl--}-

{Phosphorodithioic acid, 0,0-diethyl
S-methyl ester--}

-{Phosphoric acid; diethyl p-
nitrophenyl ester}- Phosphoric acid,
diethyl 4-nitrophenyl ester

{1,2-Benzenedicarboxylic acid,
diethyl ester--}

{Phosphorothioic acid, 0,0-diethyl 0-
pyrazinyl ester--}

-{4,4'-stibenediol; alpha;alpha-
diethyl; bis(dihydrogen phosphate;
tetra-)- Phenol, 4,4'-(1,2-diethyl-1,2-
ethenediyl)bis-, (E)-

-{Benzene; 1,2-methylenedioxy-4-
propyl-- 1,3-Benzodioxole, 5-propyl-
1,2-benzenediol; 4-ethylhydroxy-2-
(methylenedioxyethyl)-}

{Phosphorofluoridic acid, bis(1-
methylethyl) ester--}

{Phosphorodithioic acid, 0,0-diethyl
S-[2-(methylamino)-2-oxoethyl] ester}

Dihydroasafrole

3,4-Dihydroxy-alpha-

{methylamino}methyl benzyl alcohol

Diisopropyl fluorophosphate (DFP)

Dimethoate

87-65-0 U082

696-28-6 P036

26638-19-7

26545-73-3

26952-23-8

542-75-6 U084

60-57-1 P037

1464-53-5 U085

692-42-2 P038

123-91-1 U108

117-81-7 U028

1615-80-1 U086

3288-58-2 U087

311-45-5 P041

84-66-2 U088

297-97-2 P040

56-53-1 U089

94-58-6 U090

55-91-4 P043

60-51-5 P044

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3,3'-Dimethoxybenzidine
p-Dimethylaminoazobenzene

7,12-Dimethylbenz[a]anthracene

3,3'-Dimethylbenzidine

Dimethylcarbamoyl chloride

1,1-Dimethylhydrazine

1,2-Dimethylhydrazine

-3,3'-Dimethyl-1-(methylthio)-2-buta-
none; 8-{(methylamino)carbonyl} oxime

alpha, alpha-Dimethylphenethylamine

2,4-Dimethylphenol

Dimethylphthalate

Dimethyl sulfate

Dinitrobenzene, N.O.S.

4,6-Dinitro-o-cresol

4,6-Dinitro-o-cresol and salts

2,4-Dinitrophenol

2,4-Dinitrotoluene

2,6-Dinitrotoluene

Dinoseb

Di-n-octyl phthalate

-1,4-Bisoxane

Diphenylamine

1,2-Diphenylhydrazine

Di-n-propyl nitrosamine

Disulfoton

-2,4-Dithiobutret

{[1,1'-Biphenyl]-4,4'-diamine, 3,3'-
dimethoxy--}

{Benzeneamine, N,N-dimethyl-4-
(phenylazo)--}

{1,2-Benz[a]anthracene, 7,12-
dimethyl-}

{[1,1'-Biphenyl]-4,4'-diamine, 3,3'-
dimethyl-}

-{Carbamoyl chloride; dimethyl-}

Carbamic chloride, dimethyl-

{Hydrazine, 1,1-dimethyl--}

{Hydrazine, 1,2-dimethyl--}

{thiofanoxy-}

{Ethanolamine; 1,1-dimethyl-2-phenyl-}

Benzenethanamine, alpha, alpha-

dimethyl-

{Phenol, 2,4-dimethyl--}

{1,2-Benzenedicarboxylic acid,
dimethyl ester}

{Sulfuric acid, dimethyl ester--}

{Benzene, dinitro--; N-O-S-}

{Phenol, 2-methyl-4,6-dinitro-}

{Phenol; 2,4-dinitro-6-methyl-; and
salts}

{Phenol, 2,4-dinitro--}

{Benzene, 1-methyl-2,4-dinitro--}

-{Benzene; 1-methyl-2,6-dinitro-}

Benzene, 2-methyl-1,3-dinitro-

Phenol, 2-(1-methylpropyl)-4,6-
dinitro-

{1,2-Benzenedicarboxylic acid,
diethyl ester}

{1,4-Bisethylene oxide}-

{Benzeneamine, N-phenyl--}

{Hydrazine, 1,2-diphenyl--}

{N-nitroso-di-n-propylamine} 1-

Propanamine, N-nitroso-N-propyl-

-{8; 8-diethyl 5-[2-(ethylthio)ethyl]-
phosphorodithioate- Phosphorodithioic
acid, 0, 0-diethyl S-[2-
(ethylthio)ethyl] ester

{Thioimidodicarbonic diamide}

{(H₃NC(S))₂NH}

119-90-4 U091

60-11-7 U093

57-97-6 U094

119-93-7 U095

79-44-7 U097

57-14-7 U098

540-73-8 U099

122-09-8 P046

105-67-9 U101

131-11-3 U102

77-78-1 U103

25154-54-5

534-52-1 P047

51-28-5 P048

121-14-2 U105

606-20-2 U106

88-85-7 P020

117-84-0 U107

122-39-4

122-66-7 U109

621-64-7 U111

298-04-4 P039

541-53-7 P049

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Isodrin	1, 4, 5, 8-Dimethanonaphthalene, 1, 2, 3, 4, 10, 10-hexachloro-1, 4, 4a, 5, 8a-hexahydro-, (1 alpha, 4 alpha, 4a beta, 5 beta, 8 beta, 8a beta)-	465-73-6	P060
Isosafrole	(benzene; 1:2-methylenedioxy-4-allyl-1,3-benzodioxole, 5-(1-propenyl)-	120-58-1	U141
Kepone	1,3-benzodioxole-1:3:4-metheno-2H-cyclobutenedipenten-2-one; 1, 3, 4-metheno-2H-cyclobuta[cd]pentalen-2-one, 1, 1a, 3, 3a, 4, 5, 5a, 5b, 6-decachlorooctahydro-	143-50-0	U142
Lasiocarpine	2-Butenoic acid, 2-methyl-, 7-[[[2, 3-dihydroxy-2-(1-methoxyethyl)-3-methyl-1-oxobutoxy]methyl]-2, 3, 5, 7a-tetrahydro-1H-pyrrolizin-1-yl ester], [1S-[1-alpha(2), 7(2S*, 3R*)], 7a alpha]]-	303-34-1	U143
Lead and compounds; N:0:5: Lead and compounds, N:0:5: Lead acetate	Same	7439-92-1	
Lead phosphate	(Acetic acid, lead (2+) salt)- (Phosphoric acid, lead (2+) salt) (2:3)	301-04-2	U144
Lead subacetate	Lead, bis(acetato-0)tetrahydroxytri-	7446-27-7	U145
Lindane	Cyclohexane, 1,2,3,4,5,6-hexachloro-, 1 alpha, 2 alpha, 3 beta, 4 alpha, 5 alpha, 6 beta)-	1335-32-6	U146
Maleic anhydride	(2,5-Furandione)-	108-31-6	U147
Maleic hydrazide	(4:2-dihydro-3:6-pyridazinedione)-	123-33-1	U148
Malononitrile	(Propanedinitrile)-	109-77-3	U149
Melphalan	(Antineoplastic; 3-Ep-bis(2-chloroethyl)-amino)phenyl; L-Phenylalanine, 4-[bis(2-chloroethyl)amino]]-	148-82-3	U150
Mercury	Same	7439-97-6	U151
Mercury compounds, N:0:5: Mercury fulminate	(Fulminic acid, mercury (2+) salt)	628-86-4	P065
Mercury and compounds; N:0:5: Methacrylonitrile	(2-Propenenitrile, 2-methyl)-	126-98-7	U152
Methacrylonitrile	(Methacrylonitrile)-	91-80-5	U155
Methacrylonitrile	(Pyridine; 2-[[2-dimethylamino]ethyl]-2-phenylamino)-1,2-Ethanediamine, N,N-dimethyl-N'-2-pyridinyl-N'-(2-phenylmethyl)-		

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Metholmyl	(Acetimidic acid; N-(methylcarbamoyl)oxythio-; methyl ester) Ethanimidothioic acid, N-[[[methylamino]carbonyl]oxy]-, methyl ester	16752-77-5	P066
Methoxychlor	(Ethane; 1:1:1-trichloro-2:2-bis(p-methoxyphenyl)-; Benzene, 1,1'-(2,2,2-trichloroethylidene)bis[d-methoxy-	72-43-5	U247
-2-Methylaziridine (1:2-Propylenimine)			
3-Methylcholanthrene (Benzofluorenylene; 1:2-dihydro-3-methyl-1-Methyl bromide	Methane, bromo-	74-83-9	U029
Methyl chloride	Methane, chloro-	74-87-3	U045
Methyl chlorocarbonate	(Carbonylchloridic acid, methyl ester)	79-22-1	U156
Methyl chloroform	Ethane, 1,1,1-trichloro-	71-55-6	U226
3-Methylcholanthrene	Benz[<i>a</i>]aceanthrylene, 1,2-dihydro-3-methyl-	56-49-5	U157
4,4'-Methylenebis(2-chloroaniline)	(4,4'-Methylenebis(2-chlorobenzenamine)) Benzeneamine, 4,4'-methylenebis[2-chloro-	101-14-4	U158
Methylene bromide	Methane, dibromo-	74-96-3	U068
Methylene chloride	Methane, dichloro-	75-09-2	U080
Methyl ethyl ketone (MEK)	(2-Butanone)-	78-93-3	U159
Methyl ethyl ketone peroxide	2-Butanone, peroxide	1338-23-4	U160
Methyl hydrazine	(Hydrazine, methyl-)-	60-34-4	P068
Methyl iodide	Methane, iodo-	74-88-4	U138
Methyl isocyanate	Methane, isocyanato-	624-83-9	P064
2-Methylacetonitrile	(Propanenitrile, 2-hydroxy-2-methyl)-	75-86-5	P069
Methyl methacrylate	(2-Propenoic acid, 2-methyl-, methyl ester)	80-62-6	U162
Methyl methanesulfonate	(Methanesulfonic acid, methyl ester)	66-27-3	
-2-Methyl-2-(methylthio)propanaldehyde-0-(methylcarboxyl) oxime (Propanal; 2-methyl-2-(methylthio)-; 0-(methylamino)carboxyl) oxime			
N-Methyl-N-nitro-N-nitrosoguanidine	(Guanidine; N-nitroso-N-methyl-N'-nitro-)-	298-00-0	P071
Methyl parathion	(8:8-dimethyl 0-(4-nitrophenyl) phosphorothioate) Phosphorothioic acid, 0,0-dimethyl 0-(4-nitrophenyl) ester	56-04-2	U164
Methyl thiouracil	(4-TH-4-(1H)-Pyrimidinone, 2,3-dihydro-6-methyl-2-thioxo-	50-07-7	U010
Mitomycin C	Azirinol[2', 3':3, 4]pyrrolo[1, 2-a]indole-4, 7-dione, 6-amino-8-[[[amino]carbonyl]oxy]methyl]-1, 1a, 2, 8, 8a, 8b-hexahydro-8a-methoxy-5-methyl-, [1a-S-(1a alpha, 8 beta, 8a alpha, 8b alpha)]-		
HNWG	Guanidine, N-methyl-N'-nitro-N-nitroso-	70-25-7	U163

POLLUTION CONTROL BOARD

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Mustard gas	-{Sulfide; bis(2-chloroethyl)-}	505-60-2
Naphthalene	Ethane, 1,1'-thiobis[2-chloro-]	91-20-3 U165
1,4-Naphthoquinone	Same	130-15-4 U166
1-Naphthylamine (alpha-Naphthylamine)	1,4-Naphthalenedione-2-	134-32-7 U167
2-Naphthylamine (beta-Naphthylamine)	1-Naphthalenamine	91-59-8 U168
1-alpha-Naphthyl-2-thiourea	2-Naphthalenamine	86-88-4 P072
Nickel and compounds; N:0:5:	Same	7440-02-0
Nickel compounds, N.O.S.		
Nickel carbonyl	{Nickel tetracarbonyl}	13463-39- P073
Nickel cyanide	carbonyl Ni(CO) ₄ , (T-4)-	3
Nicotine and salts	{Nickel (II) cyanide} Ni(CN) ₂	557-19-7 P074
Nicotinic acid	Pyridine, {3-3-(1-methyl-2-pyridyl)-, (S)- and salts}	54-11-5 P075
Nitric oxide	-{Nitrogen (II) oxide}- Nitrogen oxide NO	P075
p-Nitroaniline	{Benzeneamine, 4-nitro-}	10102-43-9 P076
Nitrobenzene	{Benzene, nitro-}	100-01-6 P077
Nitrogen dioxide	-{Nitrogen (IV) oxide}- Nitrogen oxide NO ₂	98-95-3 P078
Nitrogen mustard and hydrochloride salt	{Ethanimine, 2-chloro-N-(2-chloroethyl)-N-methyl-, and hydrochloride salt}	10102-44-0 P078
Nitrogen mustard, hydrochloride salt	{Ethanimine, 2-chloro-N-(2-chloroethyl)-N-methyl-, N-oxide; and hydrochloride salt}	51-75-2
Nitrogen mustard, N-oxide, hydrochloride salt		126-85-2
Nitroglycerin	{1,2,3-Propanetriol, trinitrate-}	55-63-0 P081
4p-Nitrophenol	{Phenol, 4-nitro-}	100-02-7 U170
2-Nitropropane	{Propane, 2-nitro-}	79-46-9 U171
4-Nitroquinoline-1-oxide	{Quinoline, 4-nitro-1-oxide-}	35576-91-1
Nitrosamines, N.O.S.		
N-Nitrosodi-n-butylamine	{1-Butanamine, N-butyl-N-nitroso-}	924-16-3 U172
N-Nitrosodimethanolamine	{Ethanol, 2,2'-(nitrosodimethylbis-)}	1116-54-7 U173
N-Nitrosodimethylamine	{Ethanimine, N-ethyl-N-nitroso-}	55-18-5 U174
N-Nitrosodimethylamine	{N-methyl-N-nitrosamine} Methanimine, N-methyl-N-nitroso-	62-75-9 P082
N-Nitroso-N-ethylurea	{Carbamide; N-ethyl-N-nitroso-}	759-73-9 U176
N-Nitrosomethylamine	{Ethanimine, N-methyl-N-nitroso-}	10595-95-6
N-Nitroso-N-methylurea	{Carbamide; N-methyl-N-nitroso-}	684-93-5 U177
N-Nitroso-N-methylurethane	{Urea, N-methyl-N-nitroso-}	615-53-2 U178
N-Nitrosomethylvinylamine	{Carbamic acid, methylnitroso-, ethyl ester}	4549-40-0 P084

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

N-Nitrosomorpholine	{Morpholine, N-nitroso-}	59-89-2
N-Nitrosomorpholine	{Morpholine, N-nitroso-} Pyridine, 3-(1-nitroso-2-pyrrolidinyl)-, (S)-	16543-55-8
N-Nitrosopiperidine	{Pyridine, hexahydro-, N-nitroso-}	100-75-4 U179
N-Nitrosopyrrolidine	Piperidine, 1-nitroso-	930-55-2 U180
N-Nitrososarcosine	{Pyroline, tetrahydro-, N-nitroso-}	13256-22-9
5-Nitro-o-toluidine	{Sarcosine, N-nitroso-}	99-55-8 U181
Octamethylpyrophosphoramide	methyl-N-nitroso-	152-16-9 P085
Osmium tetroxide	{Benzeneamine, 2-methyl-5-nitro-}	20816-12-0 P087
dibromotetracyclic acid	{Diphosphoramide, octamethyl-}	
Paraldehyde	{Osmium (VIII) oxide} OsO ₄ , (T-4)	
Parathion	{Endothal}	
Pentachlorobenzene	{1,3,5-Trioxane, 2,4,6-trimethyl-}	123-63-7 U182
Pentachlorodibenzop-dioxins	{Phosphorothioic acid, 0,0-diethyl 0-(p4-nitrophenyl) ester}	56-38-2 P089
Pentachlorodibenzofurans	{Benzene, pentachloro-}	608-93-5 U183
Pentachloroethane	{Ethane, pentachloro-}	76-01-7 U184
Pentachloronitrobenzene (PCNB)	{Benzene, pentachloronitro-}	82-68-8 U185
Phenacetin	{Phenol, pentachloro-}	87-86-5 U187
Phenol	{Acetamide, N-(4-ethoxyphenyl)-}	62-44-2 U188
Phenyl isocyanide	-{Benzene; hydroxy-}- Same	108-95-2 U189
Phenylmercury acetate	{Benzene; hydroxy-}	25265-76-3
N-Phenylthiourea	{Mercury, (aceto-0)phenyl-}	62-38-4 P092
Phosgene	{Thiourea, phenyl-}	103-85-5 P093
Phosphine	{Carbonyl chloride}	75-44-5 P095
Phosphate	dichloride	
Phthalic acid esters, N.O.S.	-{Hydrogen phosphide}- Same	7803-51-2 P096
Phthalic anhydride	Phosphorothioic acid, 0,0-diethyl S-[(ethylthio)methyl] ester (phorate)	298-02-2 P094
2-Picoline	Phosphorothioic acid, 0,0-diethyl S-[(ethylthio)methyl] ester (phorate)	
Polychlorinated biphenyls, N.O.S.	Ep-[(dimethylamino) sulfonyl]phenyl ester (tamphur)	
Potassium cyanide	{Benzene; 1,2-dicarboxylic acid; esters; N:0:5:}	85-44-9 U190
Potassium silver cyanide	{Benzene; 1,3-isobenzoxandione anhydride}	109-06-8 U191
Promazine	{Pyridine, 2-methyl-}	151-50-8 P098
	Same	506-61-6 P099
	{Argentate (I-), dicyano-bis (cyano-C)-, potassium}	23950-58- U192
	{3,5-Bichloro-N-(1,1-dimethyl-2-propenyl)-2-propenyl}benzamide	
	dichloro-N-(1,1-dimethyl-2-propenyl)-	5

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1,3-Propane sulfone	U193	1120-71-4	U193	Tetrachloroethane, N.O.S.	25322-20-7
n-Propylamine	U194	107-10-8	U194	1,1,1,2-Tetrachloroethane	630-20-6 U208
Propylthiocarbamate				1,1,2-Tetrachloroethane	79-34-5 U209
2-Propyl-1-oxo-1-ethylalcohol	P102	107-19-7	P102	Tetrachloroethene (perchloroethylene)	127-18-4 U210
Propylene dichloride	U083	78-87-5	U083	Ethene, tetrachloro-	
1,2-Propyleneimine	P067	75-55-8	P067	(Carbon tetrachloride)-	
Propylthiouracil		51-52-5		(Phenol, 2,3,4,6-tetrachloro-)-	58-90-2 See F027
Pyridine	U196	110-86-1	U196	(4-thiopyrophosphoric acid; tetra-	3689-24-5 P109
Reserpine	U200	50-55-5	U200	ethyl ester) Thiodiphosphoric acid,	
				tetraethyl ester	
				(P)umbane, tetraethyl-)-	78-00-2 P110
				(Pyrophosphoric acid; tetraethyl	107-49-3 P111
				ester) Diphosphoric acid, tetraethyl	
				ester	
				(Methane, tetranitro-)-	509-14-8 P112
				Same	7440-28-0
Resorcinol	U201	108-46-3	U201		
Saccharin and salts	U202	81-07-2	U202	(Thallium (III) oxide) Tl ₂ O	1314-32-5 P113
				(Acetic acid, thallium (II+) salt)	563-68-8 U214
				(Carbonic acid, dithallium (II+) salt)	6533-73-9 U215
Saccharin salts	U202		U202		
Saffrole	U203	94-59-7	U203	Thallium chloride TlCl	7791-12-0 U216
				(Nitric acid, thallium (II+) salt)	10102-45-1 U217
Selenious acid				Selenious acid, dithallium (II+) salt	12039-52-0 P114
Selenium compounds, N.O.S.				(Sulfuric acid, dithallium (II+) salt)	7446-18-6 P115
Selenium dioxide	U204	7783-00-8	U204		
Selenium sulfide (Sulfur selenide)	U205	7488-56-4	U205	(Ethanethioamide)-	62-55-5 U218
Selenourea	P103	630-10-4	P103	2-Butanone, 3,3-dimethyl-1-	39196-18- P045
Silver and compounds, N.O.S.				(methylthio)-, 0-	4
Silver cyanide	P104	506-64-9	P104	[(methylamino)carbonyl]oxime	
Silvex (2,4,5-TP)	See F027	93-72-1	See F027	Methanethiol	74-93-1 U153
				Benzenethiol	108-98-5 P014
Sodium cyanide	P106	143-33-9	P106	Hydrazinecarbothioamide-)-	79-19-6 P116
Streptozotocin	U206	18883-66-	U206	(Carbamide; thio-)- Same	62-56-6 P219
				(8-(dimethylthio)carbamoyl)	137-26-8 U244
				disulfide) Thioperoxycarbonic	
				diamide [(H ₂ N)(S)] ₂ S ₂ , tetramethyl-	
				(Benzene, methyl-)-	108-88-3 U220
				(Benzenothioene N:O:S ₂)	25376-45-8 U221
Strontium sulfide	P107	1314-96-1	P107	Benzenediamine, ar-methyl-	
Strychnine and salts	P108	57-24-9	P108	1,3-Benzenediamine, 4-methyl-	95-80-7
Strychnine salts	P108		P108	2,6-Toluene-2,4-diamine	823-40-5
TCDD		1746-01-6		3,4-Toluene-3,4-diamine	496-72-0
				Toluene dithiocyanate	26471-62- U223
1,2,4,5-Tetrachlorobenzene	U207	95-94-3	U207		5
Tetrachlorodibenzop-dioxins				Benzenamine, 2-methyl-	95-53-4 U328
2,3,7,8-Tetrachlorodibenzo-p-dioxin				(Benzenamine, 2-methyl-,	636-21-5 U222
(F008)				hydrochloride-)-	
Tetrachlorodibenzofurans					

POLLUTION CONTROL BOARD

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Tetrachloroethane, N.O.S.	U208	25322-20-7	U208	(Ethane, tetrachloro-, N.O.S.-)-	
1,1,1,2-Tetrachloroethane	U209	630-20-6	U209	(Ethane, 1,1,2-tetrachloro-)-	
1,1,2-Tetrachloroethane	U210	79-34-5	U210	(Ethane, 1,1,2-tetrachloro-)-	
Tetrachloroethylene				Tetrachloroethene (perchloroethylene)	
				Ethene, tetrachloro-	
				(Carbon tetrachloride)-	
				(Phenol, 2,3,4,6-tetrachloro-)-	58-90-2 See F027
				(4-thiopyrophosphoric acid; tetra-	3689-24-5 P109
				ethyl ester) Thiodiphosphoric acid,	
				tetraethyl ester	
Tetraethyl lead	P110	78-00-2	P110	(P)umbane, tetraethyl-)-	
Tetraethylpyrophosphate	P111	107-49-3	P111	(Pyrophosphoric acid; tetraethyl	
				ester) Diphosphoric acid, tetraethyl	
				ester	
				(Methane, tetranitro-)-	509-14-8 P112
				Same	7440-28-0
Tetranitromethane					
Thallium and compounds, N:O:S ₂					
Thallium compounds					
Thallous oxide					
Thallium (I) acetate					
Thallium (I) carbonate					
Thallium (I) chloride					
Thallium (I) nitrate					
Thallium selenite					
Thallium (I) sulfate					
Thioacetamide					
Thiofanox					
Thiomethanol					
Thiophenol					
Thiosemicarbazide					
Thiourea					
Thiram					
Toluene					
Toluenediamine- N:O:S ₂ -					
2,4-Toluene-2,4-diamine					
2,6-Toluene-2,6-diamine					
3,4-Toluene-3,4-diamine					
Toluene dithiocyanate					
o-Toluidine					
o-Toluidine hydrochloride					

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p-Toluidine	Benzenamine, 4-methyl-	106-49-0	U353
Toxaphene	-[camphene; octachloro]- Same	8001-35-2	P123
-Trichloromethane	(bromoform)-	120-82-1	
1,2,4-Trichlorobenzene	(benzene, 1,2,4-trichloro--)-	79-00-5	U227
1,1,2-Trichloroethane	(methyl chloroform)-	79-01-6	U228
1,2-Trichloroethane	(ethane, 1,1,2-trichloro--)-	75-70-7	P118
Trichloroethylene	(trichloroethylene)ethene, trichloro-	75-69-4	U121
Trichloromethanethiol	(methanethiol, trichloro--)-	95-95-4	See F027
Trichloromono fluoromethane	(methane, trichloro fluor--)-	88-06-2	See F027
2,4,5-Trichlorophenol	(phenol, 2,4,5-trichloro--)-	93-76-5	See F027
2,4,6-Trichlorophenol	(phenol, 2,4,6-trichloro--)-		
2,4,5-Trichlorophenoxyacetic acid	(acetic acid; 2,4,5-trichloro- phenoxy)-		
(2,4,5-T	phenoxy)- Acetic acid, (2,4,5-trichlorophenoxy)-		
2,4,5-Trichlorophenoxypropionic acid	(propionic acid; 2-(2,4,5-trichlorophenoxy)-		
(2,4,5-Tp) (stivex)	(trichlorophenoxy)-	25735-29-9	
Trichloropropane, N.O.S.	(propane; trichloro-; N-8-S)-	96-18-4	
1,2,3-Trichloropropane	(propane, 1,2,3-trichloro--)-	126-68-1	
0,0,0-Triethyl phosphorothioate	(phosphorothioic acid, 0,0,0-triethyl ester)-	99-35-4	U234
syml,3,5-Trinitrobenzene	(benzene, 1,3,5-trinitro--)-	52-24-4	
Tris(1'-aziridinyl)phosphine sulfide	(phosphine sulfide; tris(1'-aziridinyl)-)- Aziridine, 1,1,1"- phosphinothioylidynetris-	126-72-7	U235
Tris(2,3-dibromopropyl) phosphate	(1-Propanol, 2,3-dibromo-, phosphate)- (3:1)	72-57-1	U236
Trypan blue	(2,7-Naphthalenedisulfonic acid; 3,3'-[4,3,3'-dimethyl-1,1'-biphenyl]-4,4'-diyl)bis(azo)bis(5-amino-4-hydroxy-; tetrasodium salt)	66-75-1	U237
-Undecamethylenediamine; N,N'-bis(2-chlorobenzyl)amine; dithydrochloride	(N,N'-Undecamethylenedibis(2-chlorobenzyl)amine); dithydrochloride)- hydroxy; 5-(bis(2-chloroethyl)amino)-	1314-62-1	P120
Uracil mustard	2,4-(1H,3H)-Pyrimidinone, 5-[bis(2-chloroethyl)amino]-	75-01-4	U043
-Vanadic acid; ammonium salt	(ammonium vanadate)-	81-81-2	U248
Vanadium pentoxide	(vanadium -{V} -oxide)- V ₂ O ₅		
Vinyl chloride	(ethene, chloro--)-	81-81-2	U248
Warfarin	2H-1-Benzopyran-2-one, 4-hydroxy-3-(3-oxo-1-phenylbutyl)-, when present at concentrations less than 0.3%.	81-81-2	P001
Warfarin	2H-1-Benzopyran-2-one, 4-hydroxy-3-(3-oxo-1-phenylbutyl)-, when present at concentrations greater than 0.3%.		

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

Warfarin salts, when present at concentrations less than 0.3%.			U248
Warfarin salts, when present at concentrations greater than 0.3%.			P001
Zinc cyanide	Zinc cyanide Zn(CN) ₂	557-21-1	P121
Zinc phosphide	Zinc phosphide P ₂ Zn ₃ , when present at concentrations greater than 10%.	1314-84-7	P122
Zinc phosphide	Zinc phosphide P ₂ Zn ₃ , when present at concentrations of 10% or less.	1314-84-7	U249

(Source: Amended at 13 Ill. Reg. 382, effective December 28, 1988)

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NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage and Disposal Facilities
- 2) Code Citation: 35 Ill. Adm. Code 725
- 3) Section Numbers: Adopted Action:
725.101 Amendment
- 4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4 and 1027.
- 5) Effective Date of Amendment: December 28, 1988
- 6) Does this rulemaking contain an automatic repeal date?: No.
- 7) Does this Amendment contain incorporations by reference? No.
- 8) Date filed in Board's Principal Office: Order of November 17, 1988
- 9) Notice of Proposal Published in Illinois Register: September 30, 1988; 12 Ill. Reg. 15402
- 10) Has JCAR issued a Statement of Objections to these rules? No.

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

- 11) Differences between proposal and final version:

Minor editorial corrections.

- 12) Have all the changes agreed upon by the Board and JCAR been made as indicated in the agreement letter issued by JCAR?

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

- 13) Will this Amendment replace an emergency Amendment currently in effect? No.

- 14) Are there any other amendments pending on this Part? No.

- 15) Summary and Purpose of Amendment

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

A complete description is contained in the Board's Opinion of November 17, 1988 in R88-16, which Opinion is available from the address below. Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

This rulemaking updates the Board's RCRA hazardous waste rules to correspond with amendments adopted by USEPA which appeared in the Federal Register during the period January 1 through July 31, 1988. The amendment to Section 725.101 was drawn from 53 Fed. Reg. 27164, July 19, 1988. It corrects cross references.

- 16) Information and questions regarding this adopted Amendment shall be directed to:

Morton F. Dorothy
Illinois Pollution Control Board
104 W. University
Urbana, IL 61801
217/ 333-5575

The full text of the Adopted Amendments begins on the next page:

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE G: WASTE DISPOSAL
CHAPTER I: POLLUTION CONTROL BOARD
SUBCHAPTER C: HAZARDOUS WASTE OPERATING REQUIREMENTS
PART 725
INTERIM STATUS STANDARDS FOR OWNERS AND OPERATORS OF HAZARDOUS
WASTE TREATMENT, STORAGE AND DISPOSAL FACILITIES

SUBPART A: GENERAL PROVISIONS

Section
725.101
725.104

Purpose, Scope and Applicability
Imminent Hazard Action

SUBPART B: GENERAL FACILITY STANDARDS

Section
725.110
725.111
725.112
725.113
725.114
725.115
725.116
725.117
725.118

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General Waste Analysis
Security
General Inspection Requirements
Personnel Training
General Requirements for Ignitable, Reactive or Incompatible
Wastes
Location Standards

SUBPART C: PREPAREDNESS AND PREVENTION

Section
725.130
725.131
725.132
725.133
725.134
725.135
725.137

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Maintenance and Operation of Facility
Required Equipment
Testing and Maintenance of Equipment
Access to Communications or Alarm System
Required Aisle Space
Arrangements with Local Authorities

SUBPART D: CONTINGENCY PLAN AND EMERGENCY PROCEDURES

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725.150
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725.154
725.155
725.156

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Purpose and Implementation of Contingency Plan
Content of Contingency Plan
Copies of Contingency Plan
Amendment of Contingency Plan
Emergency Coordinator
Emergency Procedures

SUBPART E: MANIFEST SYSTEM, RECORDKEEPING AND REPORTING

Section

POLLUTION CONTROL BOARD

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Applicability
Use of Manifest System
Manifest Discrepancies
Operating Record
Availability, Retention and Disposition of Records
Annual Report
Unmanifested Waste Report
Additional Reports

SUBPART F: GROUNDWATER MONITORING

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725.190
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Applicability
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Sampling and Analysis
Preparation, Evaluation and Response
Recordkeeping and Reporting

SUBPART G: CLOSURE AND POST-CLOSURE

Section
725.210
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Applicability
Closure Performance Standard
Closure Plan; Amendment of Plan
Closure; Time Allowed for Closure
Disposal or Decontamination of Equipment, Structures and Soils
Certification of Closure
Survey Plat
Post-closure Care and Use of Property
Post-closure Plan; Amendment of Plan
Post-Closure Notices
Certification of Completion of Post-Closure Care

SUBPART H: FINANCIAL REQUIREMENTS

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Applicability
Definitions of Terms as Used in this Subpart
Cost Estimate for Closure
Financial Assurance for Closure
Cost Estimate for Post-closure Care
Financial Assurance for Post-closure Monitoring and Maintenance
Use of a Mechanism for Financial Assurance of Both Closure and Post-closure Care
Liability Requirements
Incapacity of Owners or Operators, Guarantors or Financial Institutions
Promulgation of Forms (Repealed)

SUBPART I: USE AND MANAGEMENT OF CONTAINERS

Applicability

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Condition of Containers
Compatibility of Waste with Containers
Management of Containers
Inspections
Special Requirements for Ignitable or Reactive Waste
Special Requirements for Incompatible Wastes

SUBPART J: TANK SYSTEMS

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Assessment of Existing Tank System's Integrity
Design and Installation of New Tank Systems or Components
Containment and Detection of Releases
General Operating Requirements
Inspections
Response to leaks or spills and disposition of Tank Systems
Closure and Post-Closure Care
Special Requirements for Ignitable or Reactive Waste
Special Requirements for Incompatible Wastes
Waste Analysis and Trial Tests
Generators of 100 to 1000 kg/mo.

SUBPART K: SURFACE IMPOUNDMENTS

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Containment System
Waste Analysis and Trial Tests
Inspections
Closure and Post-Closure Care
Special Requirements for Ignitable or Reactive Waste
Special Requirements for Incompatible Wastes

SUBPART L: WASTE PILLS

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Waste Analysis
Containment
Design Requirements
Special Requirements for Ignitable or Reactive Waste
Special Requirements for Incompatible Wastes
Closure and Post-Closure Care

SUBPART M: LAND TREATMENT

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General Operating Requirements

POLLUTION CONTROL BOARD

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Unsaturated Zone (Zone of Aeration) Monitoring
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General Operating Requirements
Surveying and Recordkeeping
Closure and Post-Closure
Special Requirements for Ignitable or Reactive Waste
Special Requirements for Incompatible Wastes
Special Requirements for Liquid Wastes
Special Requirements for Containers
Disposal of Small Containers of Hazardous Waste in Overpacked Drums (Lab Packs)

SUBPART O: INCINERATORS

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725.441
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725.452

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General Operating Requirements
Monitoring and Inspection
Closure
Interim Status Incinerators Burning Particular Hazardous Wastes

SUBPART P: THERMAL TREATMENT

Section
725.470
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Other Thermal Treatment
General Operating Requirements
Waste Analysis
Monitoring and Inspections
Closure
Open Burning; Waste Explosives
Interim Status Thermal Treatment Devices Burning Particular Hazardous Waste

SUBPART Q: CHEMICAL, PHYSICAL AND BIOLOGICAL TREATMENT

Section
725.500
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725.503
725.504

Applicability
General Operating Requirements
Waste Analysis and Trial Tests
Inspections
Closure

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

725.505 Special Requirements for Ignitable or Reactive Waste
725.506 Special Requirements for Incompatible Wastes

SUBPART R: UNDERGROUND INJECTION

Section
725.530 Applicability

Appendix A Recordkeeping Instructions
Appendix B EPA Report Form and Instructions (Repealed)
Appendix C EPA Interim Primary Drinking Water Standards
Appendix D Tests for Significance
Appendix E Examples of Potentially Incompatible Waste

AUTHORITY: Implementing Section 22.4 and authorized by Section 27 of the Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111-1/2, pars. 1022.4 and 1027).

SOURCE: Adopted in R81-22, 43 PCB 427, at 5 Ill. Reg. 9781, effective as noted in 35 Ill. Adm. Code 700.106; amended and codified in R81-22, 45 PCB 317, at 6 Ill. Reg. 4828, effective as noted in 35 Ill. Adm. Code 700.106; amended in R82-18, 51 PCB 831, at 7 Ill. Reg. 2518, effective February 22, 1983; amended in R82-19, 53 PCB 131, at 7 Ill. Reg. 14034, effective October 12, 1983; amended in R84-9, at 9 Ill. Reg. 11869, effective July 24, 1985; amended in R85-22 at 10 Ill. Reg. 1085, effective January 2, 1986; amended in R86-1 at 10 Ill. Reg. 14069, effective August 12, 1986; amended in R86-28 at 11 Ill. Reg. 6044, effective March 24, 1987; amended in R86-46 at 11 Ill. Reg. 13489, effective August 4, 1987; amended in R87-5 at 11 Ill. Reg. 19338, effective November 10, 1987; amended in R87-26 at 12 Ill. Reg. 2485, effective January 15, 1988; amended in R87-39 at 12 Ill. Reg. 13027, effective July 29, 1988; amended in R88-16 at 13 Ill. Reg. 437, effective December 28, 1988.

SUBPART A: GENERAL PROVISIONS

Section 725.101 Purpose, Scope and Applicability

- a) The purpose of this Part is to establish minimum standards which define the acceptable management of hazardous waste during the period of interim status and until certification of final closure or, if the facility is subject to post-closure requirements, until post-closure responsibilities are fulfilled.
- b) The standards in this Part apply to owners and operators of facilities which treat, store or dispose of hazardous waste who have fully complied with the requirements for interim status under Section 3005(e) of the Resource Conservation and Recovery Act (RCRA) (42 U.S.C. 6901 et seq.) and 35 Ill. Adm. Code 703, until either a permit is issued under Section 3005 of the Resource Conservation and Recovery Act or Section 21(f) of the Environmental Protection Act, or

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until applicable closure and post-closure responsibilities under this Part are fulfilled, and to those owners and operators of facilities in existence on November 19, 1980, who have failed to provide timely notification as required by Section 3010(a) of RCRA, or failed to file Part A of the Permit Application as required by 40 CFR 270.10(e) and (g) or 35 Ill. Adm. Code 703.150 and 703.152. These standards apply to all treatment, storage or disposal of hazardous waste at these facilities after November 19, 1980, except as specifically provided otherwise in this Part or 35 Ill. Adm. Code 721;

BOARD NOTE: As stated in Section 3005(a) of RCRA, after the effective date of regulations under that Section, i.e., 40 CFR 270 and 124, the treatment, storage or disposal of hazardous waste is prohibited except in accordance with a permit. Section 3005(e) of RCRA provides for the continued operation of an existing facility which meets certain conditions until final administrative disposition of the owner's and operator's permit application is made. 35 Ill. Adm. Code 703.140 et seq. provide that a permit is deemed issued under Section 21(f)(1) of the Environmental Protection Act under conditions similar to federal interim status.

c) The requirements of this Part do not apply to:

- 1) A person disposing of hazardous waste by means of ocean disposal subject to a permit issued under the Marine Protection, Research and Sanctuaries Act (16 U.S.C. 1431-1434; 33 U.S.C. 1401);

BOARD NOTE: This Part applies to the treatment or storage of hazardous waste before it is loaded into an ocean vessel for incineration or disposal at sea, as provided in subsection (b).

- 3) The owner or operator of a POTW (publicly owned treatment works) which treats, stores or disposes of hazardous waste;

BOARD NOTE: The owner or operator of a facility under subsections (c)(1) through (c)(3) is subject to the requirements of 35 Ill. Adm. Code 724 to the extent they are included in a permit by rule granted to such a person under 35 Ill. Adm. Code 702 and 703 or are required by 35 Ill. Adm. Code 704.Subpart F.

- 5) The owner or operator of a facility permitted, licensed or registered by Illinois to manage municipal or industrial solid waste, if the only hazardous waste the facility treats, stores or disposes of is excluded from regulation under this Part by 35 Ill. Adm. Code 721.105;

- 6) The owner or operator of a facility managing recyclable materials described in 35 Ill. Adm. Code 721.106(a)(2) and (3) (except to the extent that requirements of this Part are

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referred to in 35 Ill. Adm. Code 726. Subparts C, D, F or G:

- 7) A generator accumulating waste on-site in compliance with 35 Ill. Adm. Code 722.134, except to the extent the requirements are included in 35 Ill. Adm. Code 722.134;
- 8) A farmer disposing of waste pesticides from ~~his~~ the farmer's own use in compliance with 35 Ill. Adm. Code 722.~~161-170~~;
- 9) The owner or operator of a totally enclosed treatment facility, as defined in 35 Ill. Adm. Code 720.110;
- 10) The owner or operator of an elementary neutralization unit or a wastewater treatment unit as defined in 35 Ill. Adm. Code 720.110;
- 11) Immediate response:

A) Except as provided in subsection (c)(11)(B), a person engaged in treatment or containment activities during immediate response to any of the following situations:

- i) A discharge of a hazardous waste;
- ii) An imminent and substantial threat of a discharge of a hazardous waste;
- iii) A discharge of a material which, when discharged, becomes a hazardous waste.

B) An owner or operator of a facility otherwise regulated by this Part must comply with all applicable requirements of Subparts C and D.

C) Any person who is covered by subsection (c)(11)(A) and who continues or initiates hazardous waste treatment or containment activities after the immediate response is over is subject to all applicable requirements of this Part and 35 Ill. Adm. Code 702, 703 and 705 for those activities.

12) A transporter storing manifested shipments of **hazardous waste** in containers meeting the requirements of 35 Ill. Adm. Code 722.130 at a transfer facility for a period of ten days or less.

13) The addition of absorbent material to waste in a container (as defined in 35 Ill. Adm. Code 720.110), or the addition of waste to the absorbent material in a container, provided that these actions occur at the time waste is first placed in the containers; and Sections 725.117(b), 725.271 and 725.272 are

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complied with.

d) The following hazardous wastes must not be managed at facilities subject to regulation under this Part: hazardous waste numbers F020, F021, F022, F023, F026 or F027 unless:

- 1) The wastewater treatment sludge is generated in a surface impoundment as part of the plant's wastewater treatment system;
 - 2) The waste is stored in tanks or containers;
 - 3) The waste is stored or treated in waste piles that meet the requirements of 35 Ill. Adm. Code 724.350(c) as well as all other applicable requirements of Subpart L;
 - 4) The waste is burned in incinerators that are certified pursuant to the standards and procedures in Section 725.452; or
 - 5) The waste is burned in facilities that thermally treat the waste in a device other than an incinerator and that are certified pursuant to the standards and procedures in Section 725.483.
- e) This Part applies to owners and operators of facilities which treat, store or dispose of hazardous wastes referred to in 35 Ill. Adm. Code 728.
- f) 35 Ill. Adm. Code 700 contains rules concerning application of other Board regulations.

(Source: Amended at 13 Ill. Reg. 437, effective December 28, 1988)

- 1) Heading of the Part: RCRA Permit Program
- 2) Code Citation: 35 Ill. Adm. Code 703
- 3) Section Numbers: Adopted Action: 703.123 Amendment
- 4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4 and 1027.
- 5) Effective Date of Amendment: December 28, 1988
- 6) Does this rulemaking contain an automatic repeal date?: No.
- 7) Does this Amendment contain incorporations by reference? No.
- 8) Date filed in Board's Principal Office: Order of November 17, 1988
- 9) Notice of Proposal Published in Illinois Register: September 30, 1988; 12 Ill. Reg. 15444
- 10) Has JCAR issued a Statement of Objections to these rules? No.

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

- 11) Differences between proposal and final version:

Minor editorial corrections.

- 12) Have all the changes agreed upon by the Board and JCAR been made as indicated in the agreement letter issued by JCAR?

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

- 13) Will this Amendment replace an emergency Amendment currently in effect? No.

- 14) Are there any other amendments pending on this Part? No.

- 15) Summary and Purpose of Amendment

A complete description is contained in the Board's Opinion of November 17, 1988 in R88-16, which Opinion is available from the address below. Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

This rulemaking updates the Board's RCRA hazardous waste rules to correspond with amendments adopted by USEPA which appeared in the Federal Register during the period January 1 through July 31, 1988. The amendment corrects a cross reference.

- 16) Information and questions regarding this adopted Amendment shall be directed to:

Morton F. Dorothy
Illinois Pollution Control Board
104 W. University
Urbana, IL 61801
217/ 333-5575

The full text of the Adopted Amendments begins on the next page:

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TITLE 35: ENVIRONMENTAL PROTECTION

SUBTITLE G: WASTE DISPOSAL

CHAPTER I: POLLUTION CONTROL BOARD

SUBCHAPTER b: PERMITS

PART 703

RCRA PERMIT PROGRAM

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703.100
703.101
703.110

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SUBPART B: PROHIBITIONS

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703.120
703.121
703.122
703.123
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703.125
703.126
703.127

Prohibitions in General
RCRA Permits
Specific Inclusions in Permit Program
Specific Exclusions from Permit Program
Discharges of Hazardous Waste
Reapplications
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SUBPART C: AUTHORIZATION BY RULE AND INTERIM STATUS

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703.140
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Purpose and Scope
Permits by Rule
Application by Existing HWM Facilities and Interim Status
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Application by New HWM Facilities

Amended Part A Application
Qualifying for Interim Status
Prohibitions During Interim Status
Changes During Interim Status
Interim Status Standards
Grounds for Termination of Interim Status
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703.221
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Emergency Permits
Incinerator Conditions Prior to Trial Burn
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Incinerator Conditions After Trial Burn
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SUBPART F: PERMIT CONDITIONS

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703.241
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703.243
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Establishing Permit Conditions
Noncompliance Pursuant to Emergency Permit
Monitoring
Notice of Planned Changes
Release or Discharge Reports
Reporting Requirements

AUTHORITY: Implementing Section 22.4 and authorized by Section 27 of the Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4 and 1027).

SOURCE: Adopted in R82-19, 53 PCB 131, at 7 Ill. Reg. 14289, effective October 12, 1983; amended in R83-24 at 8 Ill. Reg. 206, effective December 27, 1983; amended in R84-9 at 9 Ill. Reg. 11899, effective July 24, 1985; amended in R85-22 at 10 Ill. Reg. 1110, effective January 2, 1987; amended in R85-23 at 10 Ill. Reg. 13284, effective July 28, 1986; amended in R86-1 at 10 Ill. Reg. 14093, effective August 12, 1986; amended in R86-19 at 10 Ill. Reg. 20702, effective December 2, 1986; amended in R86-28 at 11 Ill. Reg. 6121, effective March 24, 1987; amended in R86-46 at 11 Ill. Reg. 13543, effective August 4, 1987; amended in R87-5 at 11 Ill. Reg. 19383, effective November 12, 1987; amended in R87-26 at 12 Ill. Reg. 2584, effective January 15, 1988; amended in R87-39 at 12 Ill. Reg. 13069, effective July 29, 1988; amended in R88-16 at 13 Ill. Reg. 447, effective December 28, 1988.

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SUBPART B: PROHIBITIONS

Section 703.123 Specific Exclusions from Permit Program

The following persons are among those who are not required to obtain a RCRA permit:

- a) Generators who accumulate hazardous waste on-site for less than the time periods provided in 35 Ill. Adm. Code 722.134;
- b) Farmers who dispose of hazardous waste pesticides from their own use as provided in 35 Ill. Adm. Code 722.-151-170;
- c) Persons who own or operate facilities solely for the treatment, storage or disposal of hazardous waste excluded from regulations under this Part by 35 Ill. Adm. Code 721.104 or 721.105 (small generator exemption);
- d) Owners or operators of totally enclosed treatment facilities as defined in 35 Ill. Adm. Code 720.110;
- f) Owners and operators of elementary neutralization units or wastewater treatment units as defined in 35 Ill. Adm. Code 720.110;
- g) Transporters storing manifested shipments of hazardous waste in containers meeting the requirements of 35 Ill. Adm. Code 722.130 at a transfer facility for a period of ten days or less;
- h) Persons adding absorbent material to waste in a container (as defined in 35 Ill. Adm. Code 720.110) and persons adding waste to absorbent material in a container, provided that these actions occur at the time waste is first placed in the container; and 35 Ill. Adm. Code 724.117(b), 724.271 and 724.272 are complied with.

BOARD NOTE: See 40 CFR 270.1(c)(2), as amended at 53 Fed. Reg. 27165, July 19, 1988.

(Source: Amended at 13 Ill. Reg. 447, effective December 28, 1988)

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NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Standards Applicable to Generators of Hazardous Waste

- 2) Code Citation: 35 Ill. Adm. Code 722

- 3) Section Numbers: Adopted Action:

722.110 Amendment
722.151 Amendment

- 4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4 and 1027.

- 5) Effective Date of Amendment: December 28, 1988

- 6) Does this rulemaking contain an automatic repeal date?: No.

- 7) Does this Amendment contain incorporations by reference? No.

- 8) Date filed in Board's Principal Office: Order of November 17, 1988

- 9) Notice of Proposal Published in Illinois Register:

September 30, 1988; 12 Ill. Reg. 15449

- 10) Has JCAR issued a Statement of Objections to these rules? No.

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

- 11) Differences between proposal and final version:

Minor editorial corrections.

- 12) Have all the changes agreed upon by the Board and JCAR been made as indicated in the agreement letter issued by JCAR?

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

- 13) Will this Amendment replace an emergency Amendment currently in effect?
No.

- 14) Are there any other amendments pending on this Part? No.

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15) Summary and Purpose of Amendment

A complete description is contained in the Board's Opinion of November 17, 1988 in R88-16, which Opinion is available from the address below. Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

This rulemaking updates the Board's RCRA hazardous waste rules to correspond with amendments adopted by USEPA which appeared in the Federal Register during the period January 1 through July 31, 1988. The amendments these Sections are drawn from 53 Fed. Reg. 27164, July 19, 1988. They correct cross references.

16) Information and questions regarding this adopted Amendment shall be directed to:

Morton F. Dorothy
Illinois Pollution Control Board
104 W. University
Urbana, IL 61801
217/ 333-5575

The full text of the Adopted Amendments begins on the next page:

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE G: WASTE DISPOSAL
CHAPTER I: POLLUTION CONTROL BOARD
SUBCHAPTER C: HAZARDOUS WASTE OPERATING REQUIREMENTS

PART 722

STANDARDS APPLICABLE TO GENERATORS OF HAZARDOUS WASTE

SUBPART A: GENERAL

Purpose, Scope and Applicability
Hazardous Waste Determination
USEPA Identification Numbers

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SUBPART B: THE MANIFEST

General Requirements
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Packaging
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Marking
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Accumulation Time

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SUBPART D: RECORDKEEPING AND REPORTING

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Annual Reporting
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Special Requirements for Generators of between 100 and 1000 kilograms per month

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SUBPART E: EXPORTS OF HAZARDOUS WASTE

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Notification of Intent to Export
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SUBPART F: IMPORTS OF HAZARDOUS WASTE

Imports of Hazardous Waste

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722.160

SUBPART G: FARMERS

Farmers

Section
722.170

Appendix A Hazardous Waste Manifest

AUTHORITY: Implementing Section 22.4 and authorized by Section 27 of the Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4 and 1027).

SOURCE: Adopted in R81-22, 43 PCB 427, at 5 Ill. Reg. 9781, effective as noted in 35 Ill. Adm. Code 700.106; amended and codified in R81-22, 45 PCB 317, at 6 Ill. Reg. 4828, effective as noted in 35 Ill. Adm. Code 700.106; amended in R82-18, 51 PCB 31, at 7 Ill. Reg. 2518, effective February 22, 1983; amended in R84-9 at 9 Ill. Reg. 11950, effective July 24, 1985; amended in R85-22 at 10 Ill. Reg. 1131, effective January 2, 1986; amended in R86-1 at 10 Ill. Reg. 14112, effective August 12, 1986; amended in R86-19 at 10 Ill. Reg. 20709, effective December 2, 1986; amended in R86-46 at 11 Ill. Reg. 13555, effective August 4, 1987; amended in R87-5 at 11 Ill. Reg. 19392, effective November 12, 1987; amended in R87-39 at 12 Ill. Reg. 13129, effective July 29, 1988; amended in R88-16 at 13 Ill. Reg. 452, effective December 28, 1988

SUBPART A: GENERAL

Section 722.110 Purpose, Scope and Applicability

a) These regulations establish standards for generators of hazardous waste.

b) A generator who treats, stores or disposes of hazardous waste on-site must only comply with the following -s-Sections of this Part with respect to that waste: Section 722.111 for determining whether or not -he-the generator has a hazardous waste, Section 722.112 for obtaining an EPA identification number, Section 722.140(c) and (d) for recordkeeping, Section 722.143 for additional reporting and, if applicable, Section 722.-151-170 for farmers.

c) Any person who imports hazardous waste into the United States must comply with the standards applicable to generators established in this Part.

d) A farmer who generates waste pesticides which are hazardous waste and who complies with all of the requirements of Section 722.-151-170 is not required to comply with other standards in this Part, or 35 Ill.

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Adm. Code 702, 703, 724- ~~9F~~ 725-, 725 or 728 with respect to such pesticides.

e) A person who generates a hazardous waste as defined by 35 Ill. Adm. Code 721 is subject to the compliance requirements and penalties prescribed in Title VIII and XII of the Environmental Protection Act if he does not comply with the requirements of this Part.

BOARD NOTE: A generator who treats, stores or disposes of hazardous waste on-site must comply with the applicable standards and permit requirements set forth in 35 Ill. Adm. Code 702, 703, 724-and 725 and 40 CFR 266-, 725 and 726.

f) An owner or operator who initiates a shipment of hazardous waste from a treatment, storage or disposal facility must comply with the generator standards established in this Part.

BOARD NOTE: The provisions of Section 722.134 are applicable to the on-site accumulation of hazardous waste by generators. Therefore, the provisions of Section 722.134 only apply to owners or operators who are shipping hazardous waste which they generated at that facility.

g) 35 Ill. Adm. Code 700 contains rules on application of other Board regulations.

(Source: Amended at 13 Ill. Reg. 452, effective December 28, 1988)

SUBPART E: EXPORTS OF HAZARDOUS WASTE

Section 722.151 Definitions

In addition to the definitions set forth at 35 Ill. Adm. Code 720.110, the following definitions apply to this Subpart:

"Consignee" means the ultimate treatment, storage or disposal facility in a receiving country to which the hazardous waste will be sent.

"Primary Exporter" means any person-s- who is required to originate the manifest for a shipment of hazardous waste in accordance with Subpart B which specifies a treatment, storage or disposal facility in a receiving country as the facility to which the hazardous waste will be sent and any intermediary arranging for the export.

"Receiving country" means a foreign country to which a hazardous waste is sent for the purpose of treatment, storage or disposal (except short-term storage incidental to transportation).

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"Transit country" means any foreign country, other than a receiving country, through which a hazardous waste is transported.

"USEPA Acknowledgment of Consent" means the cable sent to USEPA from the United States Embassy in a receiving country that acknowledges the written consent of the receiving country to accept the hazardous waste and describes the terms and conditions of the receiving country's consent to the shipment.

(Source: Amended at 13 Ill. Reg. 452, effective December 28, 1988)

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1) Heading of the Part: Standards for Owners and Operators of Hazardous Waste Treatment, Storage and Disposal Facilities

2) Code Citation: 35 Ill. Adm. Code 724

3) Section Numbers: Adopted Action:

724.101 Amendment

724.Appendix I Amendment

4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4 and 1027.

5) Effective Date of Amendment: December 28, 1988

6) Does this rulemaking contain an automatic repeal date? No.

7) Does this Amendment contain incorporations by reference? No.

8) Date filed in Board's Principal Office: Order of November 17, 1988

9) Notice of Proposal Published in Illinois Register:

September 30, 1988; 12 Ill. Reg. 15455

10) Has JCAR issued a Statement of Objections to these rules? No.

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

11) Differences between proposal and final version:

Minor editorial corrections.

12) Have all the changes agreed upon by the Board and JCAR been made as indicated in the agreement letter issued by JCAR?

Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

13) Will this Amendment replace an emergency Amendment currently in effect?
No.

14) Are there any other amendments pending on this Part? No.

POLLUTION CONTROL BOARD

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15) Summary and Purpose of Amendment

A complete description is contained in the Board's Opinion of November 17, 1988 in R88-16, which Opinion is available from the address below. Section 22.4(a) of the Environmental Protection Act provides that Section 5 of the Administrative Procedure Act shall not apply. Because this rulemaking is not subject to Section 5 of the APA, it is not subject to first notice or to second notice review by JCAR.

This rulemaking updates the Board's RCRA hazardous waste rules to correspond with amendments adopted by USEPA which appeared in the Federal Register during the period January 1 through July 31, 1988. The amendment to Section 724.101 was drawn from 53 Fed. Reg. 27164, July 19, 1988. It corrects cross references. The amendment to Appendix 1 corrects typographical errors which occurred in R87-39.

16) Information and questions regarding this adopted Amendment shall be directed to:

Morton F. Dorothy
Illinois Pollution Control Board
104 W. University
Urbana, IL 61801
217/ 333-5575

The full text of the Adopted Amendments begins on the next page:

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NOTICE OF ADOPTED AMENDMENTS

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE G: WASTE DISPOSAL

CHAPTER I: POLLUTION CONTROL BOARD

SUBCHAPTER C: HAZARDOUS WASTE OPERATING REQUIREMENTS

PART 724

STANDARDS FOR OWNERS AND OPERATORS OF HAZARDOUS WASTE
TREATMENT, STORAGE AND DISPOSAL FACILITIES

SUBPART A: GENERAL PROVISIONS

Purpose, Scope and Applicability
Relationship to Interim Status Standards

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SUBPART B: GENERAL FACILITY STANDARDS

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Location Standards

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Appendix A Recordkeeping Instructions
 Appendix B EPA Report Form and Instructions (Repealed)
 Appendix D Cochran's Approximation to the Behrens-Fisher
 Student's t-test
 Appendix E Examples of Potentially Incompatible Waste
 Appendix I Groundwater Monitoring List

AUTHORITY: Implementing Section 22.4 and authorized by Section 27 of the
 Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1022.4
 and 1027).

SOURCE: Adopted in R82-19, 53 PCB 131, at 7 Ill. Reg. 14059, effective
 October 12, 1983; amended in R84-9 at 9 Ill. Reg. 11964, effective July 24,
 1985; amended in R85-22 at 10 Ill. Reg. 1136, effective January 2, 1986;
 amended in R86-1 at 10 Ill. Reg. 14119, effective August 12, 1986; amended in
 R86-28 at 11 Ill. Reg. 6138, effective March 24, 1987; amended in R86-28 at 11
 Ill. Reg. 8684, effective April 21, 1987; amended in R86-46 at 11 Ill. Reg.
 13577, effective August 4, 1987; amended in R87-5 at 11 Ill. Reg. 19397,
 effective November 12, 1987; amended in R87-39 at 12 Ill. Reg. 13135,
 effective July 29, 1988; amended in R88-16 at 13 Ill. Reg. 458 ,
 effective December 28, 1988 .

SUBPART A: GENERAL PROVISIONS

Section 724.101 Purpose, Scope and Applicability

- a) The purpose of this Part is to establish minimum standards which
define the acceptable management of hazardous waste.
- b) The standards in this Part apply to owners and operators of all
facilities which treat, store or dispose of hazardous waste, except
as specifically provided otherwise in this Part or 35 Ill. Adm. Code
721.
- c) The requirements of this Part apply to a person disposing of
hazardous waste by means of ocean disposal subject to a permit issued
under the Marine Protection, Research and Sanctuaries Act (16 U.S.C.
1431-1434, 33 U.S.C. 1401) only to the extent they are included in a
RCRA permit by rule granted to such a person under 35 Ill. Adm. Code
703.141. A "RCRA permit" is a permit required by Section 21(f) of
the Environmental Protection Act and 35 Ill. Adm. Code 703.121.

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BOARD NOTE: This Part does apply to the treatment or storage of hazardous waste before it is loaded onto an ocean vessel for incineration or disposal at sea.

- d) The requirements of this Part apply to a person disposing of hazardous waste by means of underground injection subject to a permit issued by the Agency pursuant to Section 12(g) of the Environmental Protection Act only to the extent they are required by 35 Ill. Adm. Code 704.Subpart F.

BOARD NOTE: This Part does apply to the above-ground treatment or storage of hazardous waste before it is injected underground.

- e) The requirements of this Part apply to the owner or operator of a POTW (publicly owned treatment works) which treats, stores or disposes of hazardous waste only to the extent included in a RCRA permit by rule granted to such a person under 35 Ill. Adm. Code 703.141.

- f) The requirements of this Part do not apply to:

- 1) The owner or operator of a facility permitted by the Agency under Section 21 of the Environmental Protection Act to manage municipal or industrial solid waste, if the only hazardous waste the facility treats, stores or disposes of is excluded from regulation under this Part by 35 Ill. Adm. Code 721.105.

BOARD NOTE: The owner or operator may be subject to 35 Ill. Adm. Code 807 and may have to have a supplemental permit under 35 Ill. Adm. Code 807.210.

- 2) The owner or operator of a facility managing recyclable materials described in 35 Ill. Adm. Code 721.106(a)(2) and (3) (except to the extent that requirements of this Part are referred to in 35 Ill. Adm. Code 726.Subparts C, D, F or G).
- 3) A generator accumulating waste on-site in compliance with 35 Ill. Adm. Code 722.134.
- 4) A farmer disposing of waste pesticides from ~~his~~ the farmer's own use in compliance with 35 Ill. Adm. Code 722.~~151-170~~.
- 5) The owner or operator of a totally enclosed treatment facility, as defined in 35 Ill. Adm. Code 720.110.
- 6) The owner or operator of an elementary neutralization unit or a wastewater treatment unit as defined in 35 Ill. Adm. Code 720.110;

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- 8) Immediate response:

- A) Except as provided in subsection (f)(8)(B), a person engaged in treatment or containment activities during immediate response to any of the following situations:

- i) A discharge of a hazardous waste;
- ii) An imminent and substantial threat of a discharge of hazardous waste;
- iii) A discharge of a material which, when discharged, becomes a hazardous waste.

- B) An owner or operator of a facility otherwise regulated by this Part must comply with all applicable requirements of Subparts C and D.

- C) Any person who is covered by subsection (f)(8)(A) and who continues or initiates hazardous waste treatment or containment activities after the immediate response is over is subject to all applicable requirements of this Part and 35 Ill. Adm. Code 702, 703 and 705 for those activities. Or,

- 9) A transporter storing manifested shipments of hazardous waste in containers meeting the requirements of 35 Ill. Adm. Code 722.130 at a transfer facility for a period of ten days or less.

- 10) The addition of absorbent materials to waste in a container (as defined in 35 Ill. Adm. Code 720) or the addition of waste to absorbent material in a container, provided these actions occur at the time waste is first placed in the container; and Sections 724.117(b), 724.271 and 724.272 are complied with.

- h) This Part applies to owners and operators of facilities which treat, store or dispose of hazardous wastes referred to in 35 Ill. Adm. Code 728.

(Source: Amended at 13 Ill. Reg. 458, effective December 28, 1988)

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Section 724. Appendix I Groundwater Monitoring List

- a) The regulatory requirements pertain only to the list of substances; the right hand columns (Methods and PQL) are given for informational purposes only. See also (e) and (f).
- b) Common names are those widely used in government regulations, scientific publications and commerce; synonyms exist for many chemicals.
- c) "CAS RN" means "Chemical Abstracts Service Registry Number". Where "total" is entered, all species in the groundwater that contain this element are included.
- d) CAS index names are those used in the 9th Cumulative index.
- e) "Suggested Methods" refer to analytical procedure numbers used in "Test Methods for Solid Waste," incorporated by reference in 35 Ill. Adm. Code 720.111. Analytical details can be found in "Test Methods", and in documentation on file with USEPA. Caution: The methods listed are representative procedures and may not always be the most suitable methods for monitoring an analyte under the regulations.
- f) Practical Quantitation Limits ("PQLs") are the lowest concentrations of analytes in groundwater that can be reliably determined within specified limits of precision and accuracy by the indicated methods under routine laboratory operating conditions. The PQLs listed are generally stated to one significant figure. Caution: The PQL values in many cases are based only on a general estimate for the method and not on a determination for individual compounds; PQLs are not a part of the regulation.
- g) PCBs (CAS RN 1336-36-3). This category contains congener chemicals, including constituents Aroclor-1016 (CAS RN 12674-11-2), Aroclor-1221 (CAS RN 11104-28-2), Aroclor-1232 (CAS RN 11141-16-5), Aroclor-1242 (CAS RN 53469-21-9), Aroclor-1248 (CAS RN 12672-29-6), Aroclor-1254 (CAS RN 11097-69-1) and Aroclor-1260 (CAS RN 11096-82-5). The PQL shown is an average value for PCB congeners.
- h) PCDDs. This category includes congener chemicals, including tetrachlorodibenzo-p-dioxins (see also 2,3,7,8-TCDD), pentachlorodibenzo-p-dioxins and hexachlorodibenzo-p-dioxins. The PQL shown is an average value for PCDD congeners.
- i) PCDFs. This category contains congener chemicals, including tetrachlorodibenzofurans, pentachlorodibenzofurans and hexachlorodibenzofurans. The PQL shown is an average for all PCDF congeners.

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Common Name	CAS RN	Chemical Abstracts Service Index Name	Suggested methods	PQL (ug/L)
Acenaphthene	83-32-9	Acenaphthylene, 1,2-dihydro-	8100	200.
			8270	10.
Acenaphthylene	208-96-8	Acenaphthylene	8100	200.
			8270	10.
Acetone	67-64-1	2-Propanone	8240	100.
Acetophenone	98-86-2	Ethanone, 1-phenyl-	8270	10.
Acetonitrile; Methyl cyanide	75-05-8	Acetonitrile	8015	100.
2-Acetylaminofluorene; 2-AAF	53-96-3	Acetamide, N-9H-fluoren-2-yl-	8270	10.
Acrolein	107-02-8	2-Propenal	8030	5.
			8240	5.
Acrylonitrile	107-13-1	2-Propenenitrile	8030	5.
			8240	5.
Aldrin	309-00-2	1,4:5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-	8080	0.05
		1,4,4a,5,8a-hexahydro- (1a)pha, 4a)pha, 4abeta, 5a)pha, 8a)pha, 8abeta)-	8270	10.
Allyl chloride	107-05-1	1-Propene, 3-chloro-	8010	5.
			8240	100.
4-Aminobiphenyl	92-67-1	[1,1'-Biphenyl]-4-amine	8270	10.
Aniline	62-53-3	Benzenamine	8270	10.
Anthracene	120-12-7	Anthracene	8100	200.
			8270	10.
Antimony	(Total)	Antimony	6010	300.
			7040	2000.
			7041	30.
Aramite	140-57-8	Sulfurous acid, 2-chloroethyl 2-[4-(1,1-dimethylethyl)phenoxy]-1-methylethyl ester	8270	10.
Arsenic	(Total)	Arsenic	6010	500.
			7060	10.
			7061	20.
Barium	(Total)	Barium	6010	20.
			7080	1000.
Benzene	71-43-2	Benzene	8020	5.
			8240	2.
Benzo[a]anthracene;	56-55-3	Benzo[a]anthracene	8100	200.
Benanthracene			8270	10.
Benzo[b]fluoranthene	205-99-2	Benzo[e]acephenanthrylene	8100	200.
			8270	10.
Benzo[k]fluoranthene	207-08-9	Benzo[k]fluoranthene	8100	200.
			8270	10.
Benzo[ghi]perylene	191-24-2	Benzo[ghi]perylene	8100	200.
			8270	10.

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Benzo[a]pyrene	50-32-8	Benzo[a]pyrene	8100	200.
Benzyl alcohol	100-51-6	Benzenemethanol	8270	10.
Beryllium	(Total)	Beryllium	8270	20.
			6010	3.
			7090	50.
			7091	2.
alpha-BHC	319-84-6	Cyclohexane, 1,2,3,4,5,6-hexachloro-, 4alpha, 4beta, 5beta, 6beta)-	8080	0.05
			8250	10.
beta-BHC	319-85-7	Cyclohexane, 1,2,3,4,5,6-hexachloro-, 4alpha, 2alpha, 3alpha, 4alpha, 4beta, 5alpha, 6beta)-	8080	0.05
			8250	40.
delta-BHC	319-86-8	Cyclohexane, 1,2,3,4,5,6-hexachloro-, 4alpha, 2alpha, 3alpha, 4alpha, 4beta, 5alpha, 6beta)-	8080	0.1
			8250	30.
gamma-BHC; Lindane	58-89-9	Cyclohexane, 1,2,3,4,5,6-hexachloro-, 4alpha, 2alpha, 3beta, 4alpha, 4beta, 5alpha, 6beta)-	8080	0.05
			8250	10.
Bis(2-chloroethoxy)methane	111-91-1	Ethane, 1,1'-dimethylenebis (oxy)bis[2-chloro-	8270	10.
Bis(2-chloroethyl) ether	111-44-4	Ethane, 1,1'-oxybis[2-chloro-	8270	10.
Bis(2-chloro-1-methylethyl) ether; 2,2'-	108-60-1	Propane, 2,2'-oxybis[1-chloro-	8010	100.
Dichlorodisopropyl ether			8270	10.
Bis(2-ethylhexyl) phthalate	117-81-7	1,2-Benzenedicarboxylic acid, bis(2-ethylhexyl) ester	8060	20.
Bromodichloromethane	75-27-4	Methane, bromodichloro-	8270	10.
Bromoform; Tribromomethane	75-25-2	Methane, tribromo-	8010	2.
			8240	5.
4-Bromophenyl phenyl ether	101-55-3	Benzene, 1-bromo-4-phenoxy-	8270	10.
Butyl benzyl phthalate;	85-68-7	1,2-Benzenedicarboxylic acid, butyl phenylmethyl ester	8060	5.
Benzyl butyl phthalate			8270	10.
Cadmium	Total	Cadmium	6010	40.
			7130	50.
Carbon disulfide	75-15-0	Carbon disulfide	7131	1.
Carbon tetrachloride	56-23-5	Methane, tetrachloro-	8240	5.
			8010	1.
Chlordane	57-74-9	4,7-Methano-1H-indene, 1,2,4,5,6,7,8-octachloro-2,3,3a,4,7,7a-hexahydro-	8080	0.1
			8250	10.
p-Chloroaniline	106-47-8	Benzeneamine, 4-chloro-	8270	20.
Chlorobenzene	108-90-7	Benzene, chloro-	8010	2.
			8020	2.
			8240	5.
Chlorobenzilate	510-15-6	Benzeneacetic acid, 4-chloro-alpha-(4-chlorophenyl)-alpha-hydroxy-, ethyl ester	8270	10.

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p-Chloro-m-cresol	59-50-7	Phenol, 4-chloro-3-methyl-	8040	5.
			8270	20.
Chloroethane; Ethyl chloride	75-00-3	Ethane, chloro-	8010	5.
			8240	10.
Chloroform	67-66-3	Methane, trichloro-	8010	0.5
			8240	5.
2-Chloronaphthalene	91-58-7	Naphthalene, 2-chloro-	8120	10.
			8270	10.
2-Chlorophenol	95-57-8	Phenol, 2-chloro-	8040	5.
			8270	10.
4-Chlorophenyl phenyl ether	7005-72-3	Benzene, 1-chloro-4-phenoxy-	8270	10.
Chloroprene	126-99-8	1,3-Butadiene, 2-chloro-	8010	50.
			8240	5.
Chromium	(Total)	Chromium	6010	70.
			7190	500.
			7191	10.
Chrysene	218-01-9	Chrysene	8100	200.
			8270	10.
Cobalt	(Total)	Cobalt	6010	70.
			7200	500.
			7201	10.
Copper	(Total)	Copper	6010	60.
			7210	200.
m-Cresol	108-39-4	Phenol, 3-methyl-	8270	10.
o-Cresol	95-48-7	Phenol, 2-methyl-	8270	10.
p-Cresol	106-44-5	Phenol, 4-methyl-	8270	10.
Cyanide	57-12-5	Cyanide	9010	40.
2,4-D; 2,4-Dichlorophenoxyacetic acid	94-75-7	Acetic acid, (2,4-dichlorophenoxy)-	8150	10.
4,4'-DDE	72-54-8	Benzene, 1,1'-(2,2-dichloroethylidene)-bis[4-chloro-	8080	0.1
			8270	10.
4,4'-DDE	72-55-9	Benzene, 1,1'-(dichloroethylidene)-bis[4-chloro-	8080	0.05
			8270	10.
4,4'-DDT	50-29-3	Benzene, 1,1'-(2,2,2-trichloroethylidene)-bis[4-chloro-	8080	0.1
			8270	10.
Diallate	2303-16-4	Carbamothioic acid, bis(1-methylethyl)-, S-(2,3-dichloro-2-propenyl) ester	8270	10.
Dibenz[a,h]anthracene	53-70-3	Dibenz[a,h]anthracene	8100	200.
			8270	10.
Dibenzofuran	132-64-9	Dibenzofuran	8270	10.
Dibromochloromethane;	124-48-1	Methane, dibromochloro-	8010	1.
Chlorodibromomethane			8240	5.
1,2-Dibromo-3-chloropropane; DBCP	96-12-8	Propane, 1,2-dibromo-3-chloro-	8010	100.
			8240	5.
			8270	10.
1,2-Dibromoethane; Ethylene dibromide	106-93-4	Ethane, 1,2-dibromo-	8010	10.
			8240	5.

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Di-n-butyl phthalate	84-74-2	1,2-Benzenedicarboxylic acid, dibutyl ester	8060 8270	5. 10.
o-Dichlorobenzene	95-50-1	Benzene, 1,2-dichloro-	8010 8020 8120 8270	2. 5. 10.
m-Dichlorobenzene	541-73-1	Benzene, 1,3-dichloro-	8010 8020 8120 8270	5. 5. 10.
p-Dichlorobenzene	106-46-7	Benzene, 1,4-dichloro-	8010 8020 8120 8270	2. 5. 10.
3,3'-Dichlorobenzidine	91-94-1	[1,1'-Biphenyl]-4,4'-diamine, 3,3'-dichloro-	8270	20.
trans-1,4-Dichloro-2-butene	110-57-6	2-Butene, 1,4-dichloro-, (E)-	8240	5.
Dichlorodifluoromethane	75-71-8	Methane, dichlorodifluoro-	8010 8240	10. 5.
1,1-Dichloroethane	75-34-3	Ethane, 1,1-dichloro-	8010 8240	1. 5.
1,2-Dichloroethane; Ethylene dichloride	107-06-2	Ethane, 1,2-dichloro-	8010 8240	0.5 5.
1,1-Dichloroethylene; Vinylidene chloride	75-35-4	Ethene, 1,1-dichloro-	8010	1.
trans-1,2-Dichloroethylene	156-60-5	Ethene, 1,2-dichloro-, (E)-	8240	5.
2,4-Dichlorophenol	120-83-2	Phenol, 2,4-dichloro-	8010 8240	1. 5.
2,6-Dichlorophenol	87-65-0	Phenol, 2,6-dichloro-	8040	5.
1,2-Dichloropropane	78-87-5	Propane, 1,2-dichloro-	8270	10.
cis-1,3-Dichloropropene	10061-01-5	1-Propene, 1,3-dichloro-, (Z)-	8240	5.
trans-1,3-Dichloropropene	10061-02-6	1-Propene, 1,3-dichloro-, (E)-	8240	5.
Diethylin	60-57-1	2,7:3,6-Dimethanonaphth[2,3-b]oxirene, 3,4,5,6,9,9-hexachloro-, (1a,2a,3,6,6a,7,7a-octahydro-, (1a,1pha,2beta,2a,1pha,3beta,6beta,6a,1pha,7beta,7a,1pha)-	8080 8270	0.05 10.
Diethyl phthalate	84-66-2	1,2-Benzenedicarboxylic acid, diethyl ester	8060 8270	5. 10.
0,0-Diethyl 0-2-pyrazinyl phosphorothioate; Thionazin Dimethoate	297-97-2	Phosphorothioic acid, 0,0-diethyl 0-pyrazinyl ester	8270	10.
	60-51-5	Phosphorodithioic acid, 0,0-dimethyl S-[2-(methylamino)-2-oxoethyl] ester	8270	10.

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p-(Dimethylamino)azobenzene	60-11-7	Benzenamine, N,N-dimethyl-4-(phenylazo)-	8270	10.
7,12-Dimethylbenz[a]anthracene	57-97-6	Benz[a]anthracene, 7,12-dimethyl-	8270	10.
3,3'-Dimethylbenzidine	119-93-7	[1,1'-Biphenyl]-4,4'-diamine, 3,3'-dimethyl-	8270	10.
alpha, alpha-Dimethylphenethylamine	122-09-8	Benzenethanamine, alpha, alpha-dimethyl-	8270	10.
2,4-Dimethylphenol	105-67-9	Phenol, 2,4-dimethyl-	8040 8270	5. 10.
Dimethyl phthalate	131-11-3	1,2-Benzenedicarboxylic acid, dimethyl ester	8060 8270	5. 10.
m-Dinitrobenzene	99-65-0	Benzene, 1,3-dinitro-	8270	10.
4,6-Dinitro-o-cresol	534-52-1	Phenol, 2-methyl-4,6-dinitro-	8040 8270	150. 50.
2,4-Dinitrophenol	51-28-5	Phenol, 2,4-dinitro-	8040 8270	150. 50.
2,4-Dinitrotoluene	121-14-2	Benzene, 1-methyl-2,4-dinitro-	8090 8270	0.2 10.
2,6-Dinitrotoluene	606-20-2	Benzene, 2-methyl-1,3-dinitro-	8090 8270	0.1 10.
Dinoseb; DNBP; 2-sec-Butyl-4,6-dinitrophenol	88-85-7	Phenol, 2-(1-methylpropyl)-4,6-dinitro-	8150 8270	1. 10.
Di-n-octyl phthalate	117-84-0	1,2-Benzenedicarboxylic acid, dioctyl ester	8060 8270	30. 10.
1,4-Dioxane	123-91-1	1,4-Dioxane	8015	150.
Diphenylamine	122-39-4	Benzenamine, N-phenyl-	8270	10.
Disulfoton	298-04-4	Phosphorodithioic acid, 0,0-diethyl S-[2-(ethylthio)-5-f2-ethyl] ester	8140 8270	2. 10.
Endosulfan I	959-98-8	6,9-Methano-2,4,3-benzodioxathiepin, 1,5,5a,6,9,9a-hexahydro-, 3-oxide, (3alpha,5alpha,6alpha,6alpha,9alpha,9alpha)-	8080 8250	0.1 10.
Endosulfan II	33213-65-9	6,9-Methano-2,4,3-benzodioxathiepin, 6,7,8,9,10,10-hexachloro-, 1,5,5a,6,9,9a-hexahydro-, 3-oxide, (3alpha,5alpha,6beta,6beta,9beta,9alpha)-	8080	0.05
Endosulfan sulfate	1031-07-8	6,9-Methano-2,4,3-benzodioxathiepin, 6,7,8,9,10,10-hexachloro-, 1,5,5a,6,9,9a-hexahydro-, 3,3-dioxide, 2,7:3,6-Dimethanonaphth[2,3-b]oxirene, 3,4,5,6,9,9-hexachloro-, 1a,2,2a,3,6,6a,7,7a-octahydro-, (1a,1pha,2beta,2beta,3alpha,6alpha,6beta,7beta,7a,1pha)-	8080 8270	0.5 10.
Endrin	72-20-8	2,7:3,6-Dimethanonaphth[2,3-b]oxirene, 3,4,5,6,9,9-hexachloro-, 1a,2,2a,3,6,6a,7,7a-octahydro-, (1a,1pha,2beta,2beta,3alpha,6alpha,6beta,7beta,7a,1pha)-	8080 8250	0.1 10.

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

1,1,2-Trichloroethane	79-00-5	Ethane, 1,1,2-trichloro-	8010	0.2
			8240	5.
Trichloroethylene;	79-01-6	Ethene, trichloro-	8010	1.
Trichloroethene			8240	5.
Trichlorofluoromethane	75-69-4	Methane, trichlorofluoro-	8010	10.
			8240	5.
2,4,5-Trichlorophenol	95-96-4	Phenol, 2,4,5-trichloro-	8270	10.
2,4,6-Trichlorophenol	88-06-2	Phenol, 2,4,6-trichloro-	8040	5.
			8270	10.
1,2,3-Trichloropropane	96-18-4	Propane, 1,2,3-trichloro-	8010	10.
			8240	5.
0,0,0-Triethyl phosphorothioate	126-68-1	Phosphorothioic acid, 0,0,0-triethyl ester	8270	10.
Sym-Trinitrobenzene	99-35-4	Benzene, 1,3,5-trinitro-	8270	10.
Vanadium	(Total)	Vanadium	6010	80.
			7910	2000.
Vinyl acetate	108-05-4	Acetic acid, ethenyl ester	7911	40.
Vinyl chloride	75-01-4	Ethene, chloro-	8240	5.
			8010	2.
Xylene (total)	1330-20-7	Benzene, dimethyl-	8240	10.
			8020	5.
Zinc	(Total)	Zinc	8240	5.
			6010	20.
			7950	50.

(Source: Amended at 13 Ill. Reg. 458, effective December 28, 1988)

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENT

- 1) Heading of the Part: UIC Permit Program
 - 2) Code Citation: 35 Ill. Adm. Code 704
 - 3) Section Numbers: Adopted Action:
704.143
Amendment
 - 4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1013 and 1027.
 - 5) Effective Date of Amendment: December 30, 1988
 - 6) Does this rulemaking contain an automatic repeal date?: No.
 - 7) Does this Amendment contain incorporations by reference? No.
 - 8) Date filed in Board's Principal Office: Opinion and Order of the Board adopted December 15, 1988.
 - 9) Notice of Proposal Published in Illinois Register:
October 28, 1988; 12 Ill. Reg. 17167
 - 10) Has JCAR issued a Statement of Objections to these rules? No.
- Section 13(c) of the Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2, par. 1013(c)) provides that Section 5 of the Administrative Procedure Act shall not apply. This rulemaking is therefore not subject to second notice review by JCAR.
- 11) Differences between proposal and final version:
Minor editorial corrections.
 - 12) Have all the changes agreed upon by the Board and JCAR been made as indicated in the agreement letter issued by JCAR?
Section 13(c) of the Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2, par. 1013(c)) provides that Section 5 of the Administrative Procedure Act shall not apply. This rulemaking is therefore not subject to second notice review by JCAR.
 - 13) Will this Amendment replace an emergency Amendment currently in effect?
No.
 - 14) Are there any other amendments pending on this Part? No.
 - 15) Summary and Purpose of Amendment:

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENT

A complete description is contained in the Board's Opinion and Order of December 15, 1988 in Docket R88-17. The UIC rules are drawn from 40 CFR 144 and 146. There were no amendments to these Parts during the period January 1 through June 30, 1988. This amendment corrects errors in Section 704.143 to make it consistent with USEPA rules as amended. Permits by rule will continue beyond February 2, 1989 for injectors which filed UIC permit applications by February 2, 1986.

- 16) Information and questions regarding this adopted Amendment shall be directed to:

Morton F. Dorothy
Illinois Pollution Control Board
104 W. University
Urbana, IL 61801
217/ 333-5575

The full text of the Adopted Amendment begins on the next page:

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE G: WASTE DISPOSAL
CHAPTER I: POLLUTION CONTROL BOARD
SUBCHAPTER b: PERMITS

PART 704
UIC PERMIT PROGRAM

SUBPART A: GENERAL PROVISIONS

Section
704.101
704.102
704.103
704.104
704.105
704.106
704.107

Content
Scope of the Permit or Rule Requirement
Identification of Aquifers
Exempted Aquifers
Specific Inclusions and Exclusions
Classification of Injection Wells
Definitions

SUBPART B: PROHIBITIONS

Section
704.121
704.122
704.123
704.124

Prohibition of Unauthorized Injection
Prohibition of Movement of Fluid into USDW
Identification of USDW and Exempted Aquifers
Prohibition of Class IV Wells

SUBPART C: AUTHORIZATION OF UNDERGROUND INJECTION BY RULE

Section
704.141
704.142
704.143
704.144
704.145
704.146
704.147
704.148
704.149
704.150
704.151

Existing Class I and III Wells
Existing Class IV Wells, not into USDW (Renumbered)
Expiration of Authorization
Requirements
Existing Class IV Wells
Class V Wells
Requiring a Permit
Inventory Requirements
Requiring other Information
Requirements for Class I and III Wells authorized by Rule
RCRA Interim Status for Class I Wells

SUBPART D: APPLICATION FOR PERMIT

Section
704.161
704.162
704.163
704.164

Application for Permit; Authorization by Permit
Area Permits
Emergency Permits
Signatories to Permit Applications

SUBPART E: PERMIT CONDITIONS

Section
704.181

Additional Conditions

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

704.182 Establishing UIC Permit Conditions
 704.183 Construction Requirements
 704.184 Corrective Action
 704.185 Operation Requirements
 704.186 Hazardous Waste Requirements
 704.187 Monitoring and Reporting
 704.188 Plugging and Abandonment
 704.189 Financial Responsibility
 704.190 Mechanical Integrity
 704.191 Additional Conditions
 704.192 Waiver of Requirements by Agency
 704.193 Corrective Action

SUBPART F: REQUIREMENTS FOR WELLS INJECTING HAZARDOUS WASTE

Section
 704.201 Applicability
 704.202 Authorization
 704.203 Requirements

SUBPART G: FINANCIAL RESPONSIBILITY FOR CLASS I HAZARDOUS WASTE INJECTION WELLS

Section
 704.210 Applicability
 704.211 Definitions
 704.212 Cost Estimate for Plugging and Abandonment
 704.213 Financial Assurance for Plugging and Abandonment
 704.214 Trust Fund
 704.215 Surety Bond Guaranteeing Payment
 704.216 Surety Bond Guaranteeing Performance
 704.217 Letter of Credit
 704.218 Plugging and Abandonment Insurance
 704.219 Financial Test and Corporate Guarantee
 704.220 Multiple Financial Mechanisms
 704.221 Financial Mechanism for Multiple Facilities
 704.222 Release of the Owner or Operator
 704.230 Incapacity
 704.240 Wording of the Instruments

AUTHORITY: Implementing Sections 13 and 22.4 and authorized by Section 27 of the Environmental Protection Act (Ill. Rev. Stat. 1987, ch. 111 1/2, pars. 1013, 1022.4 and 1027).

SOURCE: Adopted in R81-32, at 47 PCB 95, at 6 Ill. Reg. 12479, effective as noted in 35 Ill. Adm. Code 700.106; amended in R82-19, at 7 Ill. Reg. 14402, effective as noted in 35 Ill. Adm. Code 700.106; amended in R83-39, at 55 PCB 319, at 7 Ill. Reg. 17338, effective December 19, 1983; amended in R85-23 at 10 Ill. Reg. 13290, effective July 29, 1986; amended in R87-29 at 12 Ill. Reg. 6687, effective March 28, 1988; amended in R88-2 at 12 Ill. Reg. 13700, effective August 16, 1988; amended in R88-17 at 13 Ill. Reg. 478.

POLLUTION CONTROL BOARD

NOTICE OF ADOPTED AMENDMENTS

effective December 30, 1988.

SUBPART C: AUTHORIZATION OF UNDERGROUND INJECTION BY RULE

Section 704.143 Expiration of Authorization

The authorization provided in Section 704.141 shall expire upon the earliest of the following:

- a) Upon the effective date of the permit or permit denial, if a permit application has been filed in a timely manner as specified in Section 704.161(b)(1); or

BOARD NOTE: Derived from 40 CFR 144.21(a)(1) (1987).

- b) If a permit application has not been filed in a timely manner as specified in Section 704.161(b)(1); or

BOARD NOTE: Derived from 40 CFR 144.21(a)(2) (1987).

- c) If the person authorized by rule under Section 704.141 fails to comply with Section 704.144 or 704.148; or

BOARD NOTE: Derived from 40 CFR 144.21(c) and 144.26 (1987).

- d) February 2, 1986, unless, at that time, there is a pending UIC permit application for the injection previously authorized by rule. Authorization by rule may continue during the pendency of the UIC permit application, except that any such authorization shall expire on February 2, 1989.

BOARD NOTE: Derived from 40 CFR 122.37(a)(1)(i)(C) (1981).

Board Note: See 40 CFR 144.21(a)-f

(Source: Amended at 13 Ill. Reg. 478, effective December 30, 1988)

DEPARTMENT OF PROFESSIONAL REGULATION

DEPARTMENT OF PROFESSIONAL REGULATION

NOTICE OF ADOPTED RULES

NOTICE OF ADOPTED RULES

- 1) Heading of the Part: The Medical Practice Act of 1987
- 2) Code Citation: 68 Ill. Adm. Code 1285
- 3)

<u>Section Numbers:</u>	<u>Adopted Action:</u>	<u>Section Numbers:</u>	<u>Adopted Action:</u>
1285.20	New Section	1285.90	New Section
1285.30	New Section	1285.100	New Section
1285.40	New Section	1285.110	New Section
1285.50	New Section	1285.120	New Section
1285.60	New Section	1285.130	New Section
1285.70	New Section	1285.140	New Section
1285.80	New Section		
- 4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 111, par. 4400-1 et seq.
- 5) Effective Date of Rule: December 29, 1988
- 6) Do these rules contain an automatic repeal date? No
- 7) Do these rules contain incorporations by reference? No
- 8) Date Filed in Agency's Principal Office: December 21, 1988
- 9) Date Notice of Proposal Published in Illinois Register: May 20, 1988,
12 Ill. Reg. 8571
- 10) Has JCAR issued a Statement of Objections to this (these) rule(s)? No
- 11) Difference(s) between proposal and final version:
Throughout Sections 1285.110 and 1285.130 of these Rules the term "registrant" has been substituted with "licensee".
Section 1285.10 which deals with Programs of Medical Education has been deleted to be consistent with the Medical Practice Act of 1987 which requires approval on an individual transcript basis.
The following changes were made based on public comment:
In Section 1285.20 subsection (a) has become an opening paragraph and "of the Medical Practice Act of 1987 (Ill. Rev. Stat. 1987, ch. 111, par. 4400-1, et seq.)" ("the Act") has been added after "described in Section 11(A)(2)(a)(i)."
A new subsection (a) has been added to Section 1285.20 and reads as follows: "At least two (2) academic years of a course of instruction

prerequisite to professional training in a college of liberal arts or a medical college." The remaining subsections have been renumbered accordingly.

Section 1285.20(g) has been changed to read as follows: "For the purposes of this Section, "academic year" shall be defined as a minimum of nine (9) months in length which includes no less than 25 clock hours per week of basic sciences as set forth in subsection (b) above and no less than 40 clock hours per week of clinical sciences as set forth in subsection (d) above."

Section 1285.60(a)(4)(B) has been changed to read as follows: "a course of study of nine (9) months in length (one academic year) which includes no less than 25 clock hours per week of basic sciences as set forth in Section 1285.20(b) of this Part and no less than 40 clock hours per week of clinical sciences as set forth in Section 1285.20(d) of this Part, or"

In Sections 1285.60(b) and (b)(1) the word "medicine" has been deleted after "chiropractic."

In Section 1285.70(b)(3) the word "medical" has been substituted with the word "chiropractic".

In the latter part of Section 1285.80(b)(3)(B) "medicine" and "any branch of medicine" has been substituted with "chiropractic."

In Section 1285.110 the following statutory language has been added as an opening paragraph: "THE DEPARTMENT, SHALL PROMULGATE RULES OF CONTINUING EDUCATION FOR PERSONS LICENSED UNDER THIS ACT WHO ARE NOT OTHERWISE SUBJECT TO EQUIVALENT CONTINUING EDUCATION REQUIREMENTS OF RELEVANT SPECIALTY SOCIETIES OR BOARDS. IN ESTABLISHING SUCH RULES, THE DEPARTMENT SHALL CONSIDER EDUCATIONAL REQUIREMENTS FOR MEDICAL STAFFS, REQUIREMENTS FOR SPECIALTY SOCIETY BOARD CERTIFICATION OR FOR CONTINUING EDUCATION REQUIREMENTS AS A CONDITION OF MEMBERSHIP IN SOCIETIES REPRESENTING THE 2 CATEGORIES OF LICENSEE UNDER THIS ACT. SUCH RULES SHALL ASSURE THAT LICENSEES ARE GIVEN THE OPPORTUNITY TO PARTICIPATE IN THOSE PROGRAMS SPONSORED BY OR THROUGH THEIR PROFESSIONAL ASSOCIATIONS OR HOSPITALS WHICH ARE RELEVANT TO THEIR PRACTICE. (Section 20 of the Act)"

The following changes were made based on comment from the Joint Committee on Administrative Rules:

The following text has been deleted after "(ACCGME)" in Section 1285.20(i): "through affiliation agreements approved by the, ACCME, the AOA, or the ACCGME"

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Section 1285.30(a)(3) has been rewritten as follows: "the faculty is organized and each Department has a director and professors, each responsible to the director for his instruction on the particular subjects he teaches."

The word "suitable" has been deleted from "suitable buildings" in Section 1285.30(a)(5).

In Section 1285.30(a)(6) the word "working" has been deleted from "working library," the word "easily" has been deleted from "easily accessible," the words "the modern" have been deleted from "the modern text" and an "s" has been added to "text." Also, the word "leading" has been deleted from "leading professional periodicals."

The terms "qualified" and "suitable" were deleted from Sections 1285.40(a)(2)-(4): In addition, the following phrase has been added after the words "pathologist" and "roentgenologist" in Sections 1285.40(a)(2) and (3), respectively: "legally empowered to perform said services". An "n" has been added to "a" where it first appears in Section 1285.40(a)(3).

The text of Section 1285.50(b)(4) has been deleted after the word "degree" and the following text has been inserted in lieu thereof (with it re-labeled as subsection (5) and the following subsections re-labeled accordingly):

The applicant shall also submit certification on forms provided by the Department, that the core clerkship rotations were completed at clinical teaching facilities owned, operated or formally affiliated with another medical college which is officially recognized by the jurisdiction in which the medical school which conferred the degree is located. Each applicant for licensure who completed rotations in an affiliated teaching facility must submit a copy of each affiliation agreement between the medical college which conferred the degree and each clinical teaching facility in which a core clerkship rotation was completed. The affiliation agreement(s) to be considered valid pursuant to Section 11(A)(2)(a)(i) of the Act must:

- 1) be in writing;
- 2) be dated;
- 3) be fully executed by the administrator of the clinical teaching facility and the Dean of the medical college; and
- 4) clearly define the rights and responsibilities of each party, including agreements on the role and authority of the governing bodies of both the clinical teaching facility and the medical college.

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- 5) The affiliation agreement(s) must be substantiated by submission of an evaluation form for each core clerkship rotation completed by the supervising physician for that rotation."

In Section 1285.50(b)(8) "an approved program of clinical training, of any composition, of 24 months' duration in a hospital in the United States or Canada approved by the Department" has been deleted and substituted with "an approved post-graduate program in accordance with Section 1285.40".

Section 1285.60(a)(4)(C) has been rewritten as follows: "any other formal professional study or training in an accredited medical college or hospital, deemed by the Department to meet the requirements of subsections (A) or (B) above."

In Section 1285.60(b)(3) "(i.e., certificate of completion of training, transcripts)" has been added.

In Section 1285.70(a)(9) the reference to subsection (6) was incorrect and has been changed to subsection (8). The date of December 31, 1984 referring to graduates from a program of medical education has been deleted and specific dates have been re-inserted after the examinations listed in subsections (A)-(E) of this Section. This is basically how the Rules read prior to adoption. The dates were omitted in error.

Section 1285.70(a)(9)(B) has been added and reads as follows: "Verification of the candidate's successful completion of the above described examinations shall show the scores achieved by the candidate on the examination with certificate number(s) and where and when the candidate took the examination."

Section 1285.80(a)(10) has been deleted, as it dealt with program approval. The Medical Practice Act of 1987 dictates that approval is to be on an individual transcript basis, thereby, making this subsection no longer relevant.

In Section 1285.90(b)(1) "for further investigation and action by the Medical Licensing Board." has been added.

Section 1285.90(c) was deleted, as it was basically a repeat of the Act. The remaining subsections were renumbered accordingly.

In Section 1285.90(i) and (j)(4) the word "documented" was inserted after the word "following."

In Sections 1285.90(j)(4)(B) and 1285.110(e)(2)(B) "as documented by a currently licensed physician" has been added.

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In Section 1285.90(j)(4)(C) "as documented by the residency training program director" has been added.

Section 1285.100(c) was added from Section 1280.85(c) of the Repealed Rules. This subsection was omitted in error from the Proposed Rules. The citations to this subsection have been corrected. The remaining subsections were renumbered accordingly.

In Section 1285.110(a) the word "approved" has been deleted from "Approved CME Programs." Subsection (a)(1) of the Proposed Rules has been added to subsection (a) which now reads as follows: "CME Programs. CME shall be recognized but not necessarily limited to verified attendance at or participation in (i.e., certificate of attendance, completion or participation) the following types of activities:" From this subsection (a) the word "credit" was deleted from "CME credit shall be recognized but not necessarily limited to..." The following was added after "attendance at or participation in:" "(i.e., certificate of attendance, completion, or participation)." The remaining subsections were renumbered accordingly.

In Section 1285.110(a)(2) "approved at the time of attendance" was deleted after "formal CME programs conducted by medical, chiropractic or osteopathic education programs."

In Section 1285.110(a)(5) "approved by the Department of Professional Regulation" was deleted after "Service as a faculty member of a program of education."

In Section 1285.110(e)(2) the word "reviewing" has been changed to "viewing."

In Section 1285.110(e)(2)(C) "(prolonged hospitalization, family illness)" has been added.

In Section 1285.110(e)(3) "(i.e., certificate of attendance, completion or participation)" has been added.

In Section 1285.130(c)(5) "as determined medical by the Board" has been deleted after "Successful completion of the Special Purpose Examination (SPEX)." The following has been added: "To be successful the applicant must receive a score of 75 or better."

In Section 1285.130(c)(6) "For individuals applying for a chiropractor license" has been added.

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NOTICE OF ADOPTED RULES

In agreement with the Joint Committee on Administrative Rules and at the direction of the Administrative Code Division numerous clerical, technical and typographical changes were made.

12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes

13) Will these Rules replace an Emergency Rule currently in effect? No

14) Are there any Amendments pending on this Part? Yes. The following amendments to a Proposed Subpart B of this Part are pending:

Section Numbers	Proposed Action	Illinois Register Citation
1285.200	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.205	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.210	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.215	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.220	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.225	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.230	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.235	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.240	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.245	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.250	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.255	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.260	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.265	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.270	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.275	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.310	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.320	New Section	12 Ill. Reg. 15880, October 7, 1988

15) Summary and Purpose of Rules: These rules implement the Medical Practice Act of 1987.

16) Information and questions regarding this adopted rule shall be directed to:

Department of Professional Regulation
Attention: Jean Courtney
320 West Washington, 3rd Floor
Springfield, IL 62786
217/785-0800

The full text of the Adopted Rule begins on the next page.

DEPARTMENT OF PROFESSIONAL REGULATION

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TITLE 68: PROFESSIONS AND OCCUPATIONS
 CHAPTER VII: DEPARTMENT OF PROFESSIONAL REGULATION
 SUBCHAPTER b: PROFESSIONS AND OCCUPATIONS

PART 1285

MEDICAL PRACTICE ACT OF 1987

Section	Six (6) Year Post-Secondary Programs of Medical Education
1285.20	Programs of Chiropractic Education
1285.30	Approved Postgraduate Training Programs
1285.40	Application for Examination
1285.50	Examinations
1285.60	Application for License on the Basis of Examination
1285.70	Licensure by Endorsement
1285.80	Temporary Licenses
1285.90	Visiting Professor Permits
1285.100	Continuing Medical Education (CME)
1285.110	Renewals
1285.120	Restoration and Inactive Status
1285.130	Granting Variances
1285.140	

AUTHORITY: Implementing the Medical Practice Act of 1987 (Ill. Rev. Stat. 1987, ch. 111, par. 4400-1 et seq.) and authorized by Section 60(7) of The Civil Administrative Code of Illinois (Ill. Rev. Stat. 1987, ch. 127, par. 60(7)).

SOURCE: Adopted at 13 Ill. Reg. 483, effective December 29, 1988.

NOTE: Capitalization denotes statutory language.

Section 1285.20 Six (6) year Post-Secondary Programs of Medical Education

The standards for the six (6) year post-secondary program of medical education described in Section 11(A)(2)(a)(i) of the Medical Practice Act of 1987 (Ill. Rev. Stat. 1987, ch. 111, par. 4400-1, et seq.) ("the Act") are:

- a) At least two (2) academic years of a course of instruction prerequisite to professional training in a college of liberal arts or a medical college.
- b) At least two (2) academic years of study in the basic medical sciences which shall include formal instruction in at least the following subjects:
 - 1) anatomy
 - 2) biochemistry
 - 3) physiology

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- 4) microbiology and immunology
- 5) pathology
- 6) pharmacology and therapeutics
- 7) preventive medicine

c) The required basic science courses stated in subsection (b) must be taken and completed as part of a program of medical education taught at a medical school and shall not be accepted or co-validated from courses completed as a student in a secondary school, community college, or college of liberal arts and sciences at which degrees are earned prior to the commencement of the medical education program.

d) At least two (2) academic years of study in the clinical sciences, while enrolled in the medical college which conferred the degree, which shall include at least the following required core clerkship rotations:

- 1) internal medicine
- 2) obstetrics and gynecology
- 3) pediatrics
- 4) psychiatry
- 5) surgery

e) The core clerkship rotations must have been taken and completed in clinical teaching facilities owned, operated or formally affiliated with the medical college which conferred the degree or under contract in teaching facilities owned, operated or formally affiliated with another medical college which is officially recognized by the jurisdiction in which the medical school which conferred the degree is located.

f) For the purposes of this Section, "academic year" shall be defined as a minimum of nine (9) months in length which includes no less than 25 clock hours per week of basic sciences as set forth in subsection (b) above and no less than 40 clock hours per week of clinical sciences as set forth in subsection (d) above.

g) Each clerkship shall be at least four (4) weeks but no more than twelve (12) weeks in length, shall consist of a hands-on exposure to patients which is planned, managed and supervised by faculty of the medical school conferring the degree, and be performed in accordance with all requirements of the jurisdiction in which it is completed.

h) Clinical teaching facilities are defined as those which meet or exceed the requirements of Section 1285.40 or which are part of a residency program accredited by the Accreditation Council for Graduate Medical Education (ACGME), the American Osteopathic Association (AOA), or the Accreditation Council on Canadian Graduate Medical Education (ACCGME).

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Section 1285.30 Programs of Chiropractic Education

a) A program of chiropractic education shall be deemed approved in the judgment of the Department if it meets the following requirements:

- 1) a Dean or other Executive Officer, employed on a full-time basis supervises the students and curriculum.
- 2) the faculty is comprised of graduates in their specialty from legally recognized and authorized professional colleges or institutions by the jurisdiction in which it is located.
- 3) the faculty is organized and each department has a director and professors, each responsible to the Director for his instruction in the particular subjects he teaches.
- 4) annually, a catalogue or brochure is published setting forth the requisites for admission to the college, tuition, rates, courses offered, dates of sessions, schedule of classes, requirements for graduation, a roster of the undergraduate students and a roster of the last graduating class. The catalogue or brochure shall contain a list of the departments of the school, the titles of the personnel and a brief summary of each person's qualifications. The curriculum shall include, but not be limited to, four academic years' instruction in the following subjects:

- A) Anatomy
 - i) Embryology
 - ii) Histology
 - iii) Neuro-anatomy
- B) Physiology and Chemistry
- C) Pathology and Bacteriology
- D) Diagnosis
 - i) Physical
 - ii) Differential
 - iii) Laboratory

5) buildings provided with laboratories equipped for instruction in anatomy, chemistry, physiology, bacteriology and other areas of learning necessary to the due course of study prescribed by this Part; and that a laboratory equipped with supplies, models, manikins, charts, stereopticon, roentgen-ray and other special apparatus used in teaching the system to treat human ailments without the use of medicine and operative surgery, be provided.

6) a library, accessible to students is maintained, with a librarian in constant attendance. The library shall contain a standard medical dictionary, texts and reference books, and the files of

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professional periodicals.

- 7) the college or institution requires all students to furnish, before matriculation, satisfactory proof of the preliminary education required by the Act.
- 8) full and complete records are kept showing the credentials for admission, attendance, grades and financial accounts of each student.
- 9) admission of transfer students will be limited to honorably dismissed students from another approved college or institution teaching the same system. The transcript of record obtained directly from the transferring school shall be kept on file. It shall be the duty of a college or institution to furnish such a transcript for the benefit of each student subject to honorable dismissal. No credit shall be given a transferred student for final or "senior year" work or for any courses taken by correspondence.
- 10) students shall start class attendance within one week of the start of each session. That credit for completion of a course will not be granted a student who failed to attend 80% of the complete session of the course.
- b) Applicants seeking licensure who have received a chiropractic degree from a college that is not fully accredited in accordance with Section 11(B) of the Act and who are seeking licensure based on a second, duplicate or similar degree must pay the required fee and provide an official transcript specified in Section 21 of the Act to the Department showing:
 - 1) completion of at least two (2) additional academic years of study in the clinical sciences of not less than 960 clock hours per academic year in a fully accredited college during the time of additional study; and
 - 2) the hours of clinical practice retaken to fulfill the chiropractic degree requirements. No credit will be given for prior credits in clinical practice.
- c) All chiropractic colleges fully accredited by the Commission on accreditation of the Council of Chiropractic Education or its successor at the time of graduation shall be deemed to have met the minimum standards.

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Section 1285.40 Approved Postgraduate Training Programs

a) A hospital shall, in the judgment of the Department, be deemed approved for the post-graduate training required for licensure if it meets the following standards:

- 1) Contains at least the departments of internal medicine, surgery, obstetrics and pediatrics; and has an organized departmentalized staff, holding meetings monthly for case reviews and study.
- 2) Laboratory employing a full-time technician and at least a part-time pathologist legally empowered to perform said services, visiting the laboratory at least two (2) days per week.
- 3) Radiological department employing an X-ray technician and at least a part-time roentgenologist legally empowered to perform said services, visiting the department at least two (2) days per week.
- 4) Maintenance of an up-to-date medical library located in a study room available to residents.
- 5) Such hospital shall, upon request, provide the Department with the names of staff members of the various departments of the hospital.
- 6) The hospital, upon a physician's completion of a course of training therein of not less than twenty-four (24) months, shall issue a certificate of completion of clinical training to the physician or certify to the completion of residency clinical training programs on forms supplied by the Department. Such certificate shall identify the commencement date and the concluding date of the course of training.

b) The Department, upon the recommendation of the Medical Licensing Board has determined that all clinical training programs approved by the Accreditation Council for Graduate Medical Education, the American Osteopathic Association, and the Accreditation Council on Canadian Graduate Medical Education as of January 1, 1988, meet the minimum criteria set forth in this Section and are, therefore, approved, except as provided in subsection (c) below.

c) In the event of a decision by any of the above accrediting bodies in subsection (b) to suspend, withdraw or revoke accreditation of any clinical training program accredited as of January 1, 1988, the Board shall proceed to evaluate the program and either approve or disapprove the program pursuant to the minimum criteria set out in subsection (a) above.

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Section 1285.50 Application for Examination

a) An applicant for licensure to practice medicine in all of its branches must make application to the Department or its designated testing service on forms furnished by the Department at least 90 days prior to such examination.

b) Each applicant to take the examination for a license to practice medicine in all of its branches shall submit to the Department:

- 1) A fully completed application which is signed, on which all questions have been answered, and all programs of medical education attended by the applicant have been identified;
- 2) Proof that the applicant is of good moral character. Proof shall be an indication on the application that the applicant has not engaged in any conduct or activities which would constitute grounds for discipline under Section 22 of the Act. Applications of individuals who answer affirmatively on the Personal History portion of the application or who have engaged in activities which would constitute grounds for discipline shall be forwarded to the Enforcement Division of the Department for further investigation and action by the Medical Licensing Board as provided in Section 9(B)(4) of the Act.
- 3) An official transcript of the course of instruction prerequisite to professional training, in a college of liberal arts or medical college.
- 4) An official transcript and the diploma or certification of graduation from the medical education program granting the degree.
- 5) The applicant shall also submit certification on forms provided by the Department, that the core clerkship rotations were completed at clinical teaching facilities owned, operated or formally affiliated with another medical college which is officially recognized by the jurisdiction in which the medical school which conferred the degree is located. Each applicant for licensure who completed rotations in an affiliated teaching facility must submit a copy of each affiliation agreement between the medical college which conferred the degree and each clinical teaching facility in which a core clerkship rotation was completed. The affiliation agreement(s) to be considered valid pursuant to Section 11 (A)(2)(a)(i) of the Act must:
 - A) be in writing;
 - B) be dated;
 - C) be fully executed by the administrator of the clinical

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D) teaching facility and the Dean of the medical college; and clearly define the rights and responsibilities of each party, including agreements on the role and authority of the governing bodies of both the clinical teaching facility and the medical college.

E) The affiliation agreement(s) must be substantiated by submission of an evaluation form for each core clerkship rotation completed by the supervising physician for that rotation.

6) A complete work history since graduation from medical school;

7) Fees as required by Section 21 of the Act.

8) For applicants to practice medicine in all of its branches, proof of completion of an approved post-graduate training program in accordance with Section 1285.40.

c) Examination prior to Completion of Clinical Training

1) A candidate may apply for the examination and take the examination given prior to completion of the clinical training required by the Act, provided such applicant:

A) is registered in an approved program of clinical training and on whose behalf a temporary license by the Department has been issued pursuant to the provisions of Section 17 of the Act.

B) satisfies all of the requirements to take the examination for licensure to practice medicine in all of its branches, except completion of an approved program of clinical training; and

C) furnishes a statement from hospital authorities certifying that such applicant has completed at least four (4) calendar months of such approved program of clinical training, and performance in such training is satisfactory to date.

2) The results of such examination shall be made available to the applicant but no license shall be issued until the Department receives proof of such applicant's satisfactory completion of the required approved clinical training program.

Section 1285.60 Examinations

a) Examinations for licensure to practice medicine in all of its branches:

1) Examinations conducted by the Department or its designated testing service for licensure to practice medicine in all of its branches

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shall be conducted in the English language and shall consist of:

A) The Federation Licensing Examination-FLEX Component 1 - an examination placing emphasis on basic and clinical science principles and mechanisms underlying high-impact diseases and problems encountered in an in-patient, supervised setting, during the delivery of health care; and,

B) The Federation Licensing Examination-FLEX Component 2 emphasis on issues related to the general delivery of health care to patients in an ambulatory setting encountered in an independent practice.

2) To be successful examinees must receive a score of at least 75 in each Component of the examination.

3) In the case of failure on the examination, examinees shall be required to retake only that Component of the examination on which they did not achieve a score of at least 75 provided both Components are successfully completed within three (3) years from the date of the first writing of the examination. In the event both Components are not successfully completed within three (3) years, credit for any Component passed shall be forfeited.

4) Any applicant for licensure to practice medicine in all of its branches who has been unsuccessful in 5 examinations conducted in this state or any other jurisdiction shall be deemed ineligible for further examination until such time as the Department is in receipt of proof that such applicant has completed, subsequent to his fifth failure:

A) a course of clinical training of not less than 12 months in an approved hospital in the United States, or

B) a course of study of nine (9) months in length (one academic year) which includes no less than 25 clock hours per week of basic sciences as set forth in Section 1285.20(b) of this Part and no less than 40 clock hours per week of clinical sciences as set forth in Section 1285.20(d) of this Part, or

C) any other formal professional study or training in an accredited medical college or hospital, deemed by the Department to meet the requirements of subsection (A) or (B) above.

5) For purposes of determining the number of failures, the Department shall count as a failure any examination attempt in which a candidate fails to appear for all Components of the examination

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for which he has been scheduled.

b) Examinations for licensure to practice chiropractic.

- 1) Examinations for licensure to practice chiropractic shall be conducted in the English language and shall consist of the examination administered by the National Board of Chiropractic Examiners and shall consist of Part I, Part II, and the Written Clinical Competency Examination.
- 2) To be successful, examinees must receive a score of at least 75 on all three parts of the examination.
- 3) Any applicant for licensure as a chiropractic physician who has been unsuccessful in 5 examinations in this state or any other jurisdiction shall be deemed ineligible for further examination until such time as the Department is in receipt of proof (i.e., certificate of completion of training, transcript) that such applicant has completed, subsequent to his fifth failure, a course of study of 960 classroom hours (one academic year) in an accredited chiropractic program.

Section 1285.70 Application for a License on the Basis of Examination

- a) Each applicant for a license to practice medicine in all of its branches on the basis of examination must submit to the Department:
 - 1) A fully completed application which is signed on which all questions have been answered, and all programs of medical education attended by the applicant have been identified, including dates of attendance;
 - 2) Proof that the applicant is of good moral character. Proof shall be an indication on the application that the applicant has not engaged in any conduct or activities which would constitute grounds for discipline under Section 22 of the Act. Applications of individuals who answer affirmatively on the Personal History portion of the application or who have engaged in activities which would constitute grounds for discipline shall be forwarded to the Enforcement Division of the Department for further investigation and action by the Medical Licensing Board as provided in Section 9(B)(4) of the Act.
 - 3) An official transcript of the course of instruction prerequisite to professional training in a college of liberal arts or medical college;
 - 4) A complete work history since graduation from medical school;

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5) Fee as required by Section 21 of the Act; and

- 6) An official transcript and the diploma or certification of graduation from the medical education program granting the degree which shall evidence that the applicant has met the minimum medical education requirements of the Act. Such evidence shall include proof that the core clerkship rotations were completed at clinical teaching facilities owned, operated or formally affiliated with the medical college which conferred the degree or under contract in teaching facilities owned, operated or formally affiliated with another medical college which is officially recognized by the jurisdiction in which the medical school which conferred the degree is located in accordance with Section 1285.20 of this Part.
- 7) For applicants to practice medicine in all of its branches, proof of completion of an approved program of postgraduate clinical training of 24 months' duration in a hospital in the United States or Canada approved by the Department.
- 8) Proof on forms provided by the Department of the successful completion of the examination set forth in Section 1285.60.
- 9) Waiver.
 - A) The provisions of subsection (8) above shall be waived for a candidate for licensure to practice medicine in all of its branches who makes application in form and substance satisfactory to the Department under Section 9 of the Medical Practice Act of 1987 and causes to be filed with the Department, in addition to his application, proof of the candidate's successful completion of:
 - i) the National Board of Medical Examiners examination subsequent to January 1, 1964; or
 - ii) the National Board of Examiners for Osteopathic Physicians and Surgeons examination subsequent to June 1, 1973; or
 - iii) the Federation Licensing Examination ("FLEX") in another state obtaining a FLEX weighted average of 75 or more subsequent to June 1, 1968; or
 - iv) the Licensure of the Medical Council of Canada examination ("LMCC") subsequent to May 1, 1970; or
 - v) The Federation Licensing Examination ("FLEX") in another

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state obtaining a score of 75 or more in each Component.

- B) Verification of the candidate's successful completion of the above described examinations shall show the scores achieved by the candidate on the examination with certificate number(s) and where and when the candidate took the examination.

- b) Each applicant for a license to practice as a chiropractic physician must submit to the Department:

- 1) A fully completed application which is signed, on which all questions have been answered, and all programs of chiropractic education attended by the applicant have been identified including dates of attendance;
- 2) Proof that the applicant is of good moral character and has not engaged in any conduct or activities which would constitute grounds for discipline under Section 22 of the Act. Applications of individuals who answer affirmatively on the Personal History portion of the application or who have engaged in activities which would constitute grounds for discipline shall be forwarded to the Enforcement Division of the Department for further investigation and action by the Medical Licensing Board as provided in Section 9(B)(4) of the Act.
- 3) A complete work history since graduation from chiropractic school;
- 4) Fee as required by Section 21 of the Act; and
- 5) Proof of the successful completion of Part I, Part II and the Written Clinical Competency Examination forwarded directly to the Department from the National Board of Chiropractic Examiners.

Section 1285.80 License by Endorsement

- a) Each applicant currently licensed in another jurisdiction who applies to the Department for a license to practice medicine in all of its branches on the basis of endorsement must cause to be submitted to the Department:
- 1) A fully completed application which is signed, on which all questions have been answered and all programs of medical education attended by the applicant have been identified, including dates of attendance;
 - 2) Proof that the applicant is of good moral character. Proof shall be an indication on the application that the applicant has not engaged in any conduct or activities which would constitute

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grounds for discipline under Section 22 of the Act. Applications of individuals who answer affirmatively on the Personal History portion of the application or who have engaged in activities which would constitute grounds for discipline shall be forwarded to the Enforcement Division of the Department for further investigation and action by the Medical Licensing Board as provided in Section 9(B)(4) of the Act.

- 3) An official transcript of the course of instruction prerequisite to professional training in a college of liberal arts or medical college.
- 4) An official transcript and certification of graduation from the education program granting the professional degree which shall evidence that the applicant has met the minimum medical education requirements of the Act. Evidence which shall include proof that the core clerkship rotations were completed at clinical teaching facilities owned, operated or formally affiliated with the medical college which conferred the degree or under contract in teaching facilities owned, operated or formally affiliated with another medical college in which the medical school which conferred the degree is located in accordance with Section 1285.20 of this Part. Applicants who submit any document in a foreign language shall submit an original, notarized English translation.
- 5) For applicants to practice medicine in all of its branches, proof of postgraduate clinical training in the United States or Canada.
- 6) A certification from the jurisdiction of original licensure and any other jurisdiction in which the applicant is or has ever been licensed stating:
 - A) The date of issuance of the applicant's license;
 - B) The basis of licensure and a description of the examination by which the applicant was licensed, if any;
 - C) Name and location of the college, university, or other institution from which the applicant received his medical education, type of degree and date degree was conferred;
 - D) Whether the records of the licensing authority contain any record of any disciplinary action taken or pending;
- 7) A complete work history since graduation from medical school;
- 8) The fee required by Section 21 of the Act.

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9) Each applicant for licensure to practice medicine in all of its branches pursuant to the provisions of Section 19 upon the basis of having passed a National Board of Medical Examiners examination prior to January 1, 1964, or having passed a National Board of Examiners for Osteopathic Physicians and Surgeons examination before June 1, 1973, or having passed the Licensure of the Medical Council of Canada ("LMCC") before May 1, 1970, or having passed the Federation Licensing Examination (FLEX) prior to June 1, 1968, shall, subject as hereinafter provided, pass an examination conducted by the Department or its designated testing service to test the clinical competence of such applicant ("clinical test"). The Department upon recommendation of the Medical Licensing Board has determined that the examination conducted under this Section shall be Component 2, of the Federation Licensing Examination (FLEX) or the Special Purpose Examination (SPEX) as determined by the Board.

A) To be successful in Component 2 of the FLEX examination or the SPEX examination, applicants must receive a score of 75 or better. In the case of failure on three (3) attempts of the Component 2 examination, the application for licensure on the basis of endorsement shall be denied. Such individuals may thereafter submit an application for licensure on the basis of examination and, if qualified, take the entire examination referenced in Section 1285.60(a)(1), (2) and (3) of this Part in accordance with the manner described therein.

B) The Medical Licensing Board may, in its discretion and in individual cases where the applicable conditions of Section 19 of the Act have been satisfied, make a recommendation to the Director of the Department of Professional Regulation ("Director") for the waiver of the clinical examination requirement herein provided with respect to any such applicant for a license to practice medicine in all of its branches after full consideration of the quality of his medical education and clinical training or practical experience, including, but not limited to, whether he is Board Certified in a specialty, has achieved special honors or awards, has had articles published in recognized and reputable journals, has written or participated in the writing of textbooks in medicine and any other circumstance or attribute which the Medical Licensing Board accepts as evidence that such applicant has outstanding and proven ability in any branch of medicine.

b) Each applicant currently licensed in another jurisdiction who applies to the Department for a license in Illinois as a chiropractic physician by endorsement must cause to be sent to the Department:

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1) A fully completed application which is signed, on which all questions have been answered, and all programs of chiropractic education attended by the applicant have been identified including dates of attendance;

2) Proof that the applicant is of good moral character and has not engaged in any conduct or activities which would constitute grounds for discipline under Section 22 of the Act. Applications of individuals who answer affirmatively on the Personal History portion of the application or who have engaged in activities which would constitute grounds for discipline shall be forwarded to the Enforcement Division of the Department for further investigation and action by the Medical Licensing Board as provided in Section 9(B)(4) of the Act.

3) Successful completion of Part I, Part II and the Written Clinical Competency examination administered by the National Board of Chiropractic Examiners.

A) To be successful in the Written Clinical Competency examination, applicants must receive a score of 75 or better. In the case of failure on three (3) attempts of the written practical examination, the application for licensure on the basis of endorsement shall be denied. Such individuals may thereafter submit an application for licensure on the basis of examination and upon meeting the qualifications for licensure in Section 1280.70 of this Part, take the entire examination referenced in Section 1285.60(b)(1), (2) and (3) of this Part in accordance with the manner described therein.

B) The Medical Licensing Board may, in its discretion and in individual cases where the applicable conditions of Section 19 of the Act have been satisfied, make a recommendation to the Director for the waiver of the written clinical competency examination requirement herein provided with respect to any such applicant for a license to practice chiropractic medicine after full consideration of the quality of his chiropractic education and practical experience, including, but not limited to, whether he is Board Certified in a specialty, has achieved special honors or awards, has had articles published in recognized and reputable journals, has written or participated in the writing of textbooks in chiropractic and any other circumstance or attribute which the Medical Licensing Board accepts as evidence that such applicant has outstanding and proven ability in chiropractic.

4) A certification from the jurisdiction of original licensure and any other jurisdiction in which the applicant is or has ever been

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licensed stating:

- A) The date of issuance of the applicant's license;
- B) The basis of licensure and a description of the examination by which the applicant was licensed, of any;
- C) Name and location of the college, university, or other institution from which the applicant received his chiropractic education, type of degree and date degree was conferred;
- D) Whether the records of the licensing authority contain any record of any disciplinary action taken or pending;
- 5) A complete work history since graduation from medical school;
- 6) The fee required by Section 21 of the Act.

Section 1285.90 Temporary Licenses

- a) An application for a Temporary License to pursue specialty/residency training must be filed, in form and substance satisfactory to the Department, at least 60 days prior to the commencement date of the training.
- b) Each application shall not be considered complete unless it is signed, all questions have been answered and it contains or is accompanied by:
 - 1) Proof that the applicant is of good moral character and has not engaged in any conduct or activities which would constitute grounds for discipline under Section 22 of the Act. Applications of individuals who answer affirmatively on the Personal History portion of the application or who have engaged in activities which would constitute grounds for discipline shall be forwarded to the Enforcement Division of the Department for further investigation and action by the Medical Licensing Board.
 - 2) An official transcript of the course of instruction prerequisite to professional training in a college of liberal arts or medical college;
 - 3) An official transcript and diploma or certification of graduation from the medical education program granting the degree which shall evidence that the applicant has met the minimum education requirements of the Act. Evidence which shall include proof that the core clerkship rotations were completed at clinical teaching facilities owned, operated or formally affiliated with the medical college which conferred the degree or under contract in teaching

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facilities owned, operated or formally affiliated with another medical college which is officially recognized by the jurisdiction in which the medical school which conferred the degree is located in accordance with Section 1285.20 of this Part.

- 4) Proof that the applicant has been accepted or appointed to a position in a specialty/residency program which is approved by the Department, pursuant to the provisions of Section 1285.40 and the number of the postgraduate year for which he has been accepted or appointed;
- 5) A statement identifying all medical education programs attended, including dates of attendance;
- 6) Applicants who submit any document in a foreign language shall submit an original notarized English translation.
- 7) A complete work history since graduation from medical school; and
- 8) The fee required by Section 21 of the Act.
- c) Written notice of the Department's final action on every application for a temporary license shall be given to the applicant and hospital designated therein. If such application is approved pursuant to Section 17 of the Act and this Section, the temporary license shall be delivered or mailed to the hospital and shall be kept in the care and custody of such hospital. Any person not licensed to practice medicine in all of its branches in the State of Illinois who is pursuing specialty/residency training must have had a Temporary License issued on his behalf to an approved program of training prior to the commencement of the training.
- d) Commencement of the specialty/residency training program prior to the issuance of a temporary license shall be construed as the unlicensed practice of medicine
- e) A Temporary License shall be issued for a maximum of three years, subject to renewal as provided in this section. In no event shall a Temporary License be issued for less than one year except as provided in subsection (i) below or for any purpose other than a post-graduate specialty/residency program required for licensure under the Act.
- f) Not more than one Temporary License shall be issued to any person for the same period of time.
- g) When a resident is dismissed or otherwise terminates his specialty/residency program, it shall be the responsibility of the staff of the program to notify the Department immediately and return

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the Temporary License to the Department. If the Temporary License has been lost or destroyed, the staff of the program shall submit a written explanation to the Department.

- h) A Temporary License may be transferred from one program to another only upon the return of the Temporary License and receipt by the Department of a new application which contains a certificate of acceptance that the resident has been accepted or appointed to a specialty/residency position in an approved program. Requests for transfers shall be filed with the Department at least 60 days prior to the commencement date of the new program.

- i) Temporary licenses may be extended or renewed only in the following documented situations:

- 1) serving full-time in the Armed Forces;
- 2) an incapacitating illness;
- 3) proof of continuance of a residency training program in order to meet the remedial requirements for licensure set forth in Section 1285.60(a)(4); or
- 4) proof of continuance of a residency training program.

- j) The Department shall issue Limited Temporary Licenses for no more than six (6) months on behalf of individuals who apply in form and substance satisfactory to the Department and submit evidence that:

- 1) He is enrolled in a postgraduate clinical training program outside of the State of Illinois meeting the requirements of Section 1285.40;
- 2) He has been accepted for a specific period of time to perform, under supervision, a portion of that program at a clinical training program approved pursuant to the provisions of Section 1285.40 in the State of Illinois due to the absence of adequate facilities in the other State;
- 3) The approved clinical training program in this State has assumed full supervisory responsibility for the individual during the full period specified on his application.
- 4) A Limited Temporary License may be extended or renewed only in the following documented situations:
 - A) serving full-time in the Armed Forces;

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- B) an incapacitating illness as documented by a currently licensed physician;

- C) proof of continuance of a residency training program as documented by the residency training program director.

- k) Any individual who participates in any portion of a specialty/residency program without a Temporary License issued by the Department shall be considered to be involved in the unlicensed practice of medicine.

Section 1285.100 Visiting Professor Permits

- a) Any person not licensed in this state to practice medicine in all of its branches or as a chiropractic physician who has been appointed as a visiting professor at a program of medicine in this State must be the holder of a Visiting Professor Permit issued by the Department pursuant to the provisions of Section 18 of the Act.

- b) An application for a Visiting Professor Permit shall be made on forms provided by the Department. Such application shall include:

- 1) The name and location of the applicant's program of medicine, dates of attendance, date and type of degree conferred;
- 2) Certification from the jurisdiction of original licensure indicating:
 - A) The date of licensure;
 - B) The method of licensure;
 - C) The current status of the license.
- 3) Certification from the Dean of the program of medicine indicating:
 - A) That the person has contracted with the applicant and he has received a faculty appointment to teach in the program;
 - B) The nature of the educational services to be provided by the applicant;
 - C) The term of the contract;
 - 4) A copy of the applicant's current curriculum vitae; and,
 - 5) The fee of \$300.

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c) In determining the need for the issuance of a Visiting Professor Permit, the Department, upon the recommendation of the Medical Licensing Board, shall consider:

- 1) The availability to the program of medicine of the services for which the Visiting Professor Permit is sought;
- 2) Whether the applicant could qualify for licensure pursuant to Sections 11, 13, 14, 15 and 19 of the Medical Practice Act of 1987 and Sections 1285.20, 1285.50, 1285.60 and 1285.80 of this Part.

d) Written notice of the Department's final action on every application for a Visiting Professor Permit shall be given to the applicant and the program of medicine designated therein within 60 days of the completion of the application. When such application is approved the Visiting Professor Permit shall be delivered or mailed to the program of medicine. The applicant shall not commence such faculty appointment before the program receives written notification of the approval of the application.

e) A Visiting Professor Permit shall be valid for one (1) year and may be renewed only once for one year.

f) Application for renewal of a Visiting Professor Permit shall be made on forms supplied by the Department at least sixty (60) days prior to expiration of the permit. Such application shall include:

- 1) Certification from the Dean of the program of medicine indicating the term of the renewal contract, not to exceed one year from the date of the original expiration date.
- 2) Certification from the jurisdiction of original licensure indicating the current status of the license;
- 3) The fee of \$300.

g) When any person on whose behalf a Visiting Professor Permit has been issued shall be discharged or shall terminate his faculty appointment, any certificate issued in the name of such person shall be null and void as of the date of such discharge or termination. Such program of medicine shall immediately deliver or mail by registered mail to the Department the Visiting Professor Permit and written notice of the reason for the return of the permit.

h) Only one Visiting Professor Permit and one renewal shall be issued to an applicant. If, at the conclusion of the term of the faculty appointment for which the permit was issued, the holder of such permit desires to remain in the State and practice or teach his profession,

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he must apply for and receive a license to practice that profession.

i) Whenever a program of medicine is required to deliver or return a Visiting Professor Permit to the Department and that permit has been lost or destroyed or is for any other reason unavailable for return to the Department, the program of medicine shall immediately mail or deliver to the Department a written explanation concerning the inability to return the permit.

j) Nothing herein shall prohibit the holder of a Visiting Professor Permit from applying for and receiving a license to practice his profession in this State during the term of his faculty appointment. In the event the holder of such permit is issued a license to practice his profession in this State, upon receipt of the license, the permit shall become null and void, and shall be returned to the Department pursuant to the provisions of subsection (f) above.

Section 1285.110 Continuing Medical Education (CME)

THE DEPARTMENT, SHALL PROMULGATE RULES OF CONTINUING EDUCATION FOR PERSONS LICENSED UNDER THIS ACT WHO ARE NOT OTHERWISE SUBJECT TO EQUIVALENT CONTINUING EDUCATION REQUIREMENTS OF RELEVANT SPECIALTY SOCIETIES OR BOARDS. IN ESTABLISHING SUCH RULES, THE DEPARTMENT SHALL CONSIDER EDUCATIONAL REQUIREMENTS FOR MEDICAL STAFFS, REQUIREMENTS FOR SPECIALTY SOCIETY BOARD CERTIFICATION OR FOR CONTINUING EDUCATION REQUIREMENTS AS A CONDITION OF MEMBERSHIP IN SOCIETIES REPRESENTING THE 2 CATEGORIES OF LICENSEE UNDER THIS ACT. SUCH RULES SHALL ASSURE THAT LICENSEES ARE GIVEN THE OPPORTUNITY TO PARTICIPATE IN THOSE PROGRAMS SPONSORED BY OR THROUGH THEIR PROFESSIONAL ASSOCIATIONS OR HOSPITALS WHICH ARE RELEVANT TO THEIR PRACTICE. (Section 20 of the Act.)

a) CME Programs. CME shall be recognized but not necessarily limited to verified attendance at or participation in (i.e., certificate of attendance, completion or participation) the following types of activities:

- 1) Formal programs conducted or endorsed by hospitals, specialty societies, facilities or other organizations accredited to offer CME credit by the Accreditation Council on Continuing Medical Education, the Illinois State Medical Society, the Committee on Continuing Medical Education of the American Osteopathic Association, Illinois Association of Osteopathic Physicians and Surgeons, Illinois Chiropractic Society, Illinois Prairie State Chiropractic Association or a similarly recognized Continuing Medical Education provider;
- 2) Formal CME programs conducted by medical, chiropractic or osteopathic education programs either to prepare individuals for

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licensee pursuant to the provisions of the Medical Practice Act of 1987 or for postgraduate training;

- 3) CME programs required for certification or recertification by a specialty board;
- 4) CME activities required as a continuation of membership in specialty societies or professional associations;
- 5) Service as a faculty member of a program of education to prepare persons for licensure under the provisions of the Medical Practice Act or for postgraduate training;
- 6) Reading journals and/or viewing audiovisual materials or other relevant information to the practice for which the licensee is licensed;
- 7) Upon the recommendation of the Medical Licensing Board, the Department shall, in individual cases, recognize additional activities for compliance with this Section 1280.130.

b) Each licensee shall, at the time of renewal or restoration of his license, indicate, under oath, on his renewal application if he has obtained CME during the three (3) years prior to such renewal or restoration.

c) The provisions of subsection (b) above shall not apply to licensees renewing their licenses for the first time following initial issuance.

d) This rule shall apply for the renewal of licenses scheduled to expire July 31, 1990, and subsequent renewal periods and the restoration of any license after that date.

e) Noncompliance with CME Requirements

1) Any licensee who indicates on his renewal form that he is in noncompliance with CME requirements shall file with the Department, an affidavit detailing the reasons for the noncompliance.

2) The Department, upon the recommendation of the Medical Licensing Board shall waive compliance with CME requirements in circumstances of extreme hardship which shall be determined on an individual basis and is defined as an inability to devote sufficient hours to fulfilling the CME requirements as documented by:

A) serving full-time in the Armed Forces of the United States;

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B) an incapacitating illness as documented by a currently licensed physician;

- C) retirement from practice;
- D) undue hardship (prolonged hospitalization, family illness); or,
- E) any other similar extenuating circumstances.

3) Any licensee who indicates that he has not obtained CME for reasons other than those above shall be granted one (1) year to engage in CME activities and submit evidence (i.e., certificate of attendance, completion or participation) to the Department of compliance.

4) If, at the end of one year the licensee does not submit proof of CME, such information shall be forwarded to the Medical Disciplinary Board to determine if the licensee's license shall be disciplined.

5) Information about any licensee who indicates on his renewal form that he has complied with CME, and who is subsequently found not to have complied in the course of the Department's random audit, shall be forwarded to the Medical Disciplinary Board to determine if the licensee's license shall be disciplined pursuant to 68 Ill. Adm. Code 1110 and 1285.

Section 1285.120 Renewals

a) Every license issued under the Act shall expire on July 31, 1990, and every third year thereafter. The holder of a license may renew such license during the month preceding the expiration date thereof by paying the required fee stated in Section 21(e)(5) of the Act.

b) It is the responsibility of each registrant to notify the Department of any change of address. Failure to receive a renewal form from the Department shall not constitute an excuse for failure to pay the renewal fee and to renew the license in a timely manner. Practice on a license which has expired is the unlicensed practice of medicine.

Section 1285.130 Restoration and Inactive Status

a) A licensee seeking restoration of his license which has expired for less than three (3) years shall have his license restored upon payment of all lapsed renewal fees required by Section 21 of the Act.

b) A licensee seeking restoration of his license which has been placed on inactive status for less than three (3) years shall have his license

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restored upon payment of the current renewal fee and the continuing education requirements for the last renewal period.

- c) A licensee seeking restoration of his license after it has expired or been placed on inactive status for more than three (3) years shall file an application, on forms supplied by the Department, together with the fee required by Section 21 of the Act. The licensee shall also submit one or more of the following:

- 1) Sworn evidence of active practice in another jurisdiction. Such evidence shall include a statement from the appropriate board or licensing authority in the other jurisdiction that the licensee was authorized to practice during the term of said active practice;
- 2) An affidavit attesting to military service as provided in Section 21 of the Act;
- 3) Proof of successful completion (evidenced by Certification of Clinical Training) of an approved specialty residency program of at least twelve months in length within three years from the date of application.
- 4) Proof of completion evidenced by Certification of Medical Education of a course of study of at least 960 classroom hours (one academic year) which includes no more than 25 clock hours of basic sciences and 40 clock hours of clinical sciences in a college approved by the Department under the Act within three years from the date of application.
- 5) Successful completion of the Special Purpose Examination (SPEX) within three years from the date of application. To be successful an applicant must receive a score of 75 or better.

- 6) For individuals applying for a chiropractic license, proof of completion of 960 classroom hours (academic hours) in an accredited chiropractic program within three years from the date of application.

- d) When the accuracy of any submitted documentation, or the relevance or sufficiency of the course work or experience is reasonably questioned by the Department because of discrepancies or conflicts in information, information needing further clarification, and/or missing information, the licensee seeking restoration of his license will be requested to:

- 1) provide such information as may be necessary and/or
- 2) explain such relevance or sufficiency during an oral interview; or

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- 3) appear for an oral interview before the Medical Licensing Board designed to determine the individual's current competency to practice under the Act. Upon the recommendation of the Medical Licensing Board, an applicant shall have his license restored.

- e) Placement of a license into an inactive status does not preclude the Department from proceeding with any action pursuant to Section 22 of the Act.

Section 1285.140 Granting Variances

- a) The Director may grant variances from these rules in individual cases where he finds that:
- 1) the provision from which the variance is granted is not statutorily mandated;
 - 2) no party will be injured by the granting of the variance; and
 - 3) the rule from which the variance is granted would, in the particular case, be unreasonable or unnecessarily burdensome.
- b) The Director shall notify the Medical Licensing Board of the granting of such variance, and the reasons therefor, at the next meeting of the Licensing Board.

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NOTICE OF ADOPTED REPEALER

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NOTICE OF ADOPTED REPEALER

- 1) Heading of the Part: Medical Practice Act of 1987
- 2) Code Citation: 68 Ill. Adm. Code 1280
- 3) Section Numbers: Adopted Action: Section Numbers: Adopted Action:
- | | | | |
|---------|----------|----------|----------|
| 1280.10 | Repealed | 1280.70 | Repealed |
| 1280.20 | Repealed | 1280.80 | Repealed |
| 1280.30 | Repealed | 1280.85 | Repealed |
| 1280.40 | Repealed | 1280.105 | Repealed |
| 1280.50 | Repealed | 1280.107 | Repealed |
| 1280.55 | Repealed | 1280.110 | Repealed |
| 1280.60 | Repealed | | |
- 4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 111, par. 4400-1 et seq.
- 5) Effective Date of Repealer: December 29, 1988
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this Repealer contain incorporations by reference? No
- 8) Date Filed in Agency's Principal Office: December 21, 1988
- 9) Date Notice of Proposal Published in Illinois Register: May 20, 1988,
12 Ill. Reg. 8536
- 10) Has JCAR issued a Statement of Objections to this (these) rule(s)? No
- 11) Difference(s) between proposal and final version: The Part heading of this repealer was proposed incorrectly as "Medical Practice Act." The correct Part heading is "Medical Practice Act of 1987".
- 12) Have all the changes agreed upon by the Agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- 13) Will this Repealer replace an Emergency Repealer currently in effect? No
- 14) Are there any Amendments pending on this Part? No
- 15) Summary and Purpose of Repealer: The Medical Practice Act was repealed by P.A. 85-4, effective May 22, 1987. New rules regulating the practice of medicine have been adopted under Part 1285, The Medical Practice Act of 1987. (See this issue of the Illinois Register.)
- 16) Information and questions regarding this repealed part shall be directed to:
- Department of Professional Regulation
Attention: Jean Courtney
320 West Washington, 3rd Floor
Springfield, IL 62786
217/785-0800

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TITLE 68: PROFESSIONS AND OCCUPATIONS
CHAPTER VII: DEPARTMENT OF PROFESSIONAL REGULATION
SUBCHAPTER b: PROFESSIONS AND OCCUPATIONS

PART 1280

MEDICAL PRACTICE ACT OF 1987 (REPEALED)

(Source: Repealed at 13 Ill. Reg. 513, effective December 29, 1988)

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NOTICE OF ADOPTED AMENDMENTS

1) The Heading of the Part: DRUG MANUAL2) Code Citation: 89 Ill. Adm. Code 1413) Section Numbers: Adopted Action:

141.400 Amendment
141.480 Amendment
141.560 Amendment
141.800 Amendment
141.1160 Amendment
141.1240 Amendment
141.1280 Amendment
141.1480 Amendment
141.1520 Amendment
141.1680 Amendment
141.1760 Amendment
141.2280 Amendment
141.2360 Amendment
141.2400 Amendment
141.2760 Amendment
141.2960 Amendment
141.3440 Amendment
141.3480 Amendment
141.3760 Amendment
141.3800 Amendment
141.3840 Amendment
141.4000 Amendment
141.4040 Amendment
141.4160 Amendment
141.4440 Amendment
141.4520 Amendment
141.4720 Amendment
141.4760 Amendment

4) Statutory Authority: Sections 5-5.16 and 12-13 of the Illinois Public Aid Code (Ill. Rev. Stat. 1987, Ch. 23, Pars. 5-5.16 and 12-13) and Section 5.02 of the Illinois Administrative Procedure Act (Ill. Rev. Stat. 1987, Ch. 127, Par. 1005.02)5) Effective Date of Amendments: December 28, 19886) Does this rulemaking contain an automatic repeal date?
Yes X No7) Do these amendments contain incorporations by reference? No

DEPARTMENT OF PUBLIC AID

NOTICE OF ADOPTED AMENDMENTS

- 8) Date Filed in Agency's Principal Office: December 28, 1988
- 9) Notice of Proposal Published in Illinois Register:
September 30, 1988 (12 Ill. Reg. 15483)
- 10) Has JCAR issued a Statement of Objections to these rules?
No
- 11) Differences between proposal and final version: No changes were made to the rules.
- 12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- 13) Will these Amendments replace Emergency Amendments currently in effect? Yes
- 14) Are there any amendments pending on this Part? In addition to the following proposed amendments pending on this Part, there are still emergency amendments in effect on Sections 141.560, 141.1280, 141.1680, 141.2760, 141.2960 and 141.3800 which are not affected by this set of amendments. The emergency amendments appear at 12 Ill. Reg. 20851, effective December 2, 1988, for a maximum of 150 days. The copies filed in the Administrative Code Division reflect both the emergency amendments and these amendments.

Section Numbers	Proposed Action	Illinois Register Citation
141.200	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.560	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.720	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.1280	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.1680	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.2600	Amendment	December 16, 1988 (12 Ill. Reg. 20370)

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Section Numbers	Proposed Action	Illinois Register Citation
141.2760	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.2920	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.2960	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.3280	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.3600	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.3800	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.3920	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.4200	Amendment	December 16, 1988 (12 Ill. Reg. 20370)
141.4230	New Section	December 16, 1988 (12 Ill. Reg. 20370)
141.4800	Amendment	December 16, 1988 (12 Ill. Reg. 20370)

- 15) Summary and Purpose of Amendments: With this rulemaking the Department makes several additions and deletions to various therapeutic categories of the Drug Manual. Additionally, several non-substantive changes were made to the rules (i.e., the drugs listed in Sections 141.400, 141.560, 141.800, 141.1240, 141.1680, 141.2360, 141.3840, 141.4440, 141.4520 and 141.4720 are alphabetized or revised to reflect packaging changes).

- 16) Information and questions regarding these Adopted Amendments shall be directed to:

Name: Anita Williams, Staff Attorney
Office of Counseling and Litigation

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NOTICE OF ADOPTED AMENDMENTS

Address: 100 South Grand Avenue East, Third Floor
Springfield, Illinois 62706

Telephone: (217) 782-1233

The full text of the Adopted Amendments begins on the next page:

DEPARTMENT OF PUBLIC AID

NOTICE OF ADOPTED AMENDMENTS

TITLE 89: SOCIAL SERVICES
CHAPTER I: DEPARTMENT OF PUBLIC AID
SUBCHAPTER d: MEDICAL PROGRAMS

PART 141
DRUG MANUAL

Section	
141.10	DRUG MANUAL
141.100	AGENCY NOTES
141.200	ANALGESICS/NARCOTIC ANTAGONISTS: ANTIRHEUMATIC
141.240	ANALGESICS/NARCOTIC ANTAGONISTS: GOUT
141.280	ANALGESICS/NARCOTIC ANTAGONISTS: MIGRAINE
141.320	ANALGESICS/NARCOTIC ANTAGONISTS: NARCOTIC ANTAGONISTS
141.360	ANALGESICS/NARCOTIC ANTAGONISTS: NONOPIATE AGONISTS
141.400	ANALGESICS/NARCOTIC ANTAGONISTS: OPIATE AGONISTS
141.440	ANTI-ALCOHOL
141.480	ANTICONVULSANTS
140.520	ANTIDOTES
140.560	ANTIHYPERTENSIVES
141.600	ANTIMICROBIAL: AMINOGLYCOSIDES
141.640	ANTIMICROBIAL: ANTIFUNGALS
141.680	ANTIMICROBIAL: ANTITUBERCULARS
141.720	ANTIMICROBIAL: CEPHALOSPORINS
141.760	ANTIMICROBIAL: ERYTHROMYCINS
141.800	ANTIMICROBIAL: MISCELLANEOUS
141.840	ANTIMICROBIAL: NITROFURANTOINS
141.880	ANTIMICROBIAL: PENICILLINS
141.920	ANTIMICROBIAL: SULFONAMIDES
141.960	ANTIMICROBIAL: TETRACYCLINES
141.1000	ANTIMICROBIAL: VACCINES
141.1040	BLOOD: ANTANEMIA
141.1080	BLOOD: ANTICOAGULANT
141.1120	BLOOD: HEMOSTATIC
141.1125	BLOOD: MISCELLANEOUS
141.1160	CALCIUM
141.1200	CARDIOVASCULAR: ANTIANGINAL
141.1240	CARDIOVASCULAR: ANTIARRHYTHMIC
141.1280	CARDIOVASCULAR: ANTIHYPERLIPIDEMICS
141.1320	CARDIOVASCULAR: BETA BLOCKERS
141.1360	CARDIOVASCULAR: DIGITALIS GLYCOSIDES
141.1400	CARDIOVASCULAR: HYPOTENSION/SHOCK
141.1440	CARDIOVASCULAR: VASODILATOR (Repealed)
141.1480	CONTRACEPTIVE: NONORAL
141.1500	DIAPER RASH PRODUCTS
141.1520	DIURETICS
141.1560	DOPAMINE RECEPTOR AGONISTS

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Section	
141.1600	ENZYMES
141.1640	EYE/EAR/NOSE/THROAT: ANTIBIOTICS
141.1680	EYE/EAR/NOSE/THROAT: ANTI-INFLAMMATORY
141.1720	EYE/EAR/NOSE/THROAT: ANTIVIRALS
141.1760	EYE/EAR/NOSE/THROAT: ANTIBIOTIC/ANTI-INFLAMMATORY
141.1800	EYE/EAR/NOSE/THROAT: LOCAL ANESTHETICS
141.1840	EYE/EAR/NOSE/THROAT: LUBRICANTS
141.1880	EYE/EAR/NOSE/THROAT: MICTICS/GLAUCOMA
141.1920	EYE/EAR/NOSE/THROAT: MISCELLANEOUS
141.1960	EYE/EAR/NOSE/THROAT: MISCELLANEOUS ANTI-INFECTIVES
141.2000	EYE/EAR/NOSE/THROAT: MYDRIATICS
141.2040	EYE/EAR/NOSE/THROAT: SULFONAMIDES
141.2080	EYE/EAR/NOSE/THROAT: SULFONAMIDE/ANTI-INFLAMMATORY
141.2120	EYE/EAR/NOSE/THROAT: TOPICAL DECONGESTANTS
141.2160	GASTROINTESTINAL: ANTACID/ADSORBENTS
141.2200	GASTROINTESTINAL: ANTIDIARRHEA
141.2240	GASTROINTESTINAL: ANTISPASMODICS
141.2280	GASTROINTESTINAL: DIGESTANTS
141.2320	GASTROINTESTINAL: EMETICS/ANTIEMETICS
141.2360	GASTROINTESTINAL: LAXATIVES
141.2400	GASTROINTESTINAL: MISCELLANEOUS
141.2440	GLUCOSE ELEVATORS
141.2480	HOMEOSTATIC/NUTRITIONAL: ACIDIFIERS
141.2520	HOMEOSTATIC/NUTRITIONAL: ALKALINIZERS
141.2560	HOMEOSTATIC/NUTRITIONAL: AMMONIA DETOXICANTS
141.2600	HOMEOSTATIC/NUTRITIONAL: INSULIN
141.2640	HOMEOSTATIC/NUTRITIONAL: IV FLUIDS
141.2680	HOMEOSTATIC/NUTRITIONAL: ORAL HYPOLYCEMICS
141.2720	HOMEOSTATIC/NUTRITIONAL: VITAMINS
141.2760	HORMONES/AGENTS AFFECTING MECHANISMS: ADRENAL
	CORTICAL STEROIDS
141.2800	HORMONES/AGENTS AFFECTING MECHANISMS: ANABOLIC
	HORMONES
141.2840	HORMONES/AGENTS AFFECTING MECHANISMS: ANDROGENS
141.2880	HORMONES/AGENTS AFFECTING MECHANISMS: ANTITHYROID
141.2920	HORMONES/AGENTS AFFECTING MECHANISMS:
	ESTROGENS/PROGESTINS
141.2960	HORMONES/AGENTS AFFECTING MECHANISMS: ORAL
	CONTRACEPTIVES
141.3000	HORMONES/AGENTS AFFECTING MECHANISMS: OXYTOCICS
141.3040	HORMONES/AGENTS AFFECTING MECHANISMS: PARATHYROID
141.3080	HORMONES/AGENTS AFFECTING MECHANISMS: PITUITARY
141.3120	HORMONES/AGENTS AFFECTING MECHANISMS: THYROID
141.3160	HYDROCHOLERETICS
141.3200	IMMUNOSUPPRESSIVES
141.3240	IRRIGATION SOLUTIONS

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Section	
141.3280	MEDICAL SUPPLIES
141.3320	MISCELLANEOUS
141.3360	NEUROMUSCULAR DISORDERS: MYASTHENIA GRAVIS
141.3400	ONCOLYTIC/ANTINEOPLASTIC: ALKYLATING
141.3440	ONCOLYTIC/ANTINEOPLASTIC: ANTIBIOTICS
141.3480	ONCOLYTIC/ANTINEOPLASTIC: ANTIMETABOLITES
141.3520	ONCOLYTIC/ANTINEOPLASTIC: HORMONES
141.3560	ONCOLYTIC/ANTINEOPLASTIC: MISCELLANEOUS
141.3600	OSTOMY SUPPLIES
141.3640	PARASITICIDAL: ANTHELMINTICS
141.3680	PARASITICIDAL: ANTIPROTOZOALS
141.3720	POTASSIUM
141.3760	PSYCHOTHERAPEUTIC: ANTIANXIETY
141.3800	PSYCHOTHERAPEUTIC: ANTIDEPRESSANTS
141.3840	PSYCHOTHERAPEUTIC: ANTIMANIC
141.3880	PSYCHOTHERAPEUTIC: ANTIPARKINSON
141.3920	PSYCHOTHERAPEUTIC: ANTIPSYCHOTIC
141.3960	PSYCHOTHERAPEUTIC: MISCELLANEOUS
141.4000	PSYCHOTHERAPEUTIC: SEDATIVE/HYPNOTIC
141.4040	RESPIRATORY/ALLERGIC: ANTI-ASTHMATIC
141.4080	RESPIRATORY/ALLERGIC: ANTIHISTAMINE
141.4120	RESPIRATORY STIMULANTS
141.4160	SKELETAL MUSCLE RELAXANTS
141.4200	SKIN/MUCOUS MEMBRANE: ANTIBIOTICS
141.4240	SKIN/MUCOUS MEMBRANE: ANTI-INFLAMMATORIES
141.4280	SKIN/MUCOUS MEMBRANE: ANTIPRURITICS/ANESTHETICS
141.4320	SKIN/MUCOUS MEMBRANE: ASTRINGENTS
141.4360	SKIN/MUCOUS MEMBRANE: DERMAL ULCERS
141.4400	SKIN/MUCOUS MEMBRANE: FUNGICIDES
141.4440	SKIN/MUCOUS MEMBRANE: KERATOCYTIC
141.4480	SKIN/MUCOUS MEMBRANE: LOCAL ANTI-INFECTIVES
141.4520	SKIN/MUCOUS MEMBRANE: MISCELLANEOUS
141.4560	SKIN/MUCOUS MEMBRANE: SCABICIDES/PEDICULOCIDES
141.4600	TESTING SUPPLIES
141.4640	UNCLASSIFIED
141.4680	URINARY ANTISPASMODICS
141.4720	VAGINAL: ANTI-INFECTIVES
141.4760	VAGINAL: MISCELLANEOUS
141.4800	VAGINAL: MISCELLANEOUS

AUTHORITY: Implementing and authorized by Sections 5-5 and 12-13 of the Illinois Public Aid Code (Ill. Rev. Stat. 1987, Ch. 23, pars. 5-5 and 12-13).

SOURCE: Emergency amendment at 5 Ill. Reg. 13555, effective December 1, 1981, for a maximum of 150 days; amended at 6 Ill. Reg. 9991, effective August 1, 1982; emergency amendment at 6

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111. Reg. 10042, effective August 1, 1982, for a maximum of 150 days; emergency amendment at 7 Ill. Reg. 1178, effective February 1, 1983, for a maximum of 150 days; amended and codified as 89 Ill. Adm. Code 140.72 at 7 Ill. Reg. 17358, effective December 21, 1983; emergency amendment at 8 Ill. Reg. 580, effective January 1, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 13779, effective July 24, 1984; recodified from 89 Ill. Adm. Code 140.72 and 89 Ill. Adm. Code 140.73 at 8 Ill. Reg. 16354; amended at 9 Ill. Reg. 3335, effective March 1, 1985; amended at 9 Ill. Reg. 19018, effective December 1, 1985; emergency amendment at 10 Ill. Reg. 8153, effective May 1, 1986, for a maximum of 150 days; amended at 10 Ill. Reg. 17681, effective September 28, 1986; emergency amendment at 10 Ill. Reg. 20828, effective December 1, 1986, for a maximum of 150 days; recodified from 89 Ill. Adm. Code 140.71 at 11 Ill. Reg. 4302; amended at 11 Ill. Reg. 5235, effective March 12, 1987; emergency amendment at 11 Ill. Reg. 5330, effective March 13, 1987 for a maximum of 150 days; amended at 11 Ill. Reg. 11113, effective June 10, 1987; emergency amendment at 11 Ill. Reg. 11361, effective June 15, 1987, for a maximum of 150 days; amended at 11 Ill. Reg. 16726, effective September 30, 1987; emergency amendment of 11 Ill. Reg. 20236, effective December 1, 1987, for a maximum of 150 days; amended at 12 Ill. Reg. 7358, effective April 12, 1988; emergency amendment at 12 Ill. Reg. 10197, effective June 1, 1988, for a maximum of 150 days; amended at 12 Ill. Reg. 14219, effective August 30, 1988; emergency amendment at 12 Ill. Reg. 15667, effective September 15, 1988, for a maximum of 150 days; emergency amendment at 12 Ill. Reg. 20851, effective December 2, 1988, for a maximum of 150 days; amended at 13 Ill. Reg. 516, effective December 28, 1988.

AGENCY NOTE: The text of Sections 141.560, 141.1280, 141.1680, 141.2760, 141.2960 and 141.3800 which appear below do not include the emergency amendments adopted at 12 Ill. Reg. 20851, effective December 2, 1988, for a maximum of 150 days. The copies filed with the Administrative Code Division reflect both the emergency amendments and these amendments.

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SECTION 141.400 ANALGESICS/NARCOTIC ANTAGONISTS: OPIATE AGONISTS

Item Number	Drug Name and Strength
50006005	ACETAMINOPHEN 120MG/5ML; CODEINE PHOSPHATE 12MG/5ML ELIX/SUSP
50006001	ACETAMINOPHEN 325MG; CODEINE PHOSPHATE 7.5MG CAP/TAB
50006002	ACETAMINOPHEN 325MG; CODEINE PHOSPHATE 15.0MG CAP/TAB
50006003	ACETAMINOPHEN 325MG; CODEINE PHOSPHATE 30.0MG CAP/TAB
50006004	ACETAMINOPHEN 325MG; CODEINE PHOSPHATE 60.0MG CAP/TAB
50005002	ASPIRIN 325MG; CODEINE PHOSPHATE 15MG CAP/TAB
50005003	ASPIRIN 325MG; CODEINE PHOSPHATE 30MG CAP/TAB
50005004	ASPIRIN 325MG; CODEINE PHOSPHATE 60MG CAP/TAB
50000046	ASPIRIN 325MG; OXYCODONE HCL 2.25MG; OXYCODONE TEREPHTHALATE 0.19 MG TAB
50000048	ASPIRIN 325MG; OXYCODONE HCL 4.5 MG; OXYCODONE TEREPHTHALATE 0.38MG TAB
50001376	CODEINE PHOSPHATE/SULFATE TAB/HT 15MG
50001384	CODEINE PHOSPHATE/SULFATE TAB/HT 30MG
50001392	CODEINE PHOSPHATE/SULFATE TAB/HT 60MG
50003058	HYDROMORPHONE TABLET/4CAP 1MG
50003060	HYDROMORPHONE TABLET/4CAP 2MG
50003062	HYDROMORPHONE TABLET/4CAP 3MG
50003064	HYDROMORPHONE TABLET/4CAP 4MG
00041910	LEVO-DROMORAN INJECTION 2MG/ML 1ML AMP
00041911	LEVO-DROMORAN INJECTION 2MG/ML-10ML VIAL
00040044	LEVO-DROMORAN TABLET 2MG
50003493	MEPERIDINE HCL INJECTION 25MG AMP
50003492	MEPERIDINE HCL INJECTION 25MG SYRINGE
50003494	MEPERIDINE HCL INJECTION 50MG AMP
50003495	MEPERIDINE HCL INJECTION 50MG SYRINGE
50003499	MEPERIDINE HCL INJECTION 50MG/ML 30ML VIAL
50003496	MEPERIDINE HCL INJECTION 75MG AMP
50003500	MEPERIDINE HCL INJECTION 75MG SYRINGE
50004850	MEPERIDINE HCL INJECTION-100MG AMP (1ML)
50003497	MEPERIDINE HCL INJECTION-100MG AMP (2ML)
50004851	MEPERIDINE HCL INJECTION-100MG SYRINGE
50004852	MEPERIDINE HCL INJECTION-100MG/ML 20ML VIAL
50003061	METHADONE HCL INJECTION 10MG/ML 1ML
50003063	METHADONE HCL INJECTION 10MG/ML 20ML
50003065	METHADONE HCL ORAL SOLUTION 5MG/5ML
50003067	METHADONE HCL ORAL SOLUTION 10MG/5ML
50003069	METHADONE HCL TABLET 5MG

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SECTION 141.400 ANALGESICS/NARCOTIC ANTAGONISTS: OPIATE AGONISTS (Cont'd.)

Item Number	Drug Name and Strength
50003071	METHADONE HCL TABLET 10MG
50006005	ACETAMINOPHEN-120MG/5ML-7-CODEINE-PHOSPHATE 12MG/5ML-ELIX/SUSP
50006001	ACETAMINOPHEN-325MG-7-CODEINE-PHOSPHATE-7.5MG-CAP/TAB
50006002	ACETAMINOPHEN-325MG-7-CODEINE-PHOSPHATE 15-0MG-CAP/TAB
50006003	ACETAMINOPHEN-325MG-7-CODEINE-PHOSPHATE 30-0MG-CAP/TAB
50006004	ACETAMINOPHEN-325MG-7-CODEINE-PHOSPHATE 60-0MG-CAP/TAB
40001010	ACETAMINOPHEN-325MG-7-OXYCODONE-HCL-5MG-TAB/ET
50005224	ACETAMINOPHEN-325MG/5ML-7-OXYCODONE-HCL 5MG/5ML-ORAL-SOLUTION
50005002	ASPIRIN-325MG-7-CODEINE-PHOSPHATE-15MG-CAP/TAB
50005003	ASPIRIN-325MG-7-CODEINE-PHOSPHATE-30MG-CAP/TAB
50005004	ASPIRIN-325MG-7-CODEINE-PHOSPHATE-60MG-CAP/TAB
50000046	ASPIRIN-325MG-7-OXYCODONE-HCL-2-25MG-7 OXYCODONE-TEREPHTHALATE-0-19-MG-TAB
50000048	ASPIRIN-325MG-7-OXYCODONE-HCL-4-5-MG-7 OXYCODONE-TEREPHTHALATE-0-38MG-TAB
50001376	CODEINE-PHOSPHATE/SULFATE-TAB/HF-15MG
50001384	CODEINE-PHOSPHATE/SULFATE-TAB/HF-30MG
50001392	CODEINE-PHOSPHATE/SULFATE-TAB/HF-60MG
50003058	HYDROMORPHONE-TAB/CAP-1MG
50003060	HYDROMORPHONE-TAB/CAP-2MG
50003062	HYDROMORPHONE-TAB/CAP-3MG
50003064	HYDROMORPHONE-TAB/CAP-4MG
00041910	LEVOR-BROMORAN-INJECTION-2MG/ML-1ML-AMP
00041911	LEVOR-BROMORAN-INJECTION-2MG/ML-10ML-VIAL
00040044	MEPERIDINE-HCL-INJECTION-25MG-AMP
50004093	MEPERIDINE-HCL-INJECTION-25MG-SYRINGE
50004092	MEPERIDINE-HCL-INJECTION-50MG-AMP
50004094	MEPERIDINE-HCL-INJECTION-50MG-SYRINGE
50004095	MEPERIDINE-HCL-INJECTION-50MG/ML-30ML-VIAL
50004099	MEPERIDINE-HCL-INJECTION-75MG-AMP
50004096	MEPERIDINE-HCL-INJECTION-75MG-SYRINGE
50004097	MEPERIDINE-HCL-INJECTION-100MG-AMP-1ML-7
50004098	MEPERIDINE-HCL-INJECTION-100MG-AMP-12ML-7
50004099	MEPERIDINE-HCL-INJECTION-100MG-SYRINGE
50004052	METHADONE-HCL-INJECTION-10MG/ML-20ML-VIAL
50003061	METHADONE-HCL-INJECTION-10MG/ML-1ML

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NOTICE OF ADOPTED AMENDMENTS

SECTION 141.400 ANALGESICS/NARCOTIC ANTAGONISTS: OPIATE AGONISTS (Cont'd.)

Item Number	Drug Name and Strength
50003063	METHADONE-HCL-INJECTION-10MG/ML-20ML
50003065	METHADONE-HCL-ORAL-SOLUTION-5MG/5ML
50003067	METHADONE-HCL-ORAL-SOLUTION-10MG/5ML
50003069	METHADONE-HCL-TAB/ET-5MG
50003071	METHADONE-HCL-TAB/ET-10MG
50003899	MORPHINE SULFATE CONTROLLED RELEASE TABLET 30MG
50003889	MORPHINE SULFATE CONTROLLED RELEASE TABLET 60MG
50003354	MORPHINE SULFATE INJECTION 2MG/ML 1 ML SYRINGE
50003352	MORPHINE SULFATE INJECTION 4MG/ML 1 ML SYRINGE
50003523	MORPHINE SULFATE INJECTION 8MG/ML 1 ML AMP
50004858	MORPHINE SULFATE INJECTION 8MG/ML 1 ML SYRINGE
50003524	MORPHINE SULFATE INJECTION 10MG/ML 1 ML AMP
50004859	MORPHINE SULFATE INJECTION 10MG/ML 1 ML SYRINGE
50003525	MORPHINE SULFATE INJECTION 15MG/ML 1 ML AMP
50004860	MORPHINE SULFATE INJECTION 15MG/ML 1 ML SYRINGE
50004861	MORPHINE SULFATE INJECTION 15MG/ML 20ML
50007016	MORPHINE SULFATE ORAL SOLUTION 10MG/5ML 120ML
50002006	MORPHINE SULFATE ORAL SOLUTION 10MG/5ML 500ML
50005590	MORPHINE SULFATE ORAL SOLUTION 20MG/ML 30ML
50005592	MORPHINE SULFATE ORAL SOLUTION 20MG/ML 120ML
50002016	MORPHINE SULFATE ORAL SOLUTION 20MG/5ML
50007018	MORPHINE SULFATE ORAL SOLUTION 20MG/5ML 120ML
50002717	MORPHINE SULFATE ORAL SOLUTION 100MG/5ML
50003875	MORPHINE SULFATE TABLET 10MG
50003883	MORPHINE SULFATE TABLET 15MG
50003885	MORPHINE SULFATE TABLET 30MG
50002071	OXYCODONE HCL ORAL SOLUTION 5MG/5ML
50002073	OXYCODONE HCL TABLET 5MG
50004316	PAREGORIC LIQUID

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

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SECTION 141.480

ANTICONVULSANTS

Item Number	Drug Name and Strength
** 50005149	CARBAMAZEPINE SUSPENSION 100MG/5ML
** 50002141	CARBAMAZEPINE TABLET CHEWABLE 100MG
** 50002145	CARBAMAZEPINE TABLET 200MG
** 00710537	CELONTIN CAPSULE 150MG
** 00710525	CELONTIN CAPSULE 300MG
** 00746212	DEPAKOTE ENTERIC COATED TABLET 125MG
** 00746214	DEPAKOTE ENTERIC COATED TABLET 250MG
** 00746215	DEPAKOTE ENTERIC COATED TABLET 500MG
** 50000701	DIAZEPAM INJECTION 5MG/ML 2ML AMP
** 50000703	DIAZEPAM INJECTION 5MG/ML 2ML SYRINGE
** 50000705	DIAZEPAM INJECTION 5MG/ML 10ML VIAL
** 00040061	KLONOPIN TABLET 0.5MG
** 00040062	KLONOPIN TABLET 1.0MG
** 00040063	KLONOPIN TABLET 2.0MG
** 00780052	MESANTOIN TABLET 100MG
** 50004649	PHENOBARBITAL DROP 16MG/ML
** 50004650	PHENOBARBITAL ELIXIR 20MG/5ML
** 50004618	PHENOBARBITAL TABLET 15MG
** 50004626	PHENOBARBITAL TABLET 30MG
** 50004634	PHENOBARBITAL TABLET 60MG
** 50004642	PHENOBARBITAL TABLET 100MG
** 50000098	PHENYTOIN SODIUM INJECTION 100MG/2ML
** 50004099	PHENYTOIN SODIUM INJECTION 250MG/5ML
** 50002372	PHENYTOIN SODIUM EXTENDED CAPSULE 30MG
** 50002380	PHENYTOIN SODIUM EXTENDED CAPSULE 100MG
** 50002401	PHENYTOIN SODIUM PROMPT CAPSULE 100MG
** 50002381	PHENYTOIN SUSPENSION 30MG/5ML
** 50002382	PHENYTOIN SUSPENSION 125MG/5ML
** 50002364	PHENYTOIN TABLET CHEWABLE 50MG
** 50004036	PRIMIDONE SUSPENSION 250MG/5ML
** 50004032	PRIMIDONE TABLET 50MG
** 50004034	PRIMIDONE TABLET 250MG
** 50002180	VALPROATE SODIUM SYRUP 250MG/5ML
** 50002182	VALPROIC ACID CAPSULE 250MG
** 00710237	ZARONTIN CAPSULE 250MG
** 00711418	ZARONTIN SYRUP 250MG/5ML

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

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SECTION 141.560

ANTIHYPERTENSIVES

Item Number	Drug Name and Strength
** 00030450	CAPOTEN TABLET 12.5MG
** 00030452	CAPOTEN TABLET 25MG
** 00030562	CAPOTEN TABLET 50MG
** 00030485	CAPOTEN TABLET 100MG
** 00030338	CAPOZIDE TABLET 25/15
** 00030349	CAPOZIDE TABLET 25/25
** 00030384	CAPOZIDE TABLET 50/15
** 00030390	CAPOZIDE TABLET 50/25
** 05970031	CATAPRES-TTS-1 PATCHES
** 05970032	CATAPRES-TTS-2 PATCHES
** 05970033	CATAPRES-TTS-3 PATCHES
** 50000941	CLONIDINE HCL TABLET 0.1MG
** 50000943	CLONIDINE HCL TABLET 0.2MG
** 50000945	CLONIDINE HCL TABLET 0.3MG
** 00030283	CORZIDE TABLET 40MG; 5MG
** 00030284	CORZIDE TABLET 80MG; 5MG
** 00830047	ESMIL TABLET
** 50001614	GUANETHIDINE MONOSULFATE TABLET 10MG
** 50001616	GUANETHIDINE MONOSULFATE TABLET 25MG
** 50005686	HYDRALAZINE HCL INJECTION 20MG/ML AMP
** 50003074	HYDRALAZINE HCL TABLET 10MG
** 50003076	HYDRALAZINE HCL TABLET 25MG
** 50003078	HYDRALAZINE HCL TABLET 50MG
** 50003066	HYDRALAZINE HCL 25MG; HYDROCHLOROTHIAZIDE 15MG TABLET
** 50003068	HYDRALAZINE HCL 25MG; HYDROCHLOROTHIAZIDE 25MG CAP/ABSULE
** 50003070	HYDRALAZINE HCL 50MG; HYDROCHLOROTHIAZIDE 50MG CAP/ABSULE
** 50003072	HYDRALAZINE HCL 100MG; HYDROCHLOROTHIAZIDE 50MG CAP/ABSULE
** 50002981	HYDROCHLOROTHIAZIDE 15MG; METHYLDOPA 250MG TABLET
** 50002341	HYDROCHLOROTHIAZIDE 25MG; LABETALOL HCL 100MG TABLET
** 50002343	HYDROCHLOROTHIAZIDE 25MG; LABETALOL HCL 200MG TABLET
** 50002345	HYDROCHLOROTHIAZIDE 25MG; LABETALOL HCL 300MG TABLET
** 50002983	HYDROCHLOROTHIAZIDE 25MG; METHYLDOPA 250MG TABLET
** 50005520	HYDROCHLOROTHIAZIDE 25MG; PROPRANOLOL HCL 40MG TABLET

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SECTION 141.800 ANTIMICROBIAL: MISCELLANEOUS (Cont'd.)

Item Number	Drug Name and Strength
** 50001797	CIPROFLOXACIN TABLET 750MG
** 50001416	CLINDAMYCIN HCL CAPSULE 75MG
** 50001417	CLINDAMYCIN HCL CAPSULE 150MG
** 50005804	CLINDAMYCIN HCL CAPSULE 300MG
** 50001418	CLINDAMYCIN PALMITATE GRANULES 75MG/5ML 100ML
** 50001420	CLINDAMYCIN PHOSPHATE INJECTION 300MG/2ML
	2ML AMP/VIAL
** 50001421	CLINDAMYCIN PHOSPHATE INJECTION 600MG/4ML
	4ML AMP/VIAL
** 50001419	CLINDAMYCIN PHOSPHATE INJECTION 900MG/6ML
	6ML AMP/VIAL
** 50001423	COLISTIMETHATE SODIUM INJECTION 150MG VIAL
** 50001424	COLISTIN SULFATE ORAL SUSPENSION 25MG/5ML
	60ML
** 50001219	DAPSONE TABLET 25MG
** 50001223	DAPSONE TABLET 100MG
** 50002013	ERYTHROMYCIN ETHYLSUCCINATE 200MG/5ML;
	SULFISOXAZOLE ACETYL 600MG/5ML SUSP 100ML
** 50002015	ERYTHROMYCIN ETHYLSUCCINATE 200MG/5ML;
	SULFISOXAZOLE ACETYL 600MG/5ML SUSP 150ML
** 50002017	ERYTHROMYCIN ETHYLSUCCINATE 200MG/5ML;
	SULFISOXAZOLE ACETYL 600MG/5ML SUSP 200ML
** 50001425	FURAZOLIDONE LIQUID 50MG/15ML
** 50001426	FURAZOLIDONE TABLET 100MG
** 50001427	HYDROXYSTILBAMIDINE ISOETHIONATE INJECTION
	225MG/20ML AMP
** 50002331	IMIPENEM 250MG; CILASTATIN SODIUM 250MG
	INJECTION INFUSION VIAL
** 50002333	IMIPENEM 250MG; CILASTATIN SODIUM 250MG
	INJECTION VIAL
** 50002335	IMIPENEM 500MG; CILASTATIN SODIUM 500MG
	INJECTION INFUSION VIAL
** 50002339	IMIPENEM 500MG; CILASTATIN SODIUM 500MG
	INJECTION VIAL
** 00280108	LAMPRENE CAPSULE 50MG
** 00280109	LAMPRENE CAPSULE 100MG
** 50001428	LINCOMYCIN CAPSULE 250MG
** 50001429	LINCOMYCIN CAPSULE 500MG
** 50001431	LINCOMYCIN INJECTION 300MG/ML 10ML-VIAL
	2ML SYRINGE
** 50001431-2	LINCOMYCIN INJECTION 300MG/ML 2ML-SYRINGE
	2ML VIAL
** 50001432-3	LINCOMYCIN INJECTION 300MG/ML 2ML-VIAL
	10ML VIAL

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SECTION 141.800 ANTIMICROBIAL: MISCELLANEOUS (Cont'd.)

Item Number	Drug Name and Strength
** 50001018	METHENAMINE HIPPURATE TABLET 1GM
** 50003780	METHENAMINE MANDELATE GRANULES 0.5GM
** 50003781	METHENAMINE MANDELATE GRANULES 1.0GM
** 50003778	METHENAMINE MANDELATE SUSP 50MG/ML
** 50003779	METHENAMINE MANDELATE SUSP 100MG/ML
** 50003735	METHENAMINE MANDELATE TAB 0.25GM
** 50003743	METHENAMINE MANDELATE TAB 0.50GM
** 50003751	METHENAMINE MANDELATE TAB 1.00GM
** 50001435	METHYLENE BLUE TABLET 65MG
** 50001436	NALIDIXIC ACID ORAL SUSPENSION 250MG/5ML
** 50001437	NALIDIXIC ACID TABLET 250MG
** 50001438	NALIDIXIC ACID TABLET 500MG
** 50001439	NALIDIXIC ACID TABLET 1GM
** 50005523	NORFLOXACIN TABLET 400MG
** 50001570	NOVOBIOICIN CAPSULE 250MG
** 00747030	PBFA00B-SUSPENSION---100MB
** 00747030	PBFA00B-SUSPENSION---150MB
** 00747030	PBFA00B-SUSPENSION---200MB
** 50004951	PENAMIDINE ISETHIONATE INJECTION 300MG/VIAL
** 50004028	PHENAZOPYRIDINE HCL TABLET 100MG
** 50004030	PHENAZOPYRIDINE HCL TABLET 200MG
** 50001571	POLYMYXIN B SULFATE INJECTION 500,000 UNITS/VIAL
** 50001572	SPECTINOMYCIN INJECTION 2GM VIAL
** 50001573	SPECTINOMYCIN INJECTION 4GM VIAL
** 50001218	SULFAMETHOXAZOLE 200MG/5ML; TRIMETHOPRIM 40MG/5ML SUSPENSION
** 50001220	SULFAMETHOXAZOLE 400MG; TRIMETHOPRIM 80MG TABLET
** 50001221	SULFAMETHOXAZOLE 800MG; TRIMETHOPRIM 160MG TABLET
** 50001217	SULFAMETHOXAZOLE 400MG/5ML; TRIMETHOPRIM 80MG/5ML INJECTION 5ML AMP/VIAL
** 50001574	SULFOXONE SODIUM TABLET ENTERIC COATED 165MG
** 50001224	TRIMETHOPRIM TABLET 100MG
** 50001575	TRIMETHOPRIM TABLET 200MG
** 50006866	TROLEANDOMYCIN CAPSULE 250MG
** 50006874	TROLEANDOMYCIN SUSPENSION 125MG/5ML
** 50000901	VANCOMYCIN HCL CAPSULE 125MG
** 50000903	VANCOMYCIN HCL CAPSULE 250MG
** 50001576	VANCOMYCIN HCL INJECTION 500MG
** 50001579	VANCOMYCIN HCL INJECTION 1GM VIAL
** 50002523	VANCOMYCIN HCL ORAL SOLUTION 1GM/20ML
** 50001577	VANCOMYCIN HCL ORAL SOLUTION 10GM/115ML

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NOTICE OF ADOPTED AMENDMENTS

SECTION 141.800 ANTIMICROBIAL: MISCELLANEOUS (Cont'd.)

Item Number	Drug Name and Strength
** 50001578	VIDARABINE INJECTION 200MG/5ML 10ML VIAL
* 50009000	ZIDOVUDINE CAPSULE 100MG
(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)	
SECTION 141.1160	CALCIUM
Item Number	Drug Name and Strength
50001075	CALCIUM CARBONATE TABLET 650MG
50000058	CALCIUM CHLORIDE INJ 10% 10ML AMP
40004000	CALCIUM CITRATE TABLET 950MG
50002386	CALCIUM CITRATE TABLET 1188MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.1240 CARDIOVASCULAR: ANTIARRHYTHMIC

Item Number	Drug Name and Strength
** 00345470	CARDIOQUIN TABLET 275MG
** 50003901	DISOPYRAMIDE PHOSPHATE CAPSULE 100MG
** 50003903	DISOPYRAMIDE PHOSPHATE CAPSULE 150MG
** 50003905	DISOPYRAMIDE PHOSPHATE CONTROLLED RELEASE CAPSULE 100MG
** 50003907	DISOPYRAMIDE PHOSPHATE CONTROLLED RELEASE CAPSULE 150MG
** 00870732	ENKAID CAPSULE 25MG
** 00870734	ENKAID CAPSULE 35MG
** 00870735	ENKAID CAPSULE 50MG
** 05970066	MEXITIL CAPSULE 150MG
** 05970067	MEXITIL CAPSULE 200MG
** 05970068	MEXITIL CAPSULE 250MG
G 50004048	PROCAINAMIDE HCL INJ 100MG/ML 10ML VIAL
G 50004050	PROCAINAMIDE HCL INJ 500MG/ML 2ML VIAL
** 50004043	PROCAINAMIDE HCL SR TABLET 250MG
** 50004047	PROCAINAMIDE HCL SR TABLET 500MG
** 50004049	PROCAINAMIDE HCL SR TABLET 750MG
** 50004051	PROCAINAMIDE HCL SR TABLET 1000MG
** 50004042	PROCAINAMIDE HCL TAB/CAP 250MG
** 50004044	PROCAINAMIDE HCL TAB/CAP 375MG

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SECTION 141.1240 CARDIOVASCULAR: ANTIARRHYTHMIC (Cont'd.)

Item Number	Drug Name and Strength
** 50004046	PROCAINAMIDE HCL TAB/CAP 500MG
** 50004066	QUINIDINE GLUCONATE TAB/CAP 324/330MG TD
** 50000985	QUINIDINE SULFATE TABLET CR 300MG
** 50005141	QUINIDINE SULFATE TABLET 100MG
** 50005142	QUINIDINE SULFATE TABLET 200MG
** 50005143	QUINIDINE SULFATE TABLET 300MG
** 00890307	TAMOCOR TABLET 100MG
** 00060707	TONOCARD TABLET 400MG
** 00060709	TONOCARD TABLET 600MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.1280 CARDIOVASCULAR: ANTIHYPERLIPIDEMICS

Item Number	Drug Name and Strength
00481230	CHOLOXIN TABLET 1MG
00481250	CHOLOXIN TABLET 2MG
00481270	CHOLOXIN TABLET 4MG
00481290	CHOLOXIN TABLET 6MG
50002851	CLOFIBRATE CAPSULE 500MG
00090260	COLESTID POWDER - BOTTLE 500GM
00091260	COLESTID POWDER - PACKETS
** 00710669	LOPID CAPSULE 300MG
** 00710737	LOPID TABLET 600MG
** 01830051	LORELCO TABLET 250MG
** 00710737	MEVACOR TABLET 20MG
** 00871580	QUESTRAN POWDER PACKET 4GM
** 00870580	QUESTRAN POWDER 378GM CAN

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.1480 CONTRACEPTIVE: NONORAL

Item Number	Drug Name and Strength
** 01110022	BECAUSE CONTRACEPTOR 10GM APPLICATION
** 00623252	CONCEPTROL JELLY 2.5GM APPLICATION 6'S
** 00623352	CONCEPTROL JELLY 2.5GM APPLICATION 10'S
** 00625252	CONCEPTROL JELLY -TUBE 70GM
** 00624252	CONCEPTROL CREAM-TUBE 70GM

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SECTION 141.1480 CONTRACEPTIVE: NONORAL (Cont'd.)

Item Number	Drug Name and Strength
** 50002900	CONDOMS
** 60009950	CONTRACEPTIVE FOAM CRM JELLY NOT OTHERWISE LISTED
** 00625130	DELPHEN FOAM KIT 20GM
** 00624130	DELPHEN FOAM REFILL 20GM
** 00623130	DELPHEN FOAM REFILL 50GM
** 60009951	DIAPHRAMS - CONTRACEPTIVE
** 0111031	EMKO PRE-FIL KIT 30GM
** 01110031	EMKO PRE-FIL REFILL 60GM
** 0111021	EMKO VAGINAL FOAM KIT 40GM
** 0111021	EMKO VAGINAL FOAM REFILL 40GM
** 01110021	EMKO VAGINAL FOAM REFILL 90GM
** 11962003	ENCARE OVAL VAGINAL CONTRACEPTIVE 12'S
** 00623180	GYNOL II JELLY 81GM C APPLICATOR
** 00623182	GYNOL II JELLY 126GM REFILL
** 00623280	INTERCEPT CONTRACEPTIVE SUPPOSITORIES 12'S C APP
** 00623282	INTERCEPT CONTRACEPTIVE SUPPOSITORIES 12'S REFILL
** 00270020	KOROMEX CREAM C APPLICATOR 115GM
** 00270030	KOROMEX FOAM C APPLICATOR 40GM
** 00270060	KOROMEX GEL C APPLICATOR 126GM
** 00270015	KOROMEX JELLY C APPLICATOR 126GM
** 00625190	ORTHO-CREME CONTRACEPTIVE CR 115GM REFILL
** 00623190	ORTHO-CREME CONTRACEPTIVE CR 70GM C APP
** 00624190	ORTHO-CREME CONTRACEPTIVE CR 70GM REFILL
** 00625170	ORTHO-GYNOL CONTRACEPTIVE JE 126GM REFILL
** 00623170	ORTHO-GYNOL CONTRACEPTIVE JE 81 GM C APP
** 00624170	ORTHO-GYNOL CONTRACEPTIVE JE 81GM REFILL
** 05476538	PARAGUARD COPPER IUD MODEL T 380A
** 17314423	MAY ONLY BE BILLED BY A PHYSICIAN PROGESTASERT INTRAUTERINE CONTRACEPTIVE MAY ONLY BE BILLED BY A PHYSICIAN
** 02340003	RAMSES VAGINAL JELLY 90GM
** 02340002	RAMSES VAGINAL JELLY REFILL 90GM
** 02340005	RAMSES VAGINAL JELLY REFILL 150GM
** 05733301	SEMICID VAGINAL SUPPOSITORY 10'S
** 05733401	SEMICID VAGINAL SUPPOSITORY 20'S
** 03964010	SHUR-SEAL GEL 24 PACK
** 01760300	TODAY CONTRACEPTIVE SPONGES 3'S
** 01760600	TODAY CONTRACEPTIVE SPONGES 6'S

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SECTION 141.1480 CONTRACEPTIVE: NONORAL (Cont'd.)

Item Number	Drug Name and Strength
** 01761200	TODAY CONTRACEPTIVE SPONGES 12'S (Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)
SECTION 141.1520	DIURETICS
Item Number	Drug Name and Strength
** 50002400	ACETAZOLAMIDE SUSTAINED RELEASE CAPSULE 500MG
** 50002404	ACETAZOLAMIDE TABLET 125MG
** 50002406	ACETAZOLAMIDE TABLET 250MG
** 50002039	AMILORIDE HCL 5MG; HYDROCHLOROTHIAZIDE 50MG TABLET
** 50005510	CHLOROTHIAZIDE SUSPENSION 250MG/5ML
** 50005250	CHLOROTHIAZIDE TABLET 250MG
** 04840806	DYRENIUM CAPSULE 50MG
** 04840807	DYRENIUM CAPSULE 100MG
** 50000460	FUROSEMIDE INJECTION 10MG/ML 2ML AMP
** 50000464	FUROSEMIDE INJECTION 10MG/ML 4ML AMP
** 50000468	FUROSEMIDE INJECTION 10MG/ML 10ML AMP
** 50000470	FUROSEMIDE ORAL SOLUTION 10MG/ML 60ML
** 50000472	FUROSEMIDE ORAL SOLUTION 10MG/ML 120ML
** 50000474	FUROSEMIDE TABLET 20MG
** 50000476	FUROSEMIDE TABLET 40MG
** 50000478	FUROSEMIDE TABLET 80MG
** 50003158	HYDROCHLOROTHIAZIDE TABLET 25MG
** 50003166	HYDROCHLOROTHIAZIDE TABLET 50MG
** 50003168	HYDROCHLOROTHIAZIDE TABLET 100MG
** 50007363	HYDROCHLOROTHIAZIDE 25MG; SPIRONOLATONE 25MG TABLET
** 50007167	HYDROCHLOROTHIAZIDE 25MG; TRIAMTERENE 37.5MG TABLET
** 50000530	HYDROCHLOROTHIAZIDE 25MG; TRIAMTERENE 50MG CAPSULE
** 50007169	HYDROCHLOROTHIAZIDE 50MG; TRIAMTERENE 75MG TABLET
** 50003588	METHYLCLOTHIAZIDE TABLET 2.5MG
** 50003589	METHYLCLOTHIAZIDE TABLET 5.0MG
** 50005596	METOLAZONE TABLET 0.5MG
** 50005693	METOLAZONE TABLET 2.5MG
** 50005694	METOLAZONE TABLET 5MG
** 50005695	METOLAZONE TABLET 10MG

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SECTION 141.2280 GASTROINTESTINAL: DIGESTANTS (Cont'd.)

Item Number	Drug Name and Strength
00450341	PANCREASE MT 4 CAPSULE
00450342	PANCREASE MT 10 CAPSULE
00450343	PANCREASE MT 16 CAPSULE
50005805	PANCREATIN CAPSULE
50005806	PANCREATIN GRANULES 120GM
50005808	PANCREATIN TABLET
50005809	PANCREATIN TABLET TRIPLE STRENGTH
50005807	PANCREATIN TABLET 325MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.2360 GASTROINTESTINAL: LAXATIVES

Item Number	Drug Name and Strength
50000924	BISACODYL SUPPOSITORY 10MG
50000920	BISACODYL TABLET 5MG
50000922	BISACODYL TABLET 10MG
50002324	CASANTHRANOL; DUCUSATE SODIUM CAPSULE 30MG; 100MG
50002326	CASANTHRANOL; DUCUSATE SODIUM SYRUP 10MG/5ML; 20MG/5ML
00680418	CITRUCEL FIBER POWDER 480GM
00681418	CITRUCEL FIBER POWDER 900GM
00685418	CITRUCEL PACKET
00211001	COLYTE POWDER FOR RECONSTITUTION 1 GAL
00210001	COLYTE POWDER FOR RECONSTITUTION 2 LITER
00214401	COLYTE POWDER FOR RECONSTITUTION 4 LITER
50002291	DUCUSATE SODIUM CAPSULE 50MG
50002305	DUCUSATE SODIUM CAPSULE 100MG
50002309	DUCUSATE SODIUM CAPSULE 240-250MG
50002321	DUCUSATE SODIUM SYRUP 20MG/5ML
50008582	GLYCERIN SUPPOSITORY 12'S
50008762	MILK OF MAGNESIA TABLET 100'S
50008760	MILK OF MAGNESIA 360ML
50008761	MILK OF MAGNESIA 480ML
50008030	PSYLLIUM POWDER 420GM
50008040	PSYLLIUM POWDER 630GM
50008045	PSYLLIUM POWDER INSTANT MIX PACKET

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

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SECTION 141.2400 GASTROINTESTINAL: MISCELLANEOUS

Item Number	Drug Name and Strength
00023144	AXID CAPSULE 150MG
00023145	AXID CAPSULE 300MG
00881712	CARAFATE TABLET 1GM
00327720	CHENIX TABLET 250MG
50004242	METOCLOPRAMIDE HCL SYRUP 5MG/5ML
50004924	METOCLOPRAMIDE HCL TABLET 5MG
50004916	METOCLOPRAMIDE HCL TABLET 10MG
00063539	PEPCID INJECTION 20MG/2ML ONE DOSE VIAL
00063541	PEPCID INJECTION 20MG/2ML TWO DOSE VIAL
00063538	PEPCID SUSPENSION 40MG/5ML
00060963	PEPCID TABLET 20MG
00060964	PEPCID TABLET 40MG
00321924	ROWASA RECTAL SUSPENSION ENEMA 4GM/60ML
01085029	TAGAMET INJECTION 300MG IN SODIUM CHLORIDE 0.9% 50ML PLASTIC CONTAINERS
01085017	TAGAMET INJECTION 300MG/2ML 2ML VIAL
01085022	TAGAMET INJECTION 300MG/2ML 8ML VIAL
01085014	TAGAMET ORAL LIQUID 300MG/5ML
01085012	TAGAMET TABLET 200MG
01085013	TAGAMET TABLET 300MG
01085026	TAGAMET TABLET 400MG
01085027	TAGAMET TABLET 800MG
01730362	ZANTAC INJECTION 25MG/ML 2ML VIAL
01730363	ZANTAC INJECTION 25MG/ML 10ML VIAL
01730344	ZANTAC TABLET 150MG
01730393	ZANTAC TABLET 300MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.2760 HORMONES/AGENTS AFFECTING MECHANISMS: ADRENAL CORTICAL STEROIDS

Item Number	Drug Name and Strength
50000118	DEXAMETHASONE ACETATE 8MG/ML 1ML VIAL
50000076	DEXAMETHASONE ACETATE 8MG/ML 5ML VIAL
50003536	DEXAMETHASONE ORAL SOLUTION 0.5MG/0.5ML 30ML
50003538	DEXAMETHASONE ORAL SOLUTION 0.5MG/5ML
50000079	DEXAMETHASONE PHOSPHATE INJ 4MG/ML 1ML V
50000080	DEXAMETHASONE PHOSPHATE INJ 4MG/ML 5ML V
50000081	DEXAMETHASONE PHOSPHATE INJ 4MG/ML 25ML

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SECTION 141.2760 HORMONES/AGENTS AFFECTING MECHANISMS:
ADRENAL CORTICAL STEROIDS (Cont'd.)

Item Number	Drug Name and Strength
** 50001019	DEXAMETHASONE PHOSPHATE INJ 24MG/ML 5ML
** 50001020	DEXAMETHASONE PHOSPHATE INJ 24MG/ML 10ML
** 50001908	DEXAMETHASONE TABLET 0.25MG
** 50001909	DEXAMETHASONE TABLET 0.50MG
** 50001910	DEXAMETHASONE TABLET 0.75MG
** 50001913	DEXAMETHASONE TABLET 1.0MG
** 50001911	DEXAMETHASONE TABLET 1.5MG
** 50001912	DEXAMETHASONE TABLET 4.0MG
** 00030429	FLORINEF ACETATE TABLET 0.1MG
** 50003460	HYDROCORTISONE ACETATE INJ 25MG/ML 5ML V
** 50003461	HYDROCORTISONE ACETATE INJ 50MG/ML 5ML V
** 50003463	HYDROCORTISONE PHOS INJ 50MG/ML 2ML SYRINGE
** 50003462	HYDROCORTISONE PHOS INJ 50MG/ML 2ML VIAL
** 50003465	HYDROCORTISONE PHOS INJ 50MG/ML 10ML VIAL
** 50003466	HYDROCORTISONE SOD SUCCINATE 100MG VIAL
** 50003467	HYDROCORTISONE SOD SUCCINATE 250MG VIAL
** 50003468	HYDROCORTISONE SOD SUCCINATE 500MG VIAL
** 50003469	HYDROCORTISONE SOD SUCCINATE 1000MG VIAL
** 50003121	HYDROCORTISONE TABLET 5MG
** 50003123	HYDROCORTISONE TABLET 10MG
** 50003131	HYDROCORTISONE TABLET 20MG
** 0032906	NASALIDE SOLUTION 0.025% 25ML UNIT
** 40001120	PREDNISONE ORAL SOLUTION 5MG/ML 30ML
** 40003001	PREDNISONE ORAL SOLUTION 5MG/5ML
** 50004788	PREDNISONE TABLET 1.0MG
** 50004789	PREDNISONE TABLET 2.5MG
** 50004790	PREDNISONE TABLET 5.0MG
** 50004791	PREDNISONE TABLET 10.0MG
** 50004792	PREDNISONE TABLET 20.0MG
** 50004793	PREDNISONE TABLET 50.0MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.2960 HORMONES/AGENTS AFFECTING MECHANISMS: ORAL
CONTRACEPTIVES

Item Number	Drug Name and Strength
** 00332110	BREVICON 21-DAY
** 04298711	BREVICON 21-DAY - REFILL
** 00330110	BREVICON 28-DAY

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SECTION 141.2960 HORMONES/AGENTS AFFECTING MECHANISMS: ORAL
CONTRACEPTIVES (Cont'd.)

Item Number	Drug Name and Strength
** 04298712	BREVICON 28-DAY - REFILL
** 00140151	DEMULEN 1/35-21
** 00141115	DEMULEN 1/35-21 - REFILL
** 00140161	DEMULEN 1/35-28
** 00141161	DEMULEN 1/35-28 - REFILL
** 00140071	DEMULEN-21
** 00140171	DEMULEN-21 - REFILL
** 00141071	DEMULEN-28
** 00141171	DEMULEN-28 - REFILL
** 00250051	ENOVID TABLET 5MG
** 00140051	ENOVID TABLET 5MG - CALENDAR PACK
** 00250101	ENOVID TABLET 10MG
** 00251131	ENOVID-E-21 TABLET 2.5MG
** 00140131	ENOVID-E-21 TABLET 2.5MG - REFILL
** 05364057	GENORA TABLET 0.5/35-21
** 05364157	GENORA TABLET 0.5/35-28
** 05364055	GENORA TABLET 1/35-21
** 05364155	GENORA TABLET 1/35-28
** 05364056	GENORA TABLET 1/50-21
** 05364156	GENORA TABLET 1/50-28
** 04190410	LEVLEN TABLETS 21'S
** 04190411	LEVLEN TABLETS 28'S
** 00080078	LO/OVRAL TABLET-21
** 00081078	LO/OVRAL TABLET-21 - 3 PACK
** 00082514	LO/OVRAL TABLET-28
** 00710913	LOESTRIN FE 1.0/20
** 07100913	LOESTRIN FE 1.0/20 - REFILL
** 00710917	LOESTRIN FE 1.5/30
** 07100917	LOESTRIN FE 1.5/30 - REFILL
** 00710915	LOESTRIN 21 1.0/20
** 07100915	LOESTRIN 21 1.0/20 - REFILL
** 00710916	LOESTRIN 21 1.5/30
** 07100916	LOESTRIN 21 1.5/30 - REFILL
** 00621410	MICRONOR TABLET 0.35MG
** 00621710	MODICON-21 TABLET
** 00621714	MODICON-28 TABLET
** 00470929	NELOVA TABLET 0.5/35-21
** 00470926	NELOVA TABLET 0.5/35-28
** 00470930	NELOVA TABLET 1/35-21
** 00470927	NELOVA TABLET 1/35-28
** 00470941	NELOVA TABLET 10/11-21
** 00470944	NELOVA TABLET 10/11-28

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SECTION 141.2960 HORMONES/AGENTS AFFECTING MECHANISMS: ORAL
CONTRACEPTIVES (Cont'd.)

Item Number	Drug Name and Strength
** 00332107	NOR-QD TABLET
** 00800075	NORDETTE-21 TABLET
** 00082533	NORDETTE-28 TABLET
** 00140221	NORETHIN TABLET 1/35E-21
** 00140231	NORETHIN TABLET 1/35E-28
** 00140431	NORETHIN TABLET 1/50M-21
** 00140441	NORETHIN TABLET 1/50M-28
** 00330111	NORINYL TABLET 1 PLUS 35-21 DAY - REFILL
** 04298727	NORINYL TABLET 1 PLUS 35-21 DAY
** 00331111	NORINYL TABLET 1 PLUS 35-28 DAY
** 04298728	NORINYL TABLET 1 PLUS 35-28 DAY - REFILL
** 00332101	NORINYL TABLET 1 PLUS 50-21 DAY
** 04298725	NORINYL TABLET 1 PLUS 50-21 DAY - REFILL
** 00333101	NORINYL TABLET 1 PLUS 50-28 DAY
** 04298726	NORINYL TABLET 1 PLUS 50-28 DAY - REFILL
** 00332102	NORINYL TABLET 1 PLUS 80-21 DAY
** 04298723	NORINYL TABLET 1 PLUS 80-21 DAY - REFILL
** 00333102	NORINYL TABLET 1 PLUS 80-28 DAY
** 04298724	NORINYL TABLET 1 PLUS 80-28 DAY - REFILL
** 00332103	NORINYL TABLET 2MG
** 04298720	NORINYL TABLET 2MG - REFILL
** 00710905	NORLESTRIN FE 1/50
** 07100905	NORLESTRIN FE 1/50 - REFILL
** 00710907	NORLESTRIN FE 2.5/50
** 07100907	NORLESTRIN FE 2.5/50 - REFILL
** 00710904	NORLESTRIN 21 1/50
** 07100904	NORLESTRIN 21 1/50 - REFILL
** 00710901	NORELSTRIN 21 2.5/50
** 07100901	NORELSTRIN 21 2.5/50 - REFILL
** 00710903	NORLESTRIN 28 1/50
** 07100903	NORLESTRIN 28 1/50 - REFILL
** 60009903	ORAL CONTRACEPTIVES - PRODUCTS NOT OTHERWISE LISTED - LIST NAME AND MFG
** 00621350	ORTHO-NOVUM 2MG TAB
** 00621760	ORTHO-NOVUM 1/35 TAB 21'S
** 00622760	ORTHO-NOVUM 1/35 TAB 21'S - REFILL
** 00621761	ORTHO-NOVUM 1/35 TAB 28'S
** 00622761	ORTHO-NOVUM 1/35 TAB 28'S - REFILL
** 00622761	ORTHO-NOVUM 1/50 TAB 21'S
** 00621331	ORTHO-NOVUM 1/50 TAB 21'S
** 00621332	ORTHO-NOVUM 1/50 TAB 28'S
** 00621390	ORTHO-NOVUM 1/80 TAB 21'S
** 00621391	ORTHO-NOVUM 1/80 TAB 28'S

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SECTION 141.2960 HORMONES/AGENTS AFFECTING MECHANISMS: ORAL
CONTRACEPTIVES (Cont'd.)

Item Number	Drug Name and Strength
** 01071770	ORTHO-NOVUM 10/11 TAB 21'S
** 00621770	ORTHO-NOVUM 10/11 TAB 21'S - REFILL
** 01071771	ORTHO-NOVUM 10/11 TAB 28'S
** 00621771	ORTHO-NOVUM 10/11 TAB 28'S - REFILL
** 00621370	ORTHO-NOVUM 10MG TAB
** 01071780	ORTHO-NOVUM 7/7/7 21'S
** 00621780	ORTHO-NOVUM 7/7/7 21'S - REFILL
** 01071781	ORTHO-NOVUM 7/7/7 28'S
** 00621781	ORTHO-NOVUM 7/7/7 28'S - REFILL
** 00870583	OVCON-35 TABLET (21)
** 00870578	OVCON-35 TABLET (28)
** 00870584	OVCON-50 TABLET (21)
** 00870579	OVCON-50 TABLET (28)
** 00080056	OVRAL TABLET 21'S
** 00081056	OVRAL TABLET 21'S - 3 PACK
** 00082511	OVRAL TABLET 28'S
** 00080062	OVRETTE TABLET 28'S
** 00141401	OVULEN-21 TABLET
** 00143401	OVULEN-21 TABLET - REFILL
** 00142401	OVULEN-28 TABLET
** 00140421	OVULEN-28 TABLET - REFILL
** 04190430	TRI-LEVLEN TABLETS 21'S
** 04190431	TRI-LEVLEN TABLETS 28'S
** 00333201	TRI-NORINYL TABLETS 21'S
** 04298719	TRI-NORINYL TABLETS 21'S - REFILL
** 00333211	TRI-NORINYL TABLETS 28'S
** 04298718	TRI-NORINYL TABLETS 28'S - REFILL
** 00082535	TRI-PHASIL TABLETS 21'S
** 00083535	TRI-PHASIL TABLETS 21'S - REFILL
** 00082536	TRI-PHASIL TABLETS 28'S
** 00083536	TRI-PHASIL TABLETS 28'S - REFILL

(Source: Amended at 13 Ill. Reg. 516, effective
December 28, 1988)

SECTION 141.3440 ONCOLYTIC/ANTINEOPLASTIC: ANTIBIOTICS

Item Number	Drug Name and Strength
** 38243875	ADRIAMYCIN INJECTION 10MG VIAL
** 38244875	ADRIAMYCIN INJECTION 20MG VIAL
** 38242875	ADRIAMYCIN INJECTION 50MG VIAL

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SECTION 141.3440 ONCOLYTIC/ANTINEOPLASTIC: ANTIBIOTICS
(Cont'd.)SECTION 141.3480 ONCOLYTIC/ANTINEOPLASTIC: ANTIMETABOLITES
(Cont'd.)

Item Number	Drug Name and Strength
** 00153010	BLENOXANE INJECTION 15U AMP
** 00824155	CERUBIDINE 20MG VIAL
** 00063298	COSMEGEN INJECTION 0.5MG VIAL
** 00268161	MITHRACIN INJECTION 2.5MG/VIAL
** 00153001	MUTAMYCIN INJECTION 5MG VIAL
** 00153002	MUTAMYCIN INJECTION-20MG VIAL
** 00059393	NOVANTRONE INJECTION 2MG/ML 10ML VIAL
** 00059493	NOVANTRONE INJECTION 2MG/ML 12.5ML VIAL
** 00059593	NOVANTRONE INJECTION 2MG/ML 15ML VIAL

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.3480 ONCOLYTIC/ANTINEOPLASTIC: ANTIMETABOLITES

Item Number	Drug Name and Strength
** 00090168	CYTOSAR INJECTION 100MG W DILUENT
** 00090216	CYTOSAR INJECTION 500MG W DILUENT
** 50000400	FLUOROURACIL INJECTION 500MG/10ML AMP
** 00041935	PHBR-INJECTION-500MG/5ML
** 50006603	FLOXURIDINE INJECTION 500MG/5ML
** 00054554	METHOTREXATE SODIUM AQ INJECTION 2.5MG/ML 2ML VIAL
** 00054556	METHOTREXATE SODIUM AQ INJECTION 25MG/ML 2ML VIAL
** 50005681	METHOTREXATE SODIUM AQ INJECTION 25MG/ML 4ML VIAL
** 50005683	METHOTREXATE SODIUM AQ INJECTION 25MG/ML 8ML VIAL
** 50005685	METHOTREXATE SODIUM AQ INJECTION 25MG/ML 10ML VIAL
** 00054654	METHOTREXATE SODIUM POWDER INJECTION-20MG VIAL
** 50005687	METHOTREXATE SODIUM POWDER INJECTION 50MG VIAL
** 00055203	METHOTREXATE SODIUM POWDER INJECTION 100MG VIAL
** 00054561	METHOTREXATE SODIUM TABLET 2.5MG
** 00010807	PURINETHOL TABLET 50MG

Item Number	Drug Name and Strength
** 00810880	THIOGUANINE TABLET 40MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.3760 PSYCHOTHERAPEUTIC: ANTIANXIETY

Item Number	Drug Name and Strength
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00870818	BUSPAR TABLET 5MG
00870819	BUSPAR TABLET 10MG
50005405	CHLORDIAZEPOXIDE HCL CAPSULE 5MG
50005410	CHLORDIAZEPOXIDE HCL CAPSULE 10MG
50005425	CHLORDIAZEPOXIDE HCL CAPSULE 25MG
50005430	CHLORDIAZEPOXIDE HCL POWDER FOR INJECTION 100MG WITH DILUENT
50002500	CLORAZEPATE DIPOTASSIUM TABLET 3.75MG
50002502	CLORAZEPATE DIPOTASSIUM TABLET 7.5MG
50002504	CLORAZEPATE DIPOTASSIUM TABLET 15MG
50002491	LORAZEPAM INJECTION 2MG/ML 10ML VIAL
50002493	LORAZEPAM INJECTION 4MG/ML SYRINGE
50002495	LORAZEPAM INJECTION 4MG/ML 10ML VIAL
50004842	LORAZEPAM TABLET 0.5MG
50004844	LORAZEPAM TABLET 1.0MG
50004846	LORAZEPAM TABLET 2.0MG
50002351	OXAZEPAM CAPSULE 10MG
50002353	OXAZEPAM CAPSULE 15MG
50002355	OXAZEPAM CAPSULE 30MG
50002359	OXAZEPAM TABLET 15MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.3800 PSYCHOTHERAPEUTIC: ANTIDEPRESSANTS

Item Number	Drug Name and Strength
** 50006630	AMITRIPTYLINE HCL INJ 10MG/ML 10ML VIAL
** 50002710	AMITRIPTYLINE HCL TABLET 10MG
** 50002725	AMITRIPTYLINE HCL TABLET 25MG
** 50002750	AMITRIPTYLINE HCL TABLET 50MG

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SECTION 141.3800 PSYCHOTHERAPEUTIC: ANTIDEPRESSANTS (Cont'd.)

Item Number	Drug Name and Strength
** 50002775	AMITRIPTYLINE HCL TABLET 75MG
** 50002800	AMITRIPTYLINE HCL TABLET-100MG
** 50002850	AMITRIPTYLINE HCL TABLET-150MG
** 50006445	DESIPRAMINE HCL CAPSULE 25MG
** 50006447	DESIPRAMINE HCL CAPSULE 50MG
** 50004635	DESIPRAMINE HCL CAPSULE-OR TABLET 10MG
** 50001815	DESIPRAMINE HCL CAPSULE-OR TABLET 25MG
** 50001816	DESIPRAMINE HCL CAPSULE-OR TABLET 50MG
** 50001822	DESIPRAMINE HCL CAPSULE-OR TABLET 75MG
** 50001824	DESIPRAMINE HCL CAPSULE-OR TABLET 100MG
** 50001826	DESIPRAMINE HCL CAPSULE-OR TABLET 150MG
** 50005359	DOXEPIN HCL CONCENTRATE 10MG/ML 120ML
** 50005352	DOXEPIN HCL CAPSULE 10MG
** 50005353	DOXEPIN HCL CAPSULE 25MG
** 50005354	DOXEPIN HCL CAPSULE 50MG
** 50005356	DOXEPIN HCL CAPSULE 75MG
** 50005357	DOXEPIN HCL CAPSULE 100MG
** 50005358	DOXEPIN HCL CAPSULE 150MG
** 50003474	IMIPRAMINE HCL INJECTION 25MG/2ML 2ML AMP
** 50006068	IMIPRAMINE HCL TABLET 10MG
** 50006076	IMIPRAMINE HCL TABLET 25MG
** 50006078	IMIPRAMINE HCL TABLET 50MG
** 50003541	MAPROTILINE HCL TABLET 25MG
** 50003543	MAPROTILINE HCL TABLET 50MG
** 50003545	MAPROTILINE HCL TABLET 75MG
** 00470270	NARDIL TABLET 15MG
** 50002005	NORTRIPTYLINE HCL SOLUTION 10MG/5ML
** 50002010	NORTRIPTYLINE HCL CAPSULE 10MG
** 50002025	NORTRIPTYLINE HCL CAPSULE 25MG
** 50002511	NORTRIPTYLINE HCL CAPSULE 50MG
** 50002075	NORTRIPTYLINE HCL CAPSULE 75MG
** 00071471	PARNATE TABLET 10MG
** 50000771	TRAZODONE HCL TABLET 50MG
** 50000773	TRAZODONE HCL TABLET 100MG
** 50000775	TRAZODONE HCL TABLET 150MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.3840 PSYCHOTHERAPEUTIC: ANTIMANIC

Item Number	Drug Name and Strength
** 50004757	LITHIUM CARBONATE CAPSULE 150MG

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SECTION 141.3840 PSYCHOTHERAPEUTIC: ANTIMANIC (Cont'd.)

Item Number	Drug Name and Strength
** 50004760	LITHIUM CARBONATE TAB/CAP 300MG
** 50004761	LITHIUM CARBONATE TAB/CAP 300MG SR
** 50004660	LITHIUM CARBONATE TAB/CAP 450MG SR
** 50004759	LITHIUM CITRATE SYRUP - 8MEQ LITHIUM/56EML
(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)	
SECTION 141.4000	PSYCHOTHERAPEUTIC: SEDATIVE/HYPNOTIC
Item Number	Drug Name and Strength
6 50002790	FLURAZEPAM CAPSULE 15MG
6 00090010	HALCION TABLET 0.125MG
6 00090017	HALCION TABLET 0.25MG
6 00090027	HALCION TABLET 0.5MG
6 48801063	TEMAZEPAM CAPSULE 15MG
6 48801063	TEMAZEPAM CAPSULE 30MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.4040 RESPIRATORY/ALLERGIC: ANTI-ASTHMATIC

Item Number	Drug Name and Strength
** 03693007	AEROBID AEROSOL 7GM CANISTER
** 50000614	250MCG/ACTUATION 100 DOSES/INHALER
** 50000616	ALBUTEROL SULFATE INHALER 17GM
** 50001981	ALBUTEROL SULFATE INHALER 17GM - REFILL
** 40001095	ALBUTEROL SULFATE SOLUTION FOR INHALATION 0.5%
** 50002323	ALBUTEROL SULFATE SYRUP 2MG/5ML
** 50002320	ALBUTEROL SULFATE TABLET SR 4MG
** 50002322	ALBUTEROL SULFATE TABLET 2MG
** 50000023	ALBUTEROL SULFATE TABLET 4MG
** 50002414	AMINOPHYLLINE IV INJECTION 500MG/20ML AMP
** 50000108	AMINOPHYLLINE ORAL SOLUTION 315MG/15ML
** 50000116	AMINOPHYLLINE TABLET 100MG
** 50000117	AMINOPHYLLINE TABLET 200MG
** 05970082	AMINOPHYLLINE TABLET SR 225MG
** 05970082	ATROVENT INHALATION AEROSOL 14GM
** 05970082	17MCG/ACTUATION 200 DOSES/UNIT

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SECTION 141.4040

RESPIRATORY/ALLERGIC: ANTI-ASTHMATIC
(Cont'd.)

Item Number	Drug Name and Strength
** 50006490	BECLOMETHASONE DIPROPIONATE AEROSOL INHALER 42MCG/ACTUATION 16.8GM UNIT ORAL
** 50005692	BECLOMETHASONE DIPROPIONATE AEROSOL INH 42MCG/ACTUATION 16.8GM UNIT ORAL REFILL
** 50006488	BECLOMETHASONE DIPROPIONATE NASAL INHALER 42MCG/ACTUATION 16.8GM UNIT
** 50006486	BECLOMETHASONE DIPROPIONATE NASAL SPRAY 0.042% 25ML
** 50002519	CROMOLYN SODIUM AEROSOL INHALER 8.1GM 800MCG/ACTUATION (112 SPRAYS/UNIT)
** 50002521	CROMOLYN SODIUM AEROSOL INHALER 14.2GM 800MCG/ACTUATION (200 SPRAYS/UNIT)
** 50001003	CROMOLYN SODIUM CAPSULE 20MG
** 50001005	CROMOLYN SODIUM INHALER
** 50001007	CROMOLYN SODIUM NASAL SOLUTION 40MG/ML 13ML BOTTLE WITH SPRAY
** 50001009	CROMOLYN SODIUM NASAL SOLUTION 40MG/ML 13ML REFILL BOTTLE
** 50001004	CROMOLYN SODIUM NEBULIZER SOLUTION 20MG/2ML AMP
** 50004150	ISOETHARINE HYDROCHLORIDE SOLUTION 1.0% 10ML UNIT
** 50004152	ISOETHARINE HYDROCHLORIDE SOLUTION 1.0% 30ML UNIT
** 00890790	MAXAIR AEROSOL INHALER COMPLETE 0.2MG/DOSE 300 ACTUATIONS/UNIT 25.6GM
** 50004965	METAPROTERENOL SULF INH SOLN 5.0% 10ML
** 50006032	METAPROTERENOL SULF INH SOLN 5% 30ML
** 50004101	METAPROTERENOL SULF INH 225MG/15ML REFILL
** 50004100	METAPROTERENOL SULF INH 225MG/15ML UNIT
** 50004095	METAPROTERENOL SULFATE SYRUP 10MG/5ML
** 50004099	METAPROTERENOL SULFATE TABLET 10MG
** 50004102	METAPROTERENOL SULFATE TABLET 20MG
** 50006480	TERBUTALINE SULFATE AEROSOL INHALER 0.20MG/ACTUATION 7.5ML UNIT
** 50006482	TERBUTALINE SULFATE AEROSOL INHALER 0.20MG/ACTUATION 7.5ML REFILL
** 50006476	TERBUTALINE SULFATE INJECTION 1.0MG/1ML
** 50006477	TERBUTALINE SULFATE TABLET 2.5MG
** 50006478	TERBUTALINE SULFATE TABLET 5.0MG
** 50007134	THEOPHYLLINE LIQUID 80MG/15ML
** 50007140	THEOPHYLLINE LIQUID 160MG/15ML
** 50007142	THEOPHYLLINE SUSPENSION 100MG/5ML

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SECTION 141.4040

RESPIRATORY/ALLERGIC: ANTI-ASTHMATIC
(Cont'd.)

Item Number	Drug Name and Strength
** 50007155	THEOPHYLLINE TAB/CAP TD 50MG ANHYDROUS
** 50007156	THEOPHYLLINE TAB/CAP TD 60MG ANHYDROUS
** 50007159	THEOPHYLLINE TAB/CAP TD 75MG ANHYDROUS
** 50007160	THEOPHYLLINE TAB/CAP TD 100MG ANHYDROUS
** 50007162	THEOPHYLLINE TAB/CAP TD 125MG ANHYDROUS
** 50007166	THEOPHYLLINE TAB/CAP TD 200MG ANHYDROUS
** 50007168	THEOPHYLLINE TAB/CAP TD 250MG ANHYDROUS
** 50007172	THEOPHYLLINE TAB/CAP TD 300MG ANHYDROUS
** 50007175	THEOPHYLLINE TAB/CAP TD 400MG ANHYDROUS
** 50007177	THEOPHYLLINE TAB/CAP TD 450MG ANHYDROUS
** 50007146	THEOPHYLLINE TAB/CAP 100MG ANHYDROUS
** 50007150	THEOPHYLLINE TAB/CAP 200MG ANHYDROUS
** 50005689	THEOPHYLLINE TAB/CAP 300MG ANHYDROUS
** 00241060	TORNALATE AEROSOL INHALER 0.8% 0.37MG/ACTUATION 15ML UNIT
** 00241061	TORNALATE AEROSOL INHALER 0.8% 0.37MG/ACTUATION 15ML-REFILL
** 50000440	TRIAMCINOLONE ACETONIDE AEROSOL INHALER 20GM

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.4160 SKELETAL MUSCLE RELAXANTS

Item Number	Drug Name and Strength
50004317	BACLOFEN TABLET 10MG
50004319	BACLOFEN TABLET 20MG
00350030	DANTRIUM CAPSULE 25MG
00350031	DANTRIUM CAPSULE 50MG
00350033	DANTRIUM CAPSULE 100MG
00350038	DANTRIUM ORAL SUSPENSION 5MG/ML
00280023	FIORISAL-TABLET--10MG
00280023	FIORISAL-DS-TABLET--20MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.4440 SKIN/MUCOUS MEMBRANE: FUNGICIDES

Item Number	Drug Name and Strength
** 00850849	AKRINOL CREAM

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SECTION 141.4440 SKIN/MUCOUS MEMBRANE: FUNGICIDES (Cont'd.)

Item Number	Drug Name and Strength
** 50001653	CICLOPROX OLAMINE CREAM 1% 15GM
** 50001654	CICLOPROX OLAMINE CREAM 1% 30GM
** 50000142	CLOTIMAZOLE CREAM 1.0% 15GM
** 50000143	CLOTIMAZOLE CREAM 1.0% 30GM
** 50000144	CLOTIMAZOLE CREAM 1.0% 45GM
** 50000145	CLOTIMAZOLE CREAM 1.0% 90GM
** 50004821	CLOTIMAZOLE LOTION 1.0% 30ML
** 50000146	CLOTIMAZOLE SOLUTION 1.0% 10ML
** 50000147	CLOTIMAZOLE SOLUTION 1.0% 30ML
** 50001655	ECONAZOLE NITRATE CREAM 1% 15GM
** 50001656	ECONAZOLE NITRATE CREAM 1% 30GM
** 50001657	ECONAZOLE NITRATE CREAM 1% 85GM
** 00030411	FUNGIZONE CREAM 3%
** 00030412	FUNGIZONE LOTION 3%
** 00030426	FUNGIZONE OINTMENT 3%
** 08842448	FUNGOID CREAM 30GM
** 08843149	FUNGOID SOLUTION 15ML
** 08840248	FUNGOID TINCTURE 30ML
** 08841248	FUNGOID TINCTURE 480ML
** 00721590	HALOTEX CREAM 15GM
** 00720590	HALOTEX CREAM 30GM
** 00721591	HALOTEX SOLUTION 100EML SIZE
** 00720591	HALOTEX SOLUTION 300EML SIZE
** 50006410	IODOCHLORHYDROXYQUIN CREAM 3% 30GM
** 50006412	IODOCHLORHYDROXYQUIN OINTMENT 3% 30GM
** 50001618	KETOCONAZOLE CREAM 2% 15GM
** 50001620	KETOCONAZOLE CREAM 2% 30GM
** 01371375	MONISTAT-DERM CREAM 2% 15GM
** 01370375	MONISTAT-DERM CREAM 2% 30GM
** 01374375	MONISTAT-DERM CREAM 2% 85GM
** 01373375	MONISTAT-DERM LOTION 12ML
** 01372375	MONISTAT-DERM LOTION 30ML
** 00625435	MONISTAT-DERM LOTION 60ML
** 50001184	NAFTIFENE HCL CREAM 1% 15GM
** 50001186	NAFTIFENE HCL CREAM 1% 30GM
** 00263031	NYSTAPORM OINTMENT 15GM
** 50003980	NYSTATIN CREAM 100,000U/GM 15GM
** 50003982	NYSTATIN CREAM 100,000U/GM 30GM
** 50003983	NYSTATIN LOTION 100,000U/ML
** 50003984	NYSTATIN OINT 100,000U/GM 15GM
** 50003986	NYSTATIN OINT 100,000U/GM 30GM
** 50003990	NYSTATIN TOPICAL POWDER 100,000U/GM 15GM

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

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SECTION 141.4440 SKIN/MUCOUS MEMBRANE: FUNGICIDES (Cont'd.)

Item Number	Drug Name and Strength
** 60008025	SKIN/MUCOUS MEMBRANE ANTIFUNGAL-NOT OTHERWISE LISTED IF LAW REQUIRES RX
** 00770792	TINVER LOTION 180EML
(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)	

SECTION 141.4520 SKIN/MUCOUS MEMBRANE: LOCAL ANTI-INFECTIVES

Item Number	Drug Name and Strength
** 50008106	ALCOHOL-ISOPROPYL 91% 480EG-SIZE 480ML
** 50001265	HEXACHLOROPHENE EMULSION 3% 150ML
** 50001267	HEXACHLOROPHENE EMULSION 3% 480ML
01371575	RETIN-A GEL 0.01% 45GM
01370075	RETIN-A LIQUID 0.05% 280EML
** 50000990	SILVER SULFADIAZINE CREAM 1.0% 20GM
** 500009942	SILVER SULFADIAZINE CREAM 1.0% 480GM
** 500009924	SILVER SULFADIAZINE CREAM 1.0% 50GM

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

SECTION 141.4720 URINARY ANTISPASMODICS

Item Number	Drug Name and Strength
50002450	BETHANECHOL CL INJ 5MG/ML 1ML AMP
50002442	BETHANECHOL CL TAB/CAPLET 5MG
50002444	BETHANECHOL CL TAB/CAPLET 10MG
50002446	BETHANECHOL CL TAB/CAPLET 25MG
50002448	BETHANECHOL CL TAB/CAPLET 50MG
00881373	PITROPAN-SYRUP-5MG/5ML
00881375	PITROPAN-TABLET-5MG
50003315	OXYBUTYRIN CL SYRUP 5MG/5ML
50003317	OXYBUTYRIN CL TABLET 5MG

(Source: Amended at 13 Ill. Reg. 516, effective December 28, 1988)

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SECTION 141.4760

VAGINAL: ANTI-INFECTIVES

Item Number	Drug Name and Strength
** 50002497	BUTOCONAZOLE NITRATE VAGINAL CREAM 2.0% 15GM
** 50002483	BUTOCONAZOLE NITRATE VAGINAL CREAM 2.0% 28GM
** 50000148	CLOTRIMAZOLE VAGINAL CREAM 1.0% 45GM
** 50000149	CLOTRIMAZOLE VAGINAL CREAM 1.0% 90GM
** 50000150	CLOTRIMAZOLE VAGINAL TABLET 100MG
** 50002485	CLOTRIMAZOLE VAGINAL TABLET 500MG
** 00730916	GENAPAX TAMPON 12'S
** 00271082	HYVA GENTIAN VIOLET VAGINAL TAB 14'S
** 00270082	HYVA GENTIAN VIOLET VAGINAL TAB 28'S
** 00625431	MONISTAT 7 CREAM C APP 47GM
** 00625432	MONISTAT 7 VAGINAL SUPPOSITORY
** 00625429	MONISTAT DUAL PACK
** 00263098	MYCELEX TWIN PACK
** 50003992	NYSTATIN VAGINAL TABLET 100,000U 15'S
** 50003994	NYSTATIN VAGINAL TABLET 100,000U 30'S
** 50003996	NYSTATIN VAGINAL/ORAL TABLETS 14/21
** 50003219	OXYTETRACYCLINE HCL 100MG; POLYMYXIN B SULFATE 100,000U VAGINAL TABLET
** 50001632	SULFACETAMIDE 143.75MG; SULFABENZAMIDE 184MG; SULFATHIAZOLE 172.5MG VAG TAB
** 50001631	SULFACETAMIDE 2.86%; SULFABENZAMIDE 3.7% SULFATHIAZOLE 3.42% VAGINAL CREAM
** 50002481	SULFANILAMIDE VAGINAL CREAM 15% 120GM
** 50002471	SULFANILAMIDE VAGINAL SUPPOSITORY 1.05GM
** 50002641	TERCONAZOLE VAGINAL CREAM 0.4% 45GM
** 50002643	TERCONAZOLE VAGINAL SUPPOSITORY 80MG
** 03966010	TRIMO-SAN REFILL TUBE ONLY 120GM
** 03965010	TRIMO-SAN WITH APPLICATOR 120GM
** 60008023	VAGINAL ANTI-INFECTIVES-NOT OTHERWISE LISTED-IF LAW REQUIRES RX
** 00680427	VANOBIID VAGINAL OINTMENT C APP
** 00680425	VANOBIID VAGINAL TABLET C APP 28'S

(Source: Amended at 13 Ill. Reg. 516, effective
December 28, 1988)

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NOTICE OF ADOPTED AMENDMENT

- 1) The Heading of the Part: ILLINOIS COMPETITIVE ACCESS AND REIMBURSEMENT EQUITY (ICARE) PROGRAM
- 2) Code Citation: 89 Ill. Adm. Code 149
- 3) Section Number: Adopted Action:
149.105 Amendment
- 4) Statutory Authority: Section 3-4 of the Illinois Health Finance Reform Act (Ill. Rev. Stat. 1987, Ch. 111 1/2, Par. 6503-4, as amended by P.A. 85-1262, effective January 1, 1989)
- 5) Effective Date of Amendment: January 1, 1989
- 6) Does this rulemaking contain an automatic repeal date?
Yes ☐ No ☒
- 7) Does this amendment contain incorporations by reference? No
- 8) Date Filed in Agency's Principal Office: January 1, 1989
- 9) Notice of Proposal Published in Illinois Register:
September 2, 1988 (12 Ill. Reg. 13917)
- 10) Has JCAR issued a Statement of Objections to this rule? No
- 11) Differences between proposal and final version: None
- 12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- 13) Will this amendment replace an emergency amendment currently in effect? No
- 14) Are there any amendments pending on this Part? No
- 15) Summary and Purpose of Amendment: Under the Illinois Health Finance Reform Act and under the Department's current rules, the Department must accord special consideration in awarding ICARE contracts to hospitals which receive or should receive over sixty-five percent of their net patient revenue from medical assistance, Medicare, local governmental units, bad debt and free care. Public Act 85-1262 eliminates the provision for "special

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consideration," and replaces it with adjustment payments for disproportionate share hospitals. The Department previously filed emergency and proposed rules to provide for payments to disproportionate share hospitals. This rulemaking eliminates "special consideration," pursuant to Public Act 85-1262

- 16) Information and questions regarding this Adopted Amendment shall be directed to:

Name: Tom Toberman
Division of Medical Programs

Address: Illinois Department of Public Aid
Prescott E. Bloom Building
201 South Grand Avenue East, 3rd Floor
Springfield, Illinois 62763

Telephone: (217) 782-2550

The full text of the Adopted Amendment begins on the next page:

DEPARTMENT OF PUBLIC AID

NOTICE OF ADOPTED AMENDMENT

TITLE 89: SOCIAL SERVICES
CHAPTER I: DEPARTMENT OF PUBLIC AID
SUBCHAPTER d: MEDICAL PROGRAMS

PART 149

ILLINOIS COMPETITIVE ACCESS AND REIMBURSEMENT
EQUITY (ICARE) PROGRAM

Section	
149.5	Illinois Competitive Access and Reimbursement Equity (ICARE) Program
149.25	Definition of Terms
149.50	Notification of Negotiations
149.75	Hospital Participation in ICARE Program Negotiations
149.100	Negotiation Procedures
149.105	Factors Considered in Awarding ICARE Contracts
149.125	Closing an ICARE Area
149.150	Administrative Review
149.175	Payments to Contracting Hospitals
149.200	Admitting and Clinical Privileges
149.205	Inpatient Hospital Care or Services by Non-Contracting Hospitals Eligible for Payment
149.225	Payment to Hospitals for Inpatient Services or Care not Provided under the ICARE Program
149.250	Contract Monitoring
149.275	Transfer of Recipients
149.300	Validity of Contracts
149.305	Termination of ICARE Contracts
149.325	Hospital Services Procurement Advisory Board

AUTHORITY: Implementing Article II of the Illinois Health Finance Reform Act (Ill. Rev. Stat. 1985 1987, ch. 111 1/2, par. 6503-1 et seq.) and implementing and authorized by Articles III, IV, V, VI, VII and Section 12-13 of the Illinois Public Aid Code (Ill. Rev. Stat. 1985 1987, ch. 23, pars. 3-1 et seq., 4-1 et seq., 5-1 et seq., 6-1 et seq., 7-1 et seq., and 12-13)

SOURCE: Sections 149.5 thru 149.325 recodified from 89 Ill. Adm. Code 140.940 thru 140.972 at 12 Ill. Reg. 7401; amended at 12 Ill. Reg. at 12095, effective July 15, 1988; amended at 13 Ill. Reg. 554, effective January 1, 1989.

NOTE: CAPITALIZATION DENOTES STATUTORY LANGUAGE.

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Section 149.105 Factors Considered In Awarding ICARE Contracts

a) The Department shall consider the following factors in negotiating and entering into contracts under the ICARE Program:

- 1) whether the price proposed by the prospective Contractor for all types of inpatient hospital care intended to be offered by the Contractor is satisfactory to the Department;
 - 2) WHETHER THE PROSPECTIVE CONTRACTOR CAN ASSURE ACCESS TO GOOD QUALITY CARE;
 - 3) WHETHER THERE IS ADEQUATE AVAILABILITY OF GOOD QUALITY SERVICES IN EACH GEOGRAPHIC REGION OF THE STATE TO ENSURE THAT THE NEEDS OF THE RECIPIENTS IN EACH SUCH REGION ARE MET;
 - 4) WHETHER THE ADEQUATE AVAILABILITY OF GOOD QUALITY SPECIALIZED SERVICES TO MEET THE NEEDS OF RECIPIENTS IS ENSURED;
 - 5) WHETHER DISRUPTIONS TO TRADITIONAL CARE PATTERNS AND TO CONTINUITY OF CARE OF RECIPIENTS WILL BE MINIMIZED;
 - 6) WHETHER RECOGNITION OF THE VARIATIONS IN SEVERITY OF ILLNESS AND COMPLEXITY OF CARE OF RECIPIENTS BY EACH PROSPECTIVE CONTRACTOR WILL BE MADE AND MONITORED OVER TIME;
 - 7) WHETHER PROTECTION AGAINST FRAUD AND ABUSE IS ADEQUATELY ENSURED BY THE PROSPECTIVE CONTRACTOR; AND
 - 8) WHETHER THE PROSPECTIVE CONTRACTOR CAN ENSURE THE PROVISION OF PROPOSED CARE TO RECIPIENTS IN AN ECONOMIC AND EFFICIENT MANNER.
- b) IN NEGOTIATING CONTRACTS, THE DEPARTMENT SHALL CONSIDER EXISTING LABOR-MANAGEMENT COLLECTIVE BARGAINING AGREEMENTS COVERING HOSPITAL EMPLOYEES.
- c) IN NEGOTIATING AND ENTERING INTO CONTRACTS THE DEPARTMENT SHALL ENSURE THAT THE TOTAL DOLLAR AMOUNTS

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Section 149.105 Factors Considered In Awarding ICARE Contracts (cont'd.)

OF FUNDS APPROPRIATED FOR MEDICAL ASSISTANCE HOSPITAL INPATIENT CARE IS NOT EXCEEDED BY SUCH CONTRACTS.

- d) DIRECT TEACHING COSTS SHALL BE CONSIDERED A PASS THROUGH FOR CONSIDERING PROPOSALS RECEIVED FROM TEACHING HOSPITALS (all statutory language quoted or paraphrased from Section 3-4 of the Illinois Health Finance Reform Act (Ill. Rev. Stat. 1987, ch. 111 1/2, par. 6503-4)).
- e) ~~THOSE HOSPITALS WHICH RECEIVE OR SHOULD RECEIVE OVER 65% OF THEIR NET PATIENT REVENUE FROM MEDICAL ASSISTANCE, MEDICAL LOCAL GOVERNMENTAL UNITS, BAD BEST AND FREE CARE SHALL RECEIVE SPECIAL CONSIDERATION FOR CONTRACTS AWARDED UNDER THE ICARE PROGRAM. --(All statutory language quoted or paraphrased from Ill. Rev. Stat. 1984 Supp. ch. 111-1/2, par. 6503-4.)~~

(Source: Amended at 13 Ill. Reg. 554, effective January 1, 1989)

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1) The Heading of the Part: REIMBURSEMENT FOR NURSING COSTS FOR GERIATRIC FACILITIES

2) Code Citation: 89 Ill. Adm. Code 147

3) Section Numbers: Adopted Action:

147.75 Amendment
147.100 Amendment
147. Table A Amendment
147. Table B Amendment

4) Statutory Authority: Sections 5-5.1 through 5-5.8 of the Illinois Public Aid Code (Ill. Rev. Stat. 1987, Ch. 23, Pars. 5-5.1 through 5-5.8)

5) Effective Date of Amendments: January 1, 1989

6) Does this rulemaking contain an automatic repeal date?
Yes X No

7) Do these amendments contain incorporations by reference? No

8) Date Filed in Agency's Principal Office: January 1, 1989

9) Notices of Proposal Published in Illinois Register:

June 24, 1988 (12 Ill. Reg. 10627)

10) Has JCAR issued a Statement of Objections to these rules?
Yes

A) Statement of Objection: December 2, 1988
(12 Ill. Reg. 20231)

B) Agency Response: January 13, 1989, 13 Ill. Reg. 667
(Issue Date)

C) Date Agency Response Submitted for Approval to JCAR:
December 21, 1988

11) Differences between proposal and final version: Pursuant to discussions with the Joint Committee staff, the Department has agreed to make the following modifications in the proposed rulemaking:

To redraft the introductory paragraph to the definition of a Certified Therapeutic Recreation Specialist in Section

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147.75 to read: "A certified therapeutic recreation specialist is one who is presently certified by the National Council on Therapeutic Recreation Certification. These standards are as follows:"

12) Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes

13) Will these amendments replace emergency amendments currently in effect? No

14) Are there any amendments pending on this Part? Yes

Section Numbers Proposed Action Illinois Register Citation

147.205 Amendment October 28, 1988
(12 Ill. Reg. 10627)

15) Summary and Purpose of Amendments:

Section 147.75

This Section is being revised to update the definition of Certified Therapeutic Recreation Specialist. The National Council on Therapeutic Recreation Certification has recently made some changes in the equivalency path requirements of this professional certification level. For those whose Baccalaureate degrees are not in therapeutic recreation, degree areas are now specified, five years of experience (rather than two) in therapeutic recreation are required, and credit hours of upper level therapeutic recreation courses are now specified.

Section 147.100

When a facility believes an assessment does not accurately reflect facility conditions, a reconsideration may be requested. Previously, this reconsideration procedure included the facility's right to request an on site reassessment. An arbitration process, conducted by nurse and/or physician arbitrators has recently become one step in the three step approach followed during reconsiderations, negating the need for on site reassessments being conducted at facility request. Therefore, this rule revision deletes reference to such assessments.

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Sections 147. Table A and 147. Table B

This revision applies to the tables of reimbursement times, allocations, and need levels used to determine reimbursement rates for nursing costs during the Inspection of Care process. Changes are being made to both tables so that the portion of the tables effective through June 30, 1988 will be effective for all reimbursement periods commencing on or after January 1, 1988.

- 16) Information and questions regarding these Adopted Amendments shall be directed to:

Name: Tom Toberman
Division of Medical Programs
Illinois Department of Public Aid

Address: Prescott E. Bloom Building, 3rd Floor
201 South Grand Avenue East
Springfield, IL 62763

Telephone: (217) 524-7335

The full text of the Adopted Amendments begins on the next page:

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NOTICE OF ADOPTED AMENDMENTS

TITLE 89: SOCIAL SERVICES
CHAPTER I: DEPARTMENT OF PUBLIC AID
SUBCHAPTER d: MEDICAL PROGRAMS

PART 147
REIMBURSEMENT FOR NURSING COSTS FOR
GERIATRIC FACILITIES

Section 147.5	Reimbursement For Nursing Costs For Geriatric Residents in Group Care Facilities
147.25	Functional Areas of Needs
147.50	Service Needs
147.75	Definitions
147.100	Reconsiderations
147.105	Midnight Census Report
147.125	Times and Staff Levels
147.150	Statewide Rates
147.175	Referrals
147.200	Basic Rehabilitation Aide Training Program
147.205	Interim Nursing Rates
TABLE A	Staff Time and Allocation by Need Level
TABLE B	Staff Time and Allocation for Restorative Programs

AUTHORITY: Implementing Article III of the Illinois Health Finance Reform Act (Ill. Rev. Stat. 1985 1987, ch. 111 1/2, par. 6503-1 et seq.) and implementing and authorized by Articles III, IV, V, VI, VII and Section 12-13 of the Illinois Public Aid Code (Ill. Rev. Stat. 1985 1987, ch. 23, pars. 3-1 et seq., 4-1 et seq., 5-1 et seq., 6-1 et seq., 7-1 et seq., and 12-13)

SOURCE: Sections 147.5 thru 147.205 and 147. Table A and 147. Table B recodified from 89 Ill. Adm. Code 140.900 thru 140.912 and 140. Table H and 140. Table I at 12 Ill. Reg. 6956); amended at 13 Ill. Reg. 559, effective January 1, 1989.

NOTE: CAPITALIZATION DENOTES STATUTORY LANGUAGE.

Section 147.75 Definitions

"ADL." Activities of daily living.

"ADL Adaptive Equipment." ADL adaptive equipment refers to any device applied to the hand or arm that allows for independence in eating, grooming, writing, bathing, dressing.

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Section 147.75 Definitions (Cont'd)

"Ambulate." Process of moving from one place to another either on foot (with or without a device) or in a wheelchair.

"Approved rehabilitation nurse." Is a registered professional nurse who shall have successfully completed a course approved by the Department of Public Health or documents at least 60 hours of classroom/laboratory training in restorative/rehabilitative nursing as evidenced by a transcript, certificate, diploma or other written documentation from an accredited school or recognized accrediting agency such as a state or national organization of nurses or a state licensing authority.

"Assessment." The process of obtaining and interpreting data by licensed personnel. These data is gathered through record review, specific, direct observation, interview, and the administration of data collection procedures.

Agency Note: The requirement of an assessment/reassessment is indicated for several of the functional and/or service categories. Reference to an assessment does not mean the facility must develop a distinct assessment form for each category. Facilities should be encouraged to conduct a comprehensive assessment with emphasis given to the areas upon which resident programs or care plans will be based. A reassessment does not require the completion of a new assessment duplicating the comprehensive assessment already conducted. A reassessment requires a focused review of the resident's current status, progress, and the continual appropriateness of the program and/or care plan. The professional conducting the reassessment should document findings by updating the initial assessment.

"Assistance." Assistance refers to hands-on services by a staff member to help a resident do something such as to clothe, eat, etc.

"Certified Occupational Therapist Assistant." Has completed an occupational therapy program of at least two years in length leading to an associate degree or

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Section 147.75 Definitions (Cont'd)

its equivalent approved by the Department of Registration and Education (DRE) and that person has successfully completed the examination authorized by DRE (see Ill. Rev. Stat. 1985, ch. 111, pars. 3701 et seq.).

"Certified Therapeutic Recreation Specialist." A certified therapeutic recreation specialist is one who is presently certified ~~has met the standards established by the National Council on Therapeutic Recreation Certification or who meets the following criteria.~~ These standards are as follows:

a) Baccalaureate degree or higher from an accredited college or university with a major in therapeutic recreation, or a major in recreation with an option in therapeutic recreation (degree must be verified by an official transcript); or

b) Baccalaureate degree or higher from an accredited college or university ~~with a major in recreation~~ in one of the specified related degree areas (art education, dance, drama, early childhood education, music education, physical education, psychology, rehabilitation, sociology, special education); ~~and two~~ five years of full-time paid experience in a clinical, residential, or community-based therapeutic recreation program; and eighteen semester hours or twenty-seven quarter hours of upper level (junior and senior level) or graduate credits in therapeutic recreation courses (degree all courses must be verified by an official transcript).

"Clinical Fellow" (CFY). The educational equivalent to a certified Speech-Language Pathologist/Audiologist. This entry level professional is engaged in completion of the Clinical Fellowship Year/CFY required for certification as a Speech-Language Pathologist/Audiologist.

"Dependent (totally)." Resident requires the activity of the given area of need to be administered and/or performed by the facility staff and the resident cannot perform the activity himself/herself.

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Section 147.75 Definitions (Cont'd)

"Fitness Card." A card which includes individual resident data along with planned activities, necessary monitoring and documentation requirements.

"Fluidotherapy." A multifunctional modality that simultaneously applies heat, massage, sensory stimulation and pressure oscillation through the use of pulverized corn husks. It is used to decrease pain and edema, increase range of motion and circulation, and heal open or closed wounds. Unlike water, the dry natural media does not irritate the skin or produce thermal shock.

"Intervention." Planned interactions requiring either hands-on or verbal action by staff member. Actions are purposeful with the intent of altering or maintaining a resident's condition. Interventions are documented in resident's individualized plan of care.

"Less Restrictive Environment." Discharge to a less restrictive environment entails transfer of a resident from a skilled or intermediate care facility to a facility providing sheltered care or room and board; or discharge of a resident to home or independent living arrangement.

"Monitor." Direct observation by staff of a resident for a specific purpose.

"Normal operations of facility." Daily patterns of staff carrying out their prescribed duties or residents engaging in routine patterns of daily living.

"Occasional." Action that does not occur in a pattern. For example, a resident is occasionally incontinent when he/she, due to medication, certain foods, excitement, etc., may have an accident. However, it is not a consistent pattern.

"Occupational Therapist Registered/Licensed." Is a graduate of an occupational therapy program of at least four years in length leading to baccalaureate degree or its equivalent approved by DRE and that person has successfully completed examination authorized by DRE (see Ill. Rev. Stat. 1985, ch. 111, pars. 3701 et seq.).

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Section 147.75 Definitions (Cont'd)

"Off-hours." Refers to medication prescribed by the physician to be given at times other than the facilities routine times for dispensing medications. Off-hour medications should be given for specific purposes (i.e. eye drops, antibiotics, etc.) and should be of a limited duration.

"Paraffin Heat Therapy." A paraffin bath is wax which has been completely melted to 126°(F) - 130°(F). This treatment is used to apply heat uniformly to hand, foot, or other body areas to relieve pain, soreness and to relax muscle spasms. The heat relaxes the muscles and stimulation of blood circulation.

"Physical Therapist." Is a person who has graduated from a curriculum in physical therapy approved by the Department of Registration and Education (DRE) and has passed an examination approved by the DRE to determine his fitness for practice as a physical therapist.

"Physical Therapist Assistant." Is a person who has graduated from a two year college level program approved by the American Physical Therapy Association; or has two years of appropriate experience as a physical therapist assistant and has achieved a satisfactory grade on a proficiency examination conducted, approved, or sponsored by the U.S. Public Health Service.

"Qualified Health Professional (QHP)." An educator with a degree in education from an accredited program. A registered physical or occupational therapist. A physician licensed by the State of Illinois to practice medicine or osteopathy. A psychologist with a valid, current Illinois registration. A registered nurse with a valid, current Illinois registration. A registered speech pathologist or audiologist. A registered social worker with a Bachelor's Degree in social work from an accredited program, or a Bachelor's Degree in a field other than social work and at least three years social work experience under the supervision of a qualified social worker. A therapeutic recreation specialist who is a graduate of an accredited program and eligible for registration in the National Therapeutic

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Section 147.75 Definitions (Cont'd)

Recreation society. A rehabilitation counselor who is certified by the Committee on Rehabilitation Counselor Certification.

"Qualified Mental Health Professional." A qualified health professional (as defined below) who has specialized training or one year of experience in working with the mentally ill.

"Rehabilitation services." Rehabilitation services are those related professional therapy services provided by or under the supervision of licensed, certified, or registered personnel, specifically designed for a particular resident to improve the resident's functional abilities. These programs must be individually developed, have the potential to benefit the resident, and be ordered by the resident's physician. At a minimum these services must be provided by a duly qualified, certified nurse aide trained in a rehabilitation program approved by the Department of Public Aid. While there is no specific time limitation for their duration, improvement of the resident's condition should be evident in the resident's record.

"Restorative services." Restorative services are those medical and nursing treatments provided either by or under the supervision of licensed personnel specifically required to maintain or improve a resident's functional condition or prevent further deterioration. These procedures should be reviewed by the facility's interdisciplinary team at the time of the care plan review and incorporated into the care plan. Services can include passive range of motion, palliative skin care, positioning, bowel and bladder retraining, ambulation, ADL retraining.

"Skilled services." Resident requires on a daily basis the direct observation, assistance, monitoring, or performance of nursing procedures by a licensed nurse or the direct supervision by a licensed nurse.

"Supervise." The process of overseeing or directing either staff in the care of the resident or the resident him/herself in performing certain functional or medical tasks. In the case of residents, staff

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Section 147.75 Definitions (Cont'd)

must be present either to instruct, prompt, or to make sure the resident carries out a specific task in such a manner as to complete the task or avoid injury. In the case of staff, it is either direct supervision or the giving of detailed verbal or written instructions on how to carry out a specific procedure for or on a resident.

"Transfer." The process of physically moving a resident from one place to another.

(Source: Amended at 13 Ill. Reg. 559 effective January 1, 1989)

Section 147.100 Reconsiderations

a) A facility may request a reconsideration of the resident assessment conducted by the Inspection of Care (IOC) team if the facility believes the assessment does not accurately reflect the level of need of its residents. The facility will be given the IOC assessments in batches of 20% as the case manager completes them for the purpose of allowing the facility time to review the assessment prior to the Exit Conference. Differences between the facility and the IOC team regarding level of need of the residents are to be addressed using a three-step approach:

- 1) exit conference negotiation between the facility and IOC team;
- 2) central office arbitration; and
- 3) first level review.

b) At the exit conference the facility must state the service needs that it wishes to dispute. The facility is responsible for providing supporting data to the IOC team in an effort to reconcile the differences. When the differences are not reconciled through negotiation, the IOC team nurse will provide the facility with appeal/arbitration request forms on which the facility must record the level of service it believes accurately reflects the residents' needs.

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Section 147.100 Reconsiderations (Cont'd.)

The nurse will automatically forward the appeal/arbitration request forms and supportive documentation provided by the facility to the central office for arbitration.

- c) Arbitration is contingent upon exit conference negotiation and the submittal of the completed appeal arbitration request forms to the IOC team.

- d) First level review is contingent upon the previous steps having been completed.

- e) Final resolution of the reconsideration process shall be within 100 days of the date of the exit conference which constitutes the first step of the process.

- f) Arbitration shall be completed by nurse and/or physician arbitrators, as indicated. Results of the arbitration will be communicated in writing to the facility within forty-five days after the exit conference. If the arbitration review does not resolve differences concerning disputed items to the facility's satisfaction, the facility must request, in writing, a first level review within ten days of receipt of the central office arbitration decision. The facility can request an on-site reassessment of the residents remaining in dispute after the arbitration decision. Otherwise the reconsideration process will be completed without advancing to first level review.

- g) First level review will be conducted by the Chief of the Bureau of Long Term Care or designee. Any information that was not presented at the exit conference and/or the arbitration will not be considered. The Bureau Chief or designee will reverse the arbitrator's determination only if it is demonstrated that relevant evidence was not considered or finds the arbitrator's determination against the weight of the evidence. Results of the administrator's review and reasons, therefore, will be mailed to the facility within 45 days of receipt of the facility's request for first level review.

- h) The Department reserves the right to examine the validity of all assessments. A reassessment may be

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Section 147.100 Reconsiderations (Cont'd.)

conducted and will serve as the basis for the facility's program reimbursement for the rate period in question. The facility may request a review of this reassessment according to the specifications above. Such an examination may be triggered by but not limited to assessments resulting in a rate increase or decrease of ten or more percent.

(Source: Amended at 13 Ill. Reg. 559, effective January 1, 1989)

Section 147.100 Table A Staff Time and Allocation by Need Level

- a) The following reimbursement times, allocations, and need levels apply for the reimbursement period from January 1, 1987 through June 30, 1987:

Item	Level	Time	Allocation	Staff Type
Bathing, Grooming	0	4		Nurse Aide
	1	12		Nurse Aide
	2	22		Nurse Aide
Clothing	0	2		Nurse Aide
	1	10		Nurse Aide
	2	20		Nurse Aide
Eating	0	3		Nurse Aide
	1	12		Nurse Aide
	2	36		Nurse Aide
Mobility	0	36		Licensed Staff
	1	2		Nurse Aide
	2	12		Nurse Aide
Continence	0	14		Nurse Aide
	1	18		Nurse Aide
	2	22		Nurse Aide
Psycho-Social Care	0	12		Nurse Aide
	1	16		Nurse Aide/Licensed Staff
		15/1		

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Section 147. Table A Staff Time and Allocation by Need Level
(Cont'd.)

Section 147. Table A Staff Time and Allocation by Need Level
(Cont'd.)

Item	Level	Time	Allocation	Staff Type
Appliances	2	22	21/1	Nurse Aide/ Licensed Staff
	3	36	35/1	Nurse Aide/ Licensed Staff
	0	0		
Catheters	1	6	5/1	Nurse Aide/ Licensed Staff
	2	12	10/2	Nurse Aide/ Licensed Staff
	0	0		
Decubitus Care	1	12	6/6	Nurse Aide/ Licensed Staff
	2	14		Licensed Staff
	0	0		
Wound Care	1	8		Licensed Staff
	2	20	6/2	Licensed Staff
	3	8		Nurse Aide/ Licensed Staff
Injections	4	14	12/2	Nurse Aide/ Licensed Staff
	0	0		
	1	6		Licensed Staff
Intravenous, Clysis	2	18		Licensed Staff
	0	0		
	1	1		Licensed Staff
Lab Specimen	2	4.5		Licensed Staff
	0	0		
	1	1	.5/.5	Nurse Aide/ Licensed Staff
	2	2	1/1	Nurse Aide/ Licensed Staff
	3	10	5/5	Nurse Aide/ Licensed Staff
	0	0		

Item	Level	Time	Allocation	Staff Type
Speech - Language Pathology and Audiology	0	0		
	1	8		Therapist
	2			
Medications and Medication Monitoring	0	10		Licensed Staff
	1	12		Licensed Staff
	2	14		Licensed Staff
Occupational Therapy	3	16		Licensed Staff
	0	0		
	1	14		Therapist
Ostomy Care	2	14	13/1	COTA/Therapist
	3	14	13/1	Nurse Aide/ Therapist
	4	1		Therapist
Physical Therapy	0	0		
	1	14		Therapist
	2	14	13/1	PTA/Therapist
Respiratory Therapy	3	14	13/1	Nurse Aide/ Therapist
	4	1		Therapist
	0	0		
Tracheostomy Care	1	17	15/2	Nurse Aide/ Licensed Staff
	2	25	5/20	Nurse Aide/ Licensed Staff
	0	0		
Suctioning	0	0		
	1	5		Licensed Staff
	2	30		Licensed Staff
Passive Range of Motion	0	0		
	1	7		Nurse Aide
	0	0		

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Section 147. Table A Staff Time and Allocation by Need Level
(Cont'd.)

Item	Level	Time	Allocation	Staff Type
Discharge Planning	2	14		Nurse Aide
	0	0		
Activities	1	10		Licensed Staff
	0	10		Nurse Aide
Grooming	0	3		Nurse Aide
Agency Note: level "0" carries no reimbursement potential when accompanied by "0" time. Level "0" provides reimbursement for every facility when accompanied with time. Such time becomes a facility's base rate for every resident.				
b) The following reimbursement times, allocations, and need levels apply for the reimbursement period from July 1, 1987 through December 31, 1987:				
Item	Level	Time	Allocation	Staff Type
Bathing, Grooming	0	6		Nurse Aide
	1	12		Nurse Aide
	2	22		Nurse Aide
Clothing	0	4		Nurse Aide
	1	10		Nurse Aide
	2	20		Nurse Aide
Eating	0	6		Nurse Aide
	1	15		Nurse Aide
	2	39		Nurse Aide
Mobility	3	39		Licensed Staff
	0	5		Nurse Aide
	1	12		Nurse Aide
Continence	2	14		Nurse Aide
	0	2		
	1	14		Nurse Aide
	2	18		Nurse Aide
	3	22		Nurse Aide

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Section 147. Table A Staff Time and Allocation by Need Level
(Cont'd.)

Item	Level	Time	Allocation	Staff Type
Psycho-Social Care	0	12		Nurse Aide
	1	22	17.5/4.5	Nurse Aide/ Licensed Staff
	2	28	19.5/8.5	Nurse Aide/ Licensed Staff
	3	36	35/1	Nurse Aide/ Licensed Staff
Appliances	0	0		
	1	6	5/1	Nurse Aide/ Licensed Staff
	2	12	10/2	Nurse Aide/ Licensed Staff
Catheters	0	0		
	1	12	6/6	Nurse Aide/ Licensed Staff
	2	14		Licensed Staff
Decubitus Care	0	0		
	1	8		Licensed Staff
	2	20		Licensed Staff
	3	8	6/2	Nurse Aide/ Licensed Staff
	4	14	12/2	Nurse Aide/ Licensed Staff
Wound Care	0	0		
	1	6		Licensed Staff
	2	18		Licensed Staff
Injections	0	0		
	1	1		Licensed Staff
	2	4.5		Licensed Staff
Intravenous, Clysis	0	0		
	1	4		Licensed Staff
	2	8		Licensed Staff
Lab Specimen	0	0		
	1	1	.5/5	Nurse Aide/ Licensed Staff

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Section 147. Table A Staff Time and Allocation by Need Level
(Cont'd.)

Item	Level	Time	Allocation	Staff Type
Lab Specimen (cont'd)	2	2	1/1	Nurse Aide/ Licensed Staff
	3	10	5/5	Nurse Aide/ Licensed Staff
Speech - Language Pathology and Audiology	0	0		
	1	8		Therapist
Medications and Medication Monitoring	0	12		Licensed Staff
	1	14		Licensed Staff
	2	16		Licensed Staff
	3	18		Licensed Staff
Occupational Therapy	0	0		
	1	14		Therapist
	2	14	13/1	COTA/Therapist
	3	14	13/1	Nurse Aide/ Therapist
	4	1		Therapist
Ostomy Care	0	0		
	1	6		Licensed
	2	13		Licensed
Physical Therapy	0	0		
	1	14		Therapist
	2	14	13/1	PTA/Therapist
	3	14	13/1	Nurse Aide/ Therapist
	4	1		Therapist
Respiratory Therapy	0	0		
	1	17	15/2	Nurse Aide/ Licensed Staff
	2	25	5/20	Nurse Aide/ Licensed Staff
Tracheostomy Care	0	0		
	1	6		Licensed Staff
	2	13		Licensed Staff

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Section 147. Table A Staff Time and Allocation by Need Level
(Cont'd.)

Item	Level	Time	Allocation	Staff Type
Suctioning	0	0		Licensed Staff
	1	5		Licensed Staff
	2	30		Licensed Staff
Passive Range of Motion	0	0		
	1	7		Nurse Aide
	2	14		Nurse Aide
Discharge Planning	0	0		
	1	10		Licensed Staff
Activities	0	10		Nurse Aide
Grooming	0	3		Nurse Aide

Agency Note: level "0" carries no reimbursement potential when accompanied by "0" time. Level "0" provides reimbursement for every facility when accompanied with time. Such time becomes a facility's base rate for every resident.

c) The following reimbursement times, allocations, and need levels apply for the all reimbursement periods from commencing on or after January 1, 1988, through June-30-1988.

Item	Level	Time	Allocation	Staff Type
Bathing, Grooming	0	6		Nurse Aide
	1	12		Nurse Aide
	2	22		Nurse Aide
Clothing	0	4		Nurse Aide
	1	10		Nurse Aide
	2	20		Nurse Aide
Eating	0	6		Nurse Aide
	1	15		Nurse Aide
	2	39		Nurse Aide
	3	39		Licensed Staff
Mobility	0	5		Nurse Aide
	1	12		Nurse Aide
	2	14		Nurse Aide

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Section 147. Table A Staff Time and Allocation by Need Level
(Cont'd.)

<u>Item</u>	<u>Level</u>	<u>Time</u>	<u>Allocation</u>	<u>Staff Type</u>
Continence	0	2		
	1	14		Nurse Aide
	2	18		Nurse Aide
Psycho-Social Care	3	22		Nurse Aide
	0	12		Nurse Aide
	1	22	17.5/4.5	Nurse Aide/ Licensed Staff
Appliances	2	28	19.5/8.5	Nurse Aide/ Licensed Staff
	3	36	35/1	Nurse Aide/ Licensed Staff
Catheters	0	0		Nurse Aide/ Licensed Staff
	1	6	5/1	Nurse Aide/ Licensed Staff
	2	12	10/2	Nurse Aide/ Licensed Staff
Decubitus Care	0	0		Nurse Aide/ Licensed Staff
	1	12	6/6	Nurse Aide/ Licensed Staff
	2	14		Licensed Staff
Decubitus Prevention	0	0		Licensed Staff
	1	8		Licensed Staff
	2	20		Licensed Staff
Wound Care	3	0	0/0	
	4	0	0/0	
	0	0		Nurse Aide/ Licensed Staff
Injections	1	8	6/2	Licensed Staff
	2	14	12/2	Nurse Aide/ Licensed Staff
	0	0		Licensed Staff
	1	6		Licensed Staff
	2	18		Licensed Staff
	0	0		Licensed Staff
	1	1		Licensed Staff
	2	4.5		Licensed Staff

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Section 147. Table A Staff Time and Allocation by Need Level
(Cont'd.)

<u>Item</u>	<u>Level</u>	<u>Time</u>	<u>Allocation</u>	<u>Staff Type</u>
Intravenous, Clysis	0	0		Licensed Staff
	1	4		Licensed Staff
	2	8		Licensed Staff
Lab Specimen	0	0		Nurse Aide/ Licensed Staff
	1	1	.5/.5	Nurse Aide/ Licensed Staff
	2	2	1/1	Nurse Aide/ Licensed Staff
Speech - Language Pathology and Audiology	3	10	5/5	Nurse Aide/ Licensed Staff
	0	0		Therapist
	1	8		Licensed Staff
Medications and Medication Monitoring	0	12		Licensed Staff
	1	14		Licensed Staff
	2	16		Licensed Staff
Occupational Therapy	3	18		Licensed Staff
	0	0		Therapist
	1	14		COTA/Therapist
Ostomy Care	2	14	13/1	Nurse Aide/ Therapist
	3	14	13/1	Therapist
	4	1		Therapist
Physical Therapy	0	0		Licensed
	1	14		Licensed
	2	14		Therapist
Respiratory Therapy	3	14	13/1	PTA/Therapist
	4	1	13/1	Nurse Aide/ Therapist
	0	0		Therapist
	1	17		Nurse Aide/ Licensed Staff
	2	13		Licensed Staff
	3	14		Licensed Staff
	4	1		Therapist
	0	0		Therapist
	1	14		Therapist
	2	14		Therapist
	3	14		Therapist
	4	1		Therapist
	0	0		Nurse Aide/ Licensed Staff
	1	17	15/2	Licensed Staff
	2	13		Licensed Staff

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Section 147. Table A Staff Time and Allocation by Need Level (Cont'd.)

Item	Level	Time	Allocation	Staff Type
Tracheostomy Care	0	0	5/20	Nurse Aide/ Licensed Staff
	1	6		
	2	13		
Suctioning	0	0		Licensed Staff
	1	5		
	2	30		
Passive Range of Motion	0	0		Nurse Aide
	1	7		
	2	14		
Discharge Planning	0	0		Licensed Staff
	1	10		
Health and Fitness	0	0	3/1	Nurse Aide/ Licensed Staff
	1	4		
	2	5		
Activities	0	10	3/1	Nurse Aide/ Licensed Staff
	1	4		
	2	4		
Grooming	0	3		Nurse Aide
	1	3		

Agency Note: Level "0" carries no reimbursement potential when accompanied by "0" time. Level "0" provides reimbursement for every facility when accompanied with time. Such time becomes a facility's base rate for every resident.

(Source: Amended at 13 Ill. Reg. 559, effective January 1, 1989)

Section 147. Table B Staff Time and Allocation for Restorative Programs

Table B refers to Section 147.25(e), "Restorative Care"

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NOTICE OF ADOPTED AMENDMENTS

Section 147. Table B Staff Time and Allocation for Restorative Programs (Cont'd.)

Item	Level	Time	Allocation	Staff Type
Bathing, Grooming	0	0	6/2	Nurse Aide/ Licensed Staff
	1	8		
Clothing	0	0	6/2	Nurse Aide/ Licensed Staff
	1	8		
Eating	0	0	6/2	Nurse Aide/ Licensed Staff
	1	8		
Mobility	0	0	12/2	Nurse Aide/ Licensed Staff
	1	14		

Agency Note: Level "0" carries no reimbursement potential when accompanied by "0" time.

b) The following reimbursement times, allocations, and need levels apply for the reimbursement period from July 1, 1987 through December 31, 1987:

Item	Level	Time	Allocation	Staff Type
Bathing, Grooming	0	0	12/2	Nurse Aide/ Licensed Staff
	1	14		
Clothing	0	0	12/2	Nurse Aide/ Licensed Staff
	1	14		
Eating	0	0	12/2	Nurse Aide/ Licensed Staff
	1	14		
Mobility	0	0	18/2	Nurse Aide/ Licensed Staff
	1	20		

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Section 147. Table B Staff Time and Allocation for Restorative Programs (Cont'd.)

Agency Note: Level "0" carries no reimbursement potential when accompanied by "0" time.

- c) The following reimbursement times, allocations, and need levels apply for the all reimbursement periods from commencing on or after January 1, 1988, through June 30, 1989.

Item	Level	Time	Allocation	Staff Type
Bathing, Grooming	0	0		
	1	14	12/2	Nurse Aide/ Licensed Staff
Clothing	0	0		
	1	14	12/2	Nurse Aide/ Licensed Staff
Eating	0	0		
	1	14	12/2	Nurse Aide/ Staff Type
Item	Level	Time	Allocation	Licensed Staff
Mobility	0	0		
	1	20	18/2	Nurse Aide/ Licensed Staff

Agency Note: Level "0" carries no reimbursement potential when accompanied by "0" time.

(Source: Amended at 13 Ill. Reg. 559., effective January 1, 1989)

OFFICE OF THE STATE FIRE MARSHAL

NOTICE OF EMERGENCY AMENDMENTS

- 1) Heading of the Part: Fire Prevention and Safety
- 2) Code citation: 41 Ill. Adm. Code 100
- 3) Section numbers:
100.110
Adopted Action:
New Section
- 4) Statutory Authority: Section 9 of AN ACT relating to the investigation and prevention of fire (Ill. Rev. Stat. 1987, ch. 127 1/2, pars. 9).
- 5) Effective Date of Amendments: January 3, 1989
- 6) If this Emergency Amendment is to expire before the end of the 150-day period, please specify the date on which it is to expire: Not applicable
- 7) Date Filed in Agency's Principal Office: December 23, 1988
- 8) Reason for Emergency: The Office of the State Fire Marshal adopted a model code at 12 Ill. Reg. 8017 which altered requirements for Day Care Centers effective August 1, 1988.

A number of day care providers are unable to meet the requirements of the model code in the near future, and relief has been requested due to the licensing problems and difficulty in obtaining insurance coverage due to the new code. A large number of providers face imminent closure due to several of these new requirements. Loss of the number of day care spaces will result in disruption of the lives of many young children and their parents and possible increase in jeopardy to the children by placement in "underground", unlicensed facilities or disruption of the economy by parents leaving their work place to take care of their children. Other existing day care centers may be unable to absorb the number of children.

- 9) A Complete Description of the Subjects and Issues Involved: The Office of the State Fire Marshal seeks to eliminate requirements for sprinkler systems in certain day care centers which are required to be sprinklered solely based upon construction types. The Office also seeks to delay imposition of new fire alarm requirements and to preserve previously required fire alarm systems. Further, the Office seeks to clarify based upon prior rules, what is below grade for the regulated community. Also, the Office seeks to delay the requirement for door closers due to the large number of impacted doors in many day care centers.

- 10) Are there any other proposed amendments pending to this Part? No
Section Numbers Proposed Action Illinois Register Citation

OFFICE OF THE STATE FIRE MARSHAL

NOTICE OF EMERGENCY AMENDMENTS

11) Statement of Statewide Policy Objectives: This rulemaking is designed to ease a regulatory burden and is not expected to adversely impact local governments.

12) Information and questions regarding this adopted rule shall be directed to:

Name: John J. Pavlou
Address: 3150 Executive Park Drive, Springfield, Illinois 62703-4599
Telephone: (217) 785-4143

The full text of the emergency amendment begins on the next page:

OFFICE OF THE STATE FIRE MARSHAL

NOTICE OF EMERGENCY AMENDMENTS

TITLE 41: FIRE PROTECTION
CHAPTER I: OFFICE OF THE STATE FIRE MARSHAL

PART 100
FIRE PREVENTION AND SAFETY

Section	Introduction
100.1	Title, Jurisdiction, Powers, Penalties, Right of Entry, Existing Structures
100.3	Building Construction Types
100.4	Fire Areas
100.5	Adoption of NFPA 101, Life Safety Code by Reference
100.7	Modification of N.F.P.A. 101 (1985) for Existing Day Care
100.110	EMERGENCY
100.Appendix A	Modification of Standards Referenced in NFPA 101

AUTHORITY: Implementing and authorized by Section 9 of "AN ACT relating to the investigation and prevention of fire" (Ill. Rev. Stat. 1987, ch. 127 1/2, par. 9)

SOURCE: Illinois Rules and Regulations for Fire Prevention and Safety, amended September 24, 1973; amended Jan. 8, 1974; Rules and Regulations relating to Fireworks filed October 8, 1974; codified at 5 Ill. Reg. 10673; amended at 6 Ill. Reg. 13021, effective December 15, 1982; amended at 7 Ill. Reg. 16399, effective January 1, 1984; amended at 9 Ill. Reg. 1009, effective July 1, 1985; Sections 100.81, 100.82 and 100.85 recodified to 41 Ill. Adm. Code 105.5, 105.10 and 105.20 at 11 Ill. Reg. 5992; Part repealed, new Part adopted at 12 Ill. Reg. 8017, effective August 1, 1988; emergency amendment at 13 Ill. Reg. ⁵⁸², effective January 3, 1989, for a maximum of 150 days.

Section 100.110 Modification of N.F.P.A. 101 (1985) for Existing Day Care EMERGENCY

- a) Child-to-Staff ratio shall comply with 89 Ill. Adm. Code 406 and 407 rather than N.F.P.A. 101 (1985) Section 11-7.1.1.1.
- b) Table 11-7.1.6.1 is modified to eliminate the requirement for automatic sprinkler systems in one and two story day care centers based solely upon the construction type.
- c) Day care centers which were approved by the Office prior to August 1, 1988 and are located, or prior to August 1, 1988 were considered located, four feet or less below grade (or the level of exit discharge), shall not be considered located below the level of exit discharge in applying N.F.P.A. 101 (1985) Section 11-7.1.6.2.

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d) Smoke detection systems in corridors, sleeping rooms or lounges, are not required prior to June 2, 1989, provided existing fire alarm systems which were previously approved by the Office are maintained. Facilities must still comply with the Smoke Detector Facilities Act (Ill. Rev. Stat. 1987, ch. 127 1/2, pars. 821 et seq.).

e) Exit access corridors in day care centers shall comply with N.F.P.A. 101 (1985) Section 11-3.6.1, however door closers are not to be required prior to June 2, 1989. Existing door closers shall be maintained. Door closers are required for vertical protection.

(Source: Emergency rule added at 13 Ill. Reg. 582, effective January 3, 1989 for a maximum of 150 days.)

DEPARTMENT OF INSURANCE

NOTICE OF EMERGENCY AMENDMENTS

1) The Heading of the Part: Minimum Standards for Individual and Group Medicare Supplement Insurance

2) Code Citation: 50 Ill. Adm. Code 2008

Section Numbers	Emergency Action
2008.10	Amendment
2008.20	Amendment
2008.30	Amendment
2008.40	Amendment
2008.50	Amendment
2008.60	Amendment
2008.70	Amendment
2008.71	New Section
2008.80	Amendment
2008.81	New Section
2008.82	New Section
2008.90	Amendment
Appendix A	Amendment
Appendix B	Amendment
Appendix C	Amendment
Appendix E	New Appendix
Appendix F	New Appendix
Appendix G	New Appendix

4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 73, pars. 975, 975a, and 1013 as amended by P.A. 85-1174, effective August 13, 1988.

5) Effective Date of Amendments: January 1, 1989

6) If this emergency amendment is to expire before the end of the 150-day period, please specify the date on which it is to expire: N/A.

7) Date Filed in Agency's Principal Office: December 30, 1988

DEPARTMENT OF INSURANCE

NOTICE OF EMERGENCY AMENDMENTS

8) Reason for Emergency:

The Medicare Catastrophic Coverage Act of 1988 (Public Law 100-360) mandates major changes in Medicare benefits which will better protect elderly and disabled Medicare beneficiaries from catastrophic or extraordinary hospital, doctor and prescription drug bills, many of which are effective January 1, 1989.

Under Section 1882 of the Social Security Act, insurers who market private insurance policies to fill the gaps in Medicare's coverage may market such policies as Medicare supplement policies if they are certified by the U. S. Secretary of Health and Human Services as meeting minimum standards which were developed and approved by the National Association of Insurance Commissioners (NAIC) on June 6, 1979, and are incorporated by reference in Section 1882. These standards have been previously adopted by the Director and are contained in Part 2008.

The recent enactment of both the Medicare Catastrophic Coverage Act of 1988 on the federal level and Public Act 85-1174 on the state level requires that the existing minimum standards be amended or replaced as the standard for certification of Medicare supplemental insurance policies, and that the new standards deal appropriately with adapting existing, certified policies to the amendments in Medicare made by the 1988 Act, and make other changes which are necessary to reflect changes in these laws, some of which take effect on January 1, 1989. As of that date, both state and federal law will prohibit the inclusion of provisions in Medicare supplemental policies which duplicate the benefits covered under Medicare.

In order to assure that Medicare beneficiaries who have or purchase Medicare supplemental insurance policies after this date receive the full benefit of these legislative changes, a number of important revisions must be made as of January 1, 1989, in the minimum standards provided for in Part 2008,

DEPARTMENT OF INSURANCE

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including minimum benefits standards; loss ratio requirements; disclosure, replacement and notice requirements; claim-payment procedures; and required submission of advertising.

The Department has determined that failure to implement these amendments to Part 2008 on an emergency basis would constitute a threat to the public interest, in protecting the health and welfare of the state's more than one million elderly and disabled Medicare beneficiaries, and in assuring the continued existence of a marketplace for this type of insurance product after January 1, 1989. These amendments are necessary to implement recent changes in state and federal law regarding Medicare benefits and Medicare supplement insurance. The federal law (the Medicare Catastrophic Coverage Act of 1988) made major changes in Medicare benefits and mandated that new minimum standards for Medicare supplement insurance be established by the National Association of Insurance Commissioners (NAIC) to replace or modify those which were adopted by the NAIC in 1979 and are the basis for the current Part 2008. The NAIC adopted these new standards on September 20, 1988, and adopted further changes to the standards on December 16, 1988. This emergency rulemaking is promulgating these revised minimum standards as adopted by the NAIC, and conditionally approved by the Supplemental Health Insurance Panel of the U.S. Department of Health & Human Services on December 13, 1988.

If these standards are not promulgated on an emergency basis, either existing Medicare supplement insurance policies could be sold to Illinois residents after January 1, 1989 which do not comply with the new minimum standards, or no Medicare supplement policies could be sold at all until the Department could approve new policies which meet the new revised minimum standards. Either alternative would be extremely injurious to Illinois' senior citizens. The Department was unable to implement these new standards through the normal rulemaking process because of the short period of time between enactment and implementation of these laws. All of the appropriate agencies at the state and federal level have fully cooperated with each other, and have moved as rapidly as possible to have these standards in place by the effective dates of these new laws. Nevertheless, the federal government only conditionally approved our proposed Medicare supplement rules on December 13, 1988. The revised NAIC Model standards were developed

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and adopted in record time, but they too were only finalized during the week of December 16, 1988.

9) A Complete Description of the Subjects and Issues Involved:

This emergency rulemaking implements recent amendments in State and Federal law regarding Medicare and Medicare supplement benefits, as set forth in P.A. 85-1174 and the "Medicare Catastrophic Coverage Act of 1988", respectively. New and amended standards regarding minimum benefits, claims payments, loss ratio standards, filing requirements, and disclosure requirements are enacted by the emergency rulemaking.

10) Are there any proposed amendments to this Part pending?

Proposed amendments to this Part have been filed simultaneously with this emergency rulemaking with the Secretary of State. The proposed amendments are identical to this emergency rulemaking and are published in this issue of the Illinois Register. The Section numbers and proposed action are identical to those set forth in number 3 of this Notice.

11) Statement of Statewide Policy Objectives: N/A

12) Information and questions regarding these proposed amendments shall be directed to:

Charles Budinger
Department of Insurance
320 W. Washington Street., 4th Floor
Springfield, Illinois 62767
(217) 782-4572

The full text of the emergency amendments begin on the next page:

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NOTICE OF EMERGENCY AMENDMENTS

TITLE 50: INSURANCE
CHAPTER 1: DEPARTMENT OF INSURANCE
SUBCHAPTER 2: ACCIDENT AND HEALTH INSURANCE

PART 2008
MINIMUM STANDARDS FOR INDIVIDUAL
AND GROUP MEDICARE SUPPLEMENT INSURANCE

Section	Authority
2008.10 EMERGENCY	
2008.20 EMERGENCY	Purpose
2008.30 EMERGENCY	Applicability and Scope
2008.40 EMERGENCY	Definitions
2008.50 EMERGENCY	Policy Definitions and Terms
2008.60 EMERGENCY	Prohibited Policy Provisions
2008.70 EMERGENCY	Minimum Benefit Standards
2008.71 EMERGENCY	Standards for Claims Payment
2008.80 EMERGENCY	Loss Ratio Standards
2008.81 EMERGENCY	Filing Requirements for Out-of-State Group Policies
2008.82 EMERGENCY	Prohibited Compensation for Replacement with the Same Company
2008.90 EMERGENCY	Required Disclosure Provisions
2008.100 EMERGENCY	Requirements for Replacement
2008.110 EMERGENCY	Severability
2008.120 EMERGENCY	Effective Date
APPENDIX A EMERGENCY	Policy Checklist
APPENDIX B EMERGENCY	Outline of Medicare Supplement Coverage
APPENDIX C EMERGENCY	Notice to Applicant Regarding Replacement of Accident and Sickness Insurance (Response Other Than Direct)
APPENDIX D EMERGENCY	Notice to Applicant Regarding Replacement of Accident and Sickness Insurance (Direct Response)
APPENDIX E EMERGENCY	Notice of Medicare Changes - 1989

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APPENDIX F
EMERGENCY

Notice of Medicare Changes - 1990

APPENDIX G
EMERGENCY

Notice of Medicare Changes - 1991

AUTHORITY: Implementing Sections 363 and 363(a) and authorized by Section 401 of the Illinois Insurance Code (Ill. Rev. Stat. 1987, ch. 73, pars. 975, 975(a) and 1013, as amended by P.A.85-1174, effective August 13, 1988).

SOURCE: Adopted at 6 Ill. Reg. 7115, effective 1, 1982; adopted at 6 Ill. Reg. 7115, effective January 1, 1983; codified at 7 Ill. Reg. 3474; emergency amendments at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days.

Section 2008.10 Authority
EMERGENCY

This Part is issued by the Director of Insurance pursuant to Section 401 of the Illinois Insurance Code (Ill. Rev. Stat. 1987, ch. 73, par. 1013) which empowers the Director "...to make reasonable rules and regulations as may be necessary for making effective..." the insurance laws of this State. This Part implements Section 363 and 363(a) of the Illinois Insurance Code (Ill. Rev. Stat. 1987, ch. 73, pars. 975 and 975(a)).

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

Section 2008.20 Purpose
EMERGENCY

The purpose of this regulation is to provide for the reasonable standardization of coverage and simplification of terms and benefits of Medicare supplement policies; to facilitate public understanding and comparison of such policies; to eliminate provisions contained in such policies which may be misleading or confusing in connection with the purchase of such policies or with the settlement of claims; and to provide for full disclosures in the sale of accident and sickness insurance coverages to persons eligible for Medicare by reason of age.

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

Section 2008.30 Applicability and Scope
EMERGENCY

- a) Except as otherwise specifically provided this regulation Part

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shall apply to:

- 1) All Medicare supplement policies and subscriber contracts delivered, or issued for delivery, renewed or amended in this State on or after the effective date hereof; and
- 2) All certificates issued under group Medicare supplement policies or subscriber contracts, which policies or contracts have been delivered or issued for delivery in this State.

b) This Part shall not apply to:

- 1) ~~individual policies or contracts issued pursuant to a conversion privilege under a policy or contract of group or individual insurance when such group or individual policy or contract includes provisions which are inconsistent with the requirements of this regulation, or "Accident Only" or "Specified Disease" types of policies or~~
- 2) ~~Medicare supplement policies issued to employees or members as additions to franchise plans in existence on the effective date of this regulation. Policies or health care benefit plans, including group conversion policies, provided to Medicare eligible persons, which policies or plans are not marketed or purported or held to be Medicare supplement policies or benefit plans.~~

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

Section 2008.40 Definitions
EMERGENCY

For the purposes of this regulation:

"Applicant" means:

in the case of an individual Medicare supplement policy or subscriber contract, the person who seeks to contract for insurance benefits; and

in the case of a group Medicare supplement policy or subscriber contract, the proposed certificateholder.

"Certificate" means any certificate issued under a group Medicare

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supplement policy which policy certificate has been delivered or issued for delivery in this State.

"Medicare Supplement Policy" means a policy, issued to an individual or group which is advertised, marketed or designed primarily as a supplement to reimbursement under Medicare for the hospital, medical and surgical expenses of persons eligible for Medicare by reason of age. Such term does not include group or individual policy of Accident and Health insurance or subscriber contract delivered or issued for delivery in this State by an insurer, fraternal benefit society, nonprofit health, hospital or medical service corporation, prepaid health plan, or any similar organization which is advertised, marketed or designed primarily as a supplement to reimbursements under Medicare for the hospital, medical or surgical expenses of persons eligible for Medicare by reason of age.

A policy or contract of one or more employers or labor organizations, or of the trustees of a fund established by one or more employers or labor organizations, or combination thereof, for employees or former employees, or combination thereof, or for members or former members, or combination thereof, of the labor organizations, or

A policy or contract of any professional, trade or occupational association for its members or former or retired members, or combination thereof, if such association

is composed of individuals all of whom are actively engaged in the same profession, trade or occupation;

has been maintained in good faith for purposes other than obtaining insurance; and

has been in existence for at least two (2) years prior to the date of its initial offering of such policy or plan to its members;

individual policies or contracts issued pursuant to a conversion privilege under a policy or contract of group or individual insurance when such group or individual policy or contract includes provisions which are inconsistent with the requirements of this Act.

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

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Section 2008.50 Policy Definitions and Terms
EMERGENCY

No insurance policy or subscriber contract may be advertised, solicited or issued for delivery in this State as a Medicare supplement policy unless such policy or subscriber contract contains definitions or terms which conform to the requirements of this Section.

a) "Accident," "Accidental Injury" or "Accidental Means" shall be defined to employ "result" language and shall not include words which establish an accidental means test or use words such as "external, violent, visible wounds" or similar words of description or characterization.

1) The definition shall not be more restrictive than the following: "Injury or injuries for which benefits are provided means accidental bodily injury sustained by the insured person which is the direct cause of loss, independent of disease or bodily infirmity and occurring while the insurance is in force."

2) Such definition may provide that injuries shall not include injuries for which benefits are provided under any workers' compensation, employer's liability or similar law, motor vehicle no fault plan, unless prohibited by law, or injuries occurring while the insured person is engaged in any activity pertaining to any trade, business, employment, or occupation for wage or profit.

b) "Benefit Period" or "Medicare Benefit Period" shall not be defined as more restrictive than as that defined in the Medicare program.

c) "Convalescent Nursing Home," "Extended Care Facility" or "Skilled Nursing Facility" shall be defined in relation to status, facilities and available services.

1) A definition of such home or facility shall not be more restrictive than one requiring that it:

A) be operated pursuant to law;

B) be approved for payment of Medicare benefits or be qualified to receive such approval, if so requested.

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- C) be primarily engaged in providing, in addition to room and board accommodations, skilled nursing care under the supervision of a duly licensed physician;
- D) provide continuous twenty-four (24) hours a day nursing service by or under the supervision of a registered graduate professional nurse (R.N.); and
- E) maintains a daily medical record of each patient.

2) The definition of such home or facility may provide that such term shall not be inclusive of:

- A) any home, facility or part thereof used primarily for rest;
- B) a home or facility for the aged or for the care of drug addicts or alcoholics; or
- C) a home or facility primarily used for the care and treatment of mental diseases or disorders, or custodial or educational care.

d) Duplication of Insurance" means a transaction wherein new accident and health insurance is to be purchased and it is known to the agent or should be known to the agent or the insurer; in the case of a direct response solicitation, that the new insurance will provide some of the benefits or coverages which the proposed insured already has under existing accident and health insurance.

e) "Health Care Expenses" mean expenses of a nonprofit health, hospital or medical service corporation, prepaid health plan or similar organization associated with the delivery of health care services in which providers of the health care services are reimbursed for such services on an other than fee for service basis which are analogous to incurred losses of insurers. Such expenses shall not include:

- 1) Home office and overhead costs
- 2) Advertising costs
- 3) Commissions and other acquisition costs
- 4) Taxes

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- 5) Capital costs
- 6) Administrative costs, or
- 7) Claims processing costs.

f) "Hospital" may be defined in relation to its status, facilities and available services or to reflect its accreditation by the Joint Commission on Accreditation of Hospitals.

1) The definition of the term "hospital" shall not be more restrictive than one requiring that the hospital:

- A) be an institution operated pursuant to law; and
- B) be primarily and continuously engaged in providing or operating medical and diagnostic facilities, with major surgical facilities either on its premises or in facilities available to the hospital on a prearranged basis, under the supervision of a staff of duly licensed physicians for the medical care and treatment of sick or injured persons on an inpatient basis for which a charge is made; and

C) provide twenty-four (24) hour nursing service by or under the supervision of registered graduate professional nurses (R.N.'s);

2) The definition of the term "hospital" may state that such term shall not be inclusive of:

- A) convalescent, rest, or nursing home or facilities; or
- B) facilities primarily affording custodial, educational or rehabilitatory care.
- C) facilities for the aged, drug addicts or alcoholics; or
- D) any military or veterans hospital or soldiers home or any hospital contracted for or operated by any national government or agency thereof for the treatment of members or ex-members of the armed forces, except for services rendered on an emergency basis where a legal liability exists for charges made to the individual for such services.

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g) "Medicare" shall be defined as "The Health Insurance for the Aged Act, Title XVIII of the Social Security Amendments of 1965 as Then Constituted or Later Amended" or "Title I of Public Law 89-97 as Enacted by the Eighty-Ninth Congress of the United States of America and popularly known as the Health Insurance for the Aged Act," (42 U.S.C. 1395 et seq.) and then constituted and any later amendments or substitutes thereof, or words of similar import including the "Medicare Catastrophic Coverage Act of 1988."

h) "Medicare Eligible Expenses" shall mean health care expenses of the kinds covered by Medicare, to the extent recognized as reasonable by Medicare. Payment of benefits by insurers for Medicare eligible expenses may be conditioned upon the same or less restrictive payment conditions including: determinations of medical necessity, as are applicable to Medicare claims.

i) "Mental or Nervous Disorders" shall not be defined more restrictively than a definition including neurosis, psychoneurosis, psychopathy, psychosis or mental or emotional disease or disorder of any kind.

j) "Nurses" may be defined so that the description of nurse is restricted to a type of nurse, such as registered graduate professional nurse (R.N.), a licensed practical nurse (L.P.N.), or a licensed vocational nurse (L.V.N.). If the words "nurse," "trained nurse" or "registered nurse" are used without specific instruction, then the use of such terms require the insurer to recognize the services of any individual who qualifies under such terminology in accordance with the applicable statutes or administrative rules of the licensing or registry board of the state.

k) "Over-Insurance" means "duplication" of insurance to such extent that the combination of the existing insurance and the proposed insurance would substantially exceed any loss reasonably expected to be incurred.

l) "Physician" may be defined by including words such as "duly qualified physician" or "duly licensed physician." The use of such terms requires an insurer to recognize and to accept, to the extent of its obligation under the contract, all providers of medical care and treatment when such services are within the scope of the provider's licensed authority and are provided pursuant to applicable laws dealing with physician licensure.

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m) "Sickness" shall not be defined to be more restrictive than the following: "Sickness means sickness or disease of an insured person which first manifests itself after the effective date of insurance and while the insurance is in force." The definition may be further modified to exclude sicknesses or diseases for which benefits are provided under any workers' compensation, occupational disease, employer's liability or similar law.

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

Section 2008.60 Prohibited Policy Provisions
EMERGENCY

a) No insurance policy or subscriber contract may be advertised, solicited or issued for delivery in this State as a Medicare supplement policy if such policy or subscriber contract limits or excludes coverage by type of illness, accident, treatment or medical condition, except as follows:

- 1) foot care in connection with corns, calluses, flat feet, fallen arches, weak feet, chronic foot strain, or symptomatic complaints of the feet;
- 2) mental or emotional disorders, alcoholism and drug addiction.
- 3) illness, treatment or medical condition arising out of:
 - A) war or act of war (whether declared or undeclared);
 - B) participation in a felony, riot or insurrections; service in the armed forces or units auxiliary thereto,
 - C) suicide (sane or insane), attempted suicide or intentionally self-inflicted injury,
 - C) aviation,
- 4) cosmetic surgery, except that "cosmetic surgery" shall not include reconstructive surgery when such service is incidental to or follows surgery resulting from trauma, infection or other diseases of the involved part;
- 5) treatment provided in a governmental hospital benefits provided under Medicare or other governmental program (except

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Medicaid), any state or federal workers' compensation, employer's liability or occupational disease law, or any motor vehicle no-fault law; services rendered by employees of hospitals, laboratories or other institutions; services performed by a member of the covered person's immediate family and services for which no charge is normally made in the absence of insurance;

- 6) dental care or treatment;
 - 7) eye glasses, hearing aids, and examination for the prescription or fitting thereof;
 - 8) rest cures, custodial care, transportation and routine physical examinations;
 - 9) territorial limitations;
- provided, however, Medicare supplement policies may not contain, when issued, limitations or exclusions of the type enumerated above that are more restrictive than those of Medicare. Medicare supplement policies may exclude coverage for any expense to the extent of any benefit available to the insured under Medicare.

- b) No Medicare supplement policy may use waivers to exclude, limit, or reduce coverage or benefits for specifically named or described pre-existing diseases or physical conditions.

c) The terms "Medicare Supplement", "Medigap" and words of similar import shall not be used unless the policy complies with this Part.

d) No Medicare supplement insurance policy, contract or certificate in force in the State shall contain benefits which duplicate benefits provided by Medicare.

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

Section 2008.70 Minimum Benefit Standards EMERGENCY

No insurance policy or subscriber contract may be advertised, solicited or issued for delivery in this State as a Medicare supplement policy which does not meet the following minimum standards. These are minimum standards and do not preclude the inclusion of other provisions or benefits which are not inconsistent with these standards.

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a) General Standards.

The following standards apply to Medicare supplement policies and are in addition to all other requirements of this regulation.

- 1) A Medicare supplement policy may not deny a claim for losses incurred more than six (6) months from the effective date of coverage for a pre-existing condition. The policy may not define a pre-existing condition more restrictively than a condition for which medical advice was given or treatment was recommended by or received from a physician within six (6) months before the effective date of coverage.
- 2) A Medicare supplement policy may not indemnify against losses resulting from sickness on a different basis than losses resulting from accidents;
- 3) A Medicare supplement policy shall provide that benefits designed to cover cost sharing amounts under Medicare will be changed automatically to coincide with any changes in the applicable Medicare deductible amount and copayment percentage factors. Premiums may be modified to correspond with such changes;
- 4) A "noncancellable," "guaranteed renewable," or "noncancellable and guaranteed renewable" Medicare supplement policy shall not:
 - A) provide for termination of coverage of a spouse solely because of the occurrence of an event specified for termination of coverage of the insured, other than the nonpayment of premium, or
 - B) be cancelled or nonrenewed by the insurer solely on the grounds of deterioration of health; and
- 5) Termination of a Medicare supplement policy shall be without prejudice to any continuous loss which commenced while the policy was in force, but the extension of benefits beyond the period the policy was in force may be predicated upon the continuous total disability of the insured, limited to the duration of the policy benefit period, if any, or payment of the maximum benefits.

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b) Minimum Benefit Standards.

- 1) Coverage unless rejected by the insured for the expense incurred in an amount equal to the inpatient hospital deductible as determined under Medicare. Coverage for either all or none of the Medicare Part A inpatient hospital deductible amount.
- 2) Coverage of Part A Medicare eligible expenses for hospitalization to the extent not covered by Medicare from the 61st day through 90th day in any Medicare benefit period. Coverage for the daily copayment amount of Medicare Part A eligible expenses for the first 8 days per calendar year incurred for skilled nursing facility care.
- 3) Coverage of Part A Medicare eligible expenses incurred as daily hospital charges during use of Medicare's lifetime hospital inpatient reserve days. Coverage for the Medicare reasonable cost of the first 3 pints of blood (or equivalent quantities of packed red blood cells, as defined under federal regulations) under Medicare Part A unless replaced in accordance with federal regulations.
- 4) Upon exhaustion of all Medicare hospital inpatient coverage including the lifetime reserve days, coverage of 90% of all Medicare Part A eligible expenses for hospitalization not covered by Medicare subject to a lifetime maximum benefit of an additional 365 days.
 - A) Until January 1, 1990 coverage for 20% of the amount of Medicare eligible expenses under Part B regardless of hospital confinement, subject to a maximum calendar year out of pocket deductible of \$200 of such expenses and to a maximum benefit of at least \$5,000 per calendar year.
 - B) Effective January 1, 1990, coverage for the copayment amount (20 percent) of Medicare eligible expenses excluding outpatient prescription drugs under Medicare Part B regardless of hospital confinement up to the maximum out of pocket amount for Medicare Part B after the Medicare deductible amount.
- 5) Coverage of 20% of the amount of Medicare eligible expenses under Part B regardless of hospital confinement, subject to a

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maximum calendar year out of pocket deductible of \$200 of such expenses and to a maximum benefit of at least \$5,000 per calendar year. Effective January 1, 1990, coverage under Medicare Part B for the Medicare reasonable cost of the first 3 pints of blood (or equivalent quantities of packed red blood cells, as defined under federal regulations), unless replaced in accordance with federal regulations.

6) Effective January 1, 1990, coverage for the copayment amount (20 percent) of Medicare eligible expenses for covered home intravenous (IV) therapy drugs (as determined by the Secretary of Health and Human Services) subject to the Medicare outpatient prescription drug deductible amount, if applicable.

7) Effective January 1, 1990, coverage for the copayment amount (percent) of Medicare eligible expenses for outpatient drugs used in immunosuppressive therapy, subject to the Medicare outpatient prescription drug deductible, if applicable.

Agency Note: The percentages bracketed above are intended to mean the copayment amounts, whatever those amounts are. Some of the percentages may vary in future years. In subsection (7), for example, the copayment for drugs used in immunosuppressive therapy during the first year following a covered transplant is 20 percent. During the second and subsequent years following a covered transplant and during any year following a noncovered transplant, the copayments are:

1990	1991	1992	1993 and thereafter
50%	50%	40%	20%

c) Medicare Eligible Expenses.

Medicare eligible expenses shall mean health care expenses of the kinds covered by Medicare, to the extent recognized as reasonable by Medicare. Payment of benefits by insurers for Medicare eligible expenses may be conditioned upon the same or less restrictive payment conditions, including determinations of medical necessity as are applicable to Medicare claims.

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

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Section 2008.71 Standards for Claims Payment

- a) Every entity providing Medicare supplement policies or contracts shall comply with all provisions of Section 4081 of the Omnibus Budget Reconciliation Act of 1987 (P.L.100-203).
- b) Compliance with the requirements set forth in Section (a) above must be certified on the Medicare supplement insurance experience reporting form.

c) Every insurer, health care plan and other entity providing Medicare supplement insurance shall provide each policyholder, certificateholder, contractholder or enrollee at the time coverage is indicated, a card listing the policy, certificate or contract name and number and a single mailing address to which notices under Section 1842(h)(3)(B) of the Social Security Act (42 U.S.C. 1395 u (h)(3)(B)) respecting coverage are to be sent.

d) As an addition to the Medicare Supplement Insurance Experience reporting form, every insurer, health care service plan or other Medicare supplement coverage in this state shall file with the Department a list of its Medicare supplement policy forms, certificates or contracts offered or issued and outstanding in this state as of the end of the previous calendar year.

- 1) The list shall identify the filing insurer or other entity name, address and phone number, shall identify each policy form, certificate or contract by name and form number, and shall differentiate between policy forms, certificates and contracts filed with and approved by the Director in years prior to the previous calendar year, and those filed and approved in the previous calendar year.

- 2) Policy forms, certificates and contracts which are issued and outstanding in this state but are no longer offered for sale shall be specifically identified, as shall any policy forms, certificates or contracts which, for any reason, were not filed with and approved by the Director.

- 3) The list shall include identification of any policy form, certificate or contract for which the Director's approval was withdrawn within the previous calendar year.

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- e) The Director shall, at least annually, provide the Secretary of Health and Human Services with a list containing the information required to be submitted by this section, which has been received by the Director and identifies each insurer, health care plan or other entity by name and address.

(Source: Emergency rule added at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

Section 2008.80 Loss Ratio Standards
EMERGENCY

a) Medicare supplement policies shall be expected to return to policyholders in the form of aggregate benefits under the policy, as estimated for the entire period for which rates are computed to provide coverage, on the basis of incurred claims experience or incurred health care expenses, as appropriate, and earned premiums for such period and in accordance with accepted actuarial principles and practices:

- 1) At least 75% of the aggregate amount of premiums collected earned in the case of group policies; and
- 2) At least 60% of the aggregate amount of premiums collected earned in the case of individual policies and at least 65% of the aggregate amount of premiums earned in the case of sponsored group policies in which coverage is marketed on an individual basis by direct response to eligible individuals in that group only.
- 3) All filings of rates and rating schedules shall demonstrate that actual and expected losses in relation to premiums comply with the requirements of this Section.
- 4) Every entity providing Medicare supplement policies in this State shall file annually its rates, rating schedule and supporting documentation including ratios of incurred losses to earned premiums by number of years of policy duration demonstrating that it is in compliance with the foregoing applicable loss ratio standards and that the period for which the policy is rated is reasonable in accordance with accepted actuarial principles and experience.

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- b) For the purposes of this Section, ~~Medicare supplement policies issued as a result of settlements of individuals through the mail or mass media advertising, including both print and broadcast advertising, shall be treated as individual policies.~~ policy forms shall be deemed to comply with the loss ratio standards if: for the most recent year, the ratio of the incurred losses to earned premiums for policies or certificates which have been in force for three years or more is greater than or equal to the applicable percentages contained in this Section; and the expected losses in relation to premiums over the entire period for which the policy is rated comply with the requirements of this Section. An expected third-year loss ratio which is greater than or equal to the applicable percentage shall be demonstrated for policies or certificates in force less than three years.

- c) As soon as practicable, but no later than sixty (60) days prior to the effective date of Medicare benefit changes required by the Medicare Catastrophic Coverage Act of 1988, every insurer, health care service plan or other entity providing Medicare supplement insurance or contracts in this state except employers subject to the requirements of Section 421 of the Medicare Catastrophic Coverage Act of 1988, shall file with the Department:

- 1) Appropriate premium adjustments necessary to produce loss ratios as originally anticipated for the applicable policies or contracts. Such supporting documents as necessary to justify the adjustment shall accompany the filing.
- 2) Every insurer, health care service plan or other entity providing Medicare supplement insurance or benefits to a resident of this State pursuant to Section 363 of the Illinois Insurance Code shall make such premium adjustments as are necessary to produce an expected loss ratio under such policy or contract as will conform with minimum loss ratio standards for Medicare supplement policies and which are expected to result in a loss ratio at least as great as that originally anticipated in the rates used to produce current premiums by the insurer, health care service plan or other entity for such Medicare supplement insurance policies or contracts. No premium adjustment which would modify the loss ratio experience under the policy other than the adjustments described herein should be made with respect to a policy at any time other than upon its renewal date or anniversary date. Premium adjustments shall be in the form of refunds or premium credits and shall be

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made no later than upon renewal if a credit is given, or within sixty (60) days of the renewal date or anniversary date if a refund is provided to the premium payer. Premium adjustments shall be calculated for the period commencing with Medicare benefit changes.

- 3) Any appropriate riders, endorsements or policy forms needed to accomplish the Medicare supplement insurance modifications necessary to eliminate benefit duplications with Medicare. Any such riders, endorsements or policy forms shall provide a clear description of the Medicare supplement benefits provided by the policy or contract.

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

Section 2008.81 Filing Requirements for Out-of-State Group Policies EMERGENCY

Every insurer providing group Medicare supplement insurance benefits to a resident of this State under a master policy issued in another state shall file for informational purposes a copy of any certificate used in this State together with such identification of the group and situs of the master policy as the Department shall require. No insurer shall be required to make any such informational filing earlier than 30 days after insurance was provided to any resident of this State under any such certificate. (Section 363a(7) of the Illinois Insurance Code as amended by P.A.85-1174, effective August 13, 1988)

(Source: Emergency rule added at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

Section 2008.82 Prohibited Compensation for Replacement with the Same Company EMERGENCY

No entity shall provide compensation to its agents or other producers which is greater than the renewal compensation which would have been paid on an existing policy if the existing policy is replaced by another policy with the same company where the new policy benefits are substantially similar to the benefits under the old policy and the old policy was issued by the same insurer or insurer group. (Section 363a(9) of the Illinois Insurance Code, as amended by P.A.85-1174, effective August 13, 1988)

(Source: Emergency rule added at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

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Section 2008.90 Required Disclosure Provisions
EMERGENCY

have a notice prominently printed on the first page of the policy or attached thereto stating in substance that the policyholder or certificateholder shall have the right to return the policy or certificate within ten (10) thirty (30) days of its delivery and to have the premium refunded directly to him or her in a timely manner if, after examination of the policy or certificate, the insured person is not satisfied for any reason. Medicare supplement policies or certificates issued pursuant to a direct response solicitation to persons eligible for Medicare by reason of age shall have a notice prominently printed on the first page or attached thereto stating in substance that the policyholder or certificateholder shall have the right to return the policy or certificate within thirty (30) days of its delivery and to have the premium refunded if after examination the insured person is not satisfied for any reason.

a) General Rules

- 1) Medicare supplement policies shall include a renewal, continuation or nonrenewal provision. The language or specifications of such provision must be consistent with the type of contract to be issued. Such provision shall be appropriately captioned, shall appear on the first page of the policy, and shall clearly state the duration, where limited, of renewability and the duration of the term of coverage for which the policy is issued and for which it may be renewed.

- 2) Except for riders or endorsements by which the insurer effectuates a request made in writing by the insured or exercises a specifically reserved right under a Medicare supplement policy, or is required to reduce or eliminate benefits to avoid duplication of Medicare benefits, all riders or endorsements added to a Medicare supplement policy after date of issue or at reinstatement or renewal which reduce or eliminate benefits or coverage in the policy shall require signed acceptance by the insured. After the date of policy issue, any rider or endorsement which increases benefits or coverage with an accompanying increase in premium during the policy term must, unless the benefits are required by the minimum standards for Medicare supplement insurance policies, be agreed to in writing signed by the insured, except if the increased benefits or coverage is required by law. Where a separate additional premium is charged for benefits provided in connection with riders or endorsements, such premium charge shall be set forth in the policy.

- 3) A Medicare supplement policy which provides for the payment of benefits based on standards described as "usual and customary," "reasonable and customary," or words of similar import shall include a definition of such terms and an explanation of such terms in its accompanying outline of coverage.

- 4) If a Medicare supplement policy contains any limitations with respect to pre-existing conditions, such limitations must appear as a separate paragraph of the policy and be labeled as "Pre-existing Condition Limitations."

- 5) Medicare supplement policies or certificates, other than those issued pursuant to direct response solicitation, shall

- 6) Insurers issuing accident and sickness policies, certificates or subscriber contracts which provide hospital or medical expense coverage on an expense incurred or indemnity basis, other than incidentally, to a person(s) eligible for Medicare by reason of age shall provide to all applicants a "buyer's guide" approved by the Director of Insurance. Delivery of the "buyer's guide" shall be made whether or not such policies, certificates, or subscriber contracts are advertised, solicited or issued as Medicare supplement policies as defined in this regulation. Except in the case of direct response insurers, delivery of the "buyer's guide" shall be made to the applicant at the time of application and acknowledgement of receipt of the "buyer's guide" shall be obtained by the insurer. Direct response insurers shall deliver the "buyer's guide" to the applicant upon request but not later than at the time the policy is delivered.

- 7) Except as otherwise provided in Section 2008.90(d) of this Part, the terms "Medicare Supplement," "Medigap," and words of similar import shall not be used unless the policy is issued in compliance with Section 2008.70 of this Part.

b) Policy Checklist.

- 1) In order to determine what policy is appropriate and nonuplicative, a policy checklist must be completed in the presence of the applicant at the point of sale. Copies of the

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checklist, completed and duly signed are to be provided to the applicant and the company. This requirement does not apply to direct response solicitations.

- 2) The checklist required by (b)(1) above shall provide substantially the form prescribed in Appendix A.

c) Notice Requirements

- 1) As soon as practicable, but no later than thirty (30) days prior to the annual effective date of Medicare benefit changes, every insurer, health care service plan or other entity providing Medicare supplement insurance or benefits to a resident of this State shall notify its policyholders, contractholders and certificateholders of modifications it has made to Medicare supplement insurance policies or contracts. For the years 1989 and 1990, and if prescription drugs are covered in 1991, such notice shall be in the format prescribed in Appendices E, F and G. In addition, for the year 1989 and each year thereafter, such notice shall:

A) Include a description of revisions to the Medicare program and a description of each modification made to the coverage provided under the Medicare supplement insurance policy or contract.

B) Inform each covered person as to when any premium adjustment is to be made due to changes in Medicare.

- 2) The notice of benefit modifications and any premium adjustments shall be in outline form and in clear and simple terms so as to facilitate comprehension. This notice shall be plainly printed in no smaller than 11-point type.

3) Such notices shall not contain or be accompanied by any solicitation.

ed) Outline of Coverage Requirements for Medicare Supplement Policies.

- 1) Insurers issuing Medicare supplement policies for delivery in this state shall provide an outline of coverage to all applicants at the time application is made and, except for direct response policies, shall obtain an acknowledgment of receipt of such outline from the applicant; and

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- 2) If a Medicare supplement policy or certificate is issued on a basis which would require revision of the outline of coverage delivered at the time of application, a substitute outline of coverage properly describing the policy or certificate actually issued must accompany such policy or certificate when it is delivered and contain the following statement, in no less than twelve (12) point type, immediately above the company name:

"NOTICE: Read this outline of coverage carefully. It is not identical to the outline of coverage provided upon application and the coverage originally applied for has not been issued."

- 3) In addition to the statement required by Section 2008.90(ed)(2) of this Part, each revised outline of coverage accompanying a policy or certificate issued on a basis other than that originally applied for, must contain the following notice appearing in no less than twelve (12) point type:

"WARNING: The (policy or certificate) you have received is not the same as the one for which you made application."

- 4) The outline of coverage provided to applicants pursuant to subsection (ed)(2) shall be in the form prescribed in Appendix B.

de) Notice Regarding Policies or Subscriber Contracts Which are Not Medicare Supplement Policies.

In the case wherein a policy, as defined in Section 355(a)(2)(a) of the Illinois Insurance Code (Ill. Rev. Stat. 1981, ch. 73, par. 967(a)(2)(a)), being sold to a person eligible for Medicare by reason of age provides one or more but not all of the minimum standards for Medicare supplements in Section 363 of the Illinois Insurance Code (Ill. Rev. Stat. 1981, ch. 73, par. 975), such policy shall provide notice that such policy is not a Medicare supplement and does not meet the minimum benefits standards set for such policies in this State. Such notice shall appear on the first page of the policy, certificate or subscriber contract on the first page of the outline of coverage. Such notice shall be in no less than twelve (12) point type and shall contain the following language:

"THIS (POLICY, CERTIFICATE OR SUBSCRIBER CONTRACT) IS NOT A MEDICARE SUPPLEMENT (POLICY OR CERTIFICATE) IT DOES NOT FULLY SUPPLEMENT YOUR FEDERAL MEDICARE HEALTH INSURANCE. If you are

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eligible for Medicare review the Medicare Supplement Buyers Guide available from the company."

ef) Applications - Notice regarding policies or subscriber contracts which are not Medicare supplement policies.

In the case wherein an application is used to apply for the type of policy as defined in Section 208.90(de) of this Part, such application shall provide notice that the policy being applied for is not a "Medicare Supplement" and does not meet the minimum benefits standards set forth for such policies in this State. Such notice shall be in no less than twelve (12) point type and shall contain the following language:

"THIS (POLICY, CERTIFICATE OR SUBSCRIBER CONTRACT) WHICH YOU HAVE APPLIED FOR IS NOT A MEDICARE SUPPLEMENT (POLICY OR CERTIFICATE). IT DOES NOT FULLY SUPPLEMENT YOUR FEDERAL MEDICARE HEALTH INSURANCE. If you are eligible for Medicare, review the Medicare Supplement Buyers Guide available from the company."

g) Filing Requirements for Advertising - Notice regarding policies or subscriber contracts which are not Medicare supplement policies.

1) Every insurer, health care service plan or other entity providing Medicare supplement insurance or benefits in this State shall provide a copy of any Medicare supplement advertisement intended for use in this State whether through written, radio or television medium to the Director of Insurance of this State for review by the Director to the extent it may be required under state law.

2) Notice regarding policies or subscriber contracts which are not Medicare supplement policies.

In the case wherein any advertising as defined in Section 202.40 of 50 Ill. Adm. Code 2002 (Advertising of Accident and Sickness Insurance) is used to solicit the type of policy as defined in Section 208.90(de) of this Part, such advertising shall provide notice that the policy being advertised is not a Medicare supplement and does not meet the minimum benefits standards set forth for such policies in this State. Such notice shall be prominently disclosed within the text of the advertisement. Such notice shall be in no less than twelve (12) point type and shall contain the following language:

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"THIS (POLICY, CERTIFICATE OR SUBSCRIBER CONTRACT) IS NOT A MEDICARE SUPPLEMENT (POLICY OR CERTIFICATE). IT DOES NOT FULLY SUPPLEMENT YOUR FEDERAL MEDICARE HEALTH INSURANCE. If you are eligible for Medicare, review the Medicare Supplement Buyer's Guide available from the company."

(Source: Emergency amendment at 13 Ill. Reg. 586 effective January 1, 1989, for a maximum of 150 days)

Section 208. APPENDIX A Policy Checklist
EMERGENCY

Applicant's Name		Policy Number	
SERVICE	BENEFIT	MEDICARE PAYS	SUPPLEMENT PAYS
Hospital Inpatient	First-60-Days Unlimited Number of Hospital Days/Calendar Year	All But (\$)	YOU PAY
	61st-to-90th Day	All-But (\$-)-a-Day	
	91st-to-150th Day-(lifetime reserve)	(\$-)-a-Day	
	Beyond-150-Days	Nothing	
Skilled Nursing Home Care	First-20-Days	100%-of Cost	
	First 8 Days	All But (\$) a Day	
	9th - 150th Day	100% of Costs	
	Additional-80 Days	All-But (\$-)-a-Day	

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Beyond 150 Days Nothing

Beyond-100 Days Nothing

Medical Expense
Physician's services in hospital, office or home, in-patient and out-patient medical services and supplies at a hospital, physical and speech therapy and ambulance

80% of Medicare Determined allowable charges after (\$) Deductible

Pre-scription Drugs

All which cannot be self admin-istered Inpatient Prescription Drugs Only

This policy does/does not comply with the minimum standards set forth in Section 363 of the Illinois Insurance Code.

DATE _____ SIGNATURE OF APPLICANT _____

SIGNATURE OF AGENT _____

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

Section 2008.APPENDIX B Outline of Medicare Supplement Coverage

SERVICE	BENEFIT	MEDICARE PAYS	YOU PAY
HOSPITALIZATION	First-60 Days	100%	0%
Semi-private-room		100%	0%

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and-board,-general nursing-and-mis-cellaneous-hos-pital-services and-supplies

First-to 90th-Day All-But (\$----) a-Day

includes-meals special-care units,-drugs,-lab tests,-diagnostic x-ray,-medical supplies,-operating and-recovery-room, anesthesia-and rehabilitation services

First-to 150th-Day All-But (\$----) a-Day

Beyond-150 Days Nothing

POSTHOSPITAL SKILLED-NURSING CARE

First-20 Days 100%-of Cost

in-a-facility approved-by Medicare-you must-have-been in-a-hospital for-at-least three-days-and enter-the-facility within-14-days after-hospital discharge

Additional 80-Days All-But (\$----) a-Day

Beyond-100 Days Nothing

MEDICAL-EXPENSE

Physicians Services inpatient and-out-patient medical services and-sup-plies-at a-hospital physical and-speech

80%-of reason-able charge (after medical deduct-ible)

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therapy and
ambulance.

- 1) Read Your Policy Carefully.---This outline of coverage provides a very brief description of the important features of your policy.---This is not the insurance contract and only the actual policy provisions will control.---The policy itself sets forth in detail the rights and obligations of both you and your insurance company.---It is, therefore, important that you read your policy carefully.
- 2) Medicare Supplement Coverage.---Policies of this category are designed to supplement Medicare by covering some hospital, medical and surgical services which are partially covered by Medicare.---Coverage is provided for hospital inpatient charges and some physician charges, subject to any deductibles and co-payment provisions which may be in addition to those provided by Medicare, and subject to other limitations which may be set forth in the policy.---The policy does not provide benefits for custodial care such as help in walking, getting in and out of bed, eating, dressing, bathing and taking medicine (delete if such coverage is provided).
- 3) a) --- (for agents++)
Neither (insert company's name) nor its agents are connected with Medicare.
b) --- (for direct response++)
(insert company's name) is not connected with Medicare.
- 4) (A brief summary of the major benefit gaps in Medicare Parts A & B with a parallel description of supplemental benefits, including dollar amounts, provided by the Medicare supplement coverage in the following order.)
5) Statement that the policy does or does not cover the following:
a) Private duty nursing;
b) Skilled nursing home care costs (beyond what is covered by Medicare);

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- c) Custodial nursing home care costs;
 - d) Intermediate nursing home care costs;
 - e) Home health care above number of visits covered by Medicare;
 - f) Physician charges (above Medicare's reasonable charge);
 - g) Drugs (other than prescription drugs furnished during a hospital or skilled nursing facility stay);
 - h) Care received outside of U.S.A.;
 - i) Dental care or dentures, checkups, routine immunizations, cosmetic surgery, routine foot care, examinations for the cost of eyeglasses or hearing aids;
 - 6) A description of any policy provision which excludes, eliminates, restricts, reduces, limits, delays or in any other manner operates to qualify payments of the benefits described in (4) above, including conspicuous statements:
a) (That the chart summarizing Medicare benefits only briefly describes such benefits);
b) (That the Health Care Financing Administration or its Medicare publications should be consulted for further details and limitations);
 - 7) A description of policy provisions respecting renewability or continuation of coverage, including any reservation of rights to change premium;
 - 8) The amount of premium for this policy.
- (COMPANY NAME)
OUTLINE OF MEDICARE
SUPPLEMENT COVERAGE
- 1) Read Your Policy Carefully.--- This outline of coverage provides a very brief description of the important features of your policy. This is not the insurance contract and only the actual policy provisions will control. The policy itself sets forth in detail the rights and obligations of both you and your

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insurance company. It is, therefore, important that you READ YOUR POLICY CAREFULLY!

- 2) Medicare Supplement Coverage -- Policies of this category are designed to supplement Medicare by covering some hospital, medical and surgical services which are partially covered by Medicare. Coverage is provided for hospital inpatient charges and some physician charges, subject to any deductibles and copayment provisions which may be in addition to those provided by Medicare, and subject to other limitations which may be set forth in the policy. The policy does not provide benefits for custodial care such as help in walking, getting in and out of bed, eating, dressing, bathing and taking medicine (delete if such coverage is provided).

- 3) a) (for agents:)

Neither (insert company's name) nor its agents are connected with Medicare.

- b) (for direct response:)

(insert company's name) is not connected with Medicare.

- 4) (A brief summary of the major benefit gaps in Medicare Parts A & B with a parallel description of supplemental benefits, including dollar amounts and indexed copayments or deductibles, as appropriate, provided by the Medicare supplement coverage in the following order:)

DESCRIPTION

SERVICE THIS POLICY PAYS YOU PAY

PART A

INPATIENT HOSPITAL SERVICES:

Semi-Private Room & Board

Miscellaneous Hospital Services
& Supplies, such as Drugs,
X-Rays, Lab Tests & Operating
Room

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SKILLED NURSING FACILITY CARE

BLOOD

PARTS A & B

Home Health Services

PART B

MEDICAL EXPENSE:

Services of a Physician/
Outpatient Services

Medical Supplies other than
Prescribed Drugs

Blood

Mammography Screening

Out-of-Pocket Maximum

Prescription Drugs

MISCELLANEOUS

Home IV-Drug Therapy

Immunosuppressive Drugs

Respite Care Benefits

IN ADDITION TO THIS OUTLINE OF COVERAGE, (INSURANCE COMPANY NAME) WILL SEND AN ANNUAL NOTICE TO YOU 30 DAYS PRIOR TO THE EFFECTIVE DATE OF MEDICARE CHANGES WHICH WILL DESCRIBE THESE CHANGES AND THE CHANGES IN YOUR MEDICARE SUPPLEMENT COVERAGE.

- 5) The following charts shall accompany the outline of coverage:

Part A
MEDICARE BENEFITS IN

Service

1988

1989

1990

1991

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PART A

<u>Outpatient Hospital Services</u>	All but \$540 for first 60 days/benefit period	All but \$564 deductible for an unlimited number of days/calendar year	All but Part A deductible for an unlimited number of days/calendar year
<u>Semi-Private Room & Board</u>	All but \$135 a day for 61st-90th days/benefit period		
<u>Miscellaneous Hospital Services such as Drugs, X-rays, Lab Tests & Operating Room</u>	All but \$270 a day for 91st-150th days in the individual chooses to use 80 non-renewable lifetime reserve days/benefit period		
	Nothing beyond 150 days		

<u>Skilled Nursing Facility Care</u>	100% of costs for 1st 20 days (after a 3 day prior hospital confinement)	80% of Medicare responsible costs for first 8 days per calendar year w/out prior hospitalization requirement	90% for 1st 8 days/calendar year
	All but \$67 90 a day for 1st-100th days		
	Nothing beyond 100 days	100% of costs thereafter up to 150 days/calendar year	100% for 9th-150th day/calendar year

<u>Blood</u>	Pays all costs except nonreplacement fees (blood deductible) for first 3 pints in each benefit period	Pays all costs except deductible (equal to costs for first 3 pints) each calendar year. Part A blood deductible reduced to the extent paid under Part B	All but blood deductible (equal to costs for first 3 pints)
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Part B
MEDICARE BENEFITS IN

<u>Service</u>	1988	1989	1990	1991
Part A & B				

<u>Home Health Services</u>	Intermittent skilled nursing care and other services in the home (daily skilled nursing care for up to 21 days or longer in some cases)—100% of covered services and 80% of durable medical equipment under both Parts A & B	Same as '88	Intermittent skilled nursing care for up to 7 days a week for up to 38 days allowing for continuation of services under unusual circumstances; other services—100% of covered services and 80% of durable medical equipment under both Parts A & B	Same as '90
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<u>Medical Expense Services of a Physician/Outpatient Services</u>	80% of reasonable charges after an annual \$75 deductible	80% after annual \$75 deductible	80% of reasonable charges after \$75 deductible until out-of-pocket maximum is reached	Same as '90
<u>Medical Supplies Other than Prescribed Drugs</u>	100% of reasonable charges are covered for remainder of calendar year		100% of reasonable charges are covered for remainder of calendar year	

<u>Blood</u>	80% of costs except nonreplacement fees (blood deductible) for 1st 3 pints in each benefit period after \$75 deductible	Pays 80% of all costs except payment of deductible (equal to costs for first 3 pints) each calendar year	Same as '89	Same as '89
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<u>Mammography Screening</u>	80% of approved charges for elderly and disabled Medicare beneficiaries—exams available every other year for women 65 and over			Same as '90
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<u>Out-of-Pocket Maximum</u>	\$1,370 consisting of Part B \$75 deductible and 20% co-insurance catastrophic limit each year			\$1,370 will be adjusted annually by Secretary of Human Health Services
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<u>Service</u>	1988	1989	1990	1991
Part B				
Outpatient Prescription Drugs			There is a \$500 total deductible applicable to some IV drugs and immunosuppressive drug therapies as noted below	Covered after \$600 deductible subject to 50% co-insurance

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i) Dental care or dentures, checkups, routine immunizations, cosmetic surgery, routine foot care, examinations for the cost of eyeglasses or hearing aids.

7) A description of any policy provisions which exclude, eliminate, restrict, reduce, limit, delay or in any other manner operate to qualify payments of the benefits described in (4) above, including conspicuous statements;

a) That the chart summarizing Medicare benefits only briefly describes such benefits.

b) That the Health Care Financing Administration or its Medicare publications should be consulted for further details and limitations.

8) A description of policy provisions respecting renewability or continuation of coverage, including any reservation of rights to change premium.

9) The amount of premium for this policy.

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

Section 2008.APPENDIX C Notice to Applicant Regarding Replacement of Accident and Sickness Insurance (Response Other Than Direct) EMERGENCY

According to (your application) (information you have furnished) you intend to lapse or otherwise terminate existing accident and sickness insurance and replace it with a policy to be issued by (Company Name) Insurance Company. Your new policy provides ten-(10) thirty (30) days within which you may decide without cost whether you desire to keep the policy. For your own information and protection, you should be aware of and seriously consider certain factors which may affect the insurance protection available to you under the new policy.

1) Health conditions which you may presently have (pre-existing conditions) may not be immediately or fully covered under the new policy. This could result in denial or delay of a claim for benefits under the new policy, whereas a similar claim might have been payable under your present policy.

2) You may wish to secure the advice of your present insurer or its agent regarding the proposed replacement of your present policy. This is not only your right, but it is also in your best interest to

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Home IV—
Drug Therapy
80% of IV therapy drugs subject to \$550 deductible; standard drug deductible (deductible waived if home therapy is a continuation of therapy initiated in a hospital)

Immunosuppressive Drug Therapy
Same as 88
80% of costs during 1st year following covered transplant; 50% of costs during 2nd and following years (subject to \$550 deductible)

Respite—Care
Benefits
Same as 90
In-home care for chronically dependent individual covered for up to 80 hours after either the out-of-pocket limit or the outpatient drug deductible has been met

6) Statement that the policy does or does not cover the following:

- a) Private duty nursing;
- b) Skilled nursing home care costs (beyond what is covered by Medicare);
- c) Custodial nursing home care costs;
- d) Intermediate nursing home care costs;
- e) Home health care above number of visits covered by Medicare;
- f) Physician charges (above Medicare's reasonable charges);
- g) Drugs (other than prescription drugs furnished during a hospital or skilled nursing facility stay);
- h) Care received outside the U.S.A.;

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make sure you understand all the relevant factors involved in replacing your present coverage.

- 3) If, after due consideration, you still wish to terminate your present policy and replace it with new coverage, be certain to truthfully and completely answer all questions on the application concerning your medical/health history. Failure to include all material medical information on an application may provide a basis for the company to deny any future claims and to refund your premium as though your policy had never been in force. After the application has been completed and before you sign it, reread it carefully to be certain that all information has been properly recorded.

The above "Notice to Applicant" was delivered to me on:

(Date)

(Applicant's Signature)

(Source: Emergency amendment at 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days)

SECTION 2008. APPENDIX E. NOTICE ON MEDICARE CHANGES—1989
EMERGENCY

NOTICE ON CHANGES IN MEDICARE AND YOUR MEDICARE SUPPLEMENT INSURANCE—1989

Your health care benefits provided by the federal Medicare program will change beginning January 1, 1989. Additional changes will occur on medical benefits in following years. The major changes are summarized below. These changes will affect hospital, medical and other services and supplies provided under Medicare. Because of these changes your Medicare supplement coverage provided by (company name) will change, also. The following outline briefly describes the modifications in Medicare and in your Medicare supplement coverage. Please read carefully!

(A brief description of the revisions to Medicare Parts A & B with a parallel description of supplemental benefits with subsequent changes, including dollar amounts, provided by the Medicare supplement coverage in substantially the following format.)

Services	Medicare Benefits		Your Medicare Supplement Coverage	
	Medicare Now Pays Per Benefit Period	Effective January 1, 1989 Medicare Will Pay Per Calendar Year	Your 1988 Coverage Per Benefit Period	Effective January 1, 1989 Your Coverage Will Pay Per Calendar Year
Medicare Part A Services and Supplies	First 60 days— All but \$140 \$1st to 90th day— All but \$135 a day	Unlimited number of hospital days after \$364 deductible.		

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91st to 150th day—
All but \$270 a day
(If individual chooses to use 60 nonrenewable lifetime reserve days)
Beyond 150th day—
Nothing

Requires a 3 day prior stay and enter the facility generally within 30 days after hospital discharge.

Skilled Nursing Facility Care

There is no prior confinement requirement for this benefit.

First 8 days—
All but \$25.50 a day

9th through 150th day—
100% of costs

First 20 days—
100% of costs
21st through 100th day—
All but \$67.50 a day

Beyond 150 days—
Nothing

Beyond 100 days—
Nothing

Medicare Now Pays
Per Calendar Year

In 1989 Medicare Part B Pays the Same as in 1988

Your Policy Now Pays

Effective January 1, 1989
Your Policy Will Pay

Medicare Part B Services and Supplies
80% of allowable charges (after \$75 deductible)

Note: Medicare Benefits changes in January, 1990 as follows:
80% of allowable charges (after \$75 deductible) until an annual Medicare Catastrophic limit is met. 100% of allowable charges for the remainder of the calendar year. The limit in 1990 is \$1376 and will be adjusted on an annual basis.

Prescription Drugs
Inpatient prescription drugs only

In 1989 Medicare covers inpatient prescription drugs only.

Effective January 1, 1990
Per Calendar Year
80% of allowable charges for home intravenous (IV) therapy drugs and 50% of allowable charges for immunosuppressive drugs after \$550 in 1990. calendar year deductible is \$1,100.

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Effective January 1, 1991

Per Calendar Year

Inpatient prescription drugs

50% of allowable charges for all

other outpatient prescription drugs

after a \$600 calendar year deductible

is met (the deductible will change)

Coverage will increase to 60%

of allowable charges in 1992

and to 80% of allowable charges

from 1993 on.

*Expenses that count toward the Part B Medicare Catastrophic Limit include the Part B deductible and copayment charges and the Part B blood deductible charges.

(ANY ADDITIONAL BENEFITS)

(Describe any coverage provisions changing due to Medicare modifications.)

(Include information about premium adjustments that may be necessary due to changes in Medicare benefits or when premium charges information will be sent.)

THIS CHART SUMMARIZING THE CHANGES IN YOUR MEDICARE BENEFITS AND IN YOUR MEDICARE SUPPLEMENT PROVIDED BY (COMPANY). ONLY BRIEFLY DESCRIBES SUCH BENEFITS. FOR INFORMATION ON YOUR MEDICARE BENEFITS CONTACT YOUR SOCIAL SECURITY OFFICE OR THE HEALTH CARE FINANCING ADMINISTRATION. FOR INFORMATION ON YOUR MEDICARE SUPPLEMENT (POLICY) CONTACT (COMPANY AND FOR AN INDIVIDUAL POLICY-NAME OF AGENT) (ADDRESS/PHONE NUMBER).

(SOURCE: Emergency rule added 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days.)

SECTION 2008. APPENDIX F. NOTICE ON MEDICARE CHANGES—1990
EMERGENCY

(Company Name)

NOTICE ON CHANGES IN MEDICARE AND YOUR MEDICARE SUPPLEMENT COVERAGE—1990

Your health care benefits provided by the federal Medicare program will change beginning January 1, 1990. Additional changes will occur in medical benefits in following years. The major changes are summarized below. These changes will affect hospital, medical and other services and supplies provided under Medicare. Because of these changes your Medicare supplement coverage provided by (company name) will change, also. The following outline briefly describes the modifications in Medicare and in your Medicare supplement coverage. Please read carefully!

(A brief description of the revisions to Medicare Parts A & B with a parallel description of supplemental benefits with subsequent changes, including dollar amounts, provided by the Medicare supplement coverage in substantially the following format.)

Services	Medicare Benefits		Your Medicare Supplement Coverage	
	Medicare Now Pays Per Calendar Year	Effective January 1, 1990 Medicare Will Pay Per Calendar Year	Your Coverage Now Pays Per Calendar Year	Effective January 1, 1990 Your Coverage Will Pay Per Calendar Year

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Medicare
Part A
Services and
Supplies

Unlimited number of
hospital days after
(\$560) deductible

Skilled
Nursing
Facility Care

There is no prior
confinement require-
ment for this benefit.

First 8 days—
All but \$25.50 a day

9th thru 150th day—
100% of costs

Beyond 150 days—
Nothing

Medicare
Part B
Services and
Supplies

80% of allowable charges
(after \$75 deductible).

80% of allowable charges
(after \$75 deductible)
until an annual Medicare
Catastrophic Limit* is met.
100% of allowable charges
for the remainder of the
calendar year. The limit
in 1990 is \$1370 and will
be adjusted on an annual
basis.

Prescription
Drugs

Inpatient prescription drugs.
80% of allowable charges for
immunosuppressive therapy
during the first year
following covered transplant.

Inpatient prescription drugs.
80% of allowable charges for
home intravenous (IV) therapy
drugs and 50% of allowable
charges for immunosuppressive
drugs after (\$550 in 1990)
calendar year deductible is met.

*Expenses that you must pay out-of-pocket and that count toward the Part B Medicare Catastrophic Limit include:
the Part B deductible and copayment charges and the Part B blood deductible charges.

(ANY ADDITIONAL BENEFITS)

(Describe any coverage provisions changing due to Medicare modifications.)

(Include information about premium adjustments that may be necessary due to changes in Medicare benefits or when premium charges information will be sent.)

THIS CHART SUMMARIZING THE CHANGES IN YOUR MEDICARE BENEFITS AND IN YOUR MEDICARE SUPPLEMENT PROVIDED BY (COMPANY). ONLY BRIEFLY DESCRIBES SUCH BENEFITS. FOR INFORMATION ON YOUR MEDICARE BENEFITS CONTACT YOUR SOCIAL SECURITY OFFICE OR THE HEALTH CARE FINANCING ADMINISTRATION. FOR INFORMATION ON YOUR MEDICARE SUPPLEMENT (POLICY) CONTACT (COMPANY AND FOR INDIVIDUAL POLICY-NAME OF AGENT) (ADDRESS/PHONE NUMBER).

(SOURCE: Emergency rule added 13 Ill. Reg. 586, effective January 1, 1989, for a maximum of 150 days.)

NOTICE OF EMERGENCY AMENDMENTS

1) Heading of Part: State (of Illinois) Employees' Deferred Compensation Plan

2) Code Citation: 80 Ill. Adm. Code 2700

3) Section Numbers:

2700.200
2700.440
2700.620
2700.630
2700.650
2700.700
2700.710
2700.720
2700.730
2700.735
2700.740
2700.750
2700.820
2700.920
Appendix A, Exhibit E
Appendix A, Exhibit F

Emergency Action:

Amendment
Amendment
Amendment
Amendment
Amendment
Amendment
Amendment
New Section
Amendment
Amendment
Amendment
Amendment
Amendment

4) Statutory Authority: Implementing and authorized by Section 22A-111.1 and Article 24 of the Illinois Pension Code (Ill. Rev. Stat. 1981, ch. 108 1/2, pars. 22A-111.1 and 24-101 et seq.) and implementing Section 457 and 401(a)(9) and 414(o) of the United States Internal Revenue Code (26 U.S.C.A. 401, 414, 457, 1982) and the rules and regulations of the Internal Revenue Service (26 CFR 1, April 1, 1982).

5) Effective Date of the Amendments: January 1, 1989

6) If This Emergency Rule is to Expire Before the End of the 150-Day Period (Other Than by Means of Adopting the Rule Through the Regular Rulemaking Process), Please Specify the Date: Not Applicable.

7) Date Filed in Agency's Principal Office: December 27, 1988

8) The Reason for the Emergency: The Tax Reform Act of 1986 made several changes in Section 457 of the Internal Revenue Code, the federal authority for the State Employees' Deferred Compensation Plan. Some of these changes, however, have had Congressional clarification pending ever since passage. Three issues were not resolved until the Technical Corrections and Miscellaneous Revenue Act of 1988 (H.R. 4333) was signed by President Reagan on November 10, 1988. The changes are effective with tax years beginning after December 31, 1988.

NOTICE OF EMERGENCY AMENDMENTS

9) A Complete Description of the Subjects and Issues Involved: The emergency amendments implement the changes required by Tax Reform primarily in the area of minimum distributions to beneficiaries and participants. Certain housekeeping changes are being made at the same time including clarification of the treatment of appeals, efforts to locate missing persons and authorization for electronic funds transfer of distributions.

10) Are There Any Proposed Amendments Pending on This Part? No
Section Numbers Emergency Action Illinois Register Citation

11) Statement of Statewide Policy Objectives: This rulemaking does not affect local governments.

12) Name, Address and Telephone Number of the Person to Whom Information and Questions Regarding This Emergency Amendment Shall Be Directed:

Theresa H. Stoica
Manager
Bureau of Benefits
Department of Central Management Services
616 Stratton Office Building
Springfield, Illinois 62706
217/785-0576

The full text of the Emergency Amendments begins on the next page:

NOTICE OF EMERGENCY AMENDMENTS

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TITLE 80: PUBLIC OFFICIALS AND EMPLOYEES

SUBTITLE H: DEFERRED COMPENSATION

CHAPTER I: ILLINOIS STATE BOARD OF INVESTMENT

PART 2700

STATE (OF ILLINOIS) EMPLOYEES' DEFERRED COMPENSATION PLAN

SUBPART A: INTRODUCTION AND PURPOSE OF PLAN

Section
2700.100
2700.110

Establishment of Plan
Purpose of Plan

SUBPART B: DEFINITIONS

Section
2700.200
EMERGENCY

Definitions

SUBPART C: ADMINISTRATION

Section
2700.300
2700.310
2700.320
2700.330

Responsibilities of the Department
Responsibilities of the Board
Deferred Compensation Hardship Committee
Applicable Law

SUBPART D: PARTICIPATION IN THE PLAN

Section
2700.400
2700.410
2700.420
2700.430
2700.440
EMERGENCY
2700.450

Eligibility
Enrollment
Minimum Deferral
Maximum Deferral
Catch-up
Revocation of Deferral

SUBPART E: ESTABLISHMENT OF RETIREMENT AGE

Section
2700.500
2700.510

Normal Retirement Age
Alternative Normal Retirement Age

SUBPART F: PARTICIPANT'S ACCOUNTS, INVESTMENTS AND STATEMENTS

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2700.610
2700.620
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EMERGENCY
2700.640
2700.650
EMERGENCY
2700.660
2700.670

Deferred Compensation Accounts
Allocation of Investment Earnings or Losses
Investment Fund Valuation
Administrative Costs
Method of Making Investment Requests
Participant Statements
Unsecured General Creditor
Investment Funds

SUBPART G: DISTRIBUTIONS

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2700.710
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2700.740
EMERGENCY
2700.750
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2700.760

Distribution Events
Beneficiary Election of Method of Distribution
Election of Delayed Distribution Date
Election of Method of Distribution
Distribution of Small Accounts
Unforeseeable Emergency
Designation of Beneficiary
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SUBPART H: MISCELLANEOUS

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2700.810
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2700.830
2700.840

Nonassignability
Payments to Minors and Incompetents
Missing Persons
Severability
Days and Dates

SUBPART I: AMENDMENT OR TERMINATION OF PLAN

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Amendment of Plan
Termination of Plan
Merger with Prior Plans

APPENDIX A Administrative Rules

- EXHIBIT A Administrative Rule I (repealed)
- EXHIBIT B Administrative Rule II
- EXHIBIT C Administrative Rule III
- EXHIBIT D Administrative Rule IV
- EXHIBIT E Administrative Rule V
- EMERGENCY
- EXHIBIT F Administrative Rule VI
- EMERGENCY

AUTHORITY: Implementing and authorized by Section 22A-111.1 and Article 24 of the Illinois Pension Code (Ill. Rev. Stat. 1981, ch. 108 1/2, pars. 22A-111.1 and 24-101 et seq.) and implementing Section 457 and 401(a)(9) and 414(c) of the United States Internal Revenue Code (26 U.S.C.A. 401, 414, 457, 1982) and the rules and regulations of the Internal Revenue Service (26 CFR 1, April 1, 1982).

SOURCE: Emergency rule adopted at 3 Ill. Reg. 11, p. 161, effective March 6, 1979, for a maximum of 150 days; adopted at 3 Ill. Reg. 13, p. 7, effective March 19, 1979; amended at 3 Ill. Reg. 36, p. 436, effective August 29, 1979; amended at 4 Ill. Reg. 1, p. 45, effective December 26, 1979; amended at 6 Ill. Reg. 9655, effective July 23, 1982; rules repealed, new rules adopted and codified at 7 Ill. Reg. 10845, effective August 31, 1983; emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.

NOTE: Statutory language is denoted by capital letters.

SUBPART B: DEFINITIONS

Section 2700.200 Definitions
EMERGENCY

- a) Whenever used in the Plan, the following terms shall have the meanings set forth below unless otherwise expressly provided, and when the defined meaning is intended, the term is capitalized:

"Accounting Date" means the date on which an Investment Fund is valued and earnings and/or losses are allocated to Participants' Deferred Compensation Accounts. There shall be an Accounting Date at least once a month and, if practical in the discretion of the Board, more frequent Accounting Dates to reflect, as closely as possible, the earnings and/or losses of any particular Deferred Compensation Account from the time Compensation is deferred and invested in various Investment Funds until it is eventually distributed according to the Plan.

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"Beneficiary" means the person, persons or legal entity entitled to receive any undistributed Deferred Compensation which becomes payable in the event of the Participant's death, as designated by the Participant, or provided for in accordance with Section 2700.740 of the Plan.

"Board" means the Illinois State Board of Investment.

"Code" means the Internal Revenue Code of 1954 (26 U.S.C.A. 1 et seq.), as amended from time to time, or any successor statute.

"Compensation" means any remuneration payable to an Employee for employment or contractual services rendered to the Employer which is reportable as taxable income for the purposes of the Code.

"Deferred Compensation" means that portion of the Participant's Compensation which the Participant and Employer mutually agree to defer under this Plan.

"Delayed Distribution Date" means the date a Participant elects to delay the distribution of the account. It can be no later than defined in Section 401(a)(9)(C) of the Code and explained in Section 2700.720 of this Part.

"Department" means the Department of Central Management Services of the State of Illinois.

"Employee" means ANY PERSON, INCLUDING A PERSON ELECTED, APPOINTED OR UNDER CONTRACT, RECEIVING COMPENSATION FROM THE STATE...FOR PERSONAL SERVICES RENDERED INCLUDING SALARIED PERSONS, except that any person under contract with the Employer shall be eligible only to the extent the Internal Revenue Service and/or the Illinois Department of Revenue shall permit or approve.

"Employer" means the State of Illinois, including all officers, boards, commissions and agencies created by the Illinois Constitution, whether in the executive, legislative or judicial branch, all officers, departments, boards, commissions, agencies, institutions, authorities, universities, bodies politic and corporate of the State; and administrative units or corporate outgrowths of the State government which are created by or pursuant to statute other than units of local government and their officers, school districts and boards of election

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commissioners; all administrative units and corporate outgrowths of the above as may be created by executive order of the Governor.

"Includable Compensation" means the amount of an Employee's Compensation for a taxable year that is includable in the Employee's gross income for the taxable year for federal income tax purposes; such term does not include any amount excludable from gross income under this Plan or any other plan described in Section 457(a) of the Internal Revenue Code, any amount excludable from gross income under Section 403(b) of the Internal Revenue Code, or any other amount excludable from gross income for federal income tax purposes. Includable Compensation shall be determined without regard to any community property laws.

"Minor" means a Beneficiary who is under age 18 at the time a benefit under this Plan becomes payable to him or her, unless Illinois law defines another age.

"Normal Retirement Age" means age 70 1/2 unless the Participant has elected an alternative Normal Retirement Age by written instrument delivered to the Department within 30 days of the Participant's Termination of Service as provided in Section 2700.510. A Participant's Normal Retirement Age determines:

the latest time when benefits may commence under this Plan (unless the Participant continues employment after Normal Retirement Age), and

the period during which a Participant may utilize the three-year Catch-up provision of Section 2700.440 in this Plan.

"Participant" means any Employee who has enrolled in this Plan as provided in Section 2700.410 and has not had a complete distribution of his or her Deferred Compensation Account.

"Pay Period" means a regular accounting period established by the State of Illinois for measuring and paying Compensation earned by Employees. A Pay Period may be monthly, semi-monthly or bi-weekly.

"Plan" means the State (of Illinois) Employees' Deferred Compensation Plan, as set forth in these rules, and as it may be amended from time to time.

"Plan Year" shall be the tax year as established by the Comptroller for payroll purposes.

"Prior Plan I" means the State Employees' Deferred Compensation Plan approved and adopted by the Board on September 10, 1976.

"Prior Plan II" means the State Employees' Deferred Compensation Plan approved and adopted by the Board on May 18, 1979.

"Prior Plan III" means the rules adopted and codified at 7 Ill. Reg. 37, effective August 31, 1983.

"State" means State of Illinois.

"Termination of Service" means the permanent severance of the Participant's employment relationship with the Employer by means of:

retirement;

discharge, unless this discharge is appealed within 30 days by the Employee through an a State administrative appellate process; ~~in which case, the date of the final administrative decision shall be the effective date of discharge;~~

resignation, provided seniority or continuous service is interrupted;

~~indefinite layoff, that is any unless this layoff is appealed within 30 days by the employee through a State administrative appellate process or there is for which there is not a designated date for return to paid status;~~

expiration or non-renewal of contract, appointment or term of office;

nonreelection; or

such other form of permanent severance as may be provided by appropriate law, contract or rules and regulations.

For the purposes of this definition, neither a break in State service for a period of less than 30 days

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nor transfers among various branches of State Government shall be considered a Termination of Service.

If discharges or layoffs are appealed, the date of the final administrative decision shall be the effective date of the discharge or layoff.

An independent contractor is considered to terminate service with the Employer upon the expiration of all contracts under which services are performed for the Employer, if the expiration constitutes a good faith and complete termination of the contractual relationship.

"Unforeseeable Emergency" means severe financial hardship to the Participant resulting from a sudden and unexpected illness or accident of the Participant or of a dependent of the Participant, loss of the Participant's property due to casualty, or other similar extraordinary and unforeseeable circumstances arising as a result of events beyond the control of the Participant.

- b) Except when otherwise indicated by context, any masculine terminology herein shall also include the feminine and neuter and vice-versa, and the definition of any terms herein in the singular may also include the plural.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

SUBPART D: PARTICIPATION IN THE PLAN

Section 2700.440 Catch-up
EMERGENCY

- a) For one or more of the Participant's last three taxable years ending before the Participant attains Normal Retirement Age, a Participant may defer an additional amount, not in excess of the maximum amount deferrable, and not greater than the difference between the amount which could have been deferred under this Plan or another plan authorized under Section 457 of the Code for each year that the Employee was eligible to participate in the Plan since January 1, 1979, and the amount that was actually deferred during that time.

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- b) A Participant eligible for Catch-up may defer the additional amount by declaring his or her Normal Retirement Age and by agreeing to the Catch-up conditions stated in this Section on a form to be provided by the Department.
- c) Once a Participant has deferred additional Compensation under the Catch-up provision of this Plan,
- 1) he or she may not change his or her Normal Retirement Age.
 - 2) he or she may not delay the distribution of the Account—~~more~~ elect a Delayed Distribution Date later than 60 days—~~past the end-November 30 of the taxable year during which~~ he or she actually separates from State service.
 - 3) he or she may not use the Catch-up provision more than once whether or not the Participant rejoins the Plan or joins a new plan, and whether or not the Catch-up is used in one or all three of the applicable taxable years.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

SUBPART F: PARTICIPANT'S ACCOUNTS, INVESTMENTS AND STATEMENTS

Section 2700.620 Investment Fund Valuation
EMERGENCY

- a) Any Investment Fund under this Plan shall be valued at fair market value as of each Accounting Date.
- b) Any withdrawals or distributions made under this Plan shall be made in cash by electronic transfer, or by State warrant.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

Section 2700.630 Administrative Costs
EMERGENCY

- a) It is the intent of this Plan that it shall not be implemented or administered so as to be an expense to the State of Illinois, except for the State's obligation to pay the Deferred Compensation Accounts as provided in this Plan. Therefore, any expenses of maintaining and administering the Plan shall be borne by the Participants. Such costs shall include, but not be limited to, the costs of:

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- 1) making investments, exchanges, or distributions if any,
 - 2) collecting the Deferred Compensation, and
 - 3) providing information to Participants, Employees and other agencies of the State.
- b) The method of sharing any expenses and the amount of such expenses shall be determined by the Department subject to the approval of the Board.
- c) Such charges shall be set by administrative rule.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

Section 2700.650 Participant Statements EMERGENCY

- a) Each Participant shall be provided at least once a year with an accounting of his or her Deferred Compensation Account including, but not limited to, the amount deferred and any amounts credited or debited up to the most recent Accounting Date.
- b) Such an accounting shall be made not later than 60 days after all deferrals for the end-of-the Plan Year have been invested.
- c) Participants are responsible for notifying the Department in writing of any investment or other error within 14 days of the receipt of any statement.
- d) The liability of the Plan to the Participants for errors shall be set by administrative rule.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

SUBPART G: DISTRIBUTIONS

Section 2700.700 Distribution Events EMERGENCY

- a) Distributions under this Plan will be made in accordance with the regulations under Section 401(a)(9) of the Code. The provisions reflecting Section 401(a)(9) override any distribution options in the Plan inconsistent with Section 401(a)(9).

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- ba) A Participant's Deferred Compensation Account may begin to be distributed 30 days after the date of one of the following events.
- 1) Termination of Service,
 - 2) Death, or
 - 3) Delayed Distribution Date.
- cb) A Participant's Deferred Compensation Account may begin to be distributed as soon as possible but not later than 30 days after determination of an Unforeseeable Emergency by the Hardship Committee.
- de) No distributions will be made to a Participant who is employed as an independent contractor before a date which is at least 12 months after the day on which his or her employment contract expires. Should the independent contractor be re-employed by the State as either an Employee or independent contractor during the 12-month waiting period, no distribution will be started on the projected distribution date.
- ed) Participants are responsible for notifying the Department of their termination of Service.
- fe) Beneficiaries are responsible for notifying the Department of the death of the Participant and supplying the Department with a certified copy of the death certificate.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

Section 2700.710 Beneficiary Election of Method of Distribution EMERGENCY

- a) Within 30 days of the date of death of a Participant, the Beneficiary may elect a method of distribution, including a Delayed Distribution Date as provided in Section 2700.720.
 - b) If the Beneficiary makes no election within the 30-day period, the latest election the Participant made for the Beneficiary will be honored. In the case of a distribution to a Beneficiary when the account was partially distributed to the Participant before death:
- 1) The Beneficiary may elect one of the options provided in Section 2700.730.

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- 2) The installment period is limited to the balance of the deceased Participant's installment period.
- 3) Distribution to a Beneficiary who does not make an election within the 30-day election period will be a continuation of the method under which the account was being distributed prior to the Participant's death, unless the amount of the account is \$3,500 or less in which case the distribution will be immediately in a lump sum.
- c) If the Beneficiary makes no election within the 30-day period and the Participant has made no election for the Beneficiary, the account will be distributed as provided in Section 2700.730(c). In the case of a distribution to a Beneficiary when the Participant died before distributions began:
- 1) The Beneficiary may elect one of the options provided in Section 2700.730.
 - 2) The installment period cannot exceed the Beneficiary's life expectancy or 15 years, whichever is shorter.
 - 3) The Beneficiary who does not make an election within the 30-day election period will have the account distributed in five annual installments, unless the amount of the account is \$3,500 or less in which case it will be distributed immediately in a lump sum.
- d) If the Beneficiary dies after the distribution has commenced:
- 1) The balance of the account will be distributed to the Beneficiary of the Beneficiary receiving distributions.
 - 2) The distribution method will be a continuation of the method in effect prior to the Beneficiary's death, unless the amount of the account is under \$3,500 in which case the distribution will be immediately in a lump sum.
- e) The Beneficiary's election becomes irrevocable after the 30-day election period expires.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

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Section 2700.720 Election of Delayed Distribution Date EMERGENCY

- a) Within 30 days of Termination of Service a Participant may elect a Delayed Distribution Date. Such election is irrevocable.
- b) The Delayed Distribution Date may be:
- 1) a specific future date,
 - 2) the attainment of a specific age by the Participant, or
 - 3) Normal Retirement Age.
- c) In no case may a Participant elect a Delayed Distribution Date delay the commencement of distributions beyond:
- 1) the close of the taxable year in which the Participant attains age 70 1/2, or
 - 2) 60 days after the close of the Plan Year in November 30 of the taxable year during which the Participant actually separates State service if the Participant deferred more than the normal maximum under the Catch-up provision of this Plan, or
 - 3) 60 days after the close of the Plan Year in which the Participant actually separates State service if the Participant works past age 70-1/2.
- d) A Beneficiary may elect a Delayed Distribution Date but such date shall not be later than the earlier of:
- 1) a date 15 years after the date of the Participant's death, or
 - 2) 30 days after the close of the Plan Year in which the Participant would have attained Normal Retirement Age.
- e) A Participant or Beneficiary may elect a Delayed Distribution Date only once and such election shall be irrevocable.
- f) In the event a Participant who has terminated State service and elected a Delayed Distribution Date returns to State employment prior to reaching the Delayed Distribution Date, the Delayed Distribution Date is effectively voided. Whether or not the Participant resumes deferrals shall not affect the nullification.

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- f) Neither a Participant who works past age 70 1/2 nor a Beneficiary may elect a Delayed Distribution Date.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

Section 2700.730 Election of Method of Distribution EMERGENCY

- a) At any time prior to the date distributions are to commence (except for Unforeseeable Emergency distributions) a Participant may elect one or more of the following methods by which the Deferred Compensation Account shall be distributed:

1) A lump sum cash payment of all or a portion of the balance of the Account. The amount paid for such lump sum withdrawal shall be based upon the value of the Participant's Account as of the Accounting Date.

2) In installments over a period of years not longer than the life expectancy of the Participant. ~~plus 15 years.~~

- A) Such installments shall be made in regular increments of monthly, quarterly, semi-annual or annual payments.

If monthly installments are elected, the amount of each distribution will be transferred electronically to the Participant's bank or other account which accepts direct deposits from the State.

- B) Such installments shall be made in such amount to assure that the total value of the Participant's account shall be sum-received by the Participant during his or her projected life time (as determined at the time distributions commence or as otherwise provided by applicable code and regulations). ~~exceeds one-half of the value on the date the Participant separates from State service.~~ For the purposes of this Plan, ~~this amount shall be computed based on the~~ Participant's life expectancy shall be as-determined by any applicable Internal Revenue Service Tables.

- C) Any portion of the Deferred Compensation Account which has not been distributed shall continue to be credited and/or debited according to the provisions of Sections 2700.600 and 2700.610.

- D) The amounts of such installments shall be determined each time year-in-which there are distributions. The method of computing the value of each installment will be set by administrative rule.

- 3) In a series of payments on an annuity basis as if an annuity contract was purchased based on the life of the Participant.

- A) Such annuity payments shall be based on one of the following methods:

- i) the life of the Participant, or
ii) the life of the Participant and a period not to exceed 15 years from the date of the Participant's death.

- B) Once payments have commenced on an annuity basis, payments to a Beneficiary will depend on the terms of the annuity payments agreed to by the Participant and the State. If provision is made for payment of a portion of the annuity to a Beneficiary, the payments made to the Participant shall exceed one-half-two-thirds of the maximum that could have been payable to the Participant, if no provision were made for payment to a Beneficiary. The amount payable to the Participant shall be established by the mortality tables in use by the company issuing the annuity contract.

- C) If, in fact, an annuity contract is purchased, the owner and named Beneficiary shall be the State of Illinois. Any rights of Participants or Beneficiaries are derived solely from this Plan.

- 4) A transfer of all of the account from this Plan to an eligible plan authorized under Section 457 of the Code.

- A) The State or local government sponsoring the receiving 457 Plan is responsible for determining whether the Plan is eligible and certifying the same on a form provided by the Department.

- B) The transfer will commence on the same Accounting Date as if a lump sum distribution had been elected, unless the certification and any other required forms have not been received by the Department.

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- c) In the event the receiving plan is not an eligible plan or does not authorize transfers, the distribution of the account will be held no longer than 180 days and the Participant will be given 30 days to make a new distribution method election.
- b) If a Deferred Compensation Account on the date the Participant separates from State service (or dies) is equal to or less than \$1,000, the Account shall be:
- 1) distributed in a lump sum, or
 - 2) held until a Delayed Distribution Date not exceeding one year from the date the Participant was first entitled to begin distributions and then distributed in a lump sum.
- eb) If the Participant does not elect a method of distribution is not elected prior to an event of distribution, the Deferred Compensation Account will be distributed in five annual installments, unless the amount of the account is \$1,000 or less in which case it will be distributed immediately in a lump sum.
- c) The Participant's election becomes irrevocable after the election period expires.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

Section 2700.735 Distribution of Small Accounts
EMERGENCY

If a Deferred Compensation Account plus any uninvested deferrals on the date the Participant separates from State service (or dies) is equal to or less than \$3,500, the Account shall be:

- a) distributed in a lump sum, or
- b) held until a Delayed Distribution Date not exceeding one year from the date the Participant separates and then distributed in a lump sum on the next Accounting Date.

(Source: Emergency rule added at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

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Section 2700.740 Unforeseeable Emergency
EMERGENCY

- a) A distribution of all or a portion of a Participant's Deferred Compensation Account or a change in method of distribution to a Participant who has commenced distributions shall be permitted in the event the Participant experiences an Unforeseeable Emergency.
- b) Distributions shall not be made to the extent that such hardship is or may be relieved:
 - 1) through reimbursement or compensation by insurance or otherwise,
 - 2) by liquidation of the Participant's assets to the extent the liquidation of such assets would not itself cause severe financial hardship, or
 - 3) by cessation of deferrals under the Plan.
- c) A Participant's deferrals will automatically be revoked upon application for a hardship distribution.
- d) If the application is approved, the Participant cannot re-enroll for 180 days from the date of receipt of the application is received by the Department.

e) For the purposes of this Plan, a Beneficiary whose interest has "vested" in accordance with Section 2700.750 shall have all rights of a Participant to request a distribution or a change in method of distribution in the event of an Unforeseeable Emergency.

f) A Participant desiring a distribution by reason of a serious Unforeseeable Emergency must apply to the Hardship Committee and demonstrate that:

- 1) the circumstances being experienced were not under the Participant's control, and
- 2) the circumstances constitute a real emergency which is likely to cause the Participant great financial hardship.

g) The Hardship Committee shall have the authority to require such medical or other evidence as it may need to determine the necessity for Participant's withdrawal request. In the event this information is not provided, the case will be considered closed 60 days after the date of request by the Hardship Committee.

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- h) The Hardship Committee shall reach its decision to approve or disapprove the financial hardship withdrawal request within 30 days following receipt of the completed application and necessary information required by the application or the Hardship Committee.
- i) In the event a Participant is not satisfied with the decision of the Hardship Committee on an application for an Unforeseeable Emergency distribution or change in distribution, the Participant may appeal in writing to the Board within 15 days of receipt of the Hardship Committee's decision.
- j) The Board shall, within 30 days of receipt of the appeal, conduct a hearing and review evidence presented by the Participant.
- k) The Board shall then render a final decision within 15 days of the hearing which shall be binding on all parties.
- l) If an application for an Unforeseeable Emergency distribution is approved, the distribution shall be limited to an amount sufficient only to meet the emergency and shall in no event exceed the amount of his or her Deferred Compensation Account as of the Accounting Date next preceding or coincident with such withdrawal.
- m) The allowed distribution shall be payable in a method determined by the Hardship Committee and shall commence as soon as possible, but not later than 30 days after notice to the Participant and the Department of approval of the request by the Committee.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

Section 2700.750 Designation of Beneficiary
EMERGENCY

- a) A Participant may designate a Beneficiary or Beneficiaries who will receive any balance in the Participant's Deferred Compensation Account in the event of his or her death.
- b) A designation of Beneficiary shall be effective for subsequent distributions when received by the Department. Such designation shall be in writing and should be made on a form provided by the Department for that purpose which has been signed by the Participant.
- c) A Participant may, at any time, change his or her-Beneficiary by completion of the form provided by the Department.

- d) No Beneficiary shall have any rights under this Plan until the death of the Participant who has designated him or her.
- e) Participants may designate primary and contingent Beneficiaries. A contingent Beneficiary's interest will become effective only after the death of any and all primary Beneficiaries.
- f) If more than one Beneficiary is named in either category, benefits will be paid according to the following rules:
 - 1) Beneficiaries can be designated to share equally or to receive specific percentages.
 - 2) If a Beneficiary dies before the Participant, only the surviving Beneficiaries will be eligible to receive any benefits in the event of the death of the Participant. If more than two Beneficiaries are originally named to receive different percentages of the benefits, surviving Beneficiaries will share in the same proportion to each other as indicated in the original designation.
- g) A person, trust, estate or other legal entity may be designated as a Beneficiary.
- h) If a Beneficiary has not been designated, or a designation is ineffective due to the death of any and all Beneficiaries prior to the death of the Participant, or the designation is ineffective for any reason, the estate of the Participant shall be the Beneficiary.
- i) Upon the death of the Participant, any Beneficiary entitled to the value of the Deferred Compensation Account under the provisions of this Section shall become a "Vested Beneficiary" and have all the rights of the Participant with the exception of making any deferrals.
- j) Before the account can be distributed, the Beneficiary must provide the Department with his or her Social Security Number.
- k) In the event of a conflict between the provisions of this Section and an annuity distribution which has commenced under Section 2700.720(a)(3), the latter shall prevail.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

SUBPART H: MISCELLANEOUS

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Section 2700.820 Missing Persons

EMERGENCY

- a) If the Department is unable to ascertain the whereabouts or identity of any person who is due to receive a benefit under this Plan at the time that benefit is due, the Department shall attempt to serve notice on such person by certified mail addressed to that person's last known address.

- b) Should such attempt to serve notice fail, the Department shall ask the help of the Department of Financial Institutions in advertising the need to locate the person.

- b_c) Should such attempt to locate that person serve-notice-fail, the Department shall, upon receipt of a Court order, direct that such benefit and all other benefits due such a person be paid to a Court of Law for distribution pursuant to that Court's order.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

SUBPART I: AMENDMENT OR TERMINATION OF PLAN

Section 2700.920 Merger with Prior Plans

EMERGENCY

- a) This Plan constitutes an amendment and restatement of the State Employees' Deferred Compensation Plan adopted by the Board on May 18, 1979 at 7 Ill. Reg. 10845, effective August 31, 1983 (Prior Plan #III).

- b) All Participants and any Compensation deferred under the Prior Plans are, from the Effective Date of this Plan, governed by the terms of this Plan subject to the following provisions:

- 1) All deferrals elected under the Prior Plans shall continue without further action so long as they do not exceed the limits in Section 2700.430.
- 2) Any investment requests made under the Prior Plans shall continue to apply to any deferrals made under this Plan until changed by a Participant in accordance with Section 2700.640.
- 3) Any election of the method of distribution of benefits made through Prior Plan I shall be void, and a Participant or Beneficiary may elect the form of distribution in accordance with Sections 2700.710 and 2700.730 of this Plan.

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- 4) Any election of the method of distribution of benefits made through Prior Plans II and III shall remain in full force and effect unless it conflicts with the provisions of this Plan. In the event of a conflict, a Participant or Beneficiary shall have 30 days from date of notification to elect a new method of distribution consistent with the requirements of this Plan.

- c) Any Delayed Distribution Dates elected under Prior Plan II by a Participant or Beneficiary made prior to October 27, 1982 shall remain in full force and effect and are irrevocable. Delayed Distribution Dates elected under Prior Plan II made after October 27, 1982 shall be void if they conflict with the provisions of this Plan. A Participant whose Delayed Distribution Date is void shall have his or her Deferred Compensation Account distributed in accordance with Section 2700.730.

- d) A Participant who has elected a Delayed Distribution Date but not yet reached it may choose, within 60 days from the effective date of this Plan, to transfer the value of the account to another eligible plan authorized under Section 457 of the Code.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

Section 2700.APPENDIX A Administrative Rules
EXHIBIT E Administrative Rule V
EMERGENCY

The amount of a periodic installment benefit payment shall be determined each year-in-which-time there are-is a distributions. This amount shall be calculated on the first-Accounting Date for the year-month based on the value of the Participant's Account on that date and the number of installments remaining. However, the final installment will be an amount equal to the value of the Participant's Account on the Accounting Date for that final distribution.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

EXHIBIT F Administrative Rule VI
EMERGENCY

The liability of the Plan to the Participants for administrative errors shall not exceed the amount necessary to correct the \$1,000-per-error. Errors under \$5.00 will not be corrected.

(Source: Emergency amendments at 13 Ill. Reg. 629, effective January 1, 1989, for a maximum of 150 days.)

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1) Heading of the Part: Medical Practice Act of 19872) Code Citation: 68 Ill. Adm. Code 12853) Section Numbers: Emergency Action:1285.20 Amending
1285.50 Amending
1285.70 Amending
1285.90 Amending
1285.95 New Section4) Statutory Authority: Ill. Rev. Stat. 1987, ch. 111, par. 4400-10 and 4400-11.5) Effective Date of Amendment: January 1, 19896) If the emergency Amendment is to expire before the end of the 150-day period, please specify the date on which it will expire:7) Date Filed in Agency's Principal Office: January 1, 19898) Reason for Emergency:

Section 1285.20(f) concerns the affiliation agreement between the medical college and the clinical teaching facilities. This same language was added to other Sections of the Rules during the Second Notice Period of the last rulemaking which was finalized in December of 1988. At that time, it was the Department's intention to have this language added to Section 1285.20 as well. This did not happen. These Rules are necessary in order to be able to properly evaluate applications. Therefore, it is necessary to promulgate emergency Rules to address this subject.

In the rewrite of the Rules for the administration of the Medical Practice Act of 1987, the Section which dealt with approved programs of medical education was deleted due to the fact that the new Act requires individual transcript evaluation rather than program approval. However, when this Section was deleted, the examination requirements for applicants who are graduates of a medical college outside of the United States and Canada was deleted inadvertently. These requirements have been added back in the Rules in Section 1285.20(j).

Section 1285.95 is necessary in order to have standards in place that can be used in evaluating applications for temporary or permanent licensure made subsequent to December 31, 1989, by individuals who graduated more than 5 years prior to the date of application; and for applications for licensure made subsequent to July 1, 1987, and prior to January 1, 1990, under Sections 9 or 17 of this Act, by individuals who graduated prior to January 1, 1985 in accordance with P.A. 1245, effective January 1, 1989.

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9) A Complete Description of the Subjects and Issues Involved:

Section 1285.20(f) is new. This subsection requires applicants for licensure who completed rotations in an affiliated teaching facility to submit a copy of each affiliation agreement between the medical college which conferred the degree and each clinical teaching facility in which a core clerkship rotation was completed. The affiliation agreements to be considered valid must include the criteria set forth in this Section.

Section 1285.20(j) has been added (although it was in the previously existing rules). This subsection deals with the examination requirements for graduates of medical colleges outside of the United States and Canada.

Section 1285.95 is new and relates to individuals who graduated from a medical or osteopathic college prior to January 1, 1985 and provides criteria in determining continuing clinical skills that the Board may consider in making a determination as to whether the applicant is eligible for temporary or permanent license.

10) Are there any proposed Amendments to this Part pending: Yes. The following amendments to a Proposed Subpart B of this Part are pending:

Section Numbers	Proposed Action	Illinois Register Citation
1285.200	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.205	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.210	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.215	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.220	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.225	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.230	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.235	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.240	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.245	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.250	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.255	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.260	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.265	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.270	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.275	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.310	New Section	12 Ill. Reg. 15880, October 7, 1988
1285.320	New Section	12 Ill. Reg. 15880, October 7, 1988

11) Statement of Statewide Policy Objectives:

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12) Information and questions regarding this Amendment shall be directed to:

Department of Professional Regulation
 Attention: Jean Courtney
 320 West Washington, 3rd Floor
 Springfield, IL 62786
 217/785-0800

The full text of the Emergency Amendment begins on the next page.

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TITLE 68: PROFESSIONS AND OCCUPATIONS
 CHAPTER VII: DEPARTMENT OF PROFESSIONAL REGULATION
 SUBCHAPTER b: PROFESSIONS AND OCCUPATIONS

PART 1285

MEDICAL PRACTICE ACT OF 1987

Section	Six (6) Year Post-Secondary Programs of Medical Education
1285.20	
EMERGENCY	
1285.30	Programs of Chiropractic Education
1285.40	Approved Postgraduate Training Programs
1285.50	Application for Examination
EMERGENCY	
1285.60	Examinations
1285.70	Application for License on the Basis of Examination
EMERGENCY	
1285.80	Licensure by Endorsement
1285.90	Temporary Licenses
EMERGENCY	
1285.95	Clinical Skills Standards for Pre-1985 Graduates
EMERGENCY	
1285.100	Visiting Professor Permits
1285.110	Continuing Medical Education (CME)
1285.120	Renewals
1285.130	Restoration and Inactive Status
1285.140	Granting Variances

AUTHORITY: Implementing the Medical Practice Act of 1987 (Ill. Rev. Stat. 1987, ch. 111, par. 4400-1 et seq.) and authorized by Section 60(7) of The Civil Administrative Code of Illinois (Ill. Rev. Stat. 1987, ch. 127, par. 60(7)).

SOURCE: Adopted at 13 Ill. Reg. 483, effective December 29, 1988; emergency amendment at 13 Ill. Reg. 65L, effective January 1, 1989, for a maximum of 150 days.

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Section 1285.20 Six (6) Year Post-Secondary Programs of Medical Education
EMERGENCY

The standards for the six (6) year post-secondary program of medical education described in Section 11(A)(2)(a)(i) of the Medical Practice Act of 1987 (Ill. Rev. Stat. 1987, ch. 111, par. 4400-1, et seq.) ("the Act") are:

- a) At least two (2) academic years of a course of instruction prerequisite to professional training in a college of liberal arts or a medical college.
- b) At least two (2) academic years of study in the basic medical sciences which shall include formal instruction in at least the following subjects:
 - 1) anatomy
 - 2) biochemistry
 - 3) physiology
 - 4) microbiology and immunology
 - 5) pathology
 - 6) pharmacology and therapeutics
 - 7) preventive medicine

c) The required basic science courses stated in subsection (b) must be taken and completed as part of a program of medical education taught at a medical school and shall not be accepted or co-validated from courses completed as a student in a secondary school, community college, or college of liberal arts and sciences at which degrees are earned prior to the commencement of the medical education program.

d) At least two (2) academic years of study in the clinical sciences, while enrolled in the medical college which conferred the degree, which shall include at least the following required core clerkship rotations:

- 1) internal medicine
- 2) obstetrics and gynecology
- 3) pediatrics
- 4) psychiatry
- 5) surgery

e) The core clerkship rotations must have been taken and completed in clinical teaching facilities owned, operated or formally affiliated with the medical college which conferred the degree or under contract in teaching facilities owned, operated or formally affiliated with another medical college which is officially recognized by the jurisdiction in which the medical school which conferred the degree is located.

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f) Each applicant for licensure who completed rotations in an affiliated teaching facility must submit a copy of each affiliation agreement between the medical college which conferred the degree and each clinical teaching facility in which a core clerkship rotation was completed. The affiliation agreement(s) to be considered valid pursuant to Section 11(A)(2)(a)(i) of the Act must:

- 1) be in writing;
- 2) be dated;
- 3) be fully executed by the administrator of the clinical teaching facility and the Dean of medical college; and
- 4) clearly define the rights and responsibilities of each party including agreements on the role and authority of the governing bodies of both the clinical teaching facility and the medical college.
- 5) The affiliation agreement(s) must be substantiated by submission of an evaluation form for each core clerkship rotation completed by the supervising physician for that rotation.

g) For the purposes of this Section, "academic year" shall be defined as a minimum of nine (9) months in length which includes no less than 25 clock hours per week of basic sciences as set forth in subsection (b) above and no less than 40 clock hours per week of clinical sciences as set forth in subsection (d) above.

h) Each clerkship shall be at least four (4) weeks but no more than twelve (12) weeks in length, shall consist of a hands-on exposure to patients which is planned, managed and supervised by faculty of the medical school conferring the degree, and be performed in accordance with all requirements of the jurisdiction in which it is completed.

i) Clinical teaching facilities are defined as those which meet or exceed the requirements of Section 1285.40 or which are part of a residency program accredited by the Accreditation Council for Graduate Medical Education (ACGME), the American Osteopathic Association (AOA), or the Accreditation Council on Canadian Graduate Medical Education (ACCGME).

j) In addition, if the applicant is a graduate of a medical college outside of the United States and Canada, he must successfully complete an examination conducted by the Educational Council for Foreign Medical Graduates, either the ECFMG or the Visa Qualifying Examination (VOE), or Foreign Medical Graduates Examination in the Medical Sciences (FMGEMS), or another comprehensive examination determined by

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the Department to be substantially equivalent.

(Source: Emergency amendment at 13 Ill. Reg. 65L, effective Jan. 1, 1989, for a maximum of 150 days)

Section 1285.50 Application for Examination
EMERGENCY

- a) An applicant for licensure to practice medicine in all of its branches must make application to the Department or its designated testing service on forms furnished by the Department at least 90 days prior to such examination.
- b) Each applicant to take the examination for a license to practice medicine in all of its branches shall submit to the Department:
 - 1) A fully completed application which is signed, on which all questions have been answered, and all programs of medical education attended by the applicant have been identified;
 - 2) Proof that the applicant is of good moral character. Proof shall be an indication on the application that the applicant has not engaged in any conduct or activities which would constitute grounds for discipline under Section 22 of the Act. Applications of individuals who answer affirmatively on the Personal History portion of the application or who have engaged in activities which would constitute grounds for discipline shall be forwarded to the Enforcement Division of the Department for further investigation and action by the Medical Licensing Board as provided in Section 9(B)(4) of the Act.

- 3) An official transcript of the course of instruction prerequisite to professional training, in a college of liberal arts or medical college.

- 4) An official transcript and the diploma or certification of graduation from the medical education program granting the degree.

- 5) The applicant shall also submit certification on forms provided by the Department, that the core clerkship rotations were completed at clinical teaching facilities owned, operated or formally affiliated with another medical college which is officially recognized by the jurisdiction in which the medical school which conferred the degree is located. Each applicant for licensure who completed rotations in an affiliated teaching facility must submit a copy of each affiliation agreement between the medical college which conferred the degree and each clinical teaching facility in which a core clerkship rotation was completed. The affiliation agreement(s) to be considered valid pursuant to Section 11

(A)(2)(a)(i) of the Act must:

- A) be in writing;
- B) be dated;
- C) be fully executed by the administrator of the clinical teaching facility and the Dean of the medical college; and
- D) clearly define the rights and responsibilities of each party, including agreements on the role and authority of the governing bodies of both the clinical teaching facility and the medical college.
- E) The affiliation agreement(s) must be substantiated by submission of an evaluation form for each core clerkship rotation completed by the supervising physician for that rotation.
- 6) A complete work history since graduation from medical school;
- 7) Fees as required by Section 21 of the Act.
- 8) For applicants to practice medicine in all of its branches, proof of completion of an approved post-graduate training program in accordance with Section 1285.40.
- 9) In addition to the requirements of this Section, pre-1985 graduates will be required to provide documentation of clinical skills as set forth in Section 1285.95 of this Part and Section 11(A)(2)(a)(i) of the Act.

c) Examination prior to Completion of Clinical Training

- 1) A candidate may apply for the examination and take the examination given prior to completion of the clinical training required by the Act, provided such applicant:
 - A) is registered in an approved program of clinical training and on whose behalf a temporary license by the Department has been issued pursuant to the provisions of Section 17 of the Act.
 - B) satisfies all of the requirements to take the examination for licensure to practice medicine in all of its branches, except completion of an approved program of clinical training; and
 - C) furnishes a statement from hospital authorities certifying that such applicant has completed at least four (4) calendar months of such approved program of clinical training, and performance in such training is satisfactory to date.
- 2) The results of such examination shall be made available to the

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applicant but no license shall be issued until the Department receives proof of such applicant's satisfactory completion of the required approved clinical training program.

(Source: Emergency amendment at 13 Ill. Reg. 651, effective Jan. 1, 1989, for a maximum of 150 days)

Section 1285.70 Application for License on the Basis of Examination
EMERGENCY

a) Each applicant for a license to practice medicine in all of its branches on the basis of examination must submit to the Department:

- 1) A fully completed application which is signed on which all questions have been answered, and all programs of medical education attended by the applicant have been identified, including dates of attendance;
- 2) Proof that the applicant is of good moral character. Proof shall be an indication on the application that the applicant has not engaged in any conduct or activities which would constitute grounds for discipline under Section 22 of the Act. Applications of individuals who answer affirmatively on the Personal History portion of the application or who have engaged in activities which would constitute grounds for discipline shall be forwarded to the Enforcement Division of the Department for further investigation and action by the Medical Licensing Board as provided in Section 9(B)(4) of the Act.
- 3) An official transcript of the course of instruction prerequisite to professional training in a college of liberal arts or medical college;
- 4) A complete work history since graduation from medical school;
- 5) Fee as required by Section 21 of the Act; and
- 6) An official transcript and the diploma or certification of graduation from the medical education program granting the degree which shall evidence that the applicant has met the minimum medical education requirements of the Act. Such evidence shall include proof that the core clerkship rotations were completed at clinical teaching facilities owned, operated or formally affiliated with the medical college which conferred the degree or under contract in teaching facilities owned, operated or formally affiliated with another medical college which is officially recognized by the jurisdiction in which the medical school which conferred the degree is located in accordance with Section 1285.20 of this Part.

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- 7) For applicants to practice medicine in all of its branches, proof of completion of an approved program of postgraduate clinical training of 24 months' duration in a hospital in the United States or Canada approved by the Department.
- 8) Proof on forms provided by the Department of the successful completion of the examination set forth in Section 1285.60.
- 9) In addition to the requirements of this Section, pre-1985 graduates will be required to provide documentation of clinical skills as set forth in Section 1285.95 of this Part and Section 11(A)(2)(a)(i) of the Act.

10 9) Waiver.

- A) The provisions of subsection (8) above shall be waived for a candidate for licensure to practice medicine in all of its branches who makes application in form and substance satisfactory to the Department under Section 9 of the Medical Practice Act of 1987 and causes to be filed with the Department, in addition to his application, proof of the candidate's successful completion of:
 - i) the National Board of Medical Examiners examination subsequent to January 1, 1964; or
 - ii) the National Board of Examiners for Osteopathic Physicians and Surgeons examination subsequent to June 1, 1973; or
 - iii) the Federation Licensing Examination ("FLEX") in another state obtaining a FLEX weighted average of 75 or more subsequent to June 1, 1968; or
 - iv) the Licentiate of the Medical Council of Canada examination ("LMCC") subsequent to May 1, 1970; or
 - v) The Federation Licensing Examination ("FLEX") in another state obtaining a score of 75 or more in each Component.
 - B) Verification of the candidate's successful completion of the above described examinations shall show the scores achieved by the candidate on the examination with certificate number(s) and where and when the candidate took the examination.
- b) Each applicant for a license to practice as a chiropractic physician must submit to the Department:

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- 1) A fully completed application which is signed, on which all questions have been answered, and all programs of chiropractic education attended by the applicant have been identified including dates of attendance;
- 2) Proof that the applicant is of good moral character and has not engaged in any conduct or activities which would constitute grounds for discipline under Section 22 of the Act. Applications of individuals who answer affirmatively on the Personal History portion of the application or who have engaged in activities which would constitute grounds for discipline shall be forwarded to the Enforcement Division of the Department for further investigation and action by the Medical Licensing Board as provided in Section 9(B)(4) of the Act.
- 3) A complete work history since graduation from chiropractic school;
- 4) Fee as required by Section 21 of the Act; and
- 5) Proof of the successful completion of Part I, Part II and the Written Clinical Competency Examination forwarded directly to the Department from the National Board of Chiropractic Examiners.

(Source: Emergency amendment at 13 Ill. Reg. 651 --, effective Jan. 1, 1989 for a maximum of 150 days)

Section 1285.90 Temporary Licenses
EMERGENCY

- a) An application for a Temporary License to pursue specialty/residency training must be filed, in form and substance satisfactory to the Department, at least 60 days prior to the commencement date of the training.
- b) Each application shall not be considered complete unless it is signed. All questions have been answered and it contains or is accompanied by:
 - 1) Proof that the applicant is of good moral character and has not engaged in any conduct or activities which would constitute grounds for discipline under Section 22 of the Act. Applications of individuals who answer affirmatively on the Personal History portion of the application or who have engaged in activities which would constitute grounds for discipline shall be forwarded to the Enforcement Division of the Department for further investigation and action by the Medical Licensing Board.
 - 2) An official transcript of the courses of instruction prerequisite to professional training in a college of liberal arts or medical college;

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- 3) An official transcript and diploma or certification of graduation from the medical education program granting the degree which shall evidence that the applicant has met the minimum education requirements of the Act. Evidence which shall include proof that the core clerkship rotations were completed at clinical teaching facilities owned, operated or formally affiliated with the medical college which conferred the degree or under contract in teaching facilities owned, operated or formally affiliated with another medical college which is officially recognized by the jurisdiction in which the medical school which conferred the degree is located in accordance with Section 1285.20 of this Part.
- 4) Proof that the applicant has been accepted or appointed to a position in a specialty/residency program which is approved by the Department, pursuant to the provisions of Section 1285.40 and the number of the postgraduate year for which he has been accepted or appointed;
- 5) A statement identifying all medical education program attended, including dates of attendance;
- 6) Applicants who submit any document in a foreign language shall submit an original notarized English translation.
- 7) A complete work history since graduation from medical school; and
- 8) The fee required by Section 21 of the Act.
- 9) In addition to the requirements of this Section, pre-1985 graduates will be required to provide documentation of clinical skills as set forth in Section 1285.95 of this Part and Section 11(A)(2)(a)(i) of the Act.
- c) Written notice of the Department's final action on every application for a temporary license shall be given to the applicant and hospital designated therein. If such application is approved pursuant to Section 17 of the Act and this Section, the temporary license shall be delivered or mailed to the hospital and shall be kept in the care and custody of such hospital. Any person not licensed to practice medicine in all of its branches in the State of Illinois who is pursuing specialty/residency training must have had a Temporary License issued on his behalf to an approved program of training prior to the commencement of the training.
- d) Commencement of the specialty/residency training program prior to the issuance of a temporary license shall be construed as the unlicensed practice of medicine.

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- e) A Temporary License shall be issued for a maximum of three years, subject to renewal as provided in this section. In no event shall a Temporary License be issued for less than one year except as provided in subsection (i) below or for any purpose other than a post-graduate specialty/residency program required for licensure under the Act.
- f) Not more than one Temporary License shall be issued to any person for the same period of time.
- g) When a resident is dismissed or otherwise terminates his specialty/residency program, it shall be the responsibility of the staff of the program to notify the Department immediately and return the Temporary License to the Department. If the Temporary License has been lost or destroyed, the staff of the program shall submit a written explanation to the Department.
- h) A Temporary License may be transferred from one program to another only upon the return of the Temporary License and receipt by the Department of a new application which contains a certificate of acceptance that the resident has been accepted or appointed to a specialty/residency position in an approved program. Requests for transfers shall be filed with the Department at least 60-days prior to the commencement date of the new program.

i) Temporary licenses may be extended or renewed only in the following documented situations:

- 1) serving full-time in the Armed Forces;
- 2) an incapacitating illness;
- 3) proof of continuance of a residency training program in order to meet the remedial requirements for licensure set forth in Section 1285.60(a)(4); or
- 4) proof of continuance of a residency training program.

j) The Department shall issue Limited Temporary Licenses for no more than six (6) months on behalf of individuals who apply in form and substance satisfactory to the Department and submit evidence that:

- 1) He is enrolled in a postgraduate clinical training program outside of the State of Illinois meeting the requirements of Section 1285.40;
- 2) He has been accepted for a specific period of time to perform, under supervision, a portion of that program at a clinical training program approved pursuant to the provisions of Section

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1285.40 in the State of Illinois due to the absence of adequate facilities in the other State;

- 3) The approved clinical training program in this State has assumed full supervisory responsibility for the individual during the full period specified on his application.
- 4) A Limited Temporary License may be extended or renewed only in the following documented situations:
 - A) serving full-time in the Armed Forces;
 - B) an incapacitating illness as documented by a currently licensed physician;
 - C) proof of continuance of a residency training program as documented by the residency training program director.
 - k) Any individual who participates in any portion of a specialty/residency program without a Temporary license issued by the Department shall be considered to be involved in the unlicensed practice of medicine.

(Source: Emergency amendment at 13 Ill. Reg. 651, effective Jan. 1, 1989 for a maximum of 150 days)

Section 1285.95 Clinical Skills Standards for Pre-1985 Graduates EMERGENCY

An individual who graduated from a medical or osteopathic college officially recognized by the jurisdiction in which it is located for the purpose of receiving a license who graduated from said school prior to January 1, 1985, in addition to meeting all of the requirements of the Act and this Part for licensure, shall submit documentation to the Department evidencing clinical activities since graduation from a medical or osteopathic college in order for the Medical Licensing Board to make a determination as to whether the applicant is eligible for temporary or permanent license. In determining continuing clinical skills the Board shall consider, but not be limited to, the following activities:

- a) Medical research which shall be human clinical research that is consistent with the requirements of the Federal Food and Drug Administration (21 CFR 50)(1988) and the Consumer Product Safety Commission (16 CFR 1028)(1988).
- b) Specialized training or education which shall be clinical training or clinical education such as the following:

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NOTICE OF EMERGENCY AMENDMENT

- 1) Clinical training which takes place in a residency training program in accordance with the requirements set forth in Section 1285.40 of this Part or the equivalent thereof (e.g., residency training in another state).
- 2) Clinical medical practice in the National Health Service.
- 3) Continuing medical education (CME) accredited by the American Council on Continuing Medical Education (ACCME), the American Osteopathic Association (AOA) or continuing medical education in accordance with Section 1285.110 of this Part.
- 4) Post-graduate education in basic or related medical sciences.

c) Publication of original work in clinical medicine published in medical or scientific journals which are listed by the Cumulative Index Medicus (CIM).

d) Clinical research or professional clinical medical practice in public health organizations (e.g., World Health Organization (WHO), Malaria Prevention programs, United Nations International Children's Emergency Fund (UNICEF) programs, both national and international).

e) Having been engaged in clinical research or clinical medical practice at a veterans, military, or other medical institution operated by the federal government.

f) Other professional or clinical medical activities such as:

1) Presentation of papers or participation on panels as a faculty member at a program approved or recognized by the American Medical Association (AMA) or its affiliate, the American Osteopathic Association (AOA) or its affiliate, or a recognized specialty society or equivalent; or

2) Experience obtained as a Visiting Professor in accordance with Section 1B(A) of the Act.

g) Clinical medical practice obtained in violation of the Act shall not be considered by the Board in determining continuing clinical skills for the purposes of this Section.

h) Each applicant for temporary licensure, in accordance with this Section, shall submit a certificate of acceptance form signed by the Program Director of an approved residency training program, in accordance with Section 1285.40 of this Part, attesting that such applicant will be accepted for specialty/residency training, if, upon

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the evaluation of medical education and clinical skills by the Department, the applicant is found to be eligible for temporary licensure.

(Source: Emergency Rule added at 13 Ill. Reg. 651, effective Jan. 1, 1989 for a maximum of 150 days)

DEPARTMENT OF PUBLIC AID

NOTICE OF REFUSAL
TO MEET THE OBJECTION OF THE JOINT COMMITTEE
ON ADMINISTRATIVE RULES

1) The Heading of the Part: Reimbursement for Nursing Costs
for Geriatric Facilities

2) Code Citation: 89 Ill. Adm. Code 147

3) Section Numbers: Action:
147. Table A Refusal
147. Table B Refusal

4) Date Notice of Proposed Rules Published in the Register:
June 24, 1988 (12 Ill. Reg. 10627)

5) Date JCAR Statement of Objection Published in the Register:
December 2, 1988 (12 Ill. Reg. 20231)

6) Summary of Action Taken by the Agency: The Joint Committee objected to these rules because the Department implemented the rules prior to completion of the required rulemaking procedures. The Department acknowledges that it has continued to make payments pursuant to Sections 147. Table A(c) and 147. Table B(c) even though the rules specify that tables are effective only through June 30, 1988. The violation here, however, is only technical. When the Department inserted dates into the tables, the intent was that the final part of the tables should govern payments indefinitely. The Department should have made that clear in the tables, but inadvertently inserted an end-date of June 30, 1988. The current rulemaking is merely attempting to revise the rule to match Department's original intent.

ILLINOIS REGISTER

JOINT COMMITTEE ON ADMINISTRATIVE RULES
ILLINOIS GENERAL ASSEMBLY

SECOND NOTICES RECEIVED

The following second notices were received by the Joint Committee on Administrative Rules during the period of December 26, 1988 through December 30, 1988 and have been scheduled for review by the Committee at its February 1989 meeting. Other items not contained in this published list may also be considered by the Joint Committee at its February meeting. Members of the public wishing to express their views with respect to a proposed rule should submit written comments to the Joint Committee at the following address: Joint Committee on Administrative Rules, 509 South Sixth Street, Room 500, Springfield, IL 62701.

Second Notice Expires	Agency and Rule	Start of First Notice	Scheduled for Consideration by JCAR
2/14/89	Emergency Services and Disaster Agency, Telephone Notification of Hazardous Incidents; Repeal of (29 Ill. Adm. Code 430)	11/4/88 12 Ill. Reg. 17585	January 9, 1989
2/10/89	Secretary of State, General Rules, Definitions (92 Ill. Adm. Code 1000)	10/28/88 12 Ill. Reg. 17269	February, 1989
2/10/89	Department of Agriculture, Farmland Preservation Act (8 Ill. Adm. Code 700)	10/28/88 12 Ill. Reg. 17139	February, 1989
2/14/89	Department of Central Management Services, Merit and Fitness (80 Ill. Adm. Code 302)	10/7/88 12 Ill. Reg. 15813	February, 1989
2/14/89	Department of Public Aid, Medical Payment (QUIP) (89 Ill. Adm. Code 140.525)	10/28/88 12 Ill. Reg. 17172	February, 1989
2/14/89	Department of Public Aid, Medical Payment (89 Ill. Adm. Code 140)	11/4/88 12 Ill. Reg. 17643	February, 1989
2/14/89	Illinois Racing Board, Licensing (11 Ill. Adm. Code 502)	11/14/88 12 Ill. Reg. 18105	February, 1989

PROCLAMATION

89-001

James R. Wolfe's Memorial Award Day

WHEREAS, Chicago United is a consortium of leading white, black, Hispanic, and Asian business executives and professional people, who are dedicated to improving the social, racial, and economic conditions of the Chicago area and securing the increasing participation of all citizens in its opportunities; and

WHEREAS, Chicago United has instituted the James R. Wolfe Memorial Award to recognize those member companies that have made significant achievements and outstanding contributions to the growth and development of minority business in the Chicagoland area through their leadership, commitment, and performance; and

WHEREAS, Chicago United has become a key player in the public affairs area and an effective instrument through which companies can carry out an important part of their corporate social responsibilities;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim December 7, 1988, as JAMES R. WOLFE'S MEMORIAL AWARD DAY in Illinois in honor of Chicago United's efforts toward economic empowerment for all Chicagoans.

Issued December 6, 1988. Filed January 3, 1989.

PROCLAMATION

89-002

Chicago Opera Theater Week

WHEREAS, December 1988 marks the 15th anniversary season of the Chicago Opera Theater; and

WHEREAS, for 15 years, Chicago Opera Theater has been providing Chicago area residents with a unique brand of opera - intimate productions, sung in English, that feature upcoming young American artists; and

WHEREAS, the company has grown from a small ensemble producing one opera with a \$8,000 budget to one of the state's most important performing arts organizations. Last year, more than 25,000 Illinoisans were entertained by Chicago Opera Theater; and

WHEREAS, the 15th anniversary season will be celebrated with a landmark collaboration between Chicago Opera Theater and members of the Chicago Symphony Orchestra, marking the first time the Chicago Symphony has joined with a resident opera company for a joint production; and

WHEREAS, this special show, the Chicago premiere of Maurice Sendak and Oliver Knussen's "Where the Wild Things Are" coupled with Prokofiev's "Peter and the Wolf," will be performed in the historic Auditorium Theatre and brings both opera and the Chicago symphony Orchestra back to their original Chicago home;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim December 17-24, 1988, as CHICAGO OPERA THEATER WEEK in Illinois in celebration of the 15th anniversary of the Chicago Opera Theater, and in recognition of its contribution to the cultural life of Illinois residents.

Issued December 9, 1988. Filed January 3, 1989.

PROCLAMATION

89-003

American History Month

WHEREAS, the Seventy-First General Assembly on July 17, 1959, specified that the month of February of each year be designated as American History Month in the State of Illinois; a month set apart to promote the study of American history; and

WHEREAS, the United States is one of the greatest industrial countries of the world. Its mineral and agricultural resources are tremendous, and it has practically all the resources necessary for self-sufficiency; and

WHEREAS, the United States has been referred to as the "melting pot" of nations as its population represents an influx of people from countries throughout the world; and

WHEREAS, the government of the United States is that of a federal republic, set up by the Constitution adopted by the Federal Constitutional Convention of 1787; and

WHEREAS, Americans should reflect upon their great heritage through the study of American history;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim February 1989, as AMERICAN HISTORY MONTH in Illinois. I urge all citizens to take note of this nation's heritage and growth, and those individuals who have contributed so much to American history.

Issued December 13, 1988. Filed January 3, 1989.

PROCLAMATION

89-004

Congratulates Frank R. Adams

WHEREAS, Frank R. Adams began his career with the Oxy-Dry Corporation in 1945 by selling sprayers, powder, and service to the growing graphic arts industry; and

WHEREAS, Frank originally spent 25 years with Oxy-Dry as a salesman selling and servicing all equipment and working directly with his customers, the printers of America; and

WHEREAS, in 1965, he moved into the corporate office as the sales coordinator, doing an outstanding job in the sales and production of electrostatic sprayers, which are the backbone of the printing industry; and

WHEREAS, prior to joining Oxy-Dry, Frank volunteered for duty with the U.S. Airforce and after completing flight school, was assigned the command of a Weather Squadron that consisted of 450 people in the Far East. Frank was charged with the responsibility of providing all weather-related data and information for the bombing missions; and

WHEREAS, while serving as a salesman for Oxy-Dry, Frank was also active in the Air Force Reserve and officially retired from the reserve as a Lieutenant Colonel;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, congratulate FRANK R. ADAMS on his distinguished 43-year career with the Oxy-Dry Corporation and commend him for his years of service to the U.S. Airforce.

Issued December 13, 1988. Filed January 3, 1989.

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PROCLAMATION
89-005

Vocational Education Week

WHEREAS, the American Vocational Association has designated the week of February 13-20, 1989, as Vocational Education Week; and

WHEREAS, vocational education has been and continues to be an integral part of comprehensive elementary, secondary and post-secondary public education programs in Illinois and provides the skills needed to obtain employment and economic independence; and

WHEREAS, vocational educators contribute to the growth and vitality of Illinois' businesses and industries by preparing workers for rapidly growing occupations and by stressing skills that lead to improved productivity; and

WHEREAS, a strong vocational education program is vital to the economic development of our state and the well-being of its citizens;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim February 13-20, 1989, as VOCATIONAL EDUCATION WEEK in Illinois, and I encourage all citizens to become better acquainted with the services and benefits offered by the vocational programs in this state.

Issued December 13, 1988. Filed January 3, 1989.

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PROCLAMATION
89-006

Volunteer Connection Day

WHEREAS, many people of the State of Illinois give of their time and talents as volunteers to enhance the lives of our citizens; and

WHEREAS, many others also have the ability but not the opportunity to serve as volunteers; and

WHEREAS, the national television systems are willing to provide free air time for the broadcasting of public service announcements to help recruit volunteers; and

WHEREAS, this service called the Volunteer Connection will be initiated during January;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim January 3, 1989, as VOLUNTEER CONNECTION DAY in Illinois.

Issued December 13, 1988. Filed January 3, 1989.

PROCLAMATION

89-007

Cerebral Palsy Month

WHEREAS, approximately one in every 1,000 Illinoisans has cerebral palsy, a condition that results from birth-associated damage to the brain. Common causes of such brain damage are insufficient oxygen, blood incompatibility between parents, viral infection of the mother during pregnancy, and accidents or child abuse; and

WHEREAS, cerebral palsy impairs the ability to control motor function. It is often accompanied by seizures, spasms, retardation, abnormal sensation or perception, and impairment of sight, hearing or speech, all in varying degrees of severity; and

WHEREAS, the goal of United Cerebral Palsy (UCP), a nationwide volunteer organization, is to help persons with cerebral palsy, and others with severe physical and multiple disabilities, achieve maximum potential in growth and development in order to foster independence, productivity, and integration into complete community participation;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim January 1989 as CEREBRAL PALSY MONTH in Illinois, and lend support to UCP's efforts in its national telethon on January 14 and 15. This major fund-raising activity promotes awareness of cerebral palsy and advises as to services available for those with this condition and other severe physical and multiple disabilities.

Issued December 14, 1988. Filed January 3, 1989.

PROCLAMATION

89-008

Four Chaplains Sunday

"Surely the astounding and beautiful fact about human existence is that transfiguring times may come to the commonest or simplest person, that suddenly some undistinguished, negligible man or woman who never said or did anything notable before may be caught up in unaccountable glory and made a beacon for mankind."

--St. John Ervine

WHEREAS, one of the most inspiring acts of heroism in World War II will be commemorated on February 5th. That date marks the 45th anniversary of the historic occasion of "Four Chaplains Sunday"; and

WHEREAS, in a final act of love and dedication, four chaplains representing the Methodist, Roman Catholic, Jewish and Dutch Reformed faiths, gave their own life jackets, the only ones that remained, to four fearful American servicemen and directed the young soldiers to lifeboats. The four United States Army Chaplains then sank with the torpedoed U.S.S. Dorchester in the North Atlantic, with their arms linked about each other while they prayed together; and

WHEREAS, each year a memorial program is sponsored by the Combined Veterans Association of Illinois. This year it is hosted by the Marine Corp League.

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim February 5, 1989, as FOUR CHAPLAINS SUNDAY in Illinois, in an effort to perpetuate the memory of these men who so convincingly demonstrated their boundless love for others.

Issued December 14, 1988. Filed January 3, 1989.

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PROCLAMATION
89-009

Homemakers Extension Association Week

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PROCLAMATION
89-010

Illinois Trail Appreciation Month

"Focus on the Future - Images and Issues."
--1989 Theme

WHEREAS, the Illinois Homemakers Extension Federation has provided educational opportunities to homemakers in Illinois for over 70 years; and

WHEREAS, having originated in Kankakee County, the organization is now active in 101 counties in Illinois and has more than 35,000 members statewide; and

WHEREAS, the Homemakers Extension Federation is dedicated to education and the improvement of family life, and works closely with the Cooperative Extension Service of the University of Illinois;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim February 19-25, 1989, as HOMEMAKERS EXTENSION ASSOCIATION WEEK in Illinois, in appreciation of the many contributions the organization has made to the advancement of education among our homemakers.

Issued December 15, 1988. Filed January 3, 1989.

WHEREAS, Illinois citizens, in increasing numbers, enjoy such outdoor recreational pursuits as hiking, jogging, bicycling, cross-country skiing, horseback riding and snowmobiling on designated trails. They actively pursue physical exercise for health and fitness; and

WHEREAS, although such pursuits may occur on local roads and sidewalks, they more appropriately occur within parks and linear greenways on trails--pathways of easy, safe access into and through the Illinois landscape; and

WHEREAS, Illinois has linear historic canal and railroad rights-of-ways that carry magic in their names--Hennepin, Illinois and Michigan, Rock Island, Great Western, Illinois Prairie--and which have been adapted for trail use and, therefore, are still viable corridors through the state; and

WHEREAS, the Rails-to-Trails Conservancy, a non-profit organization dedicated to the preservation of abandoned rail corridors for trail use, has declared Illinois one of the top five states in existing rail-trail conversions, with even greater potential for future preservation of abandoned rail corridors; and

WHEREAS, Illinois has many opportunities for additional trails, particularly in reusing railroad rights-of-way, and faces new thresholds for hiking, bicycling and other trail uses in the varied landscape of this great state;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim May 1989 as ILLINOIS TRAIL APPRECIATION MONTH in recognition of the many and wonderfully diverse recreational trails in Illinois and the committed trail enthusiasts who strive to increase trail opportunities for the public.

Issued December 15, 1988. Filed January 3, 1989.

PROCLAMATION
89-011

School Social Work Week

WHEREAS, school social workers actively provide professional services to students in public and private school settings helping to alleviate and prevent learning problems, especially those that are socially and emotionally oriented; and

WHEREAS, their skilled, professional intervention can make a difference in a student's attitude toward the learning experience; and

WHEREAS, these professionals bring together teachers, parents, school administrators and pupil service teams, along with professional and community resources, to help all students realize their fullest potential in becoming educated, contributing members of society;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim March 12-18, 1989, as SCHOOL SOCIAL WORK WEEK in Illinois, in recognition of the contributions of these individuals to the welfare of our children.

Issued December 15, 1988. Filed January 3, 1989.

PROCLAMATION
89-012

American Savings and Loan/100th Anniversary

WHEREAS, 1988 marks the 100th anniversary of the American Savings and Loan, 714 North Vermillion, Danville, Illinois; and

WHEREAS, it opened December 17, 1988, as Germania Building Association and was then located at 201 East Main Street; and

WHEREAS, in 1918, the name was changed to American Building Association and in 1956, again changed to American Savings and Loan; and

WHEREAS, American Savings and Loan has prospered under the leadership of its president, Rand A. Campbell;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim December 17, 1988, as the 100th ANNIVERSARY OF THE AMERICAN SAVINGS AND LOAN on the occasion of this auspicious event and in recognition of its 100 years of service to the citizens of our state.

Issued December 16, 1988. Filed January 3, 1989.

PROCLAMATION

PROCLAMATION

89-013

89-014

Center For Children's Services Day

Child Find Month

WHEREAS, child care and family counseling are valuable services which, when practiced effectively, can improve the quality of people's lives; and

WHEREAS, The Center for Children's Services provides these types of support for Vermilion County through several programs, including 24-hour emergency counseling, crisis intervention, and day care for infants and pre-schoolers; and

WHEREAS, the agency was originated in 1894 by the United Way as an orphanage, and it has today progressed into a fine, community-based service organization;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim January 6, 1989, as CENTER FOR CHILDREN'S SERVICES DAY in Illinois, recognizing its 95th year of providing quality family assistance.

Issued December 19, 1988. Filed January 3, 1989.

WHEREAS, the Illinois State Board of Education believes that all students should have the opportunity to develop their potential; and

WHEREAS, the board recognizes that certain youths may need special assistance in reaching that potential; and

WHEREAS, "Child Find" is a campaign designed to identify handicapped children so that they can receive the proper help they need; and

WHEREAS, it is the board's duty to promote these sources of special education to the public and provide information regarding them;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim January 1989 as CHILD FIND MONTH in Illinois in conjunction with the state Board of Education, acknowledging the importance of individual education for our handicapped youths.

Issued December 19, 1988. Filed January 3, 1989.

PROCLAMATION
89-015
Jaycee Week

WHEREAS, the Springfield Jaycees has been a vital part of the development of young leaders for the community of Springfield, Illinois, for the past 50 years; and

WHEREAS, this organization of young people has contributed to the betterment of its community through involvement in such programs as C.P. R. certification, Operation Sandbox, and "Holiday Feast '88"; and

WHEREAS, the United States Jaycees and its affiliated state and local organizations have set aside the third week in January to observe the founding of the Jaycees 68 years ago;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim January 15-21, 1989, as JAYCEE WEEK in Illinois and urge all citizens to give full regard to the past and continuing efforts and services of the Springfield Jaycees.

Issued December 22, 1988. Filed January 3, 1989.

PROCLAMATION
89-016

Commissioned Corps Of The United States Public Health Service Day

WHEREAS, the Commissioned Corps of the United States Public Health Service, one of the nation's seven uniformed services, has compiled a truly exceptional record of service to the health of the people of the United States and the world, through a concerted effort of disease prevention, health promotion, environmental intervention, disease control, biomedical research, health care delivery, health program management, policy development and implementation, as well as innovation and breakthrough in the entire health care arena; and

WHEREAS, the Commissioned Corps has maintained a highly effective, mobile and adaptive cadre of health and medical experts who have adapted to emergencies, epidemics and multiple adversities with courage, proficiency and valor; and

WHEREAS, the Corps has helped achieve the eradication of such diseases as pellegra and smallpox; enhanced the health of mothers, children and handicapped through such milestones as the control of tuberculosis and the development of protective vaccines; increased protection of consumers; and provided health care services for the Merchant Marines, Coast Guard, Bureau of Prisons, American Indians and Native Alaskans; and

WHEREAS, on January 4, 1989, the Commissioned Corps of the United States Public Health Service celebrates the end of its first century and begins its second century of endeavor with the goal of further enhancing the health of its citizens through such measures as achieving a smoke-and-drug-free society and the eradication of AIDS;

THEREFORE, I, James R. Thompson, Governor of the State of Illinois, proclaim January 4, 1989, as COMMISSIONED CORPS OF THE UNITED STATES PUBLIC HEALTH SERVICE DAY in Illinois, in recognition of the men and women who have served and who now serve in this select health component and in appreciation of their exceptional efforts and successes for the good of public health.

Issued December 30, 1988. Filed January 3, 1989.

ACTION CODES
Rules

A - Adopted Rule
AR - Adopted Repealer
C - Notice of Corrections
CC - Codification Changes
E - Emergency Rule
ER - Emergency Repealer
M - Modification to meet JCAR objections
O - JCAR Statement of Objections
P - Proposed Rule
PF - Prohibited Filing Ordered by JCAR
PP - Peremptory or Court ordered Rules
PR - Proposed Repealer
R - Refusal to meet JCAR objection
RC - Statement of Recommendation
S - Suspension ordered by JCAR
W - Withdrawal to meet JCAR objections

EXAMPLE:

AGRICULTURE, DEPARTMENT OF

8 Ill. Adm. Code 285 Ill. Grain Insurance Act (P-18048/85; A-6818)
TITLE PART ACTION CODE PAGE NUMBER PREVIOUS VOLUME ACTION CODE

ALL RULES ARE LISTED BY PART NUMBER AND HEADING ONLY. (FOR ACTION ON SPECIFIC SECTIONS, PLEASE REFER TO THE SECTIONS AFFECTED INDEX.) IF THERE ARE ANY QUESTIONS, PLEASE CONTACT THE ADMINISTRATIVE CODE DIVISION AT (217) 782-9786.

AGRICULTURE, DEPARTMENT OF

8 Ill. Adm. Code 700 Farmland Preservation Act (P-14786/88; A-285)
8 Ill. Adm. Code 125 Meat & Poultry Inspection Act (PP-228)

CENTRAL MANAGEMENT SERVICES, DEPARTMENT OF

80 Ill. Adm. Code 2110 State of Ill. Dependent Care Assistance Plan (P-1) (E-214)

COMMERCE AND COMMUNITY AFFAIRS, DEPARTMENT OF

14 Ill. Adm. Code 570 Ill. Small Business Development Program (P-20714/87; A-58)

COMMERCE COMMISSION, ILLINOIS

83 Ill. Adm. Code 435 Electric Utility Forecasting (G.O.215) (PR-3)
83 Ill. Adm. Code 440 Least-Cost Planning for Electric Utilities (P-3162/88; A-296)
92 Ill. Adm. Code 1710 Relocation Towing (P-10)

EMPLOYMENT SECURITY, DEPARTMENT OF

56 Ill. Adm. Code 2960 General Provisions (P-17)

FIRE MARSHAL, OFFICE OF THE STATE

41 Ill. Adm. Code 100 Fire Prevention & Safety (E-582)

HEALTH CARE COST CONTAINMENT COUNCIL, ILLINOIS

77 Ill. Adm. Code 2510 Data Collection (P-13694/88; A-334)

INSURANCE, DEPARTMENT OF

50 Ill. Adm. Code 2008 Minimum Standards for Individual & Group Medicare Supplement Insurance (P-251) (E-586)

INVESTMENT, ILLINOIS STATE BOARD OF

80 Ill. Adm. Code 2700 State (of Ill.) Employees' Deferred Compensation Plan (P-253) (E-629)

MINES AND MINERALS, DEPARTMENT OF

62 Ill. Adm. Code 220 Surface Installation Health & Safety (P-23)

NUCLEAR SAFETY, DEPARTMENT OF

32 Ill. Adm. Code 410 Radiation Inspectors & Inspections (P-13841/88; A-342)

POLLUTION CONTROL BOARD

35 Ill. Adm. Code 604 Finished Water & Raw Water Quality & Quantity (P-255)
35 Ill. Adm. Code 605 Hazardous Waste Management System: General (P-15327/88; A-362)
35 Ill. Adm. Code 721 Identification & Listing of Hazardous Waste (P-15347/88; A-382)
35 Ill. Adm. Code 725 Interim Status Standards for Owners & Operators of Hazardous Waste Treatment, Storage & Disposal Facilities (P-15402/88; A-437)

35 Ill. Adm. Code 601 Introduction (P-262)

35 Ill. Adm. Code 703 RCRA Permit Program (P-15444/88; A-447)

35 Ill. Adm. Code 605 Sampling & Monitoring (P-269)

35 Ill. Adm. Code 722 Standards Applicable to Generators of Hazardous Waste (P-15449/88; A-452)

35 Ill. Adm. Code 724 Standards for Owners & Operators of Hazardous Waste Treatment, Storage & Disposal Facilities (P-15455/88; A-458)

35 Ill. Adm. Code 704 UTC Permit Program (P-17167/88; A-478)

PROFESSIONAL REGULATION, DEPARTMENT OF

68 Ill. Adm. Code 1285 Medical Practice Act of 1987 (P-274) (P-8571/88; A-483) (E-651)

68 Ill. Adm. Code 1280 Medical Practice Act of 1987 (PR-8536/88; AR-513)

PUBLIC AID, DEPARTMENT OF

89 Ill. Adm. Code 113 Aid to the Aged, Blind or Disabled (P-15898/88; A-63)

89 Ill. Adm. Code 112 Aid to Families with Dependent Children (P-15905/88; A-70)

89 Ill. Adm. Code 111 Assistance Standards (P-15920/88; A-85)

89 Ill. Adm. Code 141 Drug Manual (P-15483/88; A-516)

89 Ill. Adm. Code 114 General Assistance (P-14996/88; A-89) (P-15924/88; A-89)

89 Ill. Adm. Code 149 Ill. Competitive Access & Reimbursement Equity (ICARE) Program (P-13917/88; A-554)

89 Ill. Adm. Code 120 Medical Assistance Programs (P-15938/88; A-125)

89 Ill. Adm. Code 140 Medical Payment (P-11995/88; A-125)

89 Ill. Adm. Code 147 Reimbursement for Nursing Costs for Geriatric Facilities (P-10627/88; O-20231/88; R-677; A-559)

RECORDS COMMISSION, STATE

44 Ill. Adm. Code 400 State Records Commission (P-44)

REHABILITATION SERVICES, DEPARTMENT OF

89 Ill. Adm. Code 530 Criteria for the Evaluation of Programs of Services in Rehabilitation Facilities (P-3565/88; A-141)

89 Ill. Adm. Code 552 Eligibility (P-52) (P-277)

89 Ill. Adm. Code 607 Other Services (P-56) (E-225)

89 Ill. Adm. Code 567 Similar Benefits (P-281)

REVENUE, DEPARTMENT OF

86 Ill. Adm. Code 432 Pull Tabs & Jar Games Act (P-15027/88; A-191)

JOINT COMMITTEE ON ADMINISTRATIVE RULES

Agenda

January 9, 1989

Second Notices Received

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EXECUTIVE ORDERS AND PROCLAMATIONS

PROCLAMATIONS

- 89-001 James R. Wolfe's Memorial Award Day
- 89-002 Chicago Opera Theater Week
- 89-003 American History Month
- 89-004 Congratulates Frank R. Adams
- 89-005 Vocational Education Week
- 89-006 Volunteer Connection Day
- 89-007 Cerebral Palsy Month
- 89-008 Four Chaplains Sunday
- 89-009 Homemakers Extension Association Week
- 89-010 Ill. Trail Appreciation Month
- 89-011 School Social Work Week
- 89-012 American Savings & Loan/100th Anniversary
- 89-013 Center For Children's Services Day
- 89-014 Child Find Month
- 89-015 Jaycee Week
- 89-016 Commissioned Corps of the United States Public Health Service Day

The Sections Affected Index lists, by Title, each Section of a codified Part on which rulemaking activity has occurred in this volume of the Register and is divided into two parts: the first lists the Sections on which rulemaking occurred in the previous issues of this volume year; the second lists the Sections on which rulemaking activity occurred in this issue of the Register. (The headings at the top of each page indicate the two parts: the first part shows the previous issue numbers inclusively and the date of the last published issue; the second lists the current issue number and date.) The columns in both parts indicate the type of rulemaking activity and the action taken along with the page number on which the first page of the notice of rulemaking activity appeared. If a Section on which action is being taken in the current volume (calendar year) of the Register was proposed in a previous volume, the last two digits of the previous volume's year appear immediately after the page number separated by a slash. (e.g. 1 Ill. Adm. Code 100.280 was proposed last year and adopted this year. The action entry reads: (P-857786; A-7244) The codes for both columns are listed below. For a complete listing of the Titles of the Illinois Administrative Code, please refer to 1 Ill. Adm. Code 100.140 or contact the Administrative Code Division.

TYPE OF RULEMAKING		ACTION CODES	
am	= amendment to existing Section	A	= Adopted rule
cc	= codification changes	C	= Correction
n	= new Section	CC	= Codification Changes
r	= repeal of existing Section	E	= Emergency rule
rc	= recodified	F	= Failure to Remedy Objections
#	= renumbered	M	= Modification
		O	= ICAR Objection
		P	= Proposed rule
		PF	= Prohibited Filing
		PP	= Peremptory rule
		R	= Refusal to Modify or Withdraw
		RC	= ICAR Recommendation
		S	= Suspended rule
		W	= Withdrawal of Proposed rule

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